

# Algorithm Design and Analysis Midterm Review

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slido: #ADA2020

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#### Announcement

- Homework assignment
  - HW2 due on 11/10 14:20
  - HW2 解答會在死線當天晚上公布
- Midterm announcement
  - Next week!!!

#### Midterm!!!



Date: 11/12 (Thursday)

• Time: 14:20-17:20 (3 hours)

• Location: R102 + R103 + R104 (check the seat assignment before entering the room)

Content

- Recurrence and Asymptotic Analysis
- Divide and Conquer
- Dynamic Programming
- Greedy
- Based on slides, assignments, and some variations (practice via textbook exercises)
- Format: Yes/No, Multiple-Choice, Short Answer, Prove/Explanation
- Easy: ~65%, Medium: ~25%, Hard: ~10%
- Close book Tip: exam questions are not all of equal difficulty, move on if you get stuck!

## Algorithm Design & Analysis Process

- 1) Formulate a **problem**
- 2) Develop an algorithm
- 3) Prove the correctness
- 4) Analyze running time/space requirement

**Design Step** 

**Analysis Step** 

### **Algorithm Analysis**

- Analysis Skills
  - Prove by contradiction
  - Induction
  - Asymptotic analysis
  - Problem instance
- Algorithm Complexity
  - In the worst case, what is the growth of function an algorithm takes
- Problem Complexity
  - In the worst case, what is the growth of the function the optimal algorithm of the problem takes

## Algorithm Design Strategy

- Do not focus on "specific algorithms"
- But "some strategies" to "design" algorithms
- First Skill: Divide-and-Conquer (各個擊破)
- Second Skill: Dynamic Programming (動態規劃)
- Third Skill: Greedy (貪婪法則)

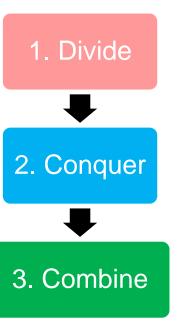


## Divide-and-Conquer



### What is Divide-and-Conquer?

- Solve a problem <u>recursively</u>
- Apply three steps at each level of the recursion
  - 1. Divide the problem into a number of subproblems that are smaller instances of the same problem (比較小的同樣問題)
  - 2. Conquer the subproblems by solving them recursively If the subproblem sizes are *small enough* 
    - then solve the subproblems
    - else recursively solve itself
      recursive case
  - **3. Combine** the solutions to the subproblems into the solution for the original problem



### How to Solve Recurrence Relations?

- 1. Substitution Method (取代法)
  - Guess a bound and then prove by induction
- 2. Recursion-Tree Method (遞迴樹法)
  - Expand the recurrence into a tree and sum up the cost
- 3. Master Method (套公式大法/大師法)
  - Apply Master Theorem to a specific form of recurrences

#### **Master Theorem**

The proof is in Ch. 4.6

divide a problem of size n into a subproblems, each of size  $\frac{n}{n}$  is solved in time  $T\left(\frac{n}{n}\right)$  recursively

Let T(n) be a positive function satisfying the following recurrence relation

$$T(n) = \left\{ \begin{array}{ll} O(1) & \text{if } n \leq 1 \\ a \cdot T(\frac{n}{b}) + f(n) & \text{if } n > 1, \end{array} \right. \quad \begin{array}{l} \text{Should follow} \\ \text{this format} \end{array}$$

where  $a \ge 1$  and b > 1 are constants.

- Case 1: If  $f(n) = O(n^{\log_b a \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
- Case 2: If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \cdot \log n)$ .
- Case 3: If
  - $-f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and
  - $-a \cdot f(\frac{n}{b}) \le c \cdot f(n)$  for some constant c < 1 and all sufficiently large n,

then  $T(n) = \Theta(f(n))$ .



#### When to Use D&C?

- Analyze the problem about
  - Whether the problem with small inputs can be solved directly
  - Whether subproblem solutions can be combined into the original solution
  - Whether the overall complexity is better than naïve
- If no, then
  - Try to modify it or add more information
  - Try another way for dividing
  - Do not use D&C

### **Pseudo-Polynomial Time**

- Polynomial: polynomial in the length of the input (#bits for the input)
- Pseudo-polynomial: polynomial in the numeric value
- The time complexity of 0-1 knapsack problem is  $\Theta(nW)$ 
  - *n*: number of objects
  - W: knapsack's capacity (non-negative integer)
  - polynomial in the numeric value
  - = pseudo-polynomial in input size
  - = exponential in the length of the input
- Note: the size of the representation of W is  $\log_2 W$

$$= 2^m = m$$



## **Dynamic Programming**

## What is Dynamic Programming?

- Dynamic programming, like the divide-and-conquer method, solves problems by <u>combining the solutions to subproblems</u>
  - 用空間換取時間
  - 讓走過的留下痕跡
- "Dynamic": time-varying
- "Programming": a tabular method

Dynamic Programming: planning over time

## Algorithm Design Paradigms

- Divide-and-Conquer
  - partition the problem into independent or disjoint subproblems
  - repeatedly solving the common subsubproblems
  - → more work than necessary

- Dynamic Programming
  - partition the problem into dependent or overlapping subproblems
  - avoid recomputation
    - ✓ Top-down with memoization
    - ✓ Bottom-up method

### **Dynamic Programming Procedure**

- Apply four steps
  - 1. Characterize the structure of an optimal solution
  - 2. Recursively define the value of an optimal solution
  - 3. Compute the value of an optimal solution, typically in a bottom-up fashion
  - 4. Construct an optimal solution from computed information

#### When to Use DP?

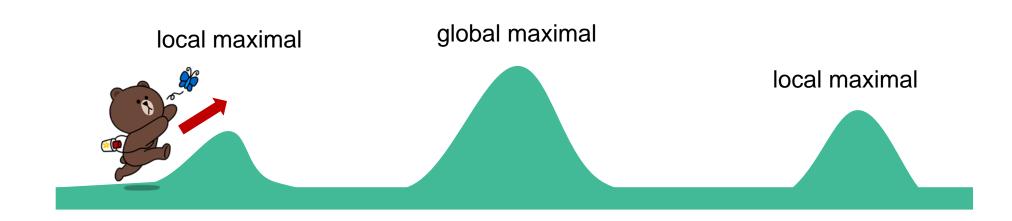
- Analyze the problem about
  - Whether subproblem solutions can combine into the original solution
  - When subproblems are overlapping
  - Whether the problem has optimal substructure
  - Common for <u>optimization</u> problem
- Two ways to avoid recomputation
  - Top-down with memoization
  - Bottom-up method
- Complexity analysis
  - Space for tabular filling
  - Size of the subproblem graph



## **Greedy Algorithms**

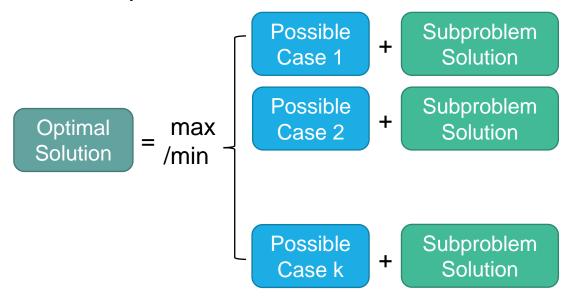
## What is Greedy Algorithms?

- always makes the choice that looks best at the moment
- makes a locally optimal choice in the hope that this choice will lead to a globally optimal solution
  - not always yield optimal solution; may end up at local optimal



## **Algorithm Design Paradigms**

- Dynamic Programming
  - has optimal substructure
  - make an informed choice after getting optimal solutions to subproblems
  - dependent or overlapping subproblems



- Greedy Algorithms
  - has optimal substructure
  - make a greedy choice before solving the subproblem
  - no overlapping subproblems
    - ✓ Each round selects only one subproblem
    - ✓ The subproblem size decreases

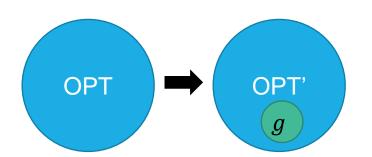


### **Greedy Procedure**

- 1. Cast the optimization problem as one in which we make a choice and remain one subproblem to solve
- 2. Demonstrate the optimal substructure
  - ✓ Combining an optimal solution to the subproblem via greedy can arrive an optimal solution to the original problem
- 3. Prove that there is always an optimal solution to the original problem that makes the greedy choice

### **Proof of Correctness Skills**

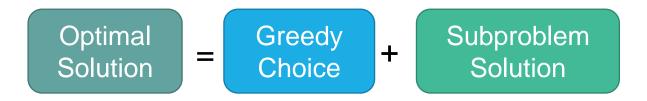
- Optimal Substructure: an optimal solution to the problem contains within it optimal solutions to subproblems
- Greedy-Choice Property: making locally optimal (greedy) choices leads to a globally optimal solution
  - Show that it exists an optimal solution that "contains" the greedy choice using exchange argument
  - For any optimal solution OPT, the greedy choice g has two cases
    - *g* is in OPT: done
    - g not in OPT: modify OPT into OPT' s.t. OPT' contains g and is at least as good as OPT



- ✓ If OPT' is better than OPT, the property is proved by contradiction
- $\checkmark$  If OPT' is as good as OPT, then we showed that there exists an optimal solution containing g by construction

### When to Use Greedy?

- Analyze the problem about
  - Whether the problem has optimal substructure
  - Whether we can make a greedy choice and remain only one subproblem
  - Common for <u>optimization</u> problem





## **Exercises**



### **Short Answer Questions**

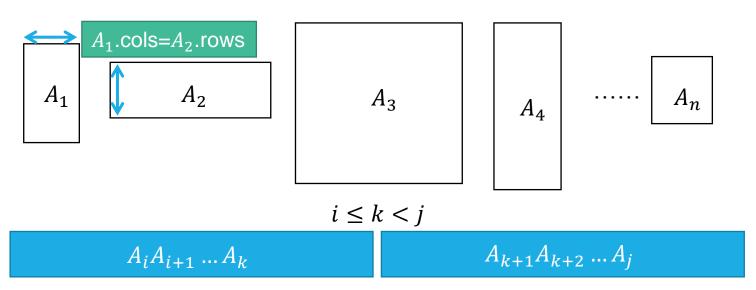
- True or False: To prove the correctness of a greedy algorithm, we must prove that every optimal solution contains our greedy choice.
- Given the following recurrence relation, provide a valid traversal order to fill the DP table or justify why no valid traversal exists.

$$A(i,j) = F(A(i-2,j+1), A(i+1,j-2))$$

- Explain why it is usually easy for a divide-and-conquer algorithm to take advantage of a multi-core computer system.
- Given N items and a bag of capacity W, explain why the O(NW)-time 0/1 knapsack algorithm mentioned in class is pseudo-polynomial.

### **Matrix-Chain Multiplication**

- Input: a sequence of integers  $l_0$ ,  $l_1$ , ...,  $l_n$ 
  - $l_{i-1}$  is the number of rows of matrix  $A_i$
  - $l_i$  is the number of columns of matrix  $A_i$
- Output: an order of performing n-1 matrix multiplications in the maximum number of operations to obtain the product of  $A_1A_2 \dots A_n$



Q: Does optimal substructure still hold?

## **Painting**

- Put stickers in a single row on each tube to indicate its color.
- There are k types of stickers.
- Tubes with the same color should have the same sticker pattern and should be prefix free.

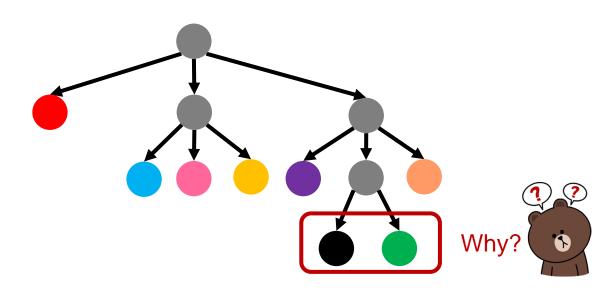
Color	red	pink	orange	yellow	green	blue	purple	black
#Tubes	25	15	12	19	7	12	8	2

- Minimize the total number of stickers put on all tubes
- 3-ary prefix tree (each node can have at most k children).

### 3-arry Huffman Coding

The total length is

Color	red	•	orange				purple	black
#Tubes	25	15	12	19	7	12	8	2



$$25 \cdot 1 + (12 + 15 + 19 + 8 + 12) \cdot 2 + (7 + 2) \cdot 3 = 184$$

### 考古題 Practice 1

1. Maximum Subarray of a Circular Infinite Sequence (2015 midterm) Recall that a maximum subarray of A is a contiguous subarray  $a_s, \dots, a_t$  of A such that  $\sum_{s \le i \le t} a_i$  is maximized over all s and t,  $0 \le s \le t$ .

Given a circular infinite sequence  $A = \langle a_0, a_1, a_2, \cdots \rangle$  in which  $a_i = a_j$  if  $i = j \mod n$ , please answer the following questions.

- 1. Suppose  $\sum_{0 \le i < n} a_i > 0$ . What is the length of the maximum subarray of A? Briefly explain your answer.
- 2. Suppose  $\sum_{0 \le i < n} a_i < 0$ . Please briefly explain why the length of any maximum subarray is at most n.
- 3. Please design an algorithm to find a maximum subarray of the circular infinite sequence A in  $O(n \log n)$  time. Can you reduce the running time of your algorithm to O(n)? Please justify the correctness and running time of your algorithm.

### 考古題 Practice 2

2. Fair Division of Christmas Gifts (2014 midterm) Christmas is approaching. You're helping Santa Clauses to distribute gifts to children.

For ease of delivery, you are asked to divide n gifts into two groups such that the weight difference of these two groups is minimized. The weight of each gift is a positive integer. Please design an algorithm to find an optimal division minimizing the value difference. The algorithm should find the minimal weight difference as well as the groupings in O(nS) time, where S is the total weight of these n gifts. Briefly justify the correctness of your algorithm.

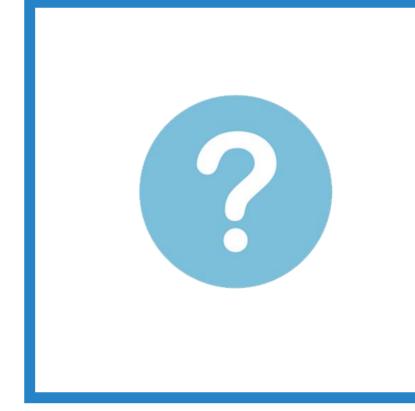
Hint: This problem can be converted into making one set as close to S/2 as possible.

### 考古題 Practice 3

3. Zombie Apocalypse (2016 midterm) Due to a zombie virus outbreak, some cities have been occupied by zombies and are no longer safe. You and your survivor team need to travel through several cities to get to a far away shelter.

There are n cities forming a line topology. You are at city 1 now and the shelter is at city n. The location of city i is L[i], and  $L[i] < L[j] \ \forall 1 \le i < j \le n$ . z[i] = 1 indicates city i has been occupied by zombies; otherwise, z[i] = 0 indicates the city is still safe to stop at night.

If you plan to move at most 100km a day, and you need to rest at a safe city at night, please design a greedy algorithm to pick the cities for resting at night so that you can arrive at the shelter as soon as possible. Your algorithm should run in O(n) time. Please show that your algorithm has the greedy choice property.



## Question?

Important announcement will be sent to @ntu.edu.tw mailbox & post to the course website

Course Website: http://ada.miulab.tw

Email: ada-ta@csie.ntu.edu.tw