

**Graph (3)**  
Dec 6<sup>th</sup>, 2018

# Algorithm Design and Analysis

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Slides credited from Hsueh-I Lu & Hsu-Chun Hsiao

# Announcement

- Homework 3 released
  - Due on 12/13 (Thur) 14:20 (one week only)
- Mini-HW 9 released
  - Due on 12/13 (Thur) 14:20
- Homework 4 released
  - Due on 1/3 (Thur) 14:20 (four weeks later)

Frequently check the website for the updated information!

# Mini-HW 9

Following is the implementation of a queue using 2 stacks. (assuming that the capacity of both stacks are unlimited)

```
enqueue(Q, x) {
    stack1.push(x);
}

dequeue(Q) {
    if ( stack2.empty() ) {
        while ( !stack1.empty() ) {
            stack2.push( stack1.top() );
            stack1.pop();
        }
    }
    ans = stack2.top();
    stack2.pop();
    return ans;
}
```

Please answer the following questions:

1. What is the exact cost of a single **enqueue(Q, x)** operation ? (10%)
2. What is the exact cost of a single **dequeue(Q)** operation ? (10%)
3. What is the amortized cost of Q, considering **a sequence of n operations** ? Please choose one of the methods mentioned in class (aggregate/accounting/potential) to show how you derive the answer. (80%)

# Outline



- Single-Source Shortest Paths
  - Bellman-Ford Algorithm
  - Lawler Algorithm (SSSP in DAG)
  - Dijkstra Algorithm



# Single-Source Shortest Paths

Textbook Chapter 24 – Single-Source Shortest Paths

# Shortest Path Problem

- Input: a weighted, directed graph  $G = (V, E)$ 
  - Weights can be arbitrary numbers, not necessarily distance
  - Weight function needs not satisfy triangle inequality
- Output: a minimal-cost path from  $s$  to  $t$  s.t.  $\delta(s, t)$  is the minimum weight from  $s$  to  $t$
- Problem Variants
  - Single-source shortest-path problem
  - Single-destination shortest-path problem
  - Single-pair shortest-path problem
  - All-pair shortest path problem

# Cycles in Graph

- Can a shortest path contain a negative-weight edge?

Yes.

- Can a shortest path contain a negative-weight cycle?

Doesn't make sense.

- Can a shortest path contain a cycle?

No.

# Single-Source Shortest Path Problem

- Input: a weighted, directed graph  $G = (V, E)$  and a source vertex  $s$
- Output: a minimal-cost path from  $s$  to  $t$ , where  $t \in V$



# Shortest Path Tree

- Let  $G = (V, E)$  be a weighted, directed graph with no negative-weight cycles reachable from  $s$
- A shortest path tree  $G' = (V', E')$  of  $s$  is a subgraph of  $G$  s.t.
  - $V'$  is the set of vertices reachable from  $s$  in  $G$
  - $G'$  forms a rooted tree with root  $s$
  - For all  $v \in V'$ , the unique simple path from  $s$  to  $v$  in  $G'$  is a shortest path from  $s$  to  $v$  in  $G$

# Shortest Path Tree Problem

- Input: a weighted, directed graph  $G = (V, E)$  and a vertex  $s$
- Output: a tree  $T$  rooted at  $s$  s.t. the path from  $s$  to  $u$  of  $T$  is a shortest path from  $s$  to  $u$  in  $G$

# Problem Equivalence

- The shortest path tree problem is **equivalent** to finding the minimal cost  $\delta(s, u)$  from  $s$  to each node  $u$  in  $G$ 
  - The **minimal cost** from  $s$  to  $u$  in  $G$  is the length of any shortest path from  $s$  to  $u$  in  $G$

“**equivalence**”: a solution to either problem can be obtained from a solution to the other problem in linear time

Shortest Path Tree  
Problem

=

Single-Source Shortest  
Path Problem



# Bellman-Ford Algorithm

Textbook Chapter 24.1 – The Bellman-Ford algorithm

# Bellman and Ford

## Richard Bellman, 1920~1984

- Norbert Wiener Prize in Applied Mathematics, 1970
- Dickson Prize, Carnegie-Mellon University, 1970
- John von Neumann Theory Award, 1976.
- IEEE Medal of Honor, 1979,
- Fellow of the American Academy of Arts and Sciences, 1975.
- Membership in the National Academy of Engineering, 1977

## Lester R. Ford, Jr. 1927~2017

- Proved the algorithm before Bellman
- An important contributor to the theory of network flow.

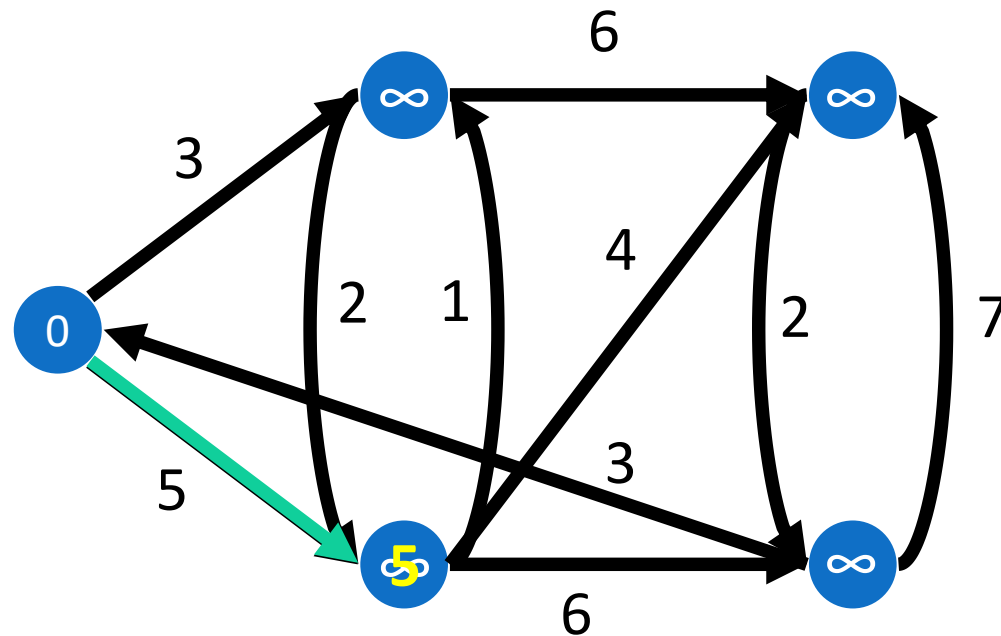


# Bellman-Ford Algorithm

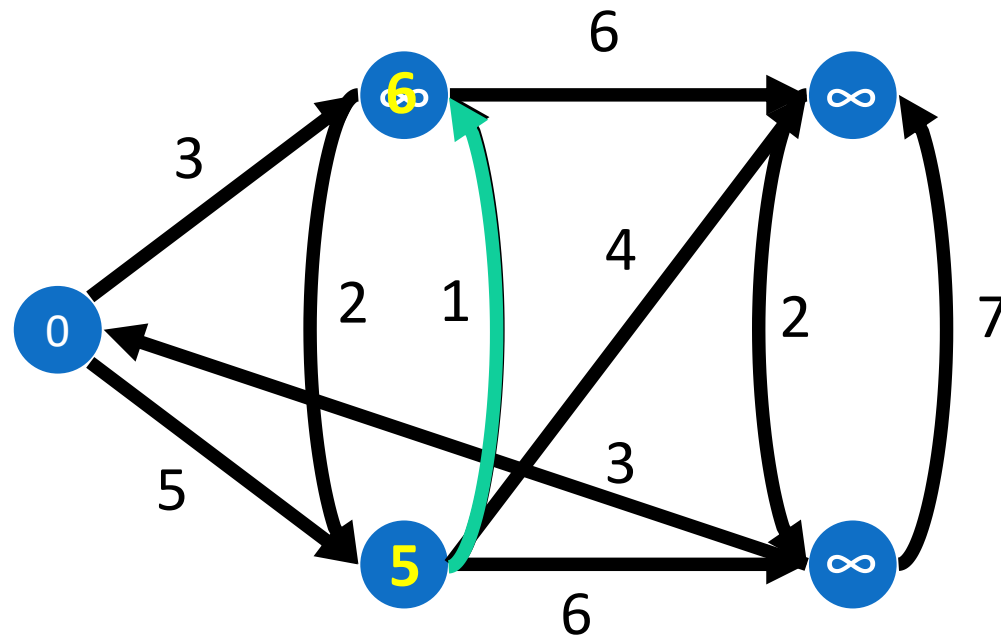
- Idea: estimate the value of  $d[u]$  to approximate  $\delta(s, u)$
- Initialization
  - Let  $d[u] = \infty$  for  $u \in G$
  - Let  $d[s] = 0$
- Repeat the following step for sufficient number of phases
  - For each edge  $(u, v) \in E$ , relax edge  $(u, v)$
  - Relaxing: If  $d[v] > d[u] + w(u, v)$ , let  $d[v] = d[u] + w(u, v)$

→ improve the estimation of  $d[u]$

# Bellman-Ford Algorithm Illustration

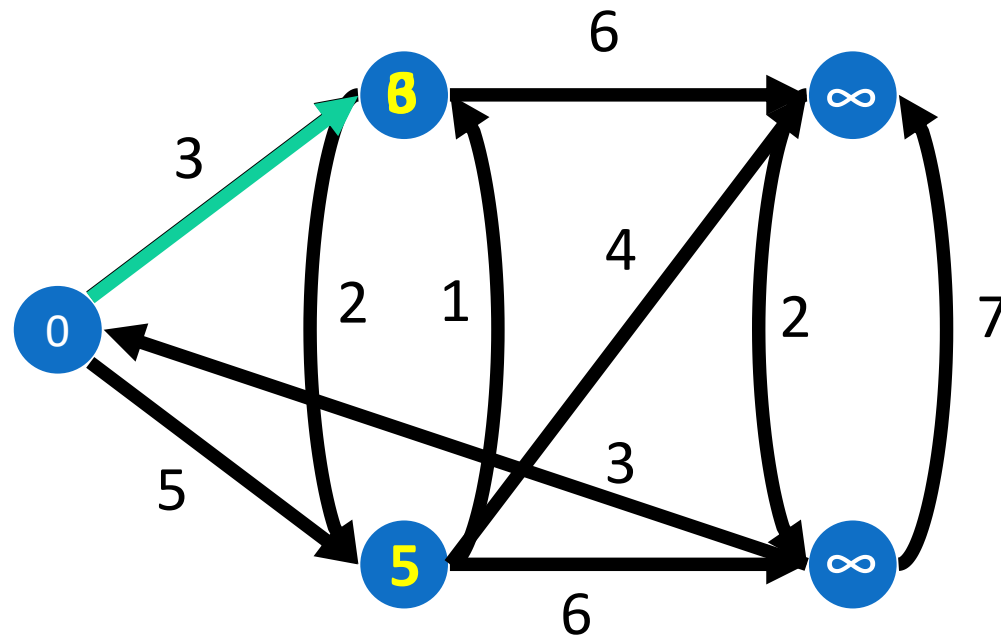


# Bellman-Ford Algorithm Illustration



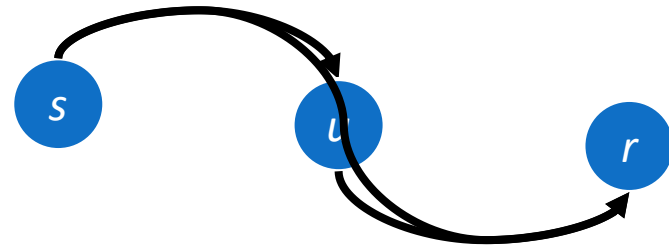


# Bellman-Ford Algorithm Illustration



# Bellman-Ford Algorithm Correctness

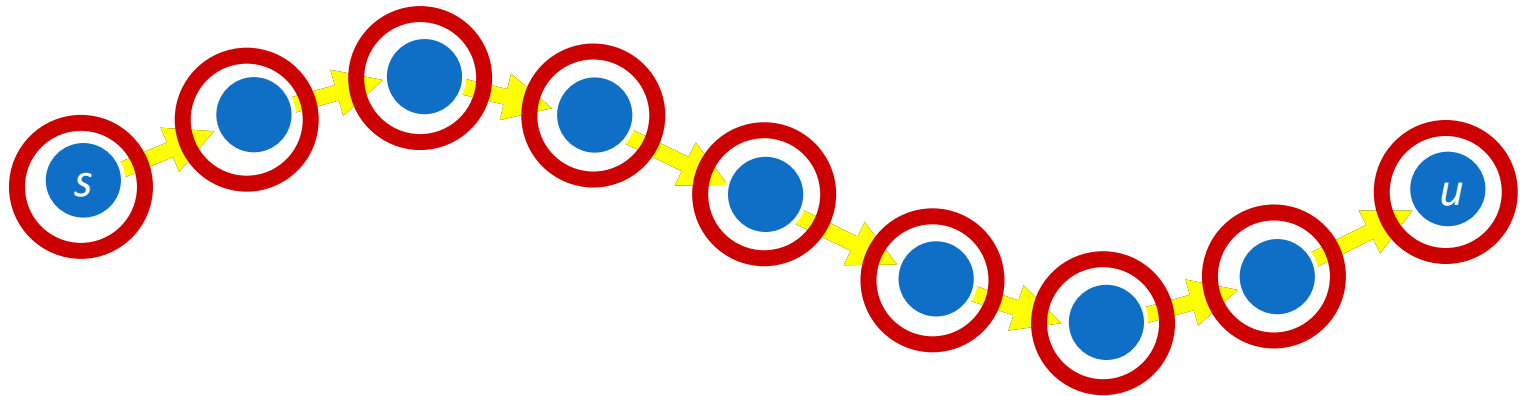
- Observation: let  $P$  be a shortest path from  $s$  to  $r$ 
  - For any vertex  $u$  in  $P$ , the subpath of  $P$  from  $s$  to  $u$  has to be a shortest path from  $s$  to  $u$   $\rightarrow$  optimal substructure
  - For any edge  $(u, v)$  in  $P$ , if  $d[u] = \delta(s, u)$ , then  $d[v] = \delta(s, v)$  also holds after relaxing edge  $(u, v)$



- If  $G$  contains no negative cycles, then each node  $u$  has a shortest path from  $s$  to  $u$  that has at most  $n - 1$  edges
- From observation, after the first  $i$  phases of improvement via relaxation, the estimation of  $d[u]$  for the first  $i + 1$  nodes  $u$  in the path is precise ( $= \delta(s, u)$ )

$\rightarrow n - 1$  phases

# Bellman-Ford Algorithm Correctness



# Bellman-Ford Time Complexity

```
BELLMAN-FORD(G, w, s)  
  INITIALIZATION(G, s)  
  for i = 1 to |G.V| - 1     $n - 1$  times  
    for (u, v) in G.E       $O(m)$   
      RELAX(u, v, w)
```

```
INITIALIZATION(G, s)  
  for v in G.V }  $O(n)$   
    v.d =  $\infty$   
    v.π = NIL  
  s.d = 0
```

- Time complexity:  $O(mn)$

```
RELAX(u, v, w)  $O(1)$   
  if v.d > u.d + w(u, v)  
    // DECREASE-KEY  
    v.d = u.d + w(u, v)  
    v.π = u
```

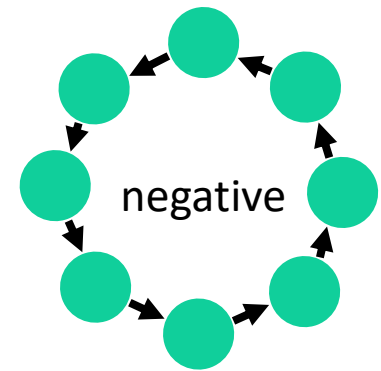
How to do if there is a  
negative cycle in the graph?



# Negative Cycle Detection

- Q: How do we know  $G$  has negative cycles?
- A: Using another phase of improvement via relaxation
  - Run another phase of improving the estimation of  $d[u]$  for each vertex  $u \in V$  via relaxing all edges  $E$
  - If in the  $n$ -th phase, there are still some  $d[u]$  being modified, we know that  $G$  has negative cycles

# Negative Cycle Detection



If there exists a negative cycle in  $G$ , in the  $n$ -th phase, there are still some  $d[u]$  being modified.

- Proof by contradiction

- Let  $C$  be a negative cycle of  $k$  nodes  $v_1, v_2, \dots, v_k$  ( $v_{k+1} = v_1$ )
- Assume  $d[v_i]$  for all  $1 \leq i \leq k$  are not changed in a phase of improvement, then for  $1 \leq i \leq k$

$$d[v_{i+1}] \leq d[v_i] + w(v_i, v_{i+1})$$

- Summing all  $k$  inequalities, the sum of edge weights of  $C$  is nonnegative

$$\sum_{i=1}^k d[v_{i+1}] \leq \sum_{i=1}^k d[v_i] + \sum_{i=1}^k w(v_i, v_{i+1}) \Rightarrow 0 \leq \sum_{i=1}^k w(v_i, v_{i+1})$$

# Bellman-Ford Algorithm

```
BELLMAN-FORD(G, w, s)
  INITIALIZATION(G, s)
  for i = 1 to |G.V| - 1     $n - 1$  times
    for (u, v) in G.E       $O(m)$ 
      RELAX(u, v, w)
  for (u, v) in G.E
    if v.d > u.d + w(u, v)
      return FALSE
  return TRUE    negative cycle detection
```

```
INITIALIZATION(G, s)
  for v in G.V
    v.d =  $\infty$ 
    v.π = NIL
  s.d = 0
  }  $O(n)$ 
```

```
RELAX(u, v, w)  $O(1)$ 
  if v.d > u.d + w(u, v)
    // DECREASE-KEY
    v.d = u.d + w(u, v)
    v.π = u
```

- Time complexity:  $O(mn)$
- Finding a shortest-path tree of *G*:  $O(mn) + O(m + n) = O(mn)$



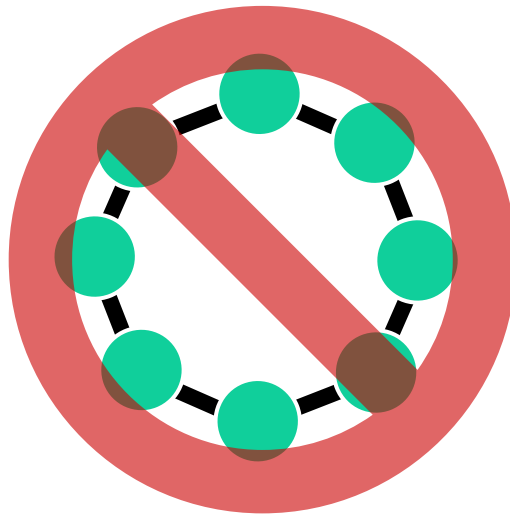
# Lawler Algorithm

Textbook Chapter 24.2 – Single-source shortest paths in directed acyclic graphs



# Single-Source Shortest Path Problem

- Input: a weighted, directed, and **acyclic** graph  $G = (V, E)$  and a source vertex  $s$
- Output: a shortest-path distance from  $s$  to  $t$ , where  $t \in V$

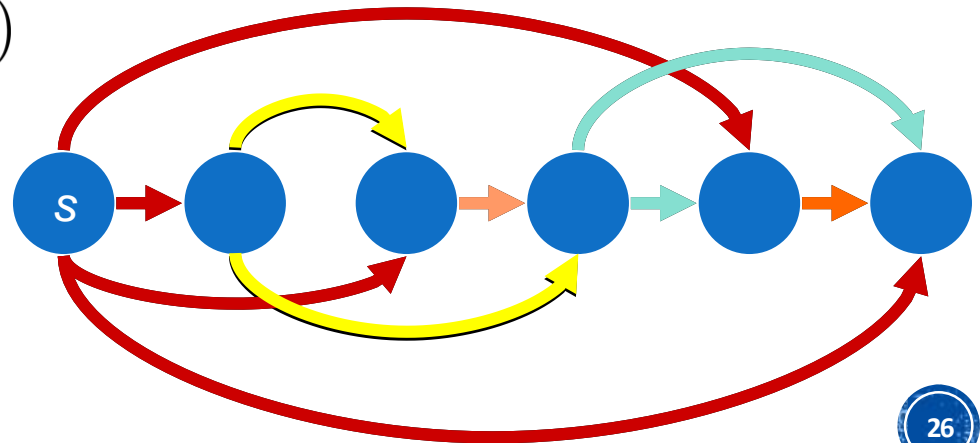


**No negative cycle!**

# Lawler Algorithm

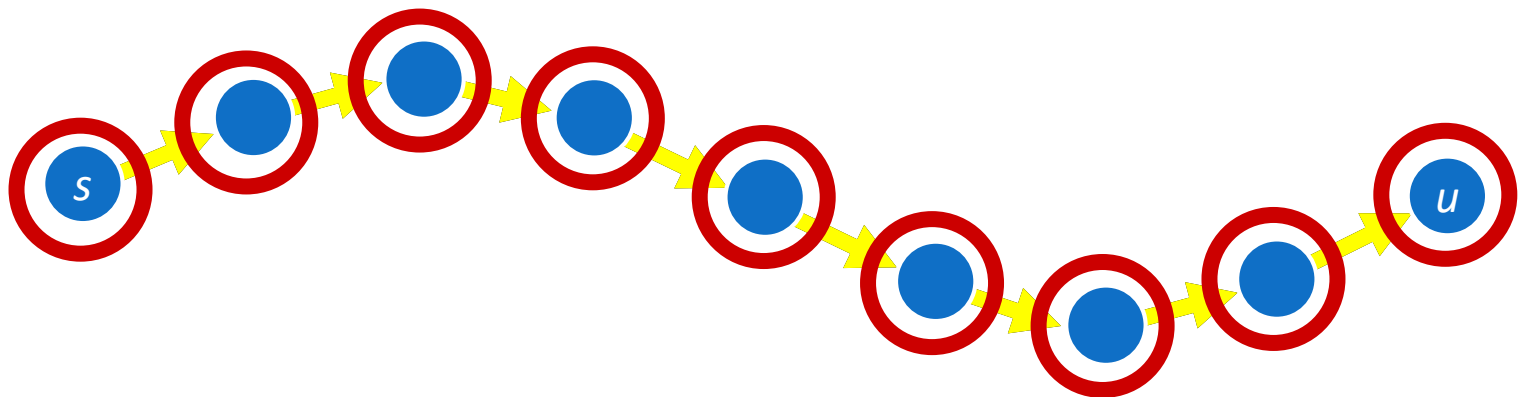
- Idea: one phase relaxation
- Perform a **topological sort** in linear time on the input DAG
- For  $i = 1$  to  $n$ 
  - Let  $v_i$  be the  $i$ -th node in the above order
  - Relax each outgoing edge  $(v_i, u)$  from  $v_i$

Time complexity:  $O(m + n)$



# Lawler Algorithm Correctness

- Assume this is a shortest path from  $s$  to  $u$
- If we follow the order from topological sort to relax the vertices' edges, in this shortest path, the left edge must be relaxed before the right edge
- One phase of improvement is enough



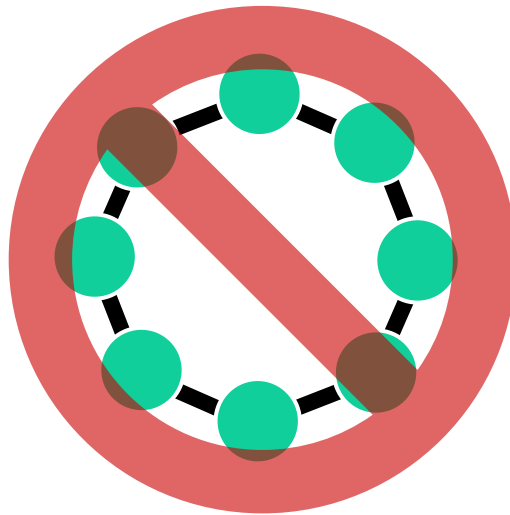


# Dijkstra's Algorithm

Textbook Chapter 24.3 – Dijkstra's algorithm

# Single-Source Shortest Path Problem

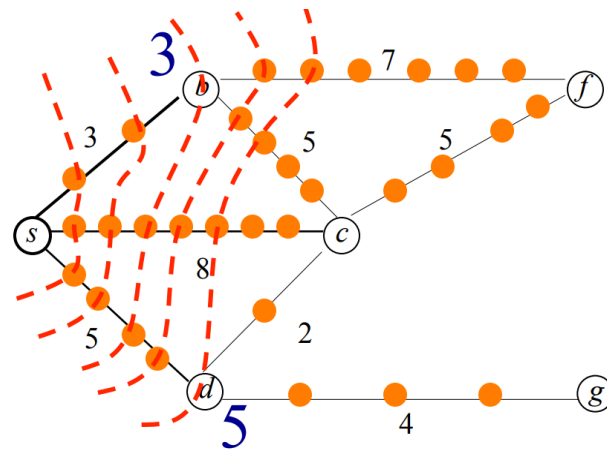
- Input: a **non-negative** weighted, directed, graph  $G = (V, E)$  and a source vertex  $s$
- Output: a shortest-path distance from  $s$  to  $t$ , where  $t \in V$



**No negative cycle!**

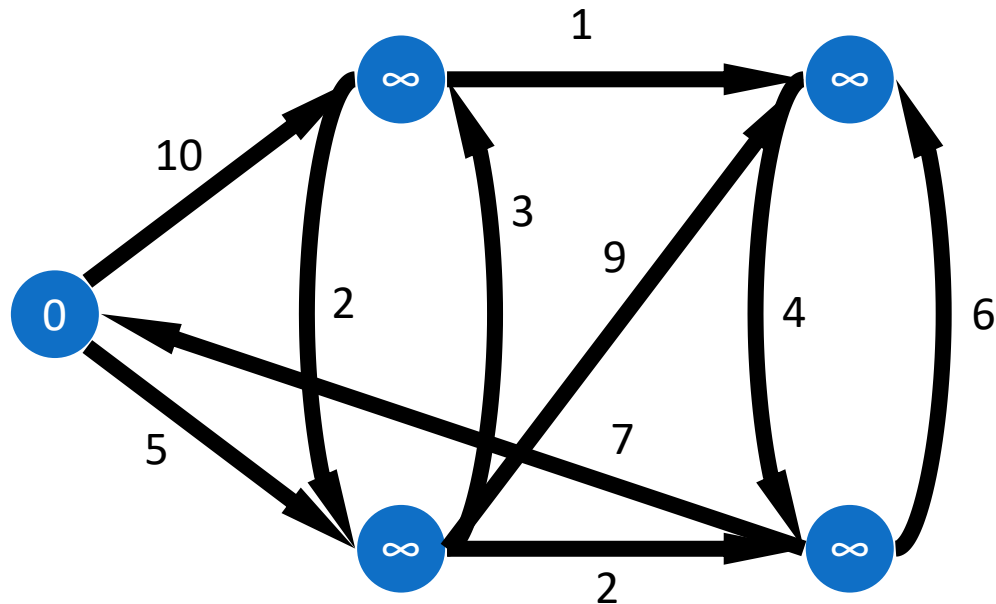
# Dijkstra's Algorithm

- Idea: BFS finds shortest paths on unweighted graph by expanding the search frontier

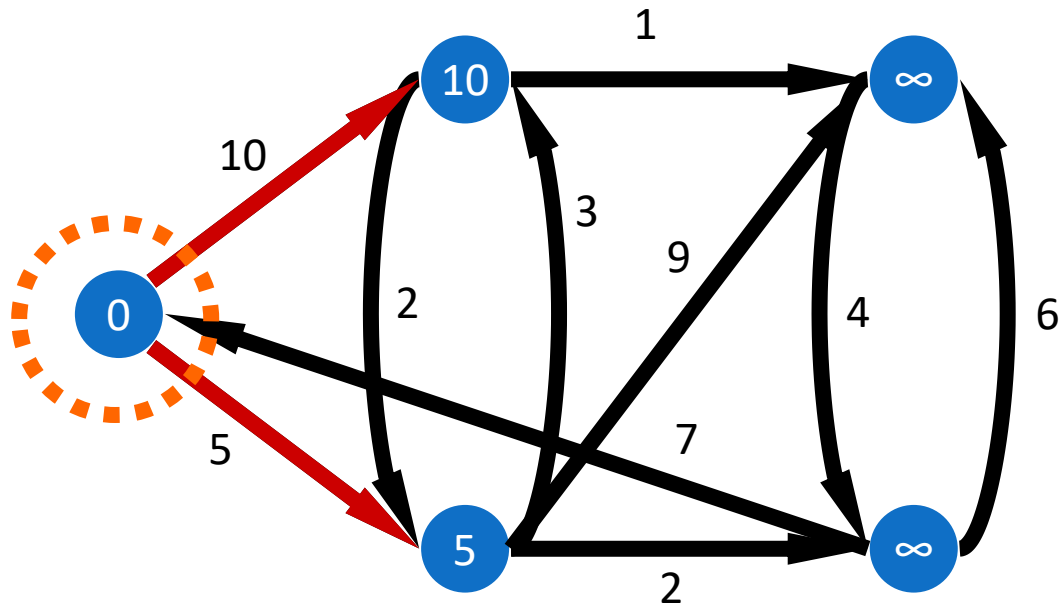


- Initialization
- Loops for  $n$  iterations, where each iteration
  - relax outgoing edges of an unprocessed node  $u$  with minimal  $d[u]$
  - marks  $u$  as processed

# Dijkstra's Algorithm Illustration

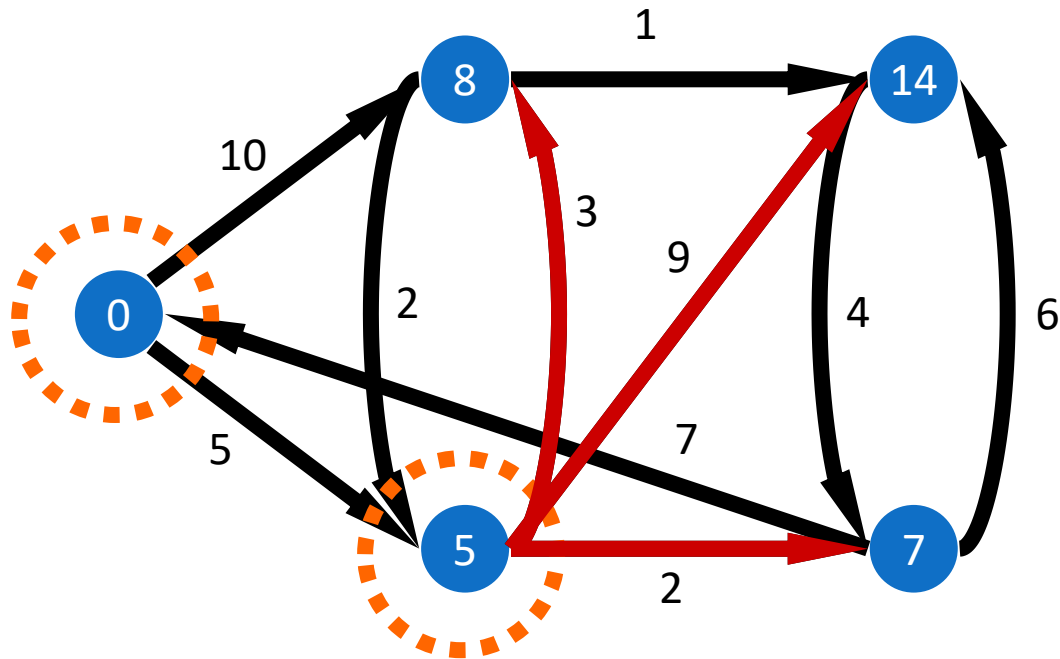


# Dijkstra's Algorithm Illustration

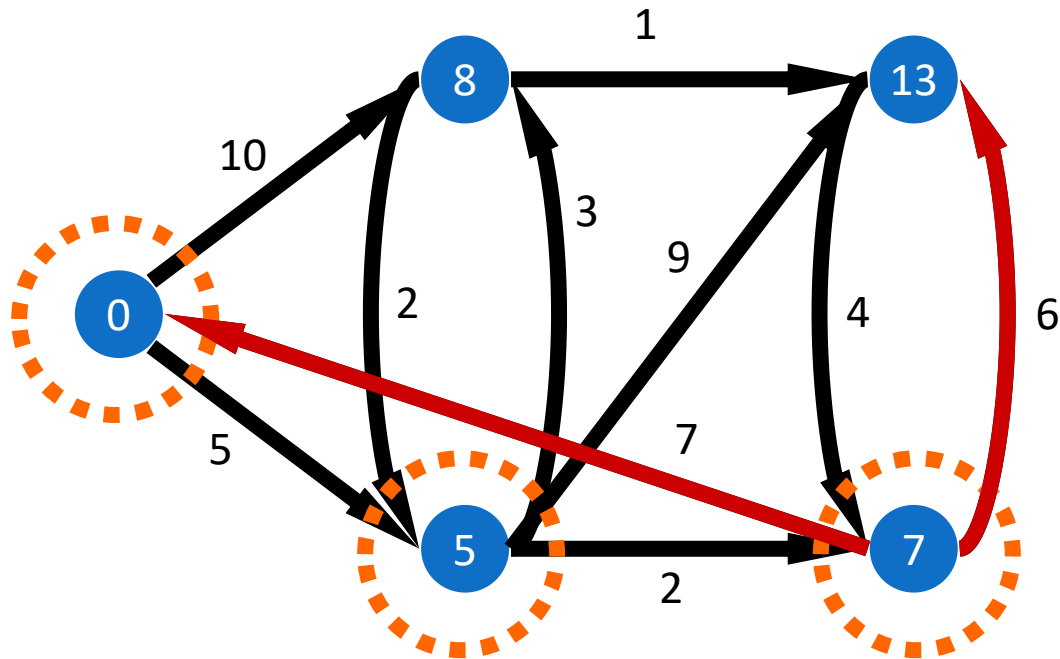




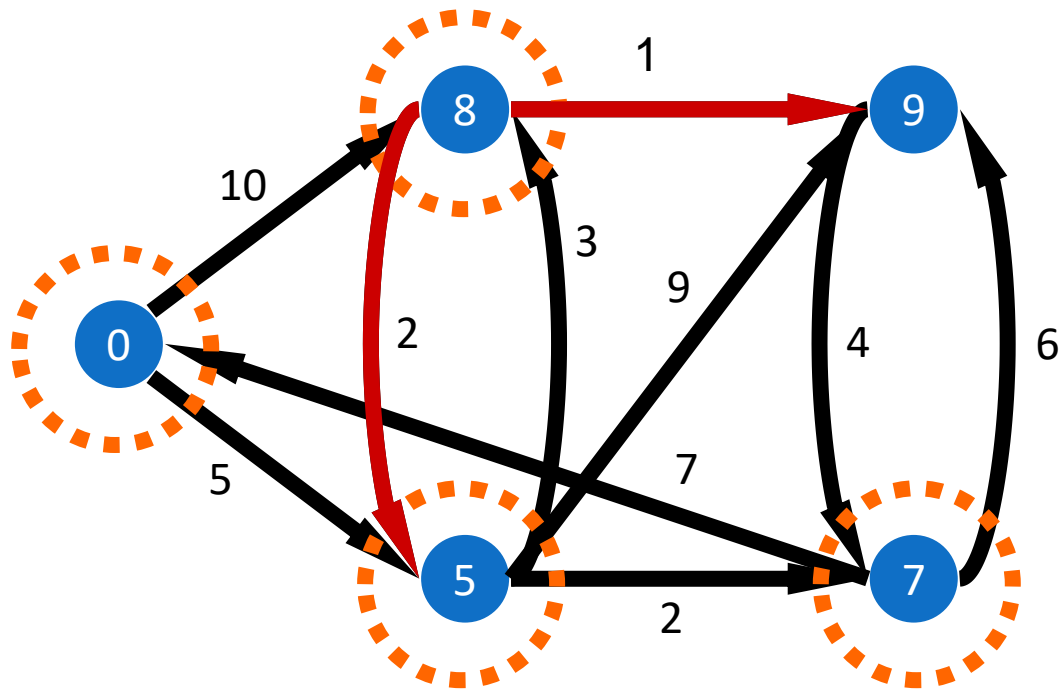
# Dijkstra's Algorithm Illustration



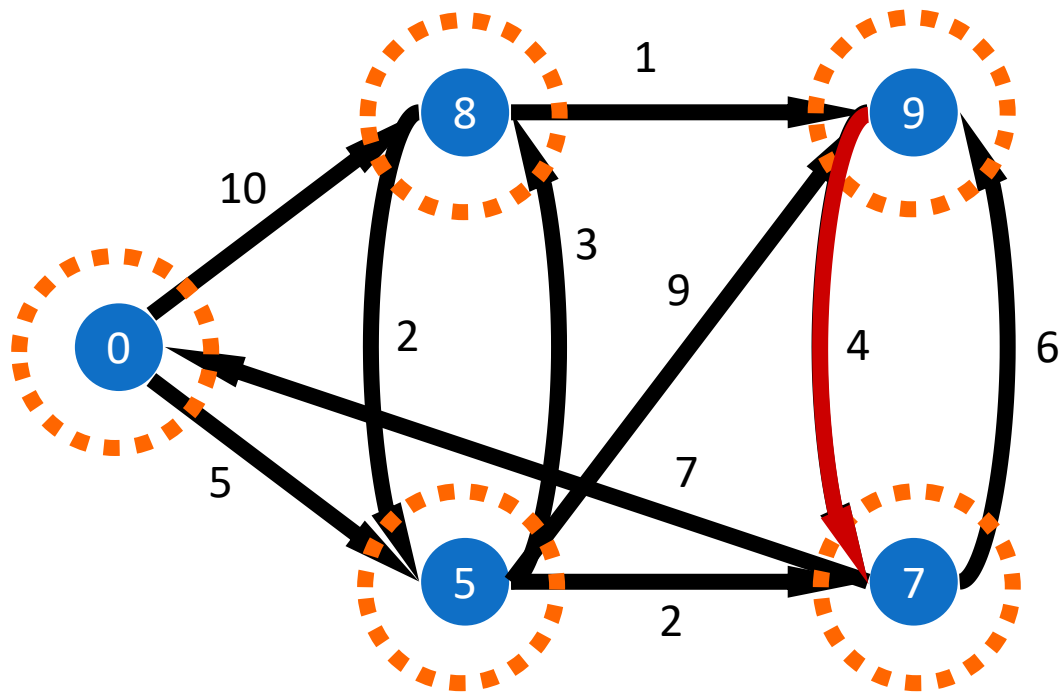
# Dijkstra's Algorithm Illustration



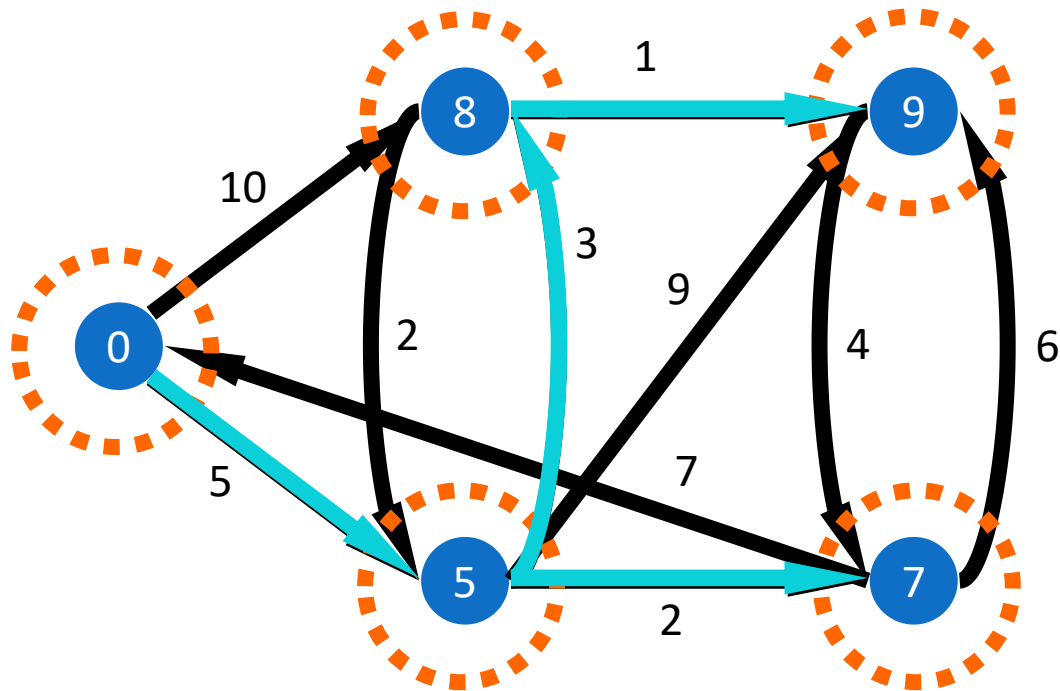
# Dijkstra's Algorithm Illustration



# Dijkstra's Algorithm Illustration



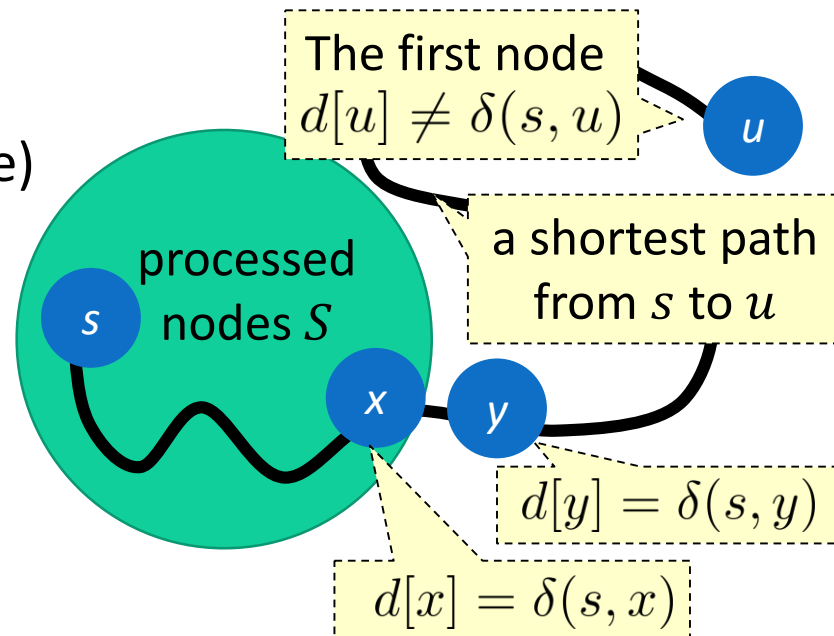
# Dijkstra's Algorithm Illustration



# Dijkstra's Algorithm Correctness

The vertex selected by Dijkstra's algorithm into the processed set must precise estimation of its shortest path distance.

- Prove by contradiction
  - Assume  $u$  is the first vertex for being processed (minimal distance)
  - Let a shortest path  $P$  from  $s$  to  $u$ ,
    - $x$  is the last vertex in  $P$  from  $S$
    - $y$  is the first vertex in  $P$  not from  $S$
  - $d[y] = \delta(s, y)$  because  $(x, y)$  is relaxed when putting  $x$  into  $S$   
 $d[u] > \delta(s, u) \geq \delta(s, y) = d[y]$
  - $y$  should be processed before  $u$ , contradiction.



# Dijkstra's Time Complexity

```
DIJKSTRA(G, w, s)
  INITIALIZATION(G, s)
  S = empty
  Q = G.v // INSERT           n times
  while Q ≠ empty           n times
    u = EXTRACT-MIN(Q)       O(n)
    S = SU{u}
    for v in G.adj[u]        m times
      RELAX(u, v, w)
```

```
INITIALIZATION(G, s)
  for v in G.V
    v.d = ∞
    v.π = NIL
  s.d = 0 } O(n)
```

```
RELAX(u, v, w) O(1)
  if v.d > u.d + w(u, v)
    // DECREASE-KEY
    v.d = u.d + w(u, v)
    v.π = u
```

- Min-priority queue
  - INSERT:  $O(1)$
  - EXTRACT-MIN:  $O(n)$
  - DECREASE-KEY:  $O(1)$
- Total complexity:  $O(n^2 + m)$

# Dijkstra's Time Complexity

```
DIJKSTRA(G, w, s)  
  INITIALIZATION(G, s)  
  S = empty  
  Q = G.v // INSERT  $O(n)$   
  while Q ≠ empty  $n$  times  
    u = EXTRACT-MIN(Q)  $O(\log n)$   
    S = S ∪ {u}  
    for v in G.adj[u]  $m$  times  
      RELAX(u, v, w)
```

```
INITIALIZATION(G, s)  
  for v in G.V }  $O(n)$   
    v.d = ∞  
    v.π = NIL  
  s.d = 0
```

```
RELAX(u, v, w)  $O(1)$   
  if v.d > u.d + w(u, v)  
    // DECREASE-KEY  
    v.d = u.d + w(u, v)  
    v.π = u
```

- Fibonacci heap (Textbook Ch. 19)
  - BUILD-MIN-HEAP:  $O(n)$
  - EXTRACT-MIN:  $O(\log n)$  (amortized)
  - DECREASE-KEY:  $O(1)$  (amortized)
- Total complexity:  $O(m + n \log n)$



# Concluding Remarks

- Single-Source Shortest Paths
  - Bellman-Ford Algorithm (general graph and weights)
    - $O(mn)$  and detecting negative cycles
  - Lawler Algorithm (acyclic graph)
    - $O(m + n)$
  - Dijkstra Algorithm (non-negative weights)
    - $O(m + n \log n)$  with Fibonacci heap



# Question?

Important announcement will be sent to @ntu.edu.tw mailbox  
& post to the course website

Course Website: <http://ada.miulab.tw>

Email: [ada-ta@csie.ntu.edu.tw](mailto:ada-ta@csie.ntu.edu.tw)