

# What's the Word?

Word Representations (2)  
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Applied Deep Learning

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Slide credit from Dr. Richard Socher

# Review

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# Meaning Representations in Computers

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Knowledge-based representation

Corpus-based representation

- ✓ Atomic symbol
- ✓ Neighbors
  - High-dimensional sparse word vector
  - Low-dimensional dense word vector
    - Method 1 – dimension reduction
    - Method 2 – direct learning

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# Window-based Co-occurrence Matrix

## Example

- Window length=1
- Left or right context
- Corpus:

I love NTU.  
I love deep learning.  
I enjoy learning.

similarity > 0

Counts	I	love	enjoy	NTU	deep	learning
I	0	2	1	0	0	0
love	2	0	0	1	1	0
enjoy	1	0	0	0	0	1
NTU	0	1	0	0	0	0
deep	0	1	0	0	0	1
learning	0	0	1	0	1	0

## Issues:

- matrix size increases with vocabulary
- high dimensional
- sparsity  $\rightarrow$  poor robustness

Idea: low dimensional word vector

# Meaning Representations in Computers

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Knowledge-based representation

Corpus-based representation

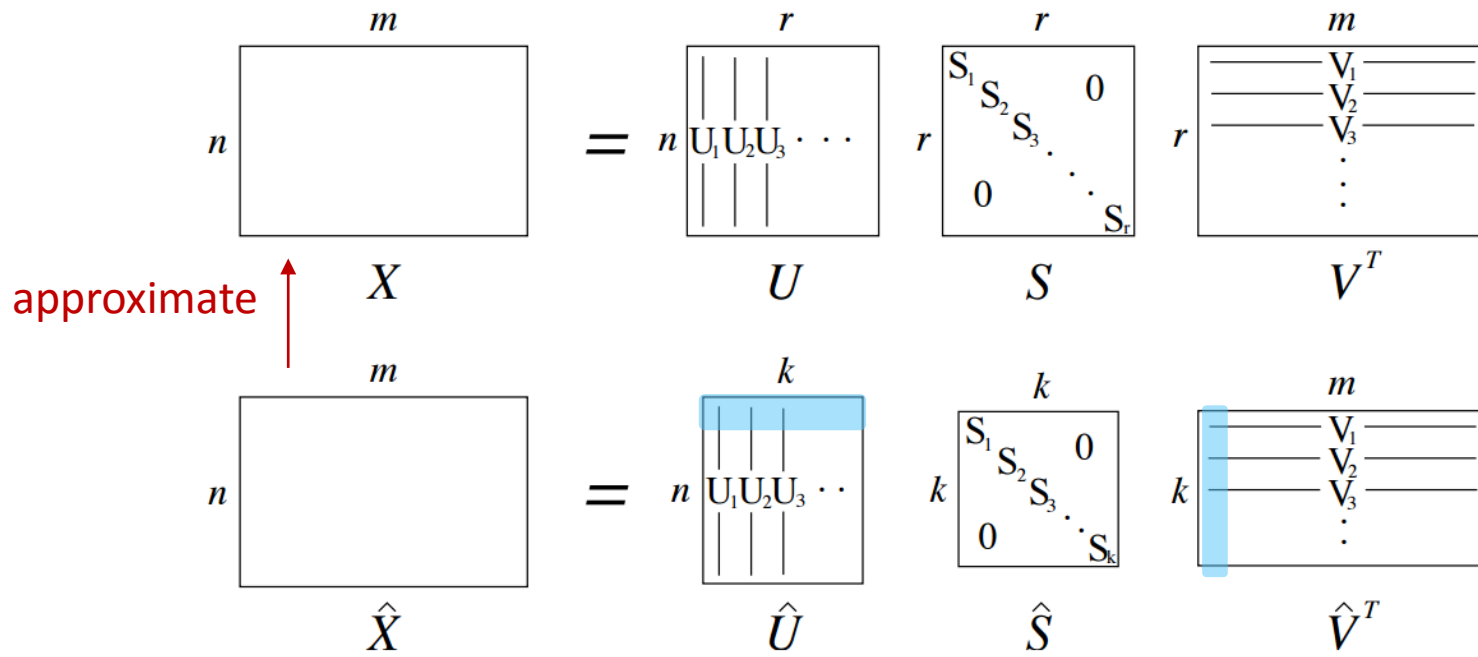
- ✓ Atomic symbol
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# Low-Dimensional Dense Word Vector

Method 1: dimension reduction on the matrix

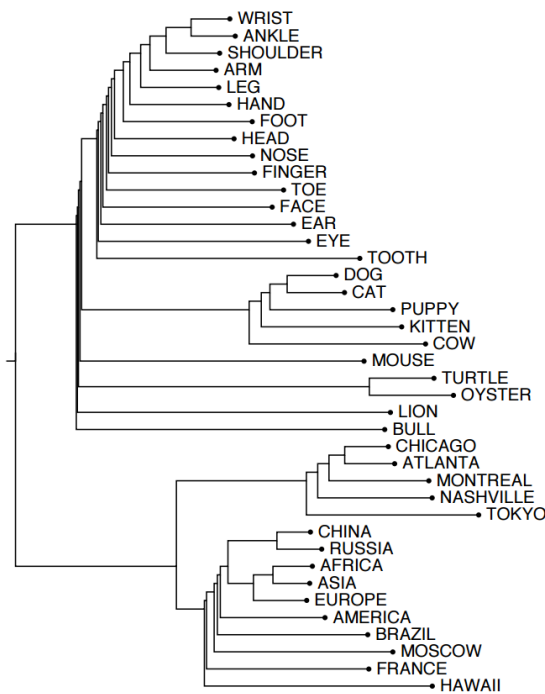
Singular Value Decomposition (SVD) of co-occurrence matrix  $X$



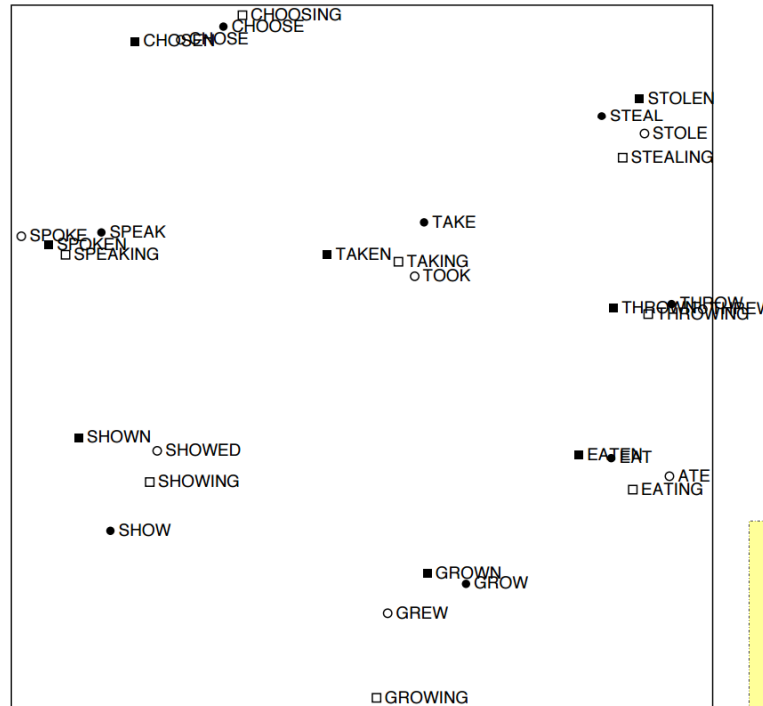
# Low-Dimensional Dense Word Vector

Method 1: dimension reduction on the matrix

Singular Value Decomposition (SVD) of co-occurrence matrix  $X$



semantic relations



syntactic relations

## Issues:

- computationally expensive:  $O(mn^2)$  when  $n < m$  for  $n \times m$  matrix
- difficult to add new words

Idea: directly learn low-dimensional word vectors

# Word Representation

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Knowledge-based representation

Corpus-based representation

- ✓ Atomic symbol
- ✓ Neighbors
  - High-dimensional sparse word vector
  - Low-dimensional dense word vector
    - Method 1 – dimension reduction
    - Method 2 – direct learning → word embedding

# Word Embedding

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## Method 2: directly learn low-dimensional word vectors

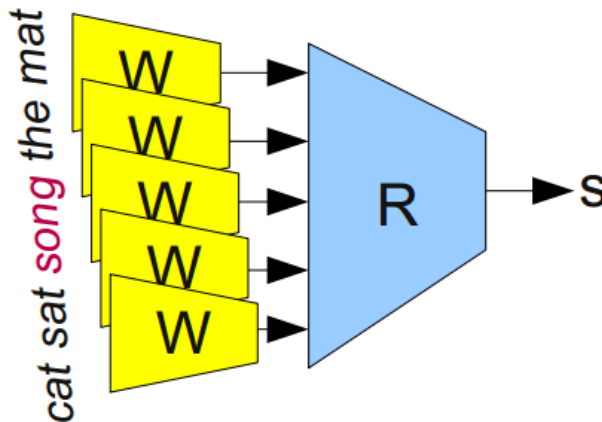
- Learning representations by back-propagation. (Rumelhart et al., 1986)
- A neural probabilistic language model (Bengio et al., 2003)
- NLP (almost) from Scratch (Collobert & Weston, 2008)
- Recent and most popular models: **word2vec** (Mikolov et al. 2013) and **Glove** (Pennington et al., 2014)

# Word Embedding Benefit

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Given an unlabeled training corpus, produce a vector for each word that encodes its semantic information. These vectors are useful because:

- ① semantic similarity between two words can be calculated as the cosine similarity between their corresponding word vectors
- ② word vectors as powerful features for various supervised NLP tasks since the vectors contain semantic information
- ③ propagate any information into them via neural networks and update during training



# Word2Vec Skip-Gram

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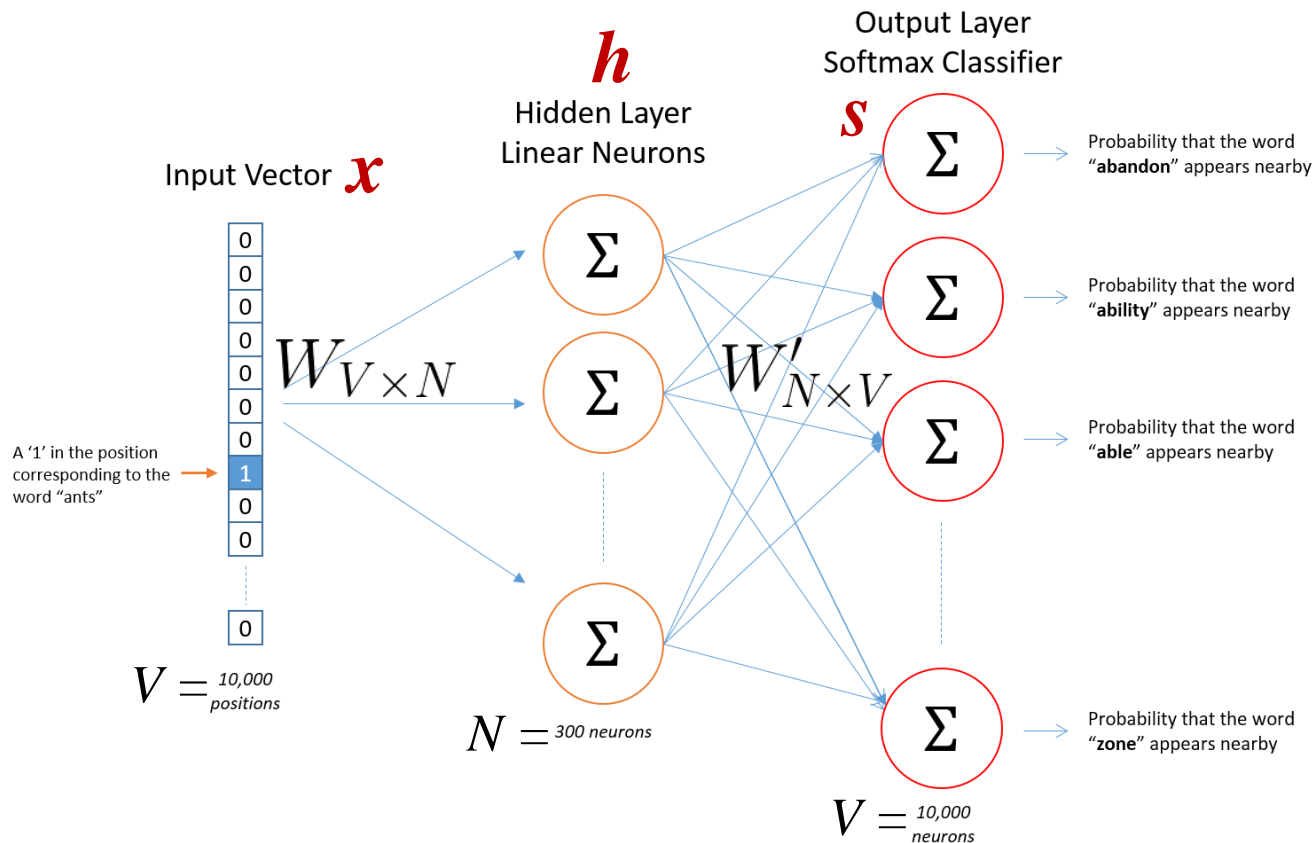
Mikolov et al., “Distributed representations of words and phrases and their compositionality,” in *NIPS*, 2013.

Mikolov et al., “Efficient estimation of word representations in vector space,” in *ICLR Workshop*, 2013.



# Word2Vec Skip-Gram Illustration

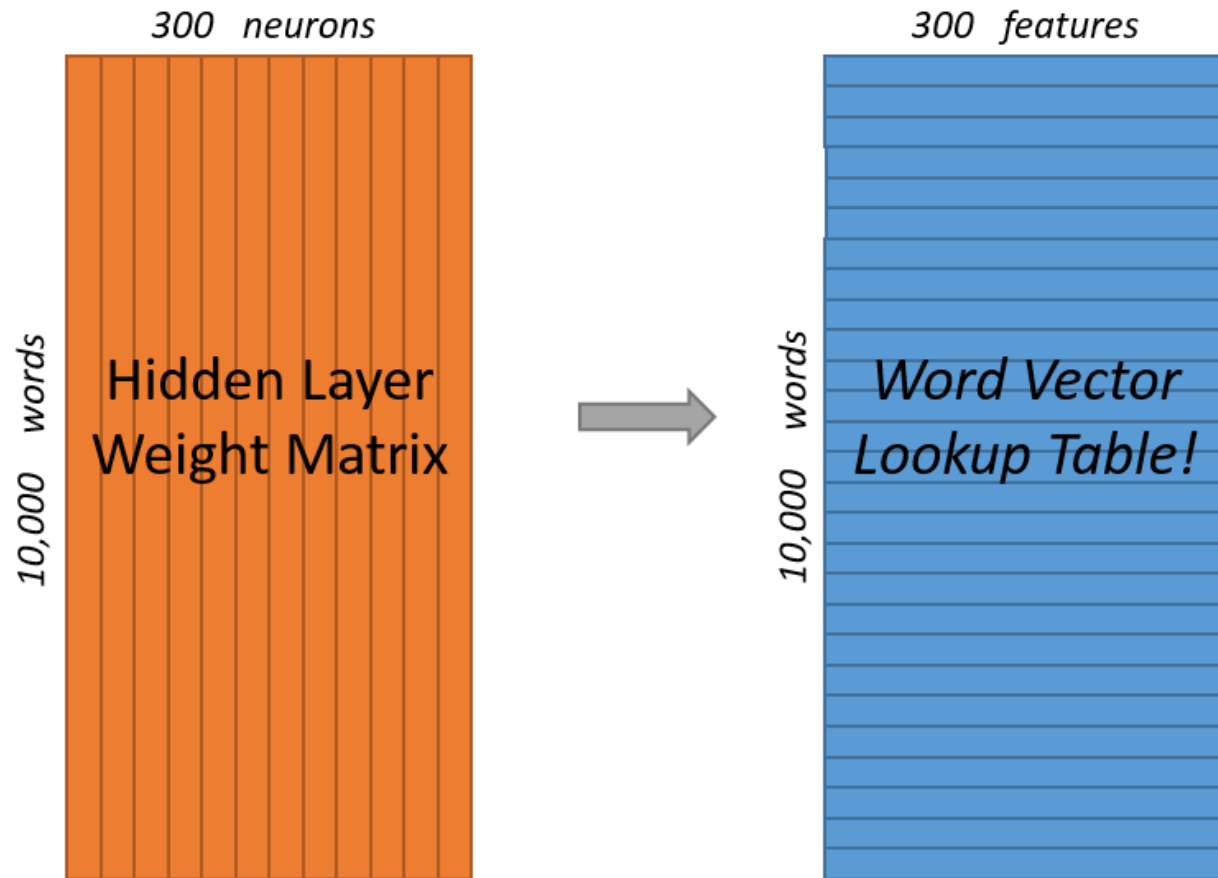
Goal: predict surrounding words within a window of each word



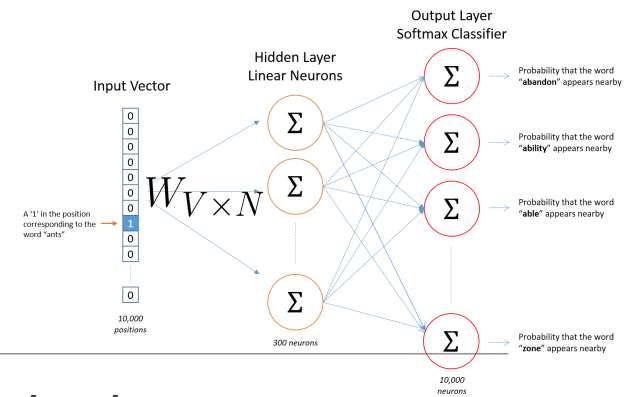


Hidden Layer Weight Matrix  
→ Word Embedding Matrix

$$W_{V \times N}$$



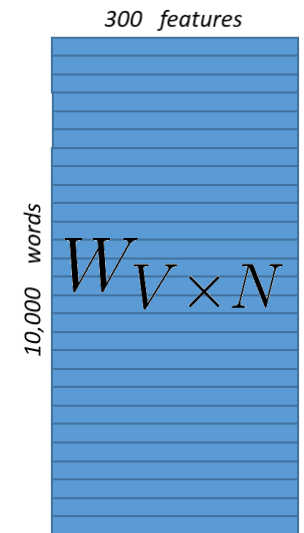
# Weight Matrix Relation



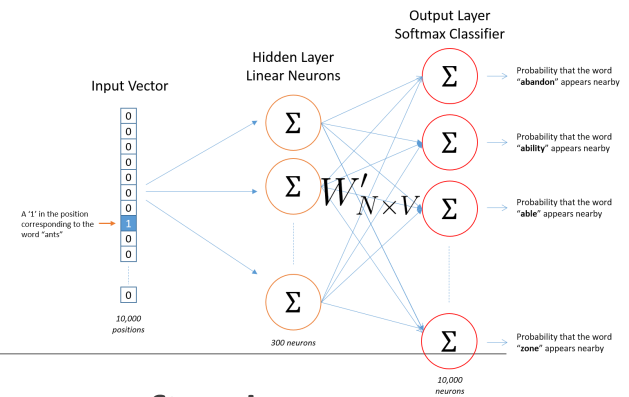
Hidden layer weight matrix = word vector lookup

$$h = x^T W = W_{(k, \cdot)} := v w_I$$

$$[0 \quad 0 \quad 0 \quad 1 \quad 0] \times \begin{bmatrix} 17 & 24 & 1 \\ 23 & 5 & 7 \\ 4 & 6 & 13 \\ 10 & 12 & 19 \\ 11 & 18 & 25 \end{bmatrix} = [10 \quad 12 \quad 19]$$

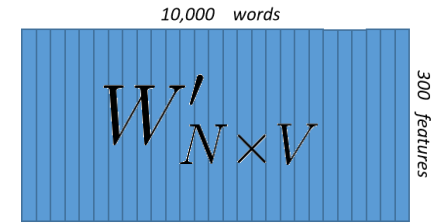


# Weight Matrix Relation



Output layer weight matrix = weighted sum as final score

$$s_j = hv'_w w_j$$



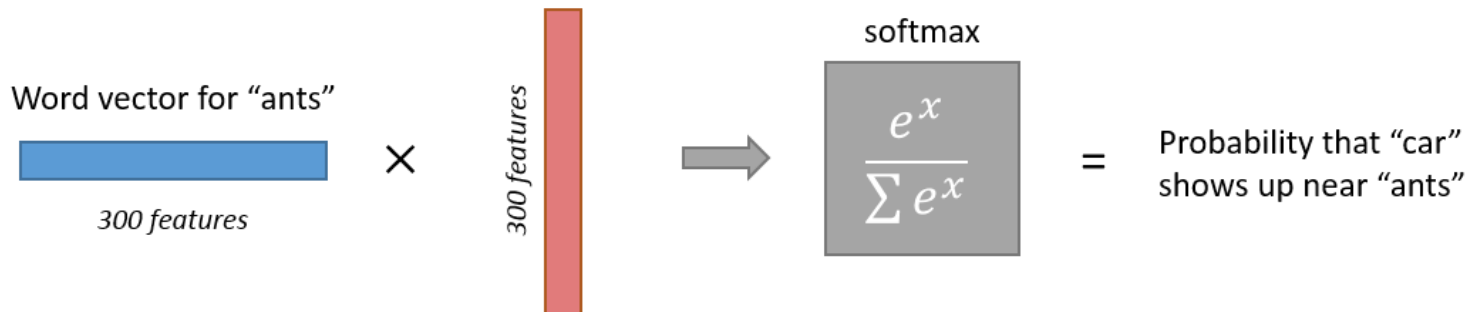
$$p(w_j = w_{O,c} | w_I) = y_{jc} =$$

within the context window

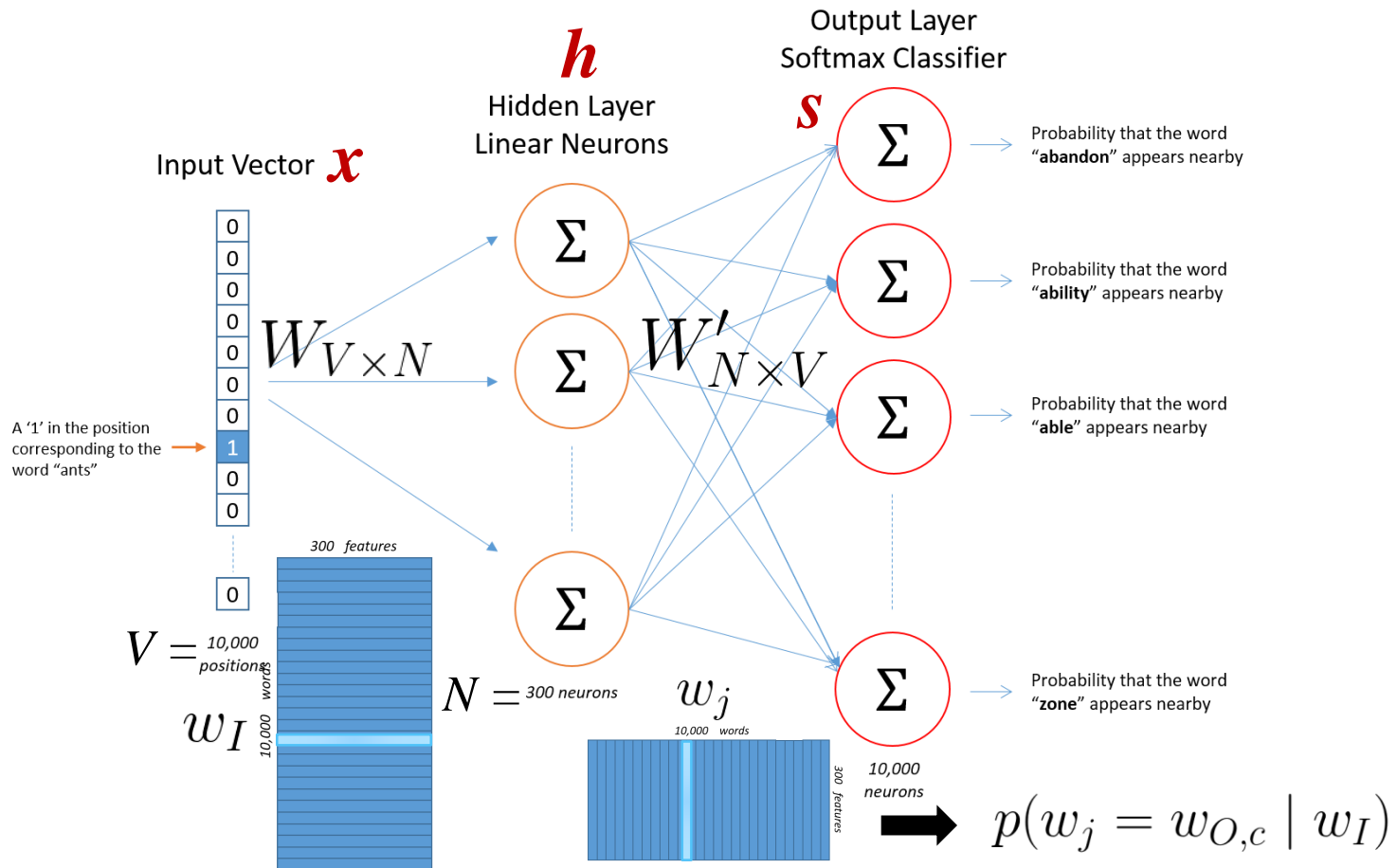
Output weights for "car"

$$\frac{\exp(s_{jc})}{\sum_{j'=1}^V \exp(s_{j'})}$$

softmax



# Word2Vec Skip-Gram Illustration

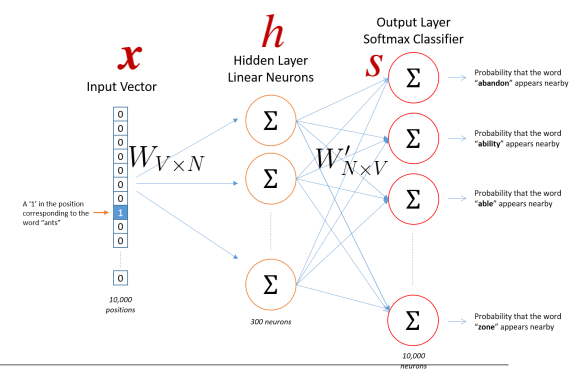


# Loss Function

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Given a target word ( $w_I$ )

$$\begin{aligned} C(\theta) &= -\log p(w_{O,1}, w_{O,2}, \dots, w_{O,C} \mid w_I) \\ &= -\log \prod_{c=1}^C \frac{\exp(s_{j_c})}{\sum_{j'=1}^V \exp(s_{j'})} \\ &= -\sum_{c=1}^C s_{j_c} + C \log \sum_{j'=1}^V \exp(s_{j'}) \end{aligned}$$



# SGD Update for $W'$

Given a target word ( $w_l$ )

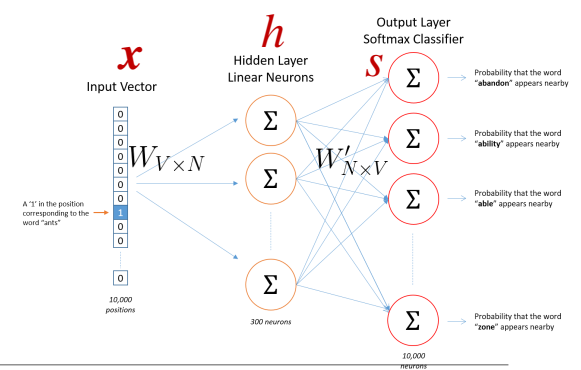
$$\frac{\partial C(\theta)}{\partial w'_{ij}} = \sum_{c=1}^C \frac{\partial C(\theta)}{\partial s_{j_c}} \frac{\partial s_{j_c}}{\partial w'_{ij}} = \sum_{c=1}^C (y_{j_c} - t_{j_c}) \cdot h_i$$

$$s_j = v'_{w_j}{}^T \cdot h$$

$$\frac{\partial C(\theta)}{\partial s_{j_c}} = y_{j_c} - t_{j_c} := e_{j_c} \text{ error term}$$

=1, when  $w_{j_c}$  is within the context window  
=0, otherwise

$$w'_{ij}{}^{(t+1)} = w'_{ij}{}^{(t)} - \eta \cdot \sum_{c=1}^C (y_{j_c} - t_{j_c}) \cdot h_i$$



# SGD Update for $W$

$$\frac{\partial C(\theta)}{\partial w_{ki}} = \frac{\partial C(\theta)}{\partial h_i} \frac{\partial h_i}{\partial w_{ki}} = \sum_{j=1}^V \sum_{c=1}^C (y_{jc} - t_{jc}) \cdot w'_{ij} \cdot x_k$$

$h = x^T W$

$$\frac{\partial C(\theta)}{\partial h_i} = \sum_{j=1}^V \frac{\partial C(\theta)}{\partial s_j} \frac{\partial s_j}{\partial h_i} = \sum_{j=1}^V \sum_{c=1}^C (y_{jc} - t_{jc}) \cdot w'_{ij}$$

$s_j = v'_{w_j}{}^T \cdot h$

$$w_{ij}^{(t+1)} = w_{ij}^{(t)} - \eta \cdot \sum_{j=1}^V \sum_{c=1}^C (y_{jc} - t_{jc}) \cdot w'_{ij} \cdot x_j$$

# SGD Update

$$w'_{ij}{}^{(t+1)} = w'_{ij}{}^{(t)} - \eta \cdot \sum_{c=1}^C (y_{jc} - t_{jc}) \cdot h_i$$

$$EI_j = \sum_{c=1}^C (y_{jc} - t_{jc})$$

$$v'_{w_j}{}^{(t+1)} = v'_{w_j}{}^{(t)} - \eta \cdot EI_j \cdot h$$

$$w_{ij}{}^{(t+1)} = w_{ij}{}^{(t)} - \eta \cdot \sum_{j=1}^V \sum_{c=1}^C (y_{jc} - t_{jc}) \cdot w'_{ij} \cdot x_j$$

$$EH_i = \sum_{j=1}^V EI_j \cdot w'_{ij} \cdot x_j$$

$$v_{w_I}{}^{(t+1)} = v_{w_I}{}^{(t)} - \eta \cdot EH^T$$

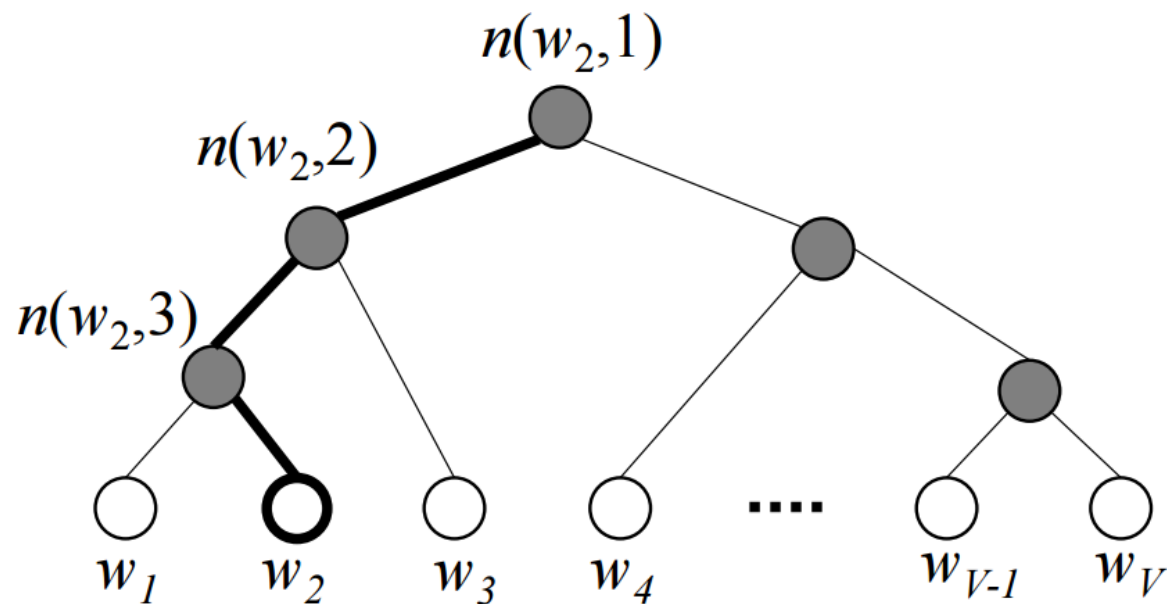
large vocabularies or large training corpora → expensive computations

limit the number of output vectors that must be updated per training instance  
→ hierarchical softmax, sampling



# Hierarchical Softmax

Idea: compute the probability of leaf nodes using the paths



$$O(N) \rightarrow O(\log N)$$

# Negative Sampling

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Idea: only update a sample of output vectors

$$C(\theta) = -\log \sigma(v'_{w_O}{}^T v_{w_I}) + \sum_{w_j \in \mathcal{W}_{\text{neg}}} \log \sigma(v'_{w_j}{}^T v_{w_I})$$

$$v'_{w_j}{}^{(t+1)} = v'_{w_j}{}^{(t)} - \eta \cdot EI_j \cdot h$$

$$EI_j = \sigma(v'_{w_j}{}^T v_{w_I}) - t_j$$

$$v_{w_I}{}^{(t+1)} = v_{w_I}{}^{(t)} - \eta \cdot EH^T$$

$$EH = \sum_{w_j \in \{w_O\} \cup \mathcal{W}_{\text{neg}}} EI_j \cdot v'_{w_j}$$

$$w_j \in \{w_O\} \cup \mathcal{W}_{\text{neg}}$$

# Negative Sampling

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Sampling methods  $w_j \in \{w_0\} \cup \mathcal{W}_{\text{neg}}$

- Random sampling
- Distribution sampling:  $w_j$  is sampled from  $P(w)$

What is a good  $P(w)$ ?

Idea: less frequent words sampled more often

Empirical setting: unigram model raised to the power of 3/4

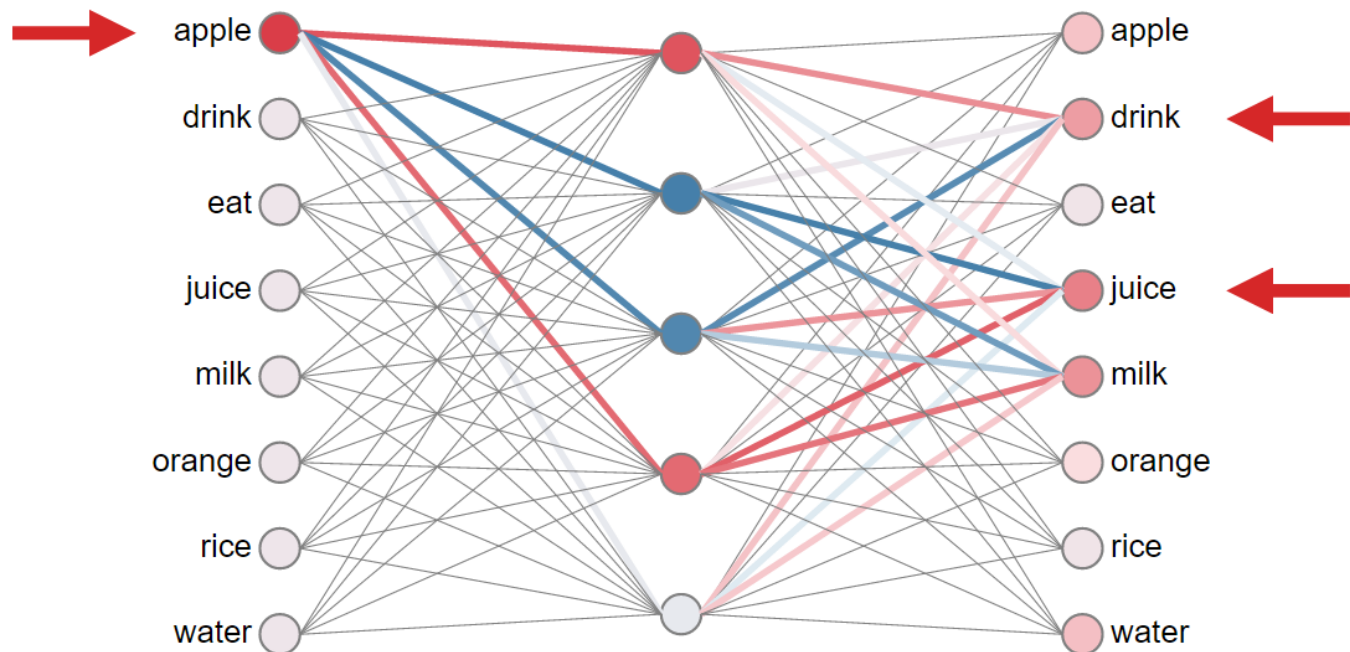
Word	Probability to be sampled for “neg”
is	$0.9^{3/4} = 0.92$
constitution	$0.09^{3/4} = 0.16$
bombastic	$0.01^{3/4} = 0.032$

# Word2Vec Skip-Gram Visualization

<https://ronxin.github.io/wevi/>

Skip-gram training data:

apple | drink^juice,orange | eat^apple,rice | drink^juice,juice | drink^milk,  
milk | drink^rice,water | drink^milk,juice | orange^apple,juice | apple^drink  
,milk | rice^drink,drink | milk^water,drink | water^juice,drink | juice^water



# Word2Vec Variants

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**Skip-gram:** predicting surrounding words given the target word (Mikolov+, 2013)

better

$$p(w_{t-m}, \dots, w_{t-1}, w_{t+1}, \dots, w_{t+m} \mid w_t)$$

**CBOW (continuous bag-of-words):** predicting the target word given the surrounding words (Mikolov+, 2013)

$$p(w_t \mid w_{t-m}, \dots, w_{t-1}, w_{t+1}, \dots, w_{t+m})$$

**LM (Language modeling):** predicting the next words given the proceeding contexts (Mikolov+, 2013)

first

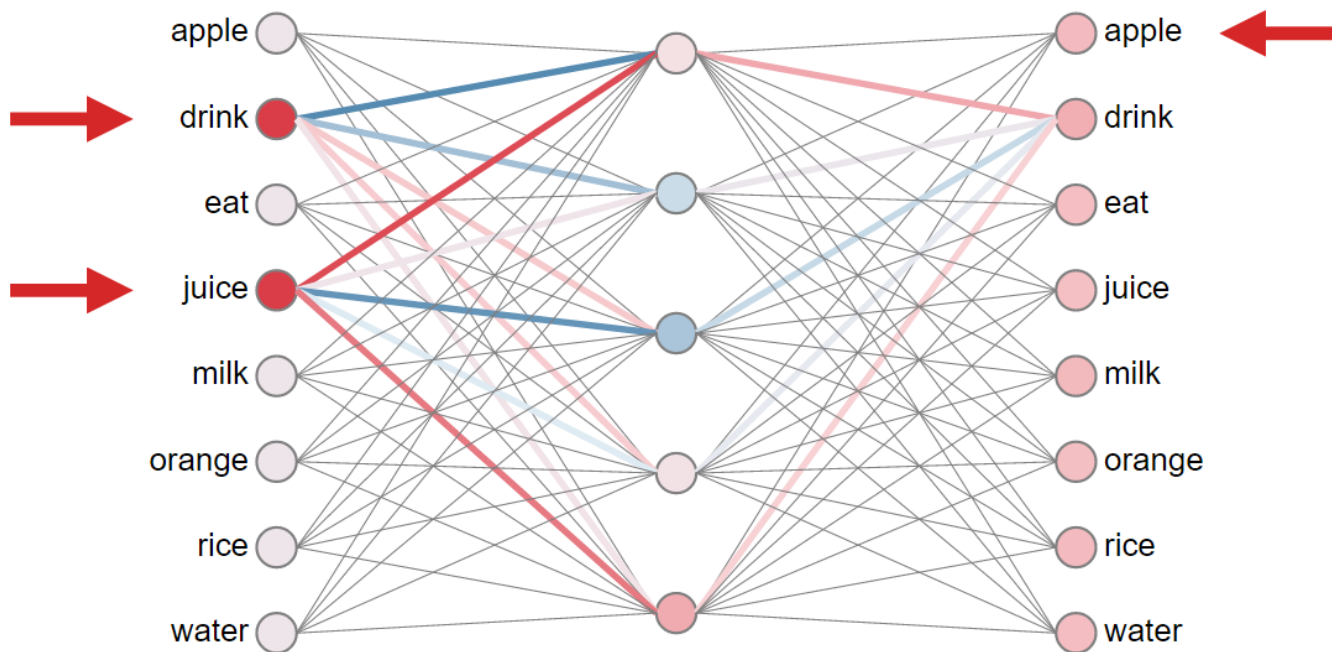
$$p(w_{t+1} \mid w_t)$$

Practice the derivation by yourself!!

# Word2Vec CBOW

Goal: predicting the target word given the surrounding words

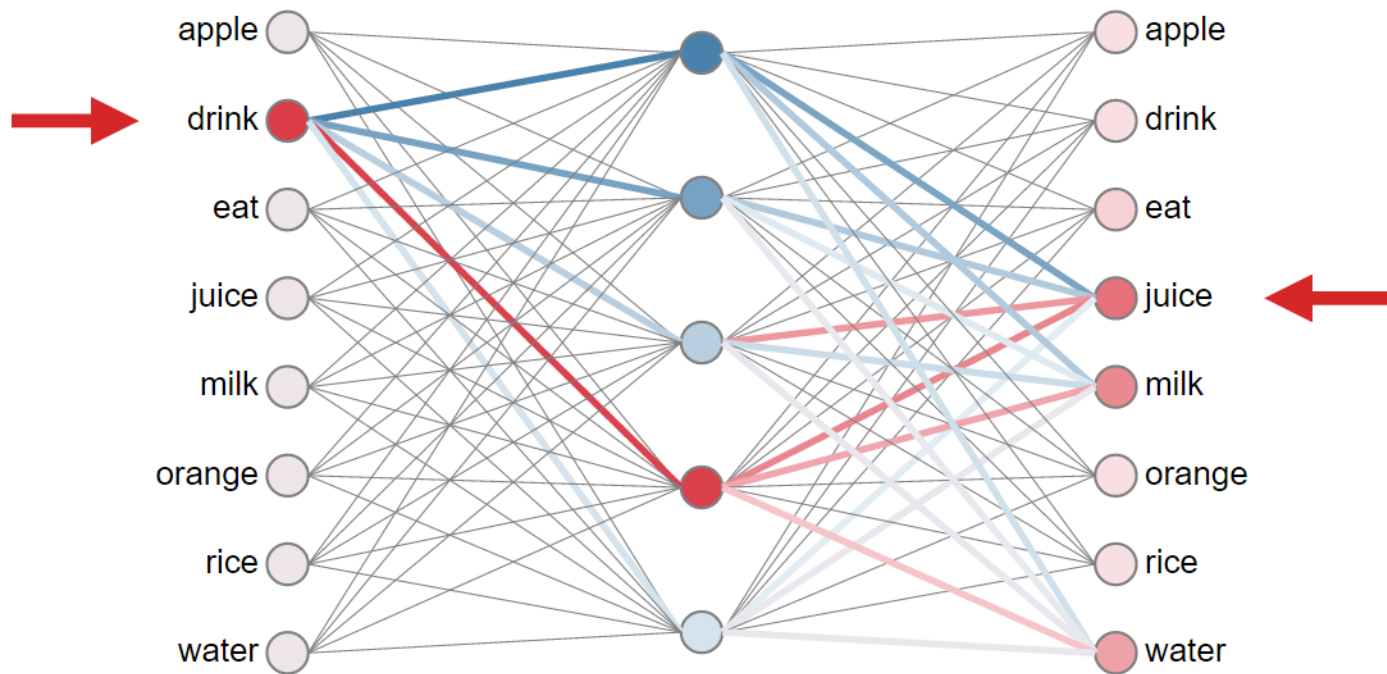
$$p(w_t \mid w_{t-m}, \dots, w_{t-1}, w_{t+1}, \dots, w_{t+m})$$



# Word2Vec LM

Goal: predicting the next words given the proceeding contexts

$$p(w_{t+1} | w_t)$$



# Comparison

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## Count-based

- Example
  - LSA, HAL (Lund & Burgess), COALS (Rohde et al), Hellinger-PCA (Lebret & Collobert)
- Pros
  - ✓ Fast training
  - ✓ Efficient usage of statistics
- Cons
  - ✓ Primarily used to capture word similarity
  - ✓ Disproportionate importance given to large counts

## Direct prediction

- Example
  - NNLM, HLBL, RNN, Skipgram/CBOW, (Bengio et al; Collobert & Weston; Huang et al; Mnih & Hinton; Mikolov et al; Mnih & Kavukcuoglu)
- Pros
  - ✓ Generate improved performance on other tasks
  - ✓ Capture complex patterns beyond word similarity
- Cons
  - ✓ Benefits mainly from large corpus
  - ✓ Inefficient usage of statistics

Combining the benefits from both worlds → GloVe



# GloVe

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Pennington et al., "[GloVe: Global Vectors for Word Representation](#)," in EMNLP, 2014.

# GloVe

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Idea: **ratio of co-occurrence probability** can encode meaning

$P_{ij}$  is the probability that word  $w_j$  appears in the context of word  $w_i$

$$P_{ij} = P(w_j | w_i) = X_{ij} / X_i$$

Relationship between the words  $w_i$  and  $w_j$

	<b>x = solid</b>	<b>x = gas</b>	<b>x = water</b>	<b>x = random</b>
$P(x   \text{ice})$	large	small	large	small
$P(x   \text{stream})$	small	large	large	small
$\frac{P(x   \text{ice})}{P(x   \text{stream})}$	large	small	$\sim 1$	$\sim 1$

# GloVe

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The relationship of  $w_i$  and  $w_j$  approximates the ratio of their co-occurrence probabilities with various  $w_k$

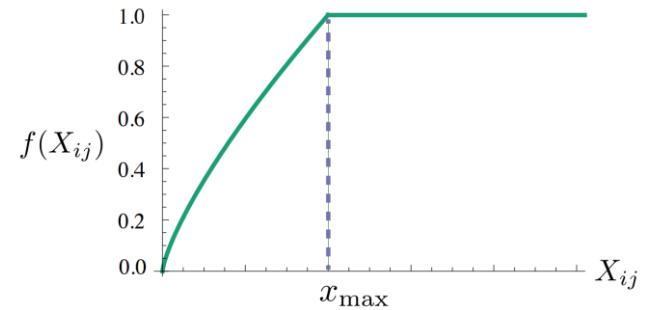
$$F(w_i, w_j, \tilde{w}_k) = \frac{P_{ik}}{P_{jk}}$$

$$F(w_i - w_j, \tilde{w}_k) = \frac{P_{ik}}{P_{jk}}$$

$$F((v_{w_i} - v_{w_j})^T v'_{\tilde{w}_k}) = \frac{P_{ik}}{P_{jk}} \quad F(\cdot) = \exp(\cdot)$$

$$v_{w_i} \cdot v'_{\tilde{w}_k} = v_{w_i}^T v'_{\tilde{w}_k} = \log P(w_k | w_i)$$

# GloVe



$$\begin{aligned}v_{w_i} \cdot v'_{\tilde{w}_j} &= v_{w_i}^T v'_{\tilde{w}_j} = \log P(w_j | w_i) \\ &= \log P_{ij} = \log(X_{ij}) - \log(X_i)\end{aligned}$$

$$P_{ij} = X_{ij}/X_i$$

$$v_{w_i}^T v'_{\tilde{w}_j} + b_i + \tilde{b}_j = \log(X_{ij})$$

$$C(\theta) = \sum_{i,j=1}^V f(P_{ij})(v_{w_i} \cdot v'_{\tilde{w}_j} - \log P_{ij})^2$$

$$C(\theta) = \sum_{i,j=1}^V f(X_{ij})(v_{w_i}^T v'_{\tilde{w}_j} + b_i + \tilde{b}_j - \log X_{ij})^2$$

fast training, scalable, good performance even with small corpus, and small vectors

# Word Vector Evaluation

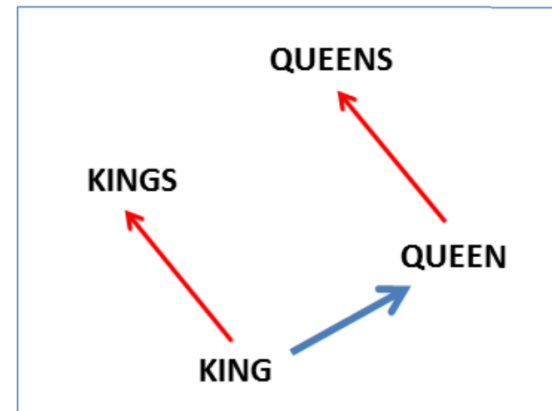
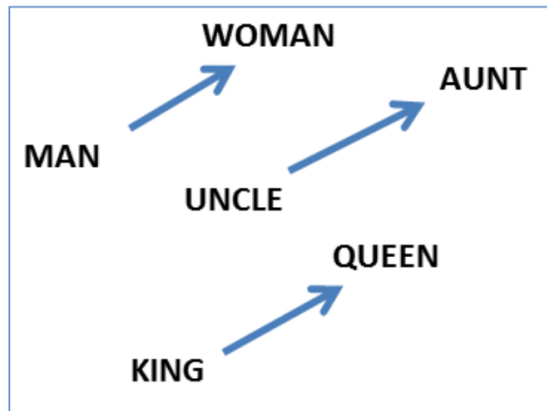
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# Intrinsic Evaluation – Word Analogies

Word linear relationship  $w_A : w_B = w_C : w_x$

$$x = \arg \max_x \frac{(v_{w_B} - v_{w_A} + v_{w_C})^T v_{w_x}}{\|v_{w_B} - v_{w_A} + v_{w_C}\|}$$

**Syntactic** and **Semantic** example questions [\[link\]](#)



Issue: what if the information is there but not linear

# Intrinsic Evaluation – Word Analogies

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Word linear relationship  $w_A : w_B = w_C : w_x$

Syntactic and **Semantic** example questions [[link](#)]

## city---in---state

Chicago : Illinois = Houston : Texas  
Chicago : Illinois = Philadelphia : Pennsylvania  
Chicago : Illinois = Phoenix : Arizona  
Chicago : Illinois = Dallas : Texas  
Chicago : Illinois = Jacksonville : Florida  
Chicago : Illinois = Indianapolis : Indiana  
Chicago : Illinois = Austin : Texas  
Chicago : Illinois = Detroit : Michigan  
Chicago : Illinois = Memphis : Tennessee  
Chicago : Illinois = Boston : Massachusetts

## capital---country

Abuja : Nigeria = Accra : Ghana  
Abuja : Nigeria = Algiers : Algeria  
Abuja : Nigeria = Amman : Jordan  
Abuja : Nigeria = Ankara : Turkey  
Abuja : Nigeria = Antananarivo : Madagascar  
Abuja : Nigeria = Apia : Samoa  
Abuja : Nigeria = Ashgabat : Turkmenistan  
Abuja : Nigeria = Asmara : Eritrea  
Abuja : Nigeria = Astana : Kazakhstan

Issue: different cities may have same name

Issue: can change with time

# Intrinsic Evaluation – Word Analogies

---

Word linear relationship  $w_A : w_B = w_C : w_x$

**Syntactic** and Semantic example questions [[link](#)]

## superlative

bad : worst = big : biggest  
bad : worst = bright : brightest  
bad : worst = cold : coldest  
bad : worst = cool : coolest  
bad : worst = dark : darkest  
bad : worst = easy : easiest  
bad : worst = fast : fastest  
bad : worst = good : best  
bad : worst = great : greatest

## past tense

dancing : danced = decreasing : decreased  
dancing : danced = describing : described  
dancing : danced = enhancing : enhanced  
dancing : danced = falling : fell  
dancing : danced = feeding : fed  
dancing : danced = flying : flew  
dancing : danced = generating : generated  
dancing : danced = going : went  
dancing : danced = hiding : hid  
dancing : danced = hiding : hit



# Intrinsic Evaluation – Word Correlation

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Comparing word correlation with human-judged scores

Human-judged word correlation [[link](#)]

Word 1	Word 2	Human-Judged Score
tiger	cat	7.35
tiger	tiger	10.00
book	paper	7.46
computer	internet	7.58
plane	car	5.77
professor	doctor	6.62
stock	phone	1.62

Ambiguity: synonym or same word with different POSs

# Extrinsic Evaluation – Subsequent Task

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Goal: use word vectors in neural net models built for subsequent tasks

## Benefit

- Ability to also classify words accurately
  - Ex. countries cluster together a classifying location words should be possible with word vectors
- Incorporate any information into them other tasks
  - Ex. project sentiment into words to find most positive/negative words in corpus

# Softmax & Cross-Entropy

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# Revisit Word Embedding Training

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Goal: estimating vector representations s.t.

$$p(w_j = w_{O,c} \mid w_I) = y_{jc} = \frac{\exp(s_{jc})}{\sum_{j'=1}^V \exp(s_{j'})}$$

Softmax classification on  $x$  to obtain the probability for class  $y$

◦ Definition

$$p(y \mid x) = \frac{\exp(W_y x)}{\sum_{c=1}^C \exp(W_c x)}$$

# Softmax Classification

Softmax classification on  $x$  to obtain the probability for class  $y$

- Definition

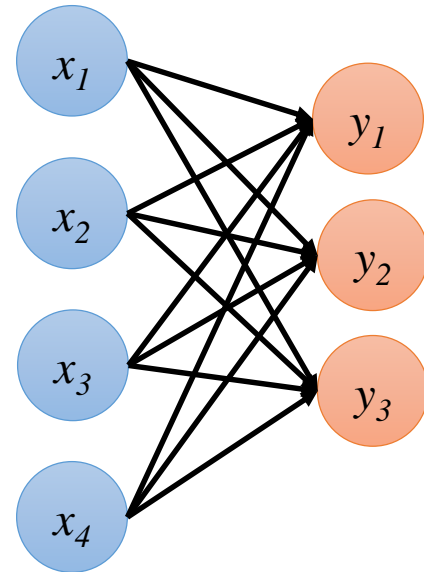
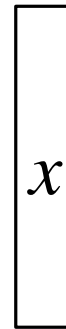
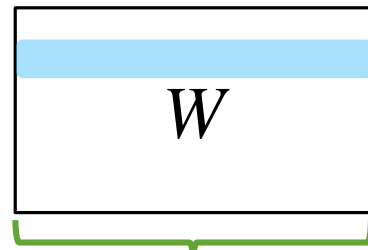
$$p(y | x) = \frac{\exp(W_y x)}{\sum_{c=1}^C \exp(W_c x)}$$

$W \in \mathbb{R}^{C \times d}$  usually  $C > 2$   
(multi-class classification)

$$W_y x = \sum_{i=1}^d W_{y_i} x_i = f_y$$

$$p(y | x) = \frac{\exp(f_y)}{\sum_{c=1}^C \exp(f_c)}$$

$C$



$$\text{softmax}(f)_i = \frac{\exp(f_i)}{\sum_j \exp(f_j)}$$

# Loss of Softmax

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Objective function

$$O(\theta) = \text{softmax}(f)_i = \frac{\exp(f_i)}{\sum_j \exp(f_j)}$$

Loss function

$$C(\theta) = -\log \text{softmax}(f)_i = -f_i + \log \sum_j \exp(f_j) \approx \max_j f_j$$

- If the correct answer already has the largest input to the softmax, then the first term and the second term will roughly cancel
- the correct sample contributes little to the overall cost, which will be dominated by other examples not yet correctly classified

Softmax function always strongly penalizes the most active incorrect prediction

# Cross Entropy Loss

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Cross entropy of target and predicted probability distribution

- Definition

$$H(p, q) = - \sum_i p_i \log q_i$$

$p$ : target one-hot vector  
 $q$ : predicted probability distribution

- Re-written as the entropy and Kullback-Leibler divergence

$$H(p, q) = H(p) + D_{KL}(p \parallel q) \quad D_{KL}(p \parallel q) = \sum_i p_i \log \frac{p_i}{q_i}$$

- KL divergence is not a distance but a non-symmetric measure of the difference between  $p$  and  $q$   $p$ : target one-hot vector

cross entropy loss

$$D_{KL}(p \parallel q) = \log \frac{1}{q_i} = -\log q_i$$

loss for softmax

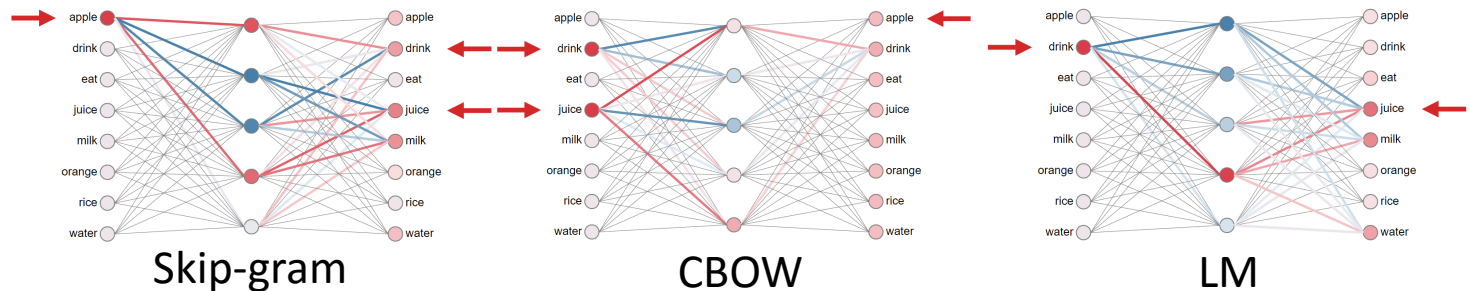
$$-\log \text{softmax}(f)_i = -\log \frac{\exp(f_i)}{\sum_j \exp(f_j)} = -\log q_i$$

cross entropy loss = loss for softmax

# Concluding Remarks

## Low dimensional word vector

- word2vec



- GloVe: combining count-based and direct learning

## Word vector evaluation

- Intrinsic: word analogy, word correlation
- Extrinsic: subsequent task

Softmax loss = cross-entropy loss