

Lesson 10. Basic complexity classes

CSIE 3110 – Formal Languages and Automata Theory

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2. Polynomial time complexity
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What we will cover in this lesson

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Sorting a list of numbers is more difficult than finding the maximum element.

The language HALT is more difficult than HALT_0 (even if both are undecidable).

The classification of languages/problems according to their “difficulty” is an important area in computer science.

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Classification in this lesson – continued

- The class of problems decidable by **polynomial time DTM** and **NTM**, denoted by **P** and **NP**, respectively.
- The class of problems decidable by **polynomial space DTM** and **NTM**, denoted by **PSPACE** and **NPSPACE**, respectively.
- The class of problems decidable by **logarithmic space DTM** and **NTM**, denoted by **L** and **NL**, respectively.

We will also discuss some basic relations between all these classes.

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Recall

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Let $f, g : \mathbb{N} \rightarrow \mathbb{N}$ be functions.

(Def.) $f(n) = O(g(n))$ means there is $c, n_0 \in \mathbb{N}$ such that for every $n \geq n_0$:

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Recall also that for a word $w \in \Sigma^*$, $|w|$ denotes the length of w .

Polynomial time complexity

(Def.) Let $k \geq 1$ be a fixed integer.

A DTM/NTM \mathcal{M} runs in time $O(n^k)$, if:

There is $c, n_0 \in \mathbb{N}$ such that for every word $w \in \Sigma^*$ with $|w| \geq n_0$,
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That is, for every run of \mathcal{M} on w with $|w| \geq n_0$:

$$C_0 \vdash C_1 \vdash \dots \vdash C_N \quad C_N \text{ can be acc./rej.}$$

we have $N \leq c|w|^k$.

Intuitively, each \vdash counts as one step (i.e., each time a transition is applied).

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Intuitively, each \vdash counts as one step (i.e., each time a transition is applied).

(Note) The definition is the same for both DTM and NTM.

The only difference is a DTM have only **one run** on each input word w , whereas an NTM may have **many runs**.

Polynomial time complexity – continued

(Def.) A *DTM/NTM* \mathcal{M} *decides/accepts a language* L *in time* $O(n^k)$, if:

- \mathcal{M} decides L .
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- \mathcal{M} decides L .
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(Recall) \mathcal{M} decides a language L , if for every word $w \in \Sigma^*$:

\mathcal{M} accepts w if and only if $w \in L$

The class $\text{DTIME}[n^k]$ and P

(Def.) For a fixed integer $k \in \mathbb{N}$:

$$\text{DTIME}[n^k] := \{L \mid \text{there is a } \underline{\text{DTM}} \mathcal{M} \text{ that decides } L \text{ in time } O(n^k)\}$$

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$$\mathbf{P} := \bigcup_{k \geq 1} \text{DTIME}[n^k]$$

(Note) The class \mathbf{P} is closed under complement, union and intersection.

The class $\text{NTIME}[n^k]$ and NP

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$$\text{NP} := \bigcup_{k \geq 1} \text{NTIME}[n^k]$$

(Note) The class NP is closed under union and intersection.

It is not known whether NP is closed under complement.

The class coNP

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(Note) This is NOT the correct definition of coNP :

$$L \in \text{coNP} \quad \text{if and only if} \quad L \notin \text{NP}$$

SAT \in NP

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Input: A propositional formula φ .

Task: Output **True**, if φ has (at least one) **satisfying assignment**.
Otherwise, output **False**.

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Otherwise, output **False**.

(Algo.) On input formula φ :

- Let x_1, \dots, x_n be the variables in φ .
- For each $i = 1, \dots, n$ do:
 - $z := 0 \parallel 1$;
 - If $z == 1$, assign x_i with True.
 - If $z == 0$, assign x_i with False.
- Check if the formula φ **evaluates to true** under the assignment.
- If it evaluates to True, then **ACCEPT**.
If it evaluates to False, then **REJECT**.

$\overline{\text{SAT}}$

Input: A propositional formula φ .

Task: Output **True**, if φ does not have any **satisfying assignment**.
Otherwise, output **False**.

$\overline{\text{SAT}} \in \text{coNP}$

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Input: A propositional formula φ .

Task: Output **True**, if φ does not have any **satisfying assignment**.
Otherwise, output **False**.

Since $\text{SAT} \in \text{NP}$, $\overline{\text{SAT}} \in \text{coNP}$.

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By definition, $P \subseteq NP \cap coNP$.

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the length $|C_i| \leq c|w|^k$, for each $i = 0, \dots, N$.

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We do not know whether any of the inclusion is strict.

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The class \mathbf{L}

(Def.) A language L is in \mathbf{L} , if there is a 2-tape DTM \mathcal{M} that decides L and there is $c \in \mathbb{N}$ such that for every input word w :

- The first tape always contains only the input word w .
That is, \mathcal{M} can only **read** the first tape, but never changes the content of the first tape.
- \mathcal{M} uses **space** $\leq c \cdot \log(|w|)$ in its second tape.

The class L

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- \mathcal{M} uses **space** $\leq c \cdot \log(|w|)$ in its second tape.

(Note) The 2-tape DTM requirement is not strict. It can be replaced with multiple tape DTM with the condition that the TM **does not change** the content of the first tape and the number of cells used in the other tapes is $\leq c \cdot \log(|w|)$.

The class NL and coNL

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(Def.)

$$\mathbf{coNL} := \{L \mid \Sigma^* - L \in \mathbf{NL}\}$$

What is known and not known so far

(Deterministic/non-deterministic time/space hierarchy theorem) For every $k \geq 1$ and $\epsilon > 0$:

$$\text{DTIME}[n^k] \subsetneq \text{DTIME}[n^{k+\epsilon}]$$

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Because $\text{L} \subseteq \text{DSPACE}[n] \subsetneq \text{DSPACE}[n^2] \subseteq \text{PSPACE}$.

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Because $\text{L} \subseteq \text{DSPACE}[n] \subsetneq \text{DSPACE}[n^2] \subseteq \text{PSPACE}$.

- Likewise, $\text{NL} \subsetneq \text{PSPACE}$.

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Likewise, $\text{NL} \subseteq \text{NSPACE}[n] \subseteq \text{DSPACE}[n^2] \subsetneq \text{DSPACE}[n^3] \subseteq \text{PSPACE}$.

What is known and not known so far – continued

Putting all the pieces together:

$$L \subseteq NL \subseteq P \subseteq NP \subseteq PSPACE$$

Since $L \subsetneq PSPACE$ and $NL \subsetneq PSPACE$, at least one of the inclusions is strict.

What is known and not known so far – continued

Putting all the pieces together:

$$\mathbf{L \subseteq NL \subseteq P \subseteq NP \subseteq PSPACE}$$

Since $\mathbf{L \subsetneq PSPACE}$ and $\mathbf{NL \subsetneq PSPACE}$, at least one of the inclusions is strict.

The question: Which one?

End of Lesson 10