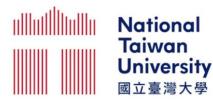
## Applied Deep Learning



# **Gating Mechanism**



September 29th, 2022 http://adl.miulab.tw

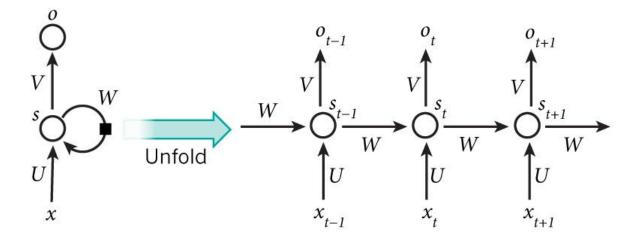




Vanishing Gradient Problem

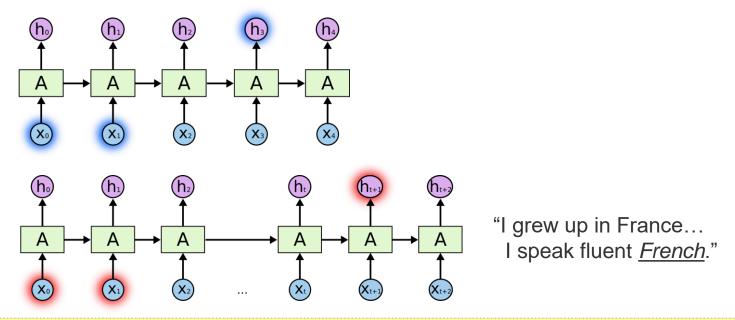
#### 3 Recurrent Neural Network Definition

$$s_t = \sigma(Ws_{t-1} + Ux_t) \quad \sigma(\cdot)$$
: tanh, ReLU  
 $o_t = \operatorname{softmax}(Vs_t)$ 



### Vanishing Gradient: Gating Mechanism

• RNN: keeps temporal sequence information

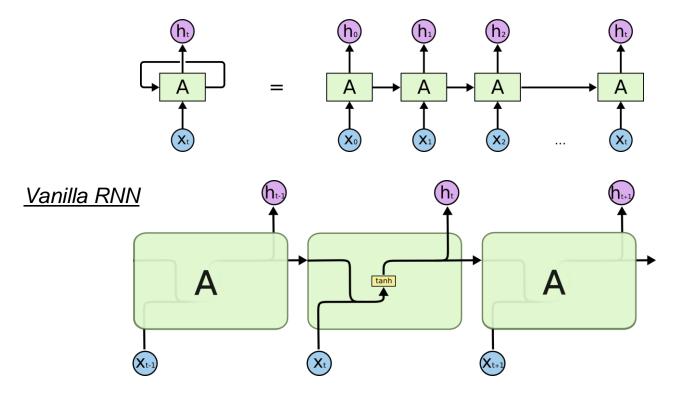


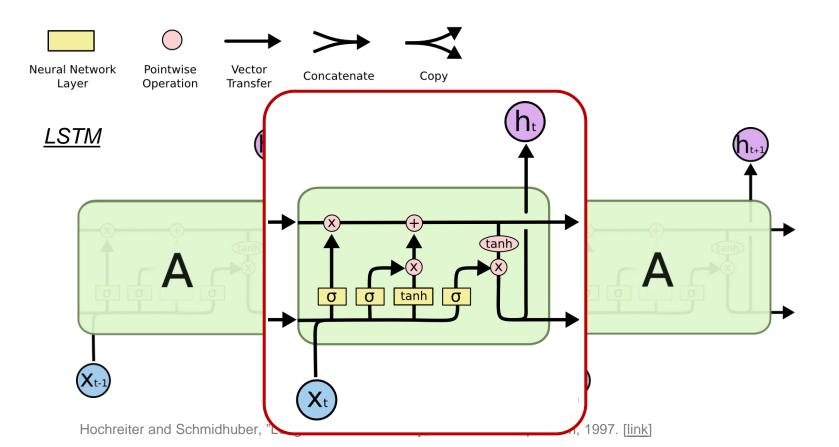
Issue: in theory, RNNs can handle such "long-term dependencies," but they cannot in practice  $\rightarrow$  use gates to directly encode the long-distance information

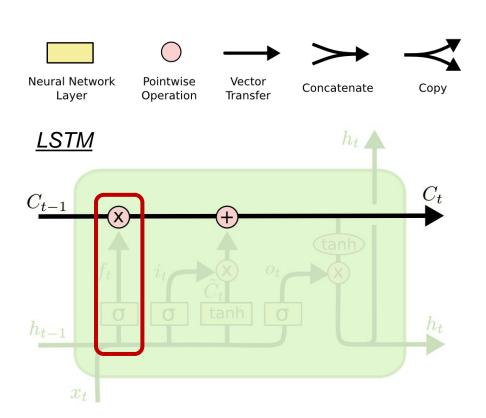


Addressing Vanishing Gradient Problem

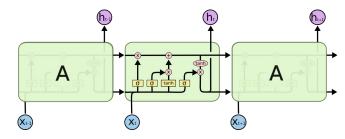
ESTMs are explicitly designed to avoid the long-term dependency problem





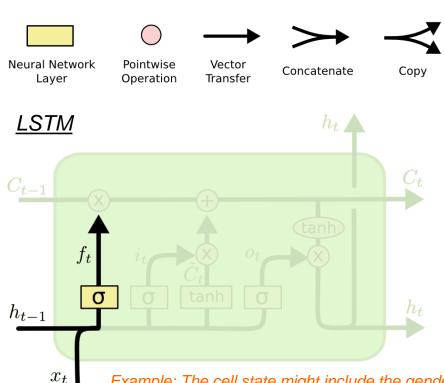


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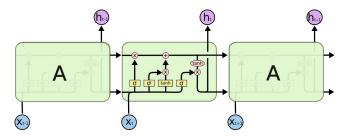


runs straight down the chain with minor linear interactions  $\rightarrow$  easy for information to flow along it unchanged

Gates are a way to optionally let information through → composed of a sigmoid and a pointwise multiplication operation



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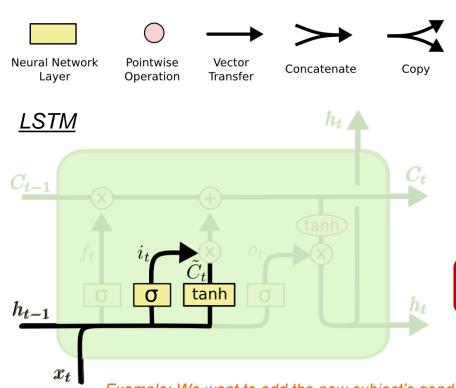


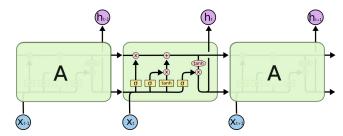
forget gate (a sigmoid layer): decides what information we're going to throw away from the cell state

$$f_t = \sigma \left( W_f \cdot [h_{t-1}, x_t] + b_f \right)$$

- 1: "completely keep this"
- 0: "completely get rid of this"

*t* Example: The cell state might include the gender of the present subject, so that the correct pronouns can be used. When seeing a new subject, we want to forget the old subject's gender.



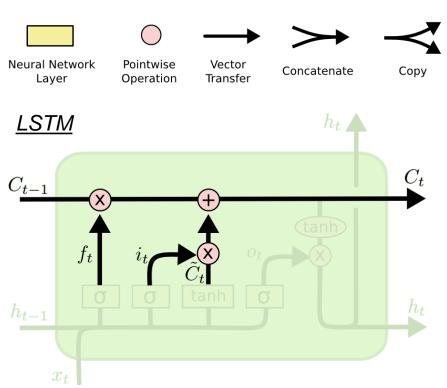


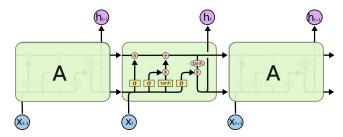
input gate (a sigmoid layer): decides what new information we're going to store in the cell state

$$\dot{a}_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$

 $\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$ Vanilla RNN

Example: We want to add the new subject's gender to the cell state for replacing the old one.



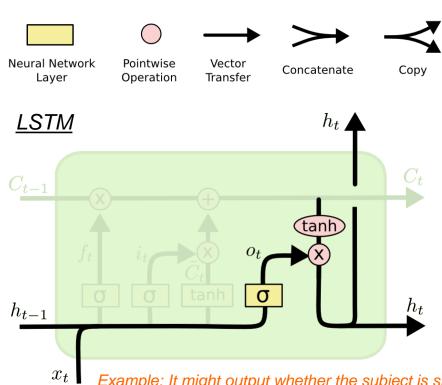


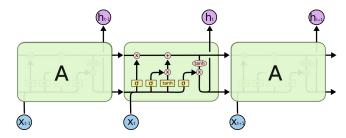
cell state update: forgets the things we decided to forget earlier and add the new candidate values, scaled by how much we decided to update each state value

$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

- $f_t$ : decides which to forget
- $i_t$ : decide which to update

where we actually drop the information about the old subject's gender and add the new information





output gate (a sigmoid layer): decides what new information we're going to output

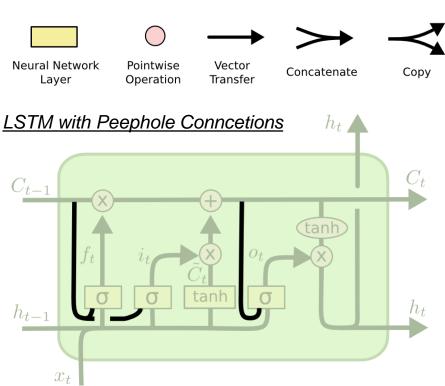
$$o_{t} = \sigma \left( W_{o} \left[ h_{t-1}, x_{t} \right] + b_{o} \right)$$
$$h_{t} = o_{t} * \tanh \left( C_{t} \right)$$

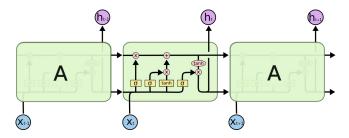
*Example: It might output whether the subject is singular or plural, so that we know what form a verb should be conjugated into if that's what follows next.* 



Addressing Vanishing Gradient Problem

#### 4 LSTM with Peephole Connections





Idea: allow gate layers to look at the cell state

$$f_{t} = \sigma \left( W_{f} \cdot \begin{bmatrix} \mathbf{C_{t-1}}, h_{t-1}, x_{t} \end{bmatrix} + b_{f} \right)$$
  

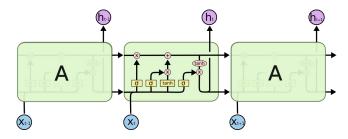
$$i_{t} = \sigma \left( W_{i} \cdot \begin{bmatrix} \mathbf{C_{t-1}}, h_{t-1}, x_{t} \end{bmatrix} + b_{i} \right)$$
  

$$o_{t} = \sigma \left( W_{o} \cdot \begin{bmatrix} \mathbf{C_{t}}, h_{t-1}, x_{t} \end{bmatrix} + b_{o} \right)$$

#### 15—LSTM with Coupled Forget/Input Gates



LSTM with Coupled Forget/Input Gates  $h_t$ tanh tanh  $h_{t-1}$  $x_t$ 



Idea: instead of separately deciding what to forget and what we should add new information to, we make those decisions together

$$C_t = f_t * C_{t-1} + \frac{(1 - f_t)}{(1 - f_t)} * \tilde{C}_t$$

We only forget when we're going to input something in its place, and vice versa.



Addressing Vanishing Gradient Problem

#### tanh $r_{t}=0$ : ignore previous $h_t = \tanh\left(W \cdot [r_t * h_{t-1}, x_t]\right)$ memory and only stores $h_t = (1 - z_t) * h_{t-1} + z_t * \tilde{h}_t$ the new word information $x_t$ GRU is simpler and has less parameters than LSTM Cho et al., "Learning phrase representations using RNN encoder-decoder for statistical machine translation," arXiv preprint arXiv:1406.1078, 2014. [link]

#### 17 Gated Recurrent Unit (GRU)

 $h_t$ 



Neural Network

Layer

GRU

 $h_{t-1}$ 



Copy

Idea: combine the forget and input gates into a single "update gate"; merge the cell state and hidden state

Α

update gate: 
$$z_t = \sigma (W_z \cdot [h_{t-1}, x_t])$$
  
reset gate:  $r_t = \sigma (W_r \cdot [h_{t-1}, x_t])$ 

Α

## 18— Concluding Remarks

- Gating mechanism for vanishing gradient problem
- Gated RNN
  - Long Short-Term Memory (LSTM)
    - Peephole Connections
    - Coupled Forget/Input Gates
  - Gated Recurrent Unit (GRU)

