

## Yielding

- Fix a TM  $M$ .
- Configuration  $(q, w, u)$  **yields** configuration  $(q', w', u')$  in one step,

$$(q, w, u) \xrightarrow{M} (q', w', u'),$$

if a step of  $M$  from configuration  $(q, w, u)$  results in configuration  $(q', w', u')$ .

- $(q, w, u) \xrightarrow{M^k} (q', w', u')$ : Configuration  $(q, w, u)$  yields configuration  $(q', w', u')$  after  $k \in \mathbb{N}$  steps.
- $(q, w, u) \xrightarrow{M^*} (q', w', u')$ : Configuration  $(q, w, u)$  yields configuration  $(q', w', u')$ .

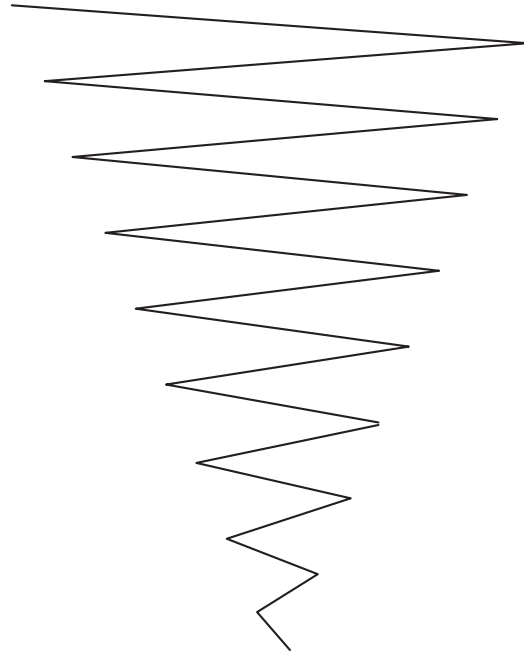
## Palindromes<sup>a</sup>

- A string is a **palindrome** if it reads the same forwards and backwards (e.g., 001100).
- A TM program can be written to recognize palindromes:
  - It matches the first character with the last character.
  - It matches the second character with the next to last character, etc. (see next page).
  - “yes” for palindromes and “no” for nonpalindromes.
- This program takes  $O(n^2)$  steps.
- Can we do better?

---

<sup>a</sup>Bryson (2001), “Possibly the most demanding form of wordplay in English[.]”

1000110000000100111



## A Matching Lower Bound for PALINDROME

**Theorem 1 (Hennie, 1965)** *PALINDROME on single-string TMs takes  $\Omega(n^2)$  steps in the worst case.*

## Comments on Lower-Bound Proofs

- They are usually difficult.
  - Worthy of a Ph.D. degree.
- An algorithm whose running time matches a lower bound means it is optimal.
  - The simple  $O(n^2)$  algorithm for PALINDROME is optimal.
- This happens rarely and is model dependent.
  - Searching, sorting, PALINDROME, matrix-vector multiplication, etc.

## The Kleene Star<sup>a</sup> \*

- Let  $A$  be a set.
- The **Kleene star** of  $A$ , denoted by  $A^*$ , is the set of all strings obtained by concatenating zero or more strings from  $A$ .
  - For example, suppose  $A = \{0, 1\}$ .
  - Then

$$A^* = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, \dots\}.$$

- Note that every string in  $A^*$  must be of finite length.

---

<sup>a</sup>Kleene (1956).

## Stephen Kleene (1909–1994)



The two words in the language I most respect  
are Yes and No.  
— Henry James (1843–1916),  
*The Portrait of a Lady* (1881)



## Decidability and Recursive Languages

- Let  $L \subseteq (\Sigma - \{\sqcup\})^*$  be a **language**, i.e., a set of strings of non- $\sqcup$  symbols, with a *finite* length.
  - For example,  $\{2, 3, 5, 7, 11, \dots\}$  (the primes).
- Let  $M$  be a TM such that for any string  $x$ :
  - If  $x \in L$ , then  $M(x) = \text{“yes.”}$
  - If  $x \notin L$ , then  $M(x) = \text{“no.”}$
- We say  $M$  **decides**  $L$ .
- If there exists a TM that decides  $L$ , then  $L$  is said to be **recursive<sup>a</sup>** or **decidable**.

---

<sup>a</sup>Little to do with the concept of “recursive” calls.

## Recursive and Nonrecursive Languages: Examples

- The set of palindromes over any alphabet is recursive.<sup>a</sup>
  - PALINDROME cannot be solved by finite state automata.
  - In fact, finite-state automata are equivalent to read-only, right-moving TMs.<sup>b</sup>
- The set of prime numbers  $\{2, 3, 5, 7, 11, 13, 17, \dots\}$  is recursive.<sup>c</sup>

---

<sup>a</sup>There is a program that will halt and it returns “yes” if and only if the input is a palindrome.

<sup>b</sup>Thanks to a lively discussion on September 15, 2015.

<sup>c</sup>There is a program that will halt and it returns “yes” if and only if the input is a prime.

## Recursive and Nonrecursive Languages: Examples (concluded)

- The set of C programs that do not contain a `while`, a `for`, or a `goto` is recursive.<sup>a</sup>
- But, the set of C programs that do not contain an infinite loop is *not* recursive.<sup>b</sup>

---

<sup>a</sup>There is a program that will halt and it returns “yes” if and only if the input C code does not contain any of the keywords.

<sup>b</sup>So there is no algorithm that will answer correctly in a finite amount of time if a C program will run into an infinite loop on some inputs (see p. 145).

## Acceptability and Recursively Enumerable Languages

- Let  $L \subseteq (\Sigma - \{\sqcup\})^*$  be a language.
- Let  $M$  be a TM such that for any string  $x$ :
  - If  $x \in L$ , then  $M(x) = \text{“yes.”}$
  - If  $x \notin L$ , then  $M(x) = \nearrow$ .<sup>a</sup>
- We say  $M$  **accepts**  $L$ .
- If  $L$  is accepted by some TM, then  $L$  is said to be **recursively enumerable** or **semidecidable**.<sup>b</sup>

---

<sup>a</sup>This part is different from recursive languages.

<sup>b</sup>Post (1944).

## Acceptability and Recursively Enumerable Languages (concluded)

- A recursively enumerable language can be *generated* by a TM, thus the name.<sup>a</sup>
  - It means there is a program such that every  $x \in L$  (and only they) will be printed out eventually.
- Of course, if  $L$  is infinite in size, this program will not terminate.

---

<sup>a</sup>Proposition 3.5 on p. 61 of the textbook proves it. Thanks to lively class discussions on September 20, 2011, and September 12, 2017.

## Emil Post (1897–1954)

W. V. Quine (1985), “E. L. Post worked alone in New York, little heeded.”



## Recursive and Recursively Enumerable Languages

**Proposition 2** *If  $L$  is recursive, then it is recursively enumerable.*

- Let TM  $M$  decide  $L$ .
- Need to design a TM that accepts  $L$ .
- We will modify  $M$  to obtain an  $M'$  that accepts  $L$ .

## The Proof (concluded)

- $M'$  is identical to  $M$  except that when  $M$  is about to halt with a “no” state,  $M'$  goes into an infinite loop.
  - Simply replace every instruction that results in a “no” state with ones that move the cursor to the right forever and never halts.
- $M'$  accepts  $L$ .
  - If  $x \in L$ , then  $M'(x) = M(x) = \text{“yes.”}$
  - If  $x \notin L$ , then  $M(x) = \text{“no”}$  and so  $M'(x) = \nearrow$ .



## Recursively Enumerable Languages: Examples

- The set of C program-input pairs that do *not* run into an infinite loop is recursively enumerable.
  - Just run its binary code in a simulator environment.
  - Then the simulator will terminate if and only if the C program will terminate.
  - When the C program terminates, the simulator simply exits with a “yes” state.
- The set of C programs that contain an infinite loop is *not* recursively enumerable.<sup>a</sup>

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<sup>a</sup>See p. 165 for the proof.

## Turing-Computable Functions

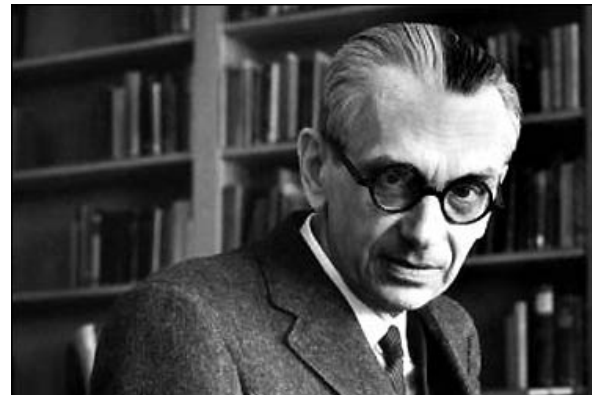
- Let  $f : (\Sigma - \{\sqcup\})^* \rightarrow \Sigma^*$ .
  - Optimization problems, root finding problems, etc.
- Let  $M$  be a TM with alphabet  $\Sigma$ .
- $M$  **computes**  $f$  if for any string  $x \in (\Sigma - \{\sqcup\})^*$ ,  
 $M(x) = f(x)$ .
  - $f$  may be a *partial* function.
  - Then  $f(x)$  is undefined if and only if  $M(x)$  diverges.
- We call  $f$  a **(partial) recursive function**<sup>a</sup> if such an  $M$  exists.

---

<sup>a</sup>Gödel (1931, 1934); Kleene (1936).

## Kurt Gödel<sup>a</sup> (1906–1978)

Quine (1978), “this theorem [...] sealed his immortality.”



---

<sup>a</sup>This photo was taken by Alfred Eisenstaedt (1898–1995).

## Church's Thesis

- What is computable is Turing-computable; TMs are algorithms.<sup>a</sup>
- No “intuitively computable” problems have been shown not to be Turing-computable (yet).<sup>b</sup>

---

<sup>a</sup>Church (1936); Kleene (1943, 1953).

<sup>b</sup>Quantum computer of Manin (1980) and Feynman (1982); DNA computer of Adleman (1994).

## Church's Thesis (continued)

- Many other computation models have been proposed.
  - **Recursive function**,<sup>a</sup>  $\lambda$  calculus,<sup>b</sup> **boolean circuits**,<sup>c</sup> **formal language**,<sup>d</sup> **assembly language-like RAM**,<sup>e</sup> **cellular automaton**,<sup>f</sup> **recurrent neural network**,<sup>g</sup> and extensions of the Turing machine (more strings, two-dimensional strings, etc.).

---

<sup>a</sup>Skolem (1923); Gödel (1934); Kleene (1936).

<sup>b</sup>Church (1936).

<sup>c</sup>Shannon (1937).

<sup>d</sup>Post (1943).

<sup>e</sup>Shepherdson & Sturgis (1963).

<sup>f</sup>Conway (1970).

<sup>g</sup>Siegelmann & Sontag (1991).

## Church's Thesis (concluded)

- All have been proved to be equivalent.
- Church's thesis is also called the **Church-Turing Thesis**.

## Alonso Church (1903–1995)



## Extended Church's Thesis<sup>a</sup>

- All “reasonably succinct encodings” of problems are *polynomially related* (e.g.,  $n^2$  vs.  $n^6$ ).
  - Representations of a graph as an adjacency matrix and as a linked list are both succinct.
  - The *unary* representation of numbers is not succinct.
  - The *binary* representation of numbers is succinct.
    - \*  $1001_2$  vs.  $111111111_1$ .
- All numbers for TMs will be binary from now on.

---

<sup>a</sup>Some call it “polynomial Church’s thesis,” which Lószló Lovász attributed to Leonid Levin.



## Extended Church's Thesis (concluded)

- Representations that are not succinct may give misleadingly low complexities.
  - Consider an algorithm with binary inputs that runs in  $2^n$  steps.
  - Suppose the input uses unary representation instead.
  - Then the same algorithm runs in *linear* time because the input length is now  $2^n$ !
- So a succinct representation means honest accounting.

## Physical Church-Turing Thesis

- The **physical Church-Turing thesis** states that:  
Anything computable in physics can also be computed on a Turing machine.<sup>a</sup>
- The universe is a Turing machine.<sup>b</sup>

---

<sup>a</sup>Cooper (2012).

<sup>b</sup>Edward Fredkin's (1992) digital physics.

## The Strong Church-Turing Thesis<sup>a</sup>

- The **strong Church-Turing thesis** states that:<sup>b</sup>

A Turing machine can compute *any* function computable by any “reasonable” physical device with only polynomial slowdown.<sup>c</sup>

- A CPU, a GPU, and a DSP chip are good examples of physical devices.<sup>d</sup>

---

<sup>a</sup>Vergis, Steiglitz, & Dickinson (1986).

<sup>b</sup><http://ocw.mit.edu/courses/mathematics/18-405j-advanced-complexity-theory-fall-2001/lecture-notes/lecture10.pdf>

<sup>c</sup>Or speedup.

<sup>d</sup>Thanks to a lively discussion on September 23, 2014.

## The Strong Church-Turing Thesis (continued)

- Factoring is believed to be a hard problem for Turing machines (but there is no proof yet).
- But a quantum computer can factor numbers in probabilistic polynomial time.<sup>a</sup>
- If a large-scale stable quantum computer can be reliably built, the strong Church-Turing thesis may be refuted.<sup>b</sup>

---

<sup>a</sup>Shor (1994).

<sup>b</sup>Contributed by Mr. Kai-Yuan Hou (B99201038, R03922014) on September 22, 2015.

## The Strong Church-Turing Thesis (concluded)

- As of 2019,<sup>a</sup>

There is no publicly known application of commercial interest based upon quantum algorithms that could be run on a near-term analog or digital NISQ<sup>b</sup> computer that would provide an advantage over classical approaches.

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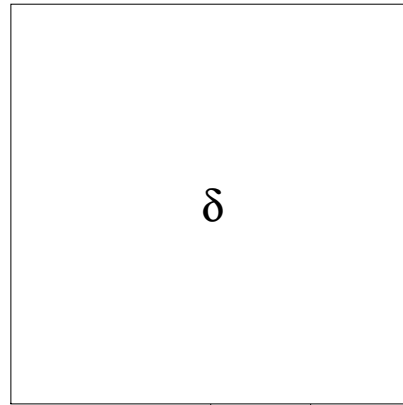
<sup>a</sup>Grumbling & Horowitz (2019).

<sup>b</sup>“Noisy, Intermediate-Scale Quantum.”

## Turing Machines with Multiple Strings

- A  $k$ -string Turing machine (TM) is a quadruple  $M = (K, \Sigma, \delta, s)$ .
- $K, \Sigma, s$  are as before.
- $\delta : K \times \Sigma^k \rightarrow (K \cup \{h, \text{“yes”}, \text{“no”}\}) \times (\Sigma \times \{\leftarrow, \rightarrow, -\})^k$ .
- All strings start with a  $\triangleright$ .
- The first string contains the input.
- Decidability and acceptability are the same as before.
- When TMs compute functions, the output is the last ( $k$ th) string.

# A 2-String TM



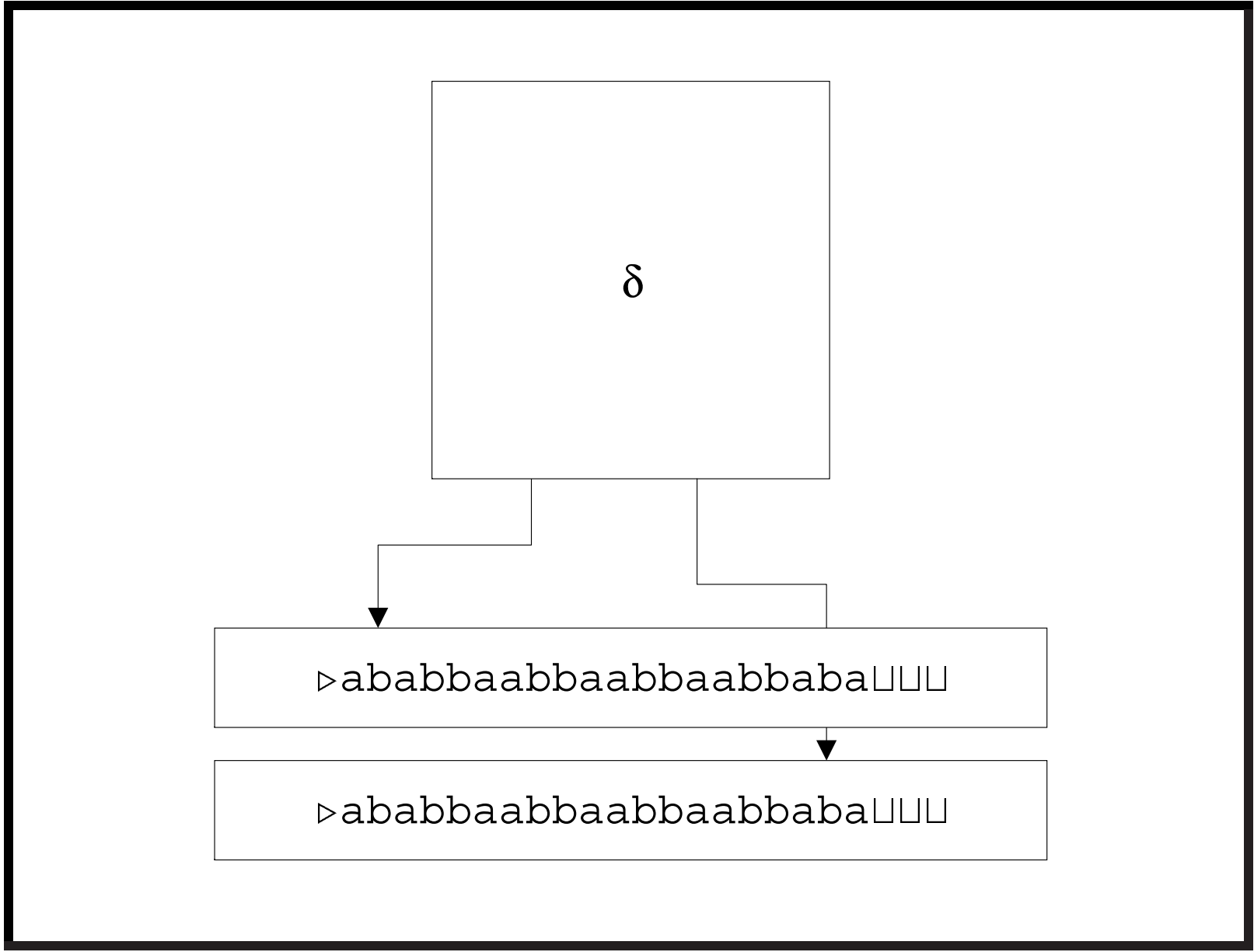
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## PALINDROME Revisited

- A 2-string TM can decide PALINDROME in  $O(n)$  steps.
  - It copies the input to the second string.
  - The cursor of the first string is positioned at the first symbol of the input.
  - The cursor of the second string is positioned at the last symbol of the input.
  - The symbols under the cursors are then compared.
  - The two cursors are then moved in opposite directions until the ends are reached.
  - The machine accepts if and only if the symbols under the two cursors are identical at all steps.





## PALINDROME Revisited (concluded)

- The running times of a 2-string TM and a single-string TM are quadratically related:  $n^2$  vs.  $n$ .
- This is consistent with the extended Church's thesis.<sup>a</sup>
  - “Reasonable” models are related polynomially in running times.

---

<sup>a</sup>Recall p. 68.

## Configurations and Yielding

- The concept of configuration and yielding is the same as before except that a configuration is a  $(2k + 1)$ -tuple

$$(q, w_1, u_1, w_2, u_2, \dots, w_k, u_k).$$

- $w_i u_i$  is the  $i$ th string.
  - The  $i$ th cursor is reading the last symbol of  $w_i$ .
  - Recall that  $\triangleright$  is each  $w_i$ 's first symbol.
- The  $k$ -string TM's initial configuration is

$$(s, \underbrace{\triangleright, x}_{1}, \underbrace{\triangleright, \epsilon}_{2}, \underbrace{\triangleright, \epsilon}_{3}, \dots, \underbrace{\triangleright, \epsilon}_{k}).$$

$2k$

Time seemed to be  
the most obvious measure  
of complexity.  
— Stephen Arthur Cook (1939–)

## Time Complexity

- The multistring TM is the basis of our notion of the time expended by TMs.
- If a  $k$ -string TM  $M$  halts after  $t$  steps on input  $x$ , then the **time required by  $M$  on input  $x$**  is  $t$ .
- If  $M(x) = \nearrow$ , then the time required by  $M$  on  $x$  is  $\infty$ .

## Time Complexity (concluded)

- Machine  $M$  **operates within time**  $f(n)$  for  $f : \mathbb{N} \rightarrow \mathbb{N}$  if for *any* input string  $x$ , the time required by  $M$  on  $x$  is at most  $f(|x|)$ .
  - $|x|$  is the length of string  $x$ .
- Function  $f(n)$  is a **time bound** for  $M$ .

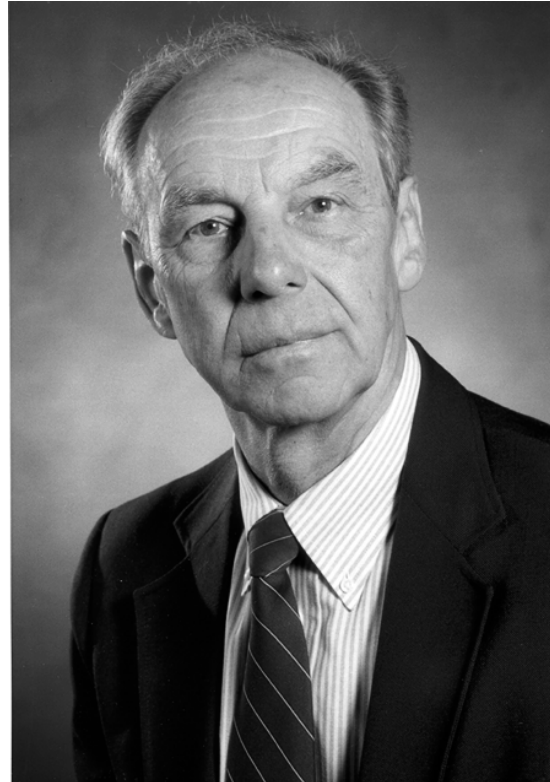
## Time Complexity Classes<sup>a</sup>

- Suppose language  $L \subseteq (\Sigma - \{\sqcup\})^*$  is decided by a multistring TM operating in time  $f(n)$ .
- We say  $L \in \text{TIME}(f(n))$ .
- $\text{TIME}(f(n))$  is the set of languages decided by TMs with multiple strings operating within time bound  $f(n)$ .
- $\text{TIME}(f(n))$  is a **complexity class**.
  - PALINDROME is in  $\text{TIME}(f(n))$ , where  $f(n) = O(n)$ .
- Trivially,  $\text{TIME}(f(n)) \subseteq \text{TIME}(g(n))$  if  $f(n) \leq g(n)$  for all  $n$ .

---

<sup>a</sup>Hartmanis & Stearns (1965); Hartmanis, Lewis, & Stearns (1965).

## Juris Hartmanis<sup>a</sup> (1928–)



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<sup>a</sup>Turing Award (1993).



## Richard Edwin Stearns<sup>a</sup> (1936–)



---

<sup>a</sup>Turing Award (1993).

## The Simulation Technique

**Theorem 3** *Given any  $k$ -string  $M$  operating within time  $f(n)$ , there exists a (single-string)  $M'$  operating within time  $O(f(n)^2)$  such that  $M(x) = M'(x)$  for any input  $x$ .*

- The single string of  $M'$  implements the  $k$  strings of  $M$ .

## The Proof

- Represent configuration  $(q, w_1, u_1, w_2, u_2, \dots, w_k, u_k)$  of  $M$  by this string of  $M'$ :

$$(q, \triangleright w'_1 u_1 \triangleleft w'_2 u_2 \triangleleft \cdots \triangleleft w'_k u_k \triangleleft \triangleleft).$$

- $\triangleleft$  is a special delimiter.
- $w'_i$  is  $w_i$  with the first<sup>a</sup> and last symbols “primed.”
- It serves the purpose of “,” in a configuration.<sup>b</sup>

---

<sup>a</sup>The first symbol is of course  $\triangleright$ .

<sup>b</sup>An alternative is to use  $(q, \triangleright w'_1 | u_1 \triangleleft w'_2 | u_2 \triangleleft \cdots \triangleleft w'_k | u_k \triangleleft \triangleleft)$  by priming only  $\triangleright$  in  $w_i$ , where “|” is a new symbol.

## The Proof (continued)

- The first symbol of  $w'_i$  is the primed version of  $\triangleright$ :  $\triangleright'$ .
  - Cursors are not allowed to move to the left of  $\triangleright$ .<sup>a</sup>
  - Now the cursor of  $M'$  can move *between* the simulated strings of  $M$ .<sup>b</sup>
- The “priming” of the last symbol of each  $w_i$  ensures that  $M'$  knows which symbol is under each cursor of  $M$ .<sup>c</sup>

---

<sup>a</sup>Recall p. 24.

<sup>b</sup>Thanks to a lively discussion on September 22, 2009.

<sup>c</sup>Added because of comments made by Mr. Che-Wei Chang (R95922093) on September 27, 2006.

## The Proof (continued)

- The initial configuration of  $M'$  is

$$(s, \triangleright \triangleright'' x \triangleleft \overbrace{\triangleright'' \triangleleft \cdots \triangleright'' \triangleleft}^{k-1 \text{ pairs}} \triangleleft).$$

- $\triangleright''$  is double-primed because it is the beginning and the ending symbol as the cursor is reading it.<sup>a</sup>
- Again, think of it as a new symbol.

---

<sup>a</sup>Added after the class discussion on September 20, 2011.

## The Proof (continued)

- We simulate each move of  $M$  thus:
  1.  $M'$  scans the string to pick up the  $k$  symbols under the cursors.
    - The states of  $M'$  must be enlarged to include  $K \times \Sigma^k$  to remember them.<sup>a</sup>
    - The transition functions of  $M'$  must also reflect it.
  2.  $M'$  then changes the string to reflect the overwriting of symbols and cursor movements of  $M$ .

---

<sup>a</sup>Recall the TM program on p. 36.

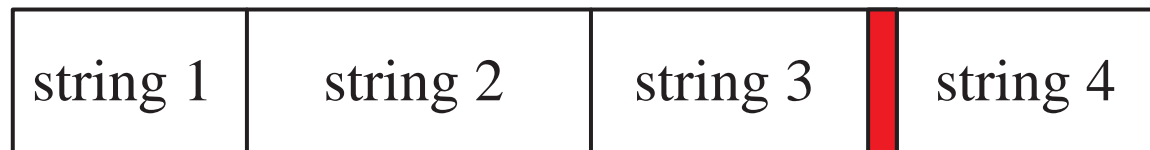
## The Proof (continued)

- It is possible that some strings of  $M$  need to be lengthened (see next page).
  - The linear-time algorithm on p. 39 can be used for each such string.
- The simulation continues until  $M$  halts.
- $M'$  then erases all strings of  $M$  except the last one.<sup>a</sup>

---

<sup>a</sup>Whatever remains on the tape of  $M'$  is considered output. So  $\triangleright$ 's and  $\triangleright''$ 's need to be removed.

## The Proof (continued)<sup>a</sup>



---

<sup>a</sup>If we interleave the strings, the simulation may be easier. Contributed by Mr. Kai-Yuan Hou (B99201038, R03922014) on September 22, 2015. This is similar to constructing a single-string *multi-track* TM in, e.g., Hopcroft & Ullman (1969).



## The Proof (continued)

- Since  $M$  halts within time  $f(|x|)$ , none of its strings ever becomes longer than  $f(|x|)$ .<sup>a</sup>
- The length of the string of  $M'$  at any time is  $O(kf(|x|))$ .
- Simulating each step of  $M$  takes, *per string of  $M$* ,  $O(kf(|x|))$  steps.
  - $O(f(|x|))$  steps to collect information from this string.
  - $O(kf(|x|))$  steps to write and, if needed, to lengthen the string.

---

<sup>a</sup>We tacitly assume  $f(n) \geq n$ .

## The Proof (concluded)

- There are  $k$  strings.
- So  $M'$  takes  $O(k^2 f(|x|))$  steps to simulate each step of  $M$ .
- As there are  $f(|x|)$  steps of  $M$  to simulate,  $M'$  operates within time  $O(k^2 f(|x|)^2)$ .<sup>a</sup>

---

<sup>a</sup>Is the time reduced to  $O(kf(|x|)^2)$  if the interleaving data structure is adopted?

## Simulation with Two-String TMs

We can do better with two-string simulating TMs.

**Theorem 4** *Given any  $k$ -string  $M$  operating within time  $f(n)$ ,  $k > 2$ , there exists a two-string  $M'$  operating within time  $O(f(n) \log f(n))$  such that  $M(x) = M'(x)$  for any input  $x$ .*

## Linear Speedup<sup>a</sup>

**Theorem 5** *Let  $L \in \text{TIME}(f(n))$ . Then for any  $\epsilon > 0$ ,  $L \in \text{TIME}(f'(n))$ , where  $f'(n) \triangleq \epsilon f(n) + n + 2$ .*

See Theorem 2.2 of the textbook for a proof.

---

<sup>a</sup>Hartmanis & Stearns (1965).

## Proof Ideas

- Take the TM program on p. 36.
- It accepts if and only if the input contains two consecutive 1's.
- Assume  $M = (K, \Sigma, \delta, s)$ , where
$$K = \{ s', s_{00}, s_{01}, s_{10}, s_{11}, \dots, \text{"yes"}, \text{"no"} \},$$
$$\Sigma = \{ 0, 1, (00), (01), (10), (11), (0\sqcup), (1\sqcup), \sqcup, \triangleright \}.$$

## Proof Ideas (continued)

- First convert the input into 2-tuples onto the second string.

- So  $\overbrace{10011001110}^{11}$  becomes  $\overbrace{(10)(01)(10)(01)(11)(0\sqcup)}^6$ .

- The length is therefore about halved.
- The transition table below covers only the second string for brevity.
- It presents only the key lines of code.

## Proof Ideas (continued)

| $p \in K$ | $\sigma \in \Sigma$ | $\delta(p, \sigma)$           |
|-----------|---------------------|-------------------------------|
| $\vdots$  | $\vdots$            | $\vdots$                      |
| $s'$      | (00)                | $(s', (00), \rightarrow)$     |
| $s'$      | (01)                | $(s_{01}, (01), \rightarrow)$ |
| $s'$      | (10)                | $(s', (10), \rightarrow)$     |
| $s'$      | (11)                | ("yes", (11), -)              |
| $s'$      | (0 $\sqcup$ )       | ("no", (0 $\sqcup$ ), -)      |
| $s'$      | (1 $\sqcup$ )       | ("no", (1 $\sqcup$ ), -)      |
| $s'$      | $\sqcup$            | ("no", $\sqcup$ , -)          |

## Proof Ideas (concluded)

|          |               |                                    |
|----------|---------------|------------------------------------|
| $s_{01}$ | (10)          | (“yes”, (10), $-$ )                |
| $s_{01}$ | (11)          | (“yes”, (11), $-$ )                |
| $s_{01}$ | (01)          | ( $s_{01}$ , (01), $\rightarrow$ ) |
| $s_{01}$ | (00)          | ( $s'$ , (00), $\rightarrow$ )     |
| $s_{01}$ | (0 $\sqcup$ ) | (“no”, (1 $\sqcup$ ), $-$ )        |
| $s_{01}$ | (1 $\sqcup$ ) | (“yes”, (1 $\sqcup$ ), $-$ )       |
| $s_{01}$ | $\sqcup$      | (“no”, $\sqcup$ , $-$ )            |
| $\vdots$ | $\vdots$      | $\vdots$                           |



## Implications of the Speedup Theorem

- State size can be traded for speed.<sup>a</sup>
- If the running time is  $cn$  with  $c > 1$ , then  $c$  can be made arbitrarily close to 1.
- If the running time is superlinear, say  $14n^2 + 31n$ , then the constant in the leading term (14 in this example) can be made arbitrarily small.
  - *Arbitrary* linear speedup can be achieved.<sup>b</sup>
  - This justifies the big-O notation in the analysis of algorithms.

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<sup>a</sup> $m^k \cdot |\Sigma|^{3mk}$ -fold increase to gain a speedup of  $O(m)$ . No free lunch.

<sup>b</sup>Can you apply the theorem multiple times to achieve superlinear speedup? Thanks to a question by a student on September 21, 2010.

## P

- By the linear speedup theorem, any polynomial time bound can be represented by its leading term  $n^k$  for some  $k \geq 1$ .
- If  $L \in \text{TIME}(n^k)$  for some  $k \in \mathbb{N}$ , it is a **polynomially decidable language**.
  - Clearly,  $\text{TIME}(n^k) \subseteq \text{TIME}(n^{k+1})$ .
- The union of all polynomially decidable languages is denoted by P:

$$P \triangleq \bigcup_{k>0} \text{TIME}(n^k).$$

- P contains problems that can be efficiently solved.

Philosophers have explained space.  
They have not explained time.  
— Arnold Bennett (1867–1931),  
*How To Live on 24 Hours a Day* (1910)

I keep bumping into that silly quotation  
attributed to me that says  
640K of memory is enough.  
— Bill Gates (1996)

## Space Complexity

- Consider a  $k$ -string TM  $M$  with input  $x$ .
- Assume non- $\sqcup$  is never written over by  $\sqcup$ .<sup>a</sup>
  - The purpose is not to artificially reduce the space needs (see below).
- If  $M$  halts in configuration

$$(H, w_1, u_1, w_2, u_2, \dots, w_k, u_k),$$

then the **space required by  $M$  on input  $x$**  is

$$\sum_{i=1}^k |w_i u_i|.$$

---

<sup>a</sup>Corrected by Ms. Chuan-Ju Wang (R95922018, F95922018) on September 27, 2006.

## Space Complexity (continued)

- Suppose we do not charge the space used only for input and output.
- Let  $k > 2$  be an integer.
- A  **$k$ -string Turing machine with input and output** is a  $k$ -string TM that satisfies the following conditions.
  - The input string is *read-only*.<sup>a</sup>
  - The cursor on the last string never moves to the left.
    - \* The output string is essentially *write-only*.
  - The cursor of the input string does not go beyond the first  $\sqcup$ .

---

<sup>a</sup>Called an **off-line TM** in Hartmanis, Lewis, & Stearns (1965).

## Space Complexity (concluded)

- If  $M$  is a TM with input and output, then the space required by  $M$  on input  $x$  is

$$\sum_{i=2}^{k-1} |w_i u_i|.$$

- Machine  $M$  **operates within space bound**  $f(n)$  for  $f : \mathbb{N} \rightarrow \mathbb{N}$  if for any input  $x$ , the space required by  $M$  on  $x$  is at most  $f(|x|)$ .

## Space Complexity Classes

- Let  $L$  be a language.
- Then

$$L \in \text{SPACE}(f(n))$$

if there is a TM with input and output that decides  $L$  and operates within space bound  $f(n)$ .

- $\text{SPACE}(f(n))$  is a set of languages.
  - $\text{PALINDROME} \in \text{SPACE}(\log n)$ .<sup>a</sup>
- A linear speedup theorem similar to the one on p. 96 exists, so constant coefficients do not matter.

---

<sup>a</sup>Keep 3 counters.

If she can hesitate as to “Yes,”  
she ought to say “No” directly.  
— Jane Austen (1775–1817),  
*Emma* (1815)



## Nondeterminism<sup>a</sup>

- A **nondeterministic Turing machine (NTM)** is a quadruple  $N = (K, \Sigma, \Delta, s)$ .
- $K, \Sigma, s$  are as before.
- $\Delta \subseteq K \times \Sigma \times (K \cup \{h, \text{“yes”}, \text{“no”}\}) \times \Sigma \times \{\leftarrow, \rightarrow, -\}$  is a *relation*, not a *function*.<sup>b</sup>
  - For each state-symbol combination  $(q, \sigma)$ , there may be *multiple* valid next steps.
  - Multiple lines of code may be applicable.
  - But only one will be taken.

---

<sup>a</sup>Rabin & Scott (1959).

<sup>b</sup>Corrected by Mr. Jung-Ying Chen (D95723006) on September 23, 2008.

## Nondeterminism (continued)

- As before, a program contains lines of code:

$$(q_1, \sigma_1, p_1, \rho_1, D_1) \in \Delta,$$

$$(q_2, \sigma_2, p_2, \rho_2, D_2) \in \Delta,$$

⋮

$$(q_n, \sigma_n, p_n, \rho_n, D_n) \in \Delta.$$

- But we cannot write

$$\delta(q_i, \sigma_i) = (p_i, \rho_i, D_i)$$

as in the deterministic case (p. 25) anymore.

## Nondeterminism (concluded)

- A configuration yields another configuration in one step if there *exists* a rule in  $\Delta$  that makes this happen.
- There remains only one thread of computation.<sup>a</sup>
  - Nondeterminism is *not* parallelism, multiprocessing, multithreading, or quantum computation.

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<sup>a</sup>Thanks to a lively discussion on September 22, 2015.

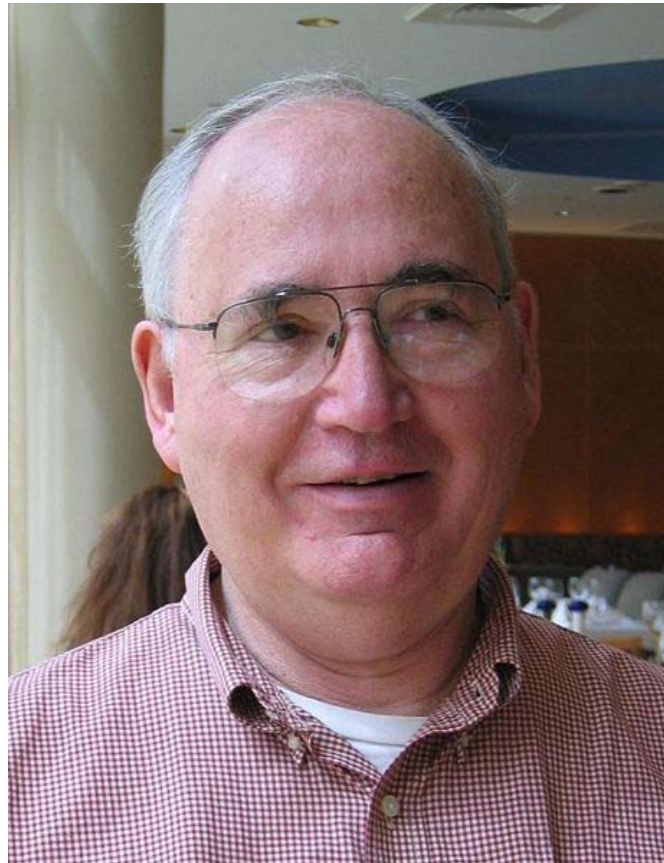
## Michael O. Rabin<sup>a</sup> (1931–)



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<sup>a</sup>Turing Award (1976).

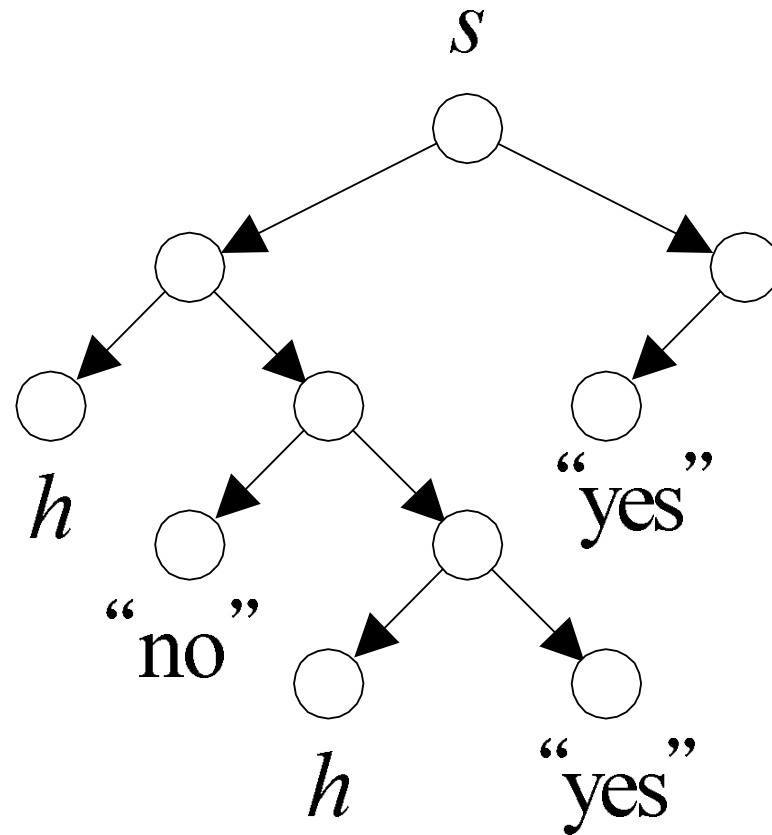
## Dana Stewart Scott<sup>a</sup> (1932–)



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<sup>a</sup>Turing Award (1976).

## Computation Tree and Computation Path



## Decidability under Nondeterminism

- Let  $L$  be a language and  $N$  be an NTM.
- $N$  **decides**  $L$  if for any  $x \in \Sigma^*$ ,  $x \in L$  if and only if there is a sequence of valid configurations that ends in “yes.”
- In other words,
  - If  $x \in L$ , then  $N(x) = \text{“yes”}$  for *some* computation path.
  - If  $x \notin L$ , then  $N(x) \neq \text{“yes”}$  for *all* computation paths.

## Decidability under Nondeterminism (continued)

- It is not required that the deciding NTM halts in all computation paths.<sup>a</sup>
- If  $x \notin L$ , no nondeterministic choices should lead to a “yes” state.
- The key is the algorithm’s *overall* behavior not whether it gives a correct answer for each particular run.
- Note that determinism is a special case of nondeterminism.

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<sup>a</sup>Unlike the deterministic case (p. 53). So “accepts” may be a more proper term. Some books use “decides” only when the NTM always halts.



## Decidability under Nondeterminism (concluded)

- For example, suppose  $L$  is the set of primes.<sup>a</sup>
- Then we have the primality testing problem.
- An NTM  $N$  decides  $L$  if:
  - If  $x$  is a prime, then  $N(x) = \text{“yes”}$  for some computation path.
  - If  $x$  is not a prime, then  $N(x) \neq \text{“yes”}$  for all computation paths.

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<sup>a</sup>Contributed by Mr. Yu-Ming Lu (R06723032, D08922008) on March 7, 2019.