

## Primality Tests

- PRIMES asks if a number  $N$  is a prime.
- The classic algorithm tests if  $k \mid N$  for  $k = 2, 3, \dots, \sqrt{N}$ .
- But it runs in  $\Omega(2^{n/2})$  steps, where  $n = \lceil \log_2 N \rceil$ .

## The Density Attack for PRIMES

- 1: Pick  $k \in \{2, \dots, N - 1\}$  randomly; {Assume  $N > 2$ .}
- 2: **if**  $k \mid N$  **then**
- 3:     **return** “ $N$  is composite”;
- 4: **else**
- 5:     **return** “ $N$  is a prime”;
- 6: **end if**

## Analysis<sup>a</sup>

- Suppose  $N = PQ$ , a product of 2 primes.
- The probability of success is

$$< 1 - \frac{\phi(N)}{N} = 1 - \frac{(P-1)(Q-1)}{PQ} = \frac{P+Q-1}{PQ}.$$

- In the case where  $P \approx Q$ , this probability becomes

$$< \frac{1}{P} + \frac{1}{Q} \approx \frac{2}{\sqrt{N}}.$$

- This probability is exponentially small.

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<sup>a</sup>See also p. 363.

## The Fermat Test for Primality

Fermat's "little" theorem on p. 365 suggests the following primality test for any given number  $p$ :

- 1: Pick a number  $a$  randomly from  $\{1, 2, \dots, N - 1\}$ ;
- 2: **if**  $a^{N-1} \neq 1 \pmod N$  **then**
- 3:     **return** " $N$  is composite";
- 4: **else**
- 5:     **return** " $N$  is probably a prime";
- 6: **end if**

## The Fermat Test for Primality (concluded)

- Unfortunately, there are composite numbers called **Carmichael numbers** that will pass the Fermat test for *all*  $a \in \{1, 2, \dots, N - 1\}$ .
- There are infinitely many Carmichael numbers.<sup>a</sup>

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<sup>a</sup>Alford, Granville, and Pomerance (1992).

## Square Roots Modulo a Prime

- Equation  $x^2 = a \pmod{p}$  has at most two (distinct) roots by Lemma 54 (p. 370).
  - The roots are called **square roots**.
  - Numbers  $a$  with square roots and  $\gcd(a, p) = 1$  are called **quadratic residues**.
    - \* They are  $1^2 \pmod{p}, 2^2 \pmod{p}, \dots, (p-1)^2 \pmod{p}$ .
- We shall show that a number either has two roots or has none, and testing which one is true is trivial.
- There are no known efficient *deterministic* algorithms to find the roots.

## Euler's Test

**Lemma 60 (Euler)** *Let  $p$  be an odd prime and  $a \not\equiv 0 \pmod{p}$ .*

1. *If  $a^{(p-1)/2} \equiv 1 \pmod{p}$ , then  $x^2 \equiv a \pmod{p}$  has two roots.*

2. *If  $a^{(p-1)/2} \not\equiv 1 \pmod{p}$ , then  $a^{(p-1)/2} \equiv -1 \pmod{p}$  and  $x^2 \equiv a \pmod{p}$  has no roots.*

- Let  $r$  be a primitive root of  $p$ .
- By Fermat's "little" theorem,  $r^{(p-1)/2}$  is a square root of 1, so  $r^{(p-1)/2} \equiv \pm 1 \pmod{p}$ .
- But as  $r$  is a primitive root,  $r^{(p-1)/2} \not\equiv 1 \pmod{p}$ .
- Hence  $r^{(p-1)/2} \equiv -1 \pmod{p}$ .

## The Proof (continued)

- Suppose  $a = r^{2j}$  for some  $1 \leq j \leq (p-1)/2$ .
- Then  $a^{(p-1)/2} = r^{j(p-1)} = 1 \pmod{p}$  and its two *distinct* roots are  $r^j, -r^j (= r^{j+(p-1)/2})$ .
  - If  $r^j = -r^j \pmod{p}$ , then  $2r^j = 0 \pmod{p}$ , which implies  $r^j = 0 \pmod{p}$ , a contradiction.
- As  $1 \leq j \leq (p-1)/2$ , there are  $(p-1)/2$  such  $a$ 's.



## The Proof (continued)

- Each such  $a$  has 2 distinct square roots.
- The square roots of all the  $a$ 's are distinct.
  - The square roots of different  $a$ 's must be different.
- Hence the set of *square roots* is  $\{1, 2, \dots, p - 1\}$ .
  - Because there are  $(p - 1)/2$  such  $a$ 's and each  $a$  has two square roots.
- As a result,  $a = r^{2j}$ ,  $1 \leq j \leq (p - 1)/2$ , are all the quadratic residues.

## The Proof (concluded)

- If  $a = r^{2j+1}$ , then it has no roots because all the square roots have been taken.
- Now,

$$a^{(p-1)/2} = [r^{(p-1)/2}]^{2j+1} = (-1)^{2j+1} = -1 \pmod{p}.$$

## The Legendre Symbol<sup>a</sup> and Quadratic Residuacity Test

- By Lemma 60 (p. 426)  $a^{(p-1)/2} \bmod p = \pm 1$  for  $a \not\equiv 0 \bmod p$ .
- For odd prime  $p$ , define the **Legendre symbol**  $(a | p)$  as

$$(a | p) = \begin{cases} 0 & \text{if } p | a, \\ 1 & \text{if } a \text{ is a quadratic residue modulo } p, \\ -1 & \text{if } a \text{ is a **quadratic nonresidue** modulo } p. \end{cases}$$

- Euler's test implies  $a^{(p-1)/2} \equiv (a | p) \pmod p$  for any odd prime  $p$  and any integer  $a$ .
- Note that  $(ab | p) = (a | p)(b | p)$ .

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<sup>a</sup>Andrien-Marie Legendre (1752–1833).

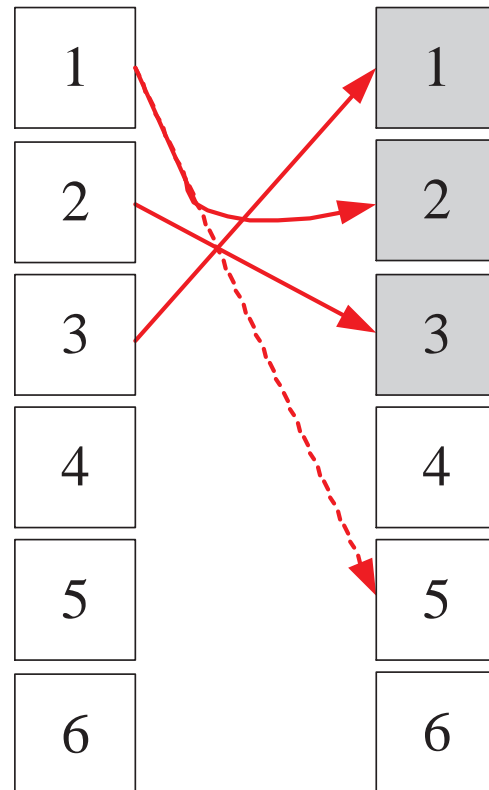
## Gauss's Lemma

**Lemma 61 (Gauss)** *Let  $p$  and  $q$  be two odd primes. Then  $(q|p) = (-1)^m$ , where  $m$  is the number of residues in  $R = \{iq \bmod p : 1 \leq i \leq (p-1)/2\}$  that are greater than  $(p-1)/2$ .*

- All residues in  $R$  are distinct.
  - If  $iq = jq \bmod p$ , then  $p|(j-i)q$  or  $p|q$ .
- No two elements of  $R$  add up to  $p$ .
  - If  $iq + jq = 0 \bmod p$ , then  $p|(i+j)$  or  $p|q$ .
  - But neither is possible.

## The Proof (continued)

- Consider the set  $R'$  of residues that result from  $R$  if we replace each of the  $m$  elements  $a \in R$  such that  $a > (p - 1)/2$  by  $p - a$ .
  - This is equivalent to performing  $-a \pmod p$ .
- All residues in  $R'$  are now at most  $(p - 1)/2$ .
- In fact,  $R' = \{1, 2, \dots, (p - 1)/2\}$  (see illustration next page).
  - Otherwise, two elements of  $R$  would add up to  $p$ , which has been shown to be impossible.



$p = 7$  and  $q = 5$ .

## The Proof (concluded)

- Alternatively,  $R' = \{\pm iq \bmod p : 1 \leq i \leq (p-1)/2\}$ , where exactly  $m$  of the elements have the minus sign.
- Take the product of all elements in the two representations of  $R'$ .
- So  $[(p-1)/2]! = (-1)^m q^{(p-1)/2} [(p-1)/2]! \bmod p$ .
- Because  $\gcd([(p-1)/2]!, p) = 1$ , the above implies

$$1 = (-1)^m q^{(p-1)/2} \bmod p.$$

## Legendre's Law of Quadratic Reciprocity<sup>a</sup>

- Let  $p$  and  $q$  be two odd primes.
- The next result says their Legendre symbols are distinct if and only if both numbers are 3 mod 4.

### **Lemma 62 (Legendre (1785), Gauss)**

$$(p|q)(q|p) = (-1)^{\frac{p-1}{2} \frac{q-1}{2}}.$$

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<sup>a</sup>First stated by Euler in 1751. Legendre (1785) did not give a correct proof. Gauss proved the theorem when he was 19. He gave at least 6 different proofs during his life. The 152nd proof appeared in 1963.



## The Proof (continued)

- Sum the elements of  $R'$  in the previous proof in mod 2.
- On one hand, this is just  $\sum_{i=1}^{(p-1)/2} i \pmod{2}$ .
- On the other hand, the sum equals

$$\begin{aligned} & \sum_{i=1}^{(p-1)/2} \left( qi - p \left\lfloor \frac{iq}{p} \right\rfloor \right) + mp \pmod{2} \\ &= \left( q \sum_{i=1}^{(p-1)/2} i - p \sum_{i=1}^{(p-1)/2} \left\lfloor \frac{iq}{p} \right\rfloor \right) + mp \pmod{2}. \end{aligned}$$

- Signs are irrelevant under mod 2.
- $m$  is as in Lemma 61 (p. 431).

## The Proof (continued)

- Ignore odd multipliers to make the sum equal

$$\left( \sum_{i=1}^{(p-1)/2} i - \sum_{i=1}^{(p-1)/2} \left[ \frac{iq}{p} \right] \right) + m \pmod{2}.$$

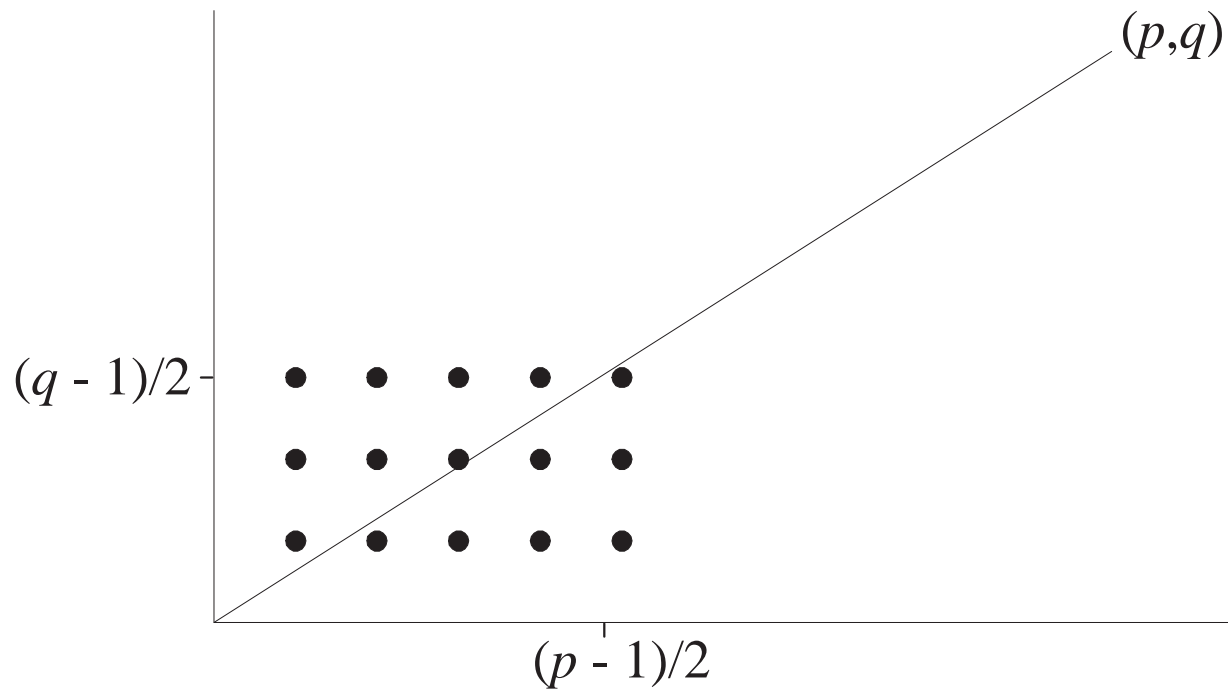
- Equate the above with  $\sum_{i=1}^{(p-1)/2} i \pmod{2}$  to obtain

$$m = \sum_{i=1}^{(p-1)/2} \left[ \frac{iq}{p} \right] \pmod{2}.$$

## The Proof (concluded)

- $\sum_{i=1}^{(p-1)/2} \lfloor \frac{iq}{p} \rfloor$  is the number of integral points under the line  $y = (q/p)x$  for  $1 \leq x \leq (p-1)/2$ .
- Gauss's lemma (p. 431) says  $(q|p) = (-1)^m$ .
- Repeat the proof with  $p$  and  $q$  reversed.
- So  $(p|q) = (-1)^{m'}$ , where  $m'$  is the number of integral points *above* the line  $y = (q/p)x$  for  $1 \leq y \leq (q-1)/2$ .
- As a result,  $(p|q)(q|p) = (-1)^{m+m'}$ .
- But  $m + m'$  is the total number of integral points in the  $\frac{p-1}{2} \times \frac{q-1}{2}$  rectangle, which is  $\frac{p-1}{2} \frac{q-1}{2}$ .

## Eisenstein's Rectangle



$p = 11$  and  $q = 7$ .

## The Jacobi Symbol<sup>a</sup>

- The Legendre symbol only works for odd *prime* moduli.
- The **Jacobi symbol**  $(a | m)$  extends it to cases where  $m$  is not prime.
- Let  $m = p_1 p_2 \cdots p_k$  be the prime factorization of  $m$ .
- When  $m > 1$  is odd and  $\gcd(a, m) = 1$ , then

$$(a|m) = \prod_{i=1}^k (a | p_i).$$

- Define  $(a | 1) = 1$ .

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<sup>a</sup>Carl Jacobi (1804–1851).

## Properties of the Jacobi Symbol

The Jacobi symbol has the following properties, for arguments for which it is defined.

1.  $(ab | m) = (a | m)(b | m)$ .
2.  $(a | m_1 m_2) = (a | m_1)(a | m_2)$ .
3. If  $a = b \pmod{m}$ , then  $(a | m) = (b | m)$ .
4.  $(-1 | m) = (-1)^{(m-1)/2}$  (by Lemma 61 on p. 431).
5.  $(2 | m) = (-1)^{(m^2-1)/8}$  (by Lemma 61 on p. 431).
6. If  $a$  and  $m$  are both odd, then
$$(a | m)(m | a) = (-1)^{(a-1)(m-1)/4}.$$

## Calculation of $(2200|999)$

Similar to the Euclidean algorithm and does *not* require factorization.

$$\begin{aligned}(202|999) &= (-1)^{(999^2-1)/8}(101|999) \\ &= (-1)^{124750}(101|999) = (101|999) \\ &= (-1)^{(100)(998)/4}(999|101) = (-1)^{24950}(999|101) \\ &= (999|101) = (90|101) = (-1)^{(101^2-1)/8}(45|101) \\ &= (-1)^{1275}(45|101) = -(45|101) \\ &= -(-1)^{(44)(100)/4}(101|45) = -(101|45) = -(11|45) \\ &= -(-1)^{(10)(44)/4}(45|11) = -(45|11) \\ &= -(1|11) = -(11|1) = -1.\end{aligned}$$

## A Result Generalizing Proposition 10.3 in the Textbook

**Theorem 63** *The group of set  $\Phi(n)$  under multiplication mod  $n$  has a primitive root if and only if  $n$  is either 1, 2, 4,  $p^k$ , or  $2p^k$  for some nonnegative integer  $k$  and an odd prime  $p$ .*

This result is essential in the proof of the next lemma.



## The Jacobi Symbol and Primality Test<sup>a</sup>

**Lemma 64** *If  $(M|N) = M^{(N-1)/2} \pmod N$  for all  $M \in \Phi(N)$ , then  $N$  is prime. (Assume  $N$  is odd.)*

- Assume  $N = mp$ , where  $p$  is an odd prime,  $\gcd(m, p) = 1$ , and  $m > 1$  (not necessarily prime).
- Let  $r \in \Phi(p)$  such that  $(r|p) = -1$ .
- The Chinese remainder theorem says that there is an  $M \in \Phi(N)$  such that

$$M = r \pmod p,$$

$$M = 1 \pmod m.$$

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<sup>a</sup>Mr. Clement Hsiao (R88526067) pointed out that the textbook's proof in Lemma 11.8 is incorrect while he was a senior in January 1999.

## The Proof (continued)

- By the hypothesis,

$$M^{(N-1)/2} = (M | N) = (M | p)(M | m) = -1 \pmod{N}.$$

- Hence

$$M^{(N-1)/2} = -1 \pmod{m}.$$

- But because  $M = 1 \pmod{m}$ ,

$$M^{(N-1)/2} = 1 \pmod{m},$$

a contradiction.

## The Proof (continued)

- Second, assume that  $N = p^a$ , where  $p$  is an odd prime and  $a \geq 2$ .
- By Theorem 63 (p. 443), there exists a primitive root  $r$  modulo  $p^a$ .
- From the assumption,

$$M^{N-1} = \left[ M^{(N-1)/2} \right]^2 = (M|N)^2 = 1 \pmod{N}$$

for all  $M \in \Phi(N)$ .

## The Proof (continued)

- As  $r \in \Phi(N)$  (prove it), we have

$$r^{N-1} = 1 \pmod{N}.$$

- As  $r$ 's exponent modulo  $N = p^a$  is  $\phi(N) = p^{a-1}(p-1)$ ,

$$p^{a-1}(p-1) \mid N-1,$$

which implies that  $p \mid N-1$ .

- But this is impossible given that  $p \mid N$ .

## The Proof (continued)

- Third, assume that  $N = mp^a$ , where  $p$  is an odd prime,  $\gcd(m, p) = 1$ ,  $m > 1$  (not necessarily prime), and  $a$  is even.
- The proof mimics that of the second case.
- By Theorem 63 (p. 443), there exists a primitive root  $r$  modulo  $p^a$ .
- From the assumption,

$$M^{N-1} = \left[ M^{(N-1)/2} \right]^2 = (M|N)^2 = 1 \pmod{N}$$

for all  $M \in \Phi(N)$ .

## The Proof (continued)

- In particular,

$$M^{N-1} = 1 \pmod{p^a} \quad (6)$$

for all  $M \in \Phi(N)$ .

- The Chinese remainder theorem says that there is an  $M \in \Phi(N)$  such that

$$M = r \pmod{p^a},$$

$$M = 1 \pmod{m}.$$

- Because  $M = r \pmod{p^a}$  and Eq. (6),

$$r^{N-1} = 1 \pmod{p^a}.$$

## The Proof (concluded)

- As  $r$ 's exponent modulo  $N = p^a$  is  $\phi(N) = p^{a-1}(p - 1)$ ,

$$p^{a-1}(p - 1) \mid N - 1,$$

which implies that  $p \mid N - 1$ .

- But this is impossible given that  $p \mid N$ .

## The Number of Witnesses to Compositeness

**Theorem 65 (Solovay and Strassen (1977))** *If  $N$  is an odd composite, then  $(M|N) \neq M^{(N-1)/2} \pmod N$  for at least half of  $M \in \Phi(N)$ .*

- By Lemma 64 (p. 444) there is at least one  $a \in \Phi(N)$  such that  $(a|N) \neq a^{(N-1)/2} \pmod N$ .
- Let  $B = \{b_1, b_2, \dots, b_k\} \subseteq \Phi(N)$  be the set of *all* distinct residues such that  $(b_i|N) = b_i^{(N-1)/2} \pmod N$ .
- Let  $aB = \{ab_i \pmod N : i = 1, 2, \dots, k\}$ .



## The Proof (concluded)

- $|aB| = k$ .
  - $ab_i = ab_j \pmod N$  implies  $N|a(b_i - b_j)$ , which is impossible because  $\gcd(a, N) = 1$  and  $N > |b_i - b_j|$ .
- $aB \cap B = \emptyset$  because
$$(ab_i)^{(N-1)/2} = a^{(N-1)/2} b_i^{(N-1)/2} \neq (a|N)(b_i|N) = (ab_i|N).$$
- Combining the above two results, we know

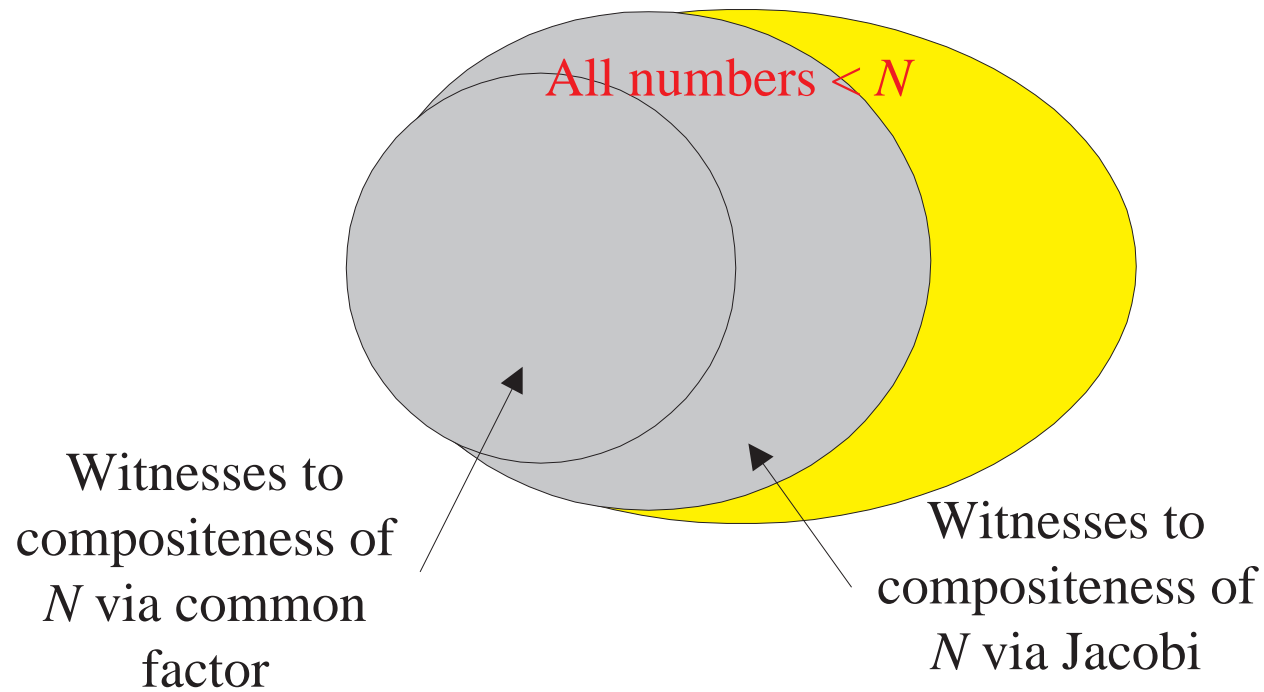
$$\frac{|B|}{\phi(N)} \leq 0.5.$$

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1: if  $N$  is even but  $N \neq 2$  then
2:   return “ $N$  is composite”;
3: else if  $N = 2$  then
4:   return “ $N$  is a prime”;
5: end if
6: Pick  $M \in \{2, 3, \dots, N - 1\}$  randomly;
7: if  $\gcd(M, N) > 1$  then
8:   return “ $N$  is a composite”;
9: else
10:  if  $(M|N) \neq M^{(N-1)/2} \pmod N$  then
11:    return “ $N$  is composite”;
12:  else
13:    return “ $N$  is a prime”;
14:  end if
15: end if
```

## Analysis

- The algorithm certainly runs in polynomial time.
- There are no false positives (for COMPOSITENESS).
  - When the algorithm says the number is composite, it is always correct.
- The probability of a false negative is at most one half.
  - When the algorithm says the number is a prime, it may err.
  - If the input is composite, then the probability that the algorithm errs is one half.
- The error probability can be reduced but not eliminated.

# The Improved Density Attack for COMPOSITENESS



## Randomized Complexity Classes; RP

- Let  $N$  be a polynomial-time precise NTM that runs in time  $p(n)$  and has 2 nondeterministic choices at each step.
- $N$  is a **polynomial Monte Carlo Turing machine** for a language  $L$  if the following conditions hold:
  - If  $x \in L$ , then at least half of the  $2^{p(n)}$  computation paths of  $N$  on  $x$  halt with “yes” where  $n = |x|$ .
  - If  $x \notin L$ , then all computation paths halt with “no.”
- The class of all languages with polynomial Monte Carlo TMs is denoted **RP** (**randomized polynomial time**).<sup>a</sup>

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<sup>a</sup>Adleman and Manders (1977).

## Comments on RP

- Nondeterministic steps can be seen as fair coin flips.
- There are no false positive answers.
- The probability of false negatives,  $1 - \epsilon$ , is at most 0.5.
- But any constant between 0 and 1 can replace 0.5.
  - By repeating the algorithm  $k = \lceil -\frac{1}{\log_2 1 - \epsilon} \rceil$  times, the probability of false negatives becomes  $(1 - \epsilon)^k \leq 0.5$ .
- In fact,  $\epsilon$  can be arbitrarily close to 0 as long as it is of the order  $1/p(n)$  for some polynomial  $p(n)$ .
  - $-\frac{1}{\log_2 1 - \epsilon} = O(\frac{1}{\epsilon}) = O(p(n))$ .

## Where RP Fits

- $P \subseteq RP \subseteq NP$ .
  - A deterministic TM is like a Monte Carlo TM except that all the coin flips are ignored.
  - A Monte Carlo TM is an NTM with extra demands on the number of accepting paths.
- $COMPOSITENESS \in RP$ ;  $PRIMES \in coRP$ ;  $PRIMES \in RP$ .<sup>a</sup>
  - In fact,  $PRIMES \in P$ .<sup>b</sup>
- $RP \cup coRP$  is another “plausible” notion of efficient computation.

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<sup>a</sup>Adleman and Huang (1987).

<sup>b</sup>Agrawal, Kayal, and Saxena (2002).

## ZPP<sup>a</sup> (Zero Probabilistic Polynomial)

- The class **ZPP** is defined as  $\text{RP} \cap \text{coRP}$ .
- A language in ZPP has *two* Monte Carlo algorithms, one with no false positives and the other with no false negatives.
- If we repeatedly run both Monte Carlo algorithms, *eventually* one definite answer will come (unlike RP).
  - A *positive* answer from the one without false positives.
  - A *negative* answer from the one without false negatives.

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<sup>a</sup>Gill (1977).



## The ZPP Algorithm (Las Vegas)

- 1: {Suppose  $L \in \text{ZPP}$ .}
- 2: { $N_1$  has no false positives, and  $N_2$  has no false negatives.}
- 3: **while true do**
- 4:   **if**  $N_1(x) = \text{“yes”}$  **then**
- 5:     **return** “yes”;
- 6:   **end if**
- 7:   **if**  $N_2(x) = \text{“no”}$  **then**
- 8:     **return** “no”;
- 9:   **end if**
- 10: **end while**

## ZPP (concluded)

- The *expected* running time for the correct answer to emerge is polynomial.
  - The probability that a run of the 2 algorithms does not generate a definite answer is 0.5.
  - Let  $p(n)$  be the running time of each run.
  - The expected running time for a definite answer is

$$\sum_{i=1}^{\infty} 0.5^i i p(n) = 2p(n).$$

- Essentially, ZPP is the class of problems that can be solved without errors in expected polynomial time.

## *Et Tu, RP?*

```
1: {Suppose  $L \in \text{RP}$ .}
2: { $N$  decides  $L$  without false positives.}
3: while true do
4:   if  $N(x) = \text{“yes”}$  then
5:     return “yes”;
6:   end if
7:   {But what to do here?}
8: end while
```

- You eventually get a “yes” if  $x \in L$ .
- But how to get a “no” when  $x \notin L$ ?
- You have to sacrifice either correctness or bounded running time.

## Large Deviations

- Suppose you have a *biased* coin.
- One side has probability  $0.5 + \epsilon$  to appear and the other  $0.5 - \epsilon$ , for some  $0 < \epsilon < 0.5$ .
- But you do not know which is which.
- How to decide which side is the more likely—with high confidence?
- Answer: Flip the coin many times and pick the side that appeared the most times.
- Question: Can you quantify the confidence?

## The Chernoff Bound<sup>a</sup>

**Theorem 66 (Chernoff (1952))** *Suppose  $x_1, x_2, \dots, x_n$  are independent random variables taking the values 1 and 0 with probabilities  $p$  and  $1 - p$ , respectively. Let  $X = \sum_{i=1}^n x_i$ . Then for all  $0 \leq \theta \leq 1$ ,*

$$\text{prob}[X \geq (1 + \theta)pn] \leq e^{-\theta^2 pn/3}.$$

- The probability that the deviate of a **binomial random variable** from its expected value  $E[X] = E[\sum_{i=1}^n x_i] = pn$  decreases exponentially with the deviation.
- The Chernoff bound is asymptotically optimal.

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<sup>a</sup>Herman Chernoff (1923–).

## The Proof

- Let  $t$  be any positive real number.
- Then

$$\text{prob}[X \geq (1 + \theta)pn] = \text{prob}[e^{tX} \geq e^{t(1+\theta)pn}].$$

- Markov's inequality (p. 405) generalized to real-valued random variables says that

$$\text{prob}[e^{tX} \geq kE[e^{tX}]] \leq 1/k.$$

- With  $k = e^{t(1+\theta)pn} / E[e^{tX}]$ , we have

$$\text{prob}[X \geq (1 + \theta)pn] \leq e^{-t(1+\theta)pn} E[e^{tX}].$$

## The Proof (continued)

- Because  $X = \sum_{i=1}^n x_i$  and  $x_i$ 's are independent,

$$E[e^{tX}] = (E[e^{tx_1}])^n = [1 + p(e^t - 1)]^n.$$

- Substituting, we obtain

$$\begin{aligned} \text{prob}[X \geq (1 + \theta)pn] &\leq e^{-t(1+\theta)pn} [1 + p(e^t - 1)]^n \\ &\leq e^{-t(1+\theta)pn} e^{pn(e^t - 1)} \end{aligned}$$

as  $(1 + a)^n \leq e^{an}$  for all  $a > 0$ .

## The Proof (concluded)

- With the choice of  $t = \ln(1 + \theta)$ , the above becomes

$$\text{prob}[X \geq (1 + \theta)pn] \leq e^{pn[\theta - (1+\theta)\ln(1+\theta)]}.$$

- The exponent expands to  $-\frac{\theta^2}{2} + \frac{\theta^3}{6} - \frac{\theta^4}{12} + \dots$  for  $0 \leq \theta \leq 1$ , which is less than

$$-\frac{\theta^2}{2} + \frac{\theta^3}{6} \leq \theta^2 \left( -\frac{1}{2} + \frac{\theta}{6} \right) \leq \theta^2 \left( -\frac{1}{2} + \frac{1}{6} \right) = -\frac{\theta^2}{3}.$$



## Power of the Majority Rule

From  $\text{prob}[X \leq (1 - \theta)pn] \leq e^{-\frac{\theta^2}{2}pn}$  (prove it):

**Corollary 67** *If  $p = (1/2) + \epsilon$  for some  $0 \leq \epsilon \leq 1/2$ , then*

$$\text{prob} \left[ \sum_{i=1}^n x_i \leq n/2 \right] \leq e^{-\epsilon^2 n/2}.$$

- The textbook's corollary to Lemma 11.9 seems incorrect.
- Our original problem (p. 463) hence demands  $\approx 1.4k/\epsilon^2$  independent coin flips to guarantee making an error with probability at most  $2^{-k}$  with the majority rule.

## BPP<sup>a</sup> (Bounded Probabilistic Polynomial)

- The class **BPP** contains all languages for which there is a precise polynomial-time NTM  $N$  such that:
  - If  $x \in L$ , then at least  $3/4$  of the computation paths of  $N$  on  $x$  lead to “yes.”
  - If  $x \notin L$ , then at least  $3/4$  of the computation paths of  $N$  on  $x$  lead to “no.”
- $N$  accepts or rejects by a *clear* majority.

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<sup>a</sup>Gill (1977).

## Magic 3/4?

- The number  $3/4$  bounds the probability of a right answer away from  $1/2$ .
- Any constant *strictly* between  $1/2$  and  $1$  can be used without affecting the class BPP.
- In fact,  $0.5$  plus any inverse polynomial between  $1/2$  and  $1$ ,

$$0.5 + \frac{1}{p(n)},$$

can be used.

## The Majority Vote Algorithm

Suppose  $L$  is decided by  $N$  by majority  $(1/2) + \epsilon$ .

```
1: for  $i = 1, 2, \dots, 2k + 1$  do  
2:   Run  $N$  on input  $x$ ;  
3: end for  
4: if “yes” is the majority answer then  
5:   “yes”;  
6: else  
7:   “no”;  
8: end if
```

## Analysis

- The running time remains polynomial, being  $2k + 1$  times  $N$ 's running time.
- By Corollary 67 (p. 468), the probability of a false answer is at most  $e^{-\epsilon^2 k}$ .
- By taking  $k = \lceil 2/\epsilon^2 \rceil$ , the error probability is at most  $1/4$ .
- As with the RP case,  $\epsilon$  can be any inverse polynomial, because  $k$  remains polynomial in  $n$ .

## Probability Amplification for BPP

- Let  $m$  be the number of random bits used by a BPP algorithm.
  - By definition,  $m$  is polynomial in  $n$ .
- With  $k = \Theta(\log m)$  in the majority vote algorithm, we can lower the error probability to  $\leq (3m)^{-1}$ .

## Aspects of BPP

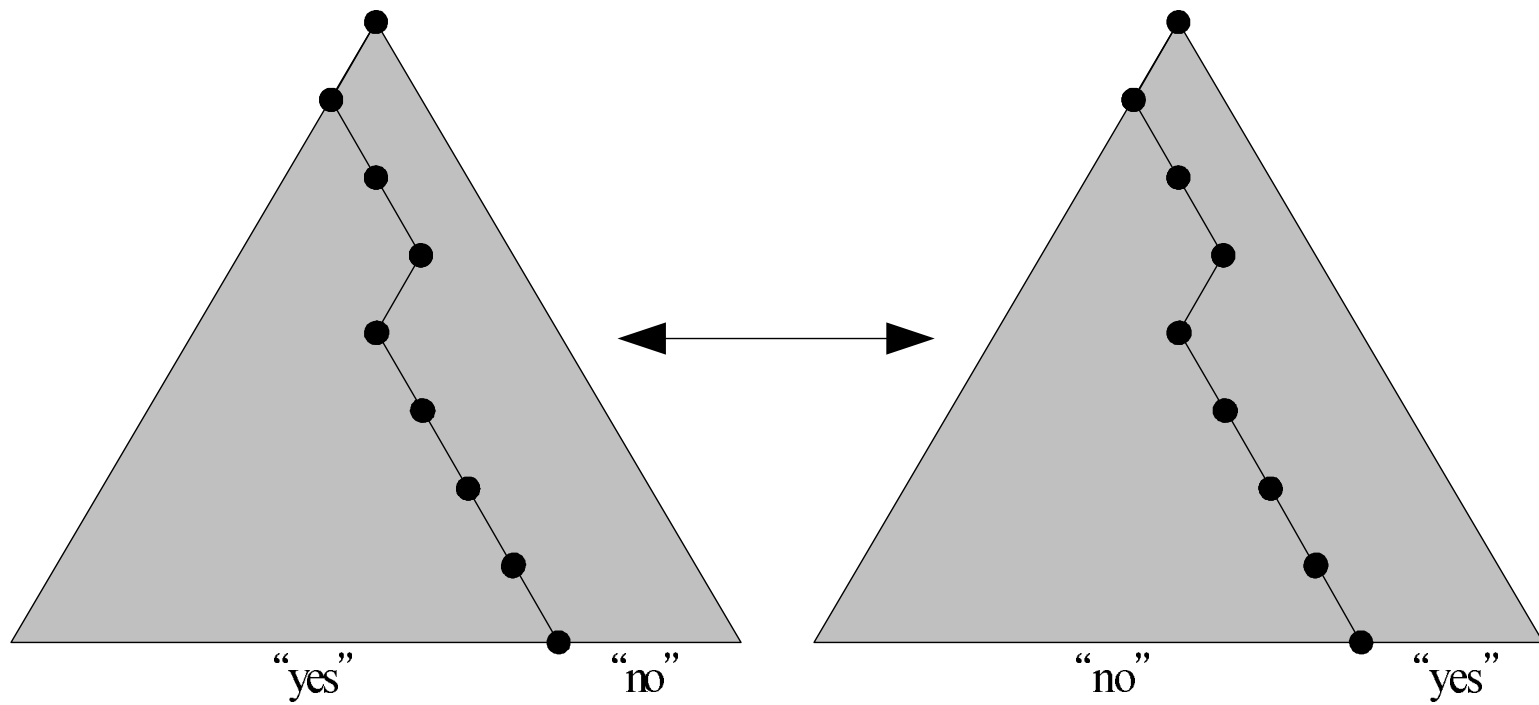
- BPP is the most comprehensive yet plausible notion of efficient computation.
  - If a problem is in BPP, we take it to mean that the problem can be solved efficiently.
  - In this aspect, BPP has effectively replaced P.
- $(RP \cup \text{coRP}) \subseteq (NP \cup \text{coNP})$ .
- $(RP \cup \text{coRP}) \subseteq BPP$ .
- Whether  $BPP \subseteq (NP \cup \text{coNP})$  is unknown.
- But it is unlikely that  $NP \subseteq BPP$  (p. 489).

## coBPP

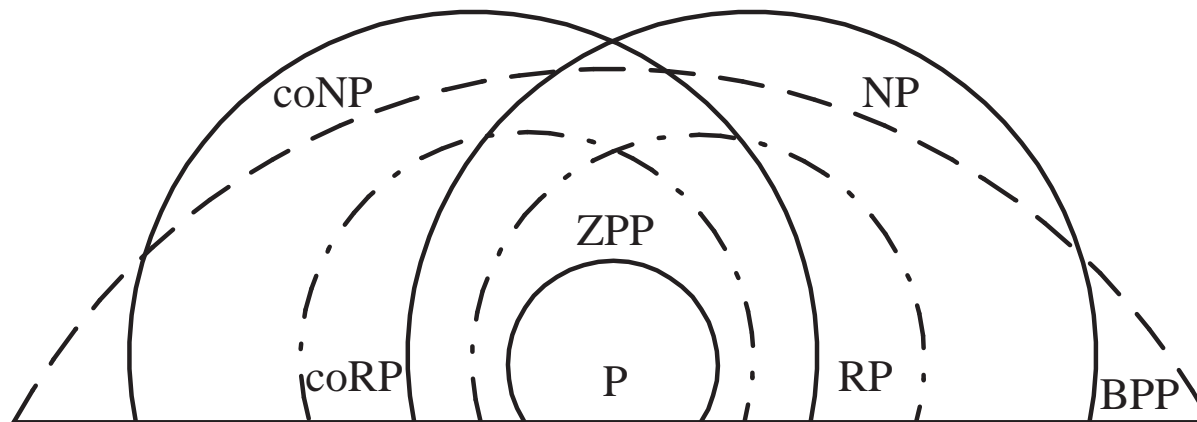
- The definition of BPP is symmetric: acceptance by clear majority and rejection by clear majority.
- An algorithm for  $L \in \text{BPP}$  becomes one for  $\bar{L}$  by reversing the answer.
- So  $\bar{L} \in \text{BPP}$  and  $\text{BPP} \subseteq \text{coBPP}$ .
- Similarly  $\text{coBPP} \subseteq \text{BPP}$ .
- Hence  $\text{BPP} = \text{coBPP}$ .
- This approach does not work for RP.
- It did not work for NP either.



# BPP and coBPP



# “The Good, the Bad, and the Ugly”



## Circuit Complexity

- Circuit complexity is based on boolean circuits instead of Turing machines.
- A boolean circuit with  $n$  inputs computes a boolean function of  $n$  variables.
- By identify **true** with 1 and **false** with 0, a boolean circuit with  $n$  inputs accepts certain strings in  $\{0, 1\}^n$ .
- To relate circuits with arbitrary languages, we need one circuit for each possible input length  $n$ .

## Formal Definitions

- The **size** of a circuit is the number of *gates* in it.
- A **family of circuits** is an infinite sequence  $\mathcal{C} = (C_0, C_1, \dots)$  of boolean circuits, where  $C_n$  has  $n$  boolean inputs.
- $L \subseteq \{0, 1\}^*$  has **polynomial circuits** if there is a family of circuits  $\mathcal{C}$  such that:
  - The size of  $C_n$  is at most  $p(n)$  for some fixed polynomial  $p$ .
  - For input  $x \in \{0, 1\}^*$ ,  $C_{|x|}$  outputs 1 if and only if  $x \in L$ .
  - \*  $C_n$  accepts  $L \cap \{0, 1\}^n$ .

## Exponential Circuits Contain All Languages

- Theorem 14 (p. 153) implies that there are languages that cannot be solved by circuits of size  $2^n / (2n)$ .
- But exponential circuits can solve all problems.

**Proposition 68** *All decision problems (decidable or otherwise) can be solved by a circuit of size  $2^{n+2}$ .*

- We will show that for any language  $L \subseteq \{0, 1\}^*$ ,  $L \cap \{0, 1\}^n$  can be decided by a circuit of size  $2^{n+2}$ .

## The Proof (concluded)

- Define boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , where

$$f(x_1x_2 \cdots x_n) = \begin{cases} 1 & x_1x_2 \cdots x_n \in L, \\ 0 & x_1x_2 \cdots x_n \notin L. \end{cases}$$

- $f(x_1x_2 \cdots x_n) = (x_1 \wedge f(1x_2 \cdots x_n)) \vee (\neg x_1 \wedge f(0x_2 \cdots x_n))$ .
- The circuit size  $s(n)$  for  $f(x_1x_2 \cdots x_n)$  hence satisfies

$$s(n) = 4 + 2s(n - 1)$$

with  $s(1) = 1$ .

- Solve it to obtain  $s(n) = 5 \times 2^{n-1} - 4 \leq 2^{n+2}$ .

## Comments

- Proposition 68 (p. 480) does not contradict anything we knew so far about computation theory.
- Yes, there are only a finite number of circuits with size  $2^{n+2}$ .
- Yes, there are only  $2^n$  possible inputs of length  $n$ .
- Yes, those circuits can solve all problems of length  $n$ .
- But is there an algorithm to tell which circuit is the correct one?