

## What This Course Is All About

**Computability:** What can be computed?

- There exist *well-defined* problems that cannot be computed.
- In fact, “most” problems cannot be computed.

**Complexity:** What is a computable problem’s inherent complexity?

- Some computable problems require at least exponential time and/or space; they are **intractable**.
- Some practical problems require superpolynomial resources unless certain conjectures are disproved.
- Other resource limits besides time and space?

## Growth of Factorials

$n$	$n!$	$n$	$n!$
1	1	9	362880
2	2	10	3628800
3	6	11	39916800
4	24	12	479001600
5	120	13	6227020800
6	720	14	87178291200
7	5040	15	1307674368000
8	40320	16	20,922,789,888,000

## Tractability and intractability

- Polynomial in terms of the input size  $n$  defines tractability.
  - $n, n \log n, n^2, n^{90}$ .
  - Time, space, circuit size, random bits, etc.
- It results in a fruitful and practical theory of complexity.
- Few practical, tractable problems require a large degree.
- Exponential-time or superpolynomial-time algorithms are usually impractical unless correctness is sacrificed.
  - $n^{\log n}, 2^{\sqrt{n}}, 2^n, n! \sim \sqrt{2\pi n} (n/e)^n$ .

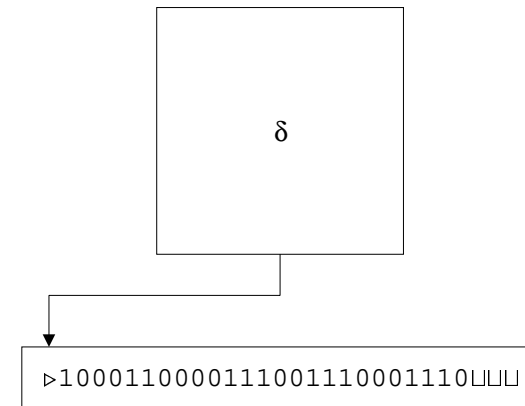
## Most Important Results: a Sampler

- An operational definition of computability.
- Decision problems in logic are undecidable.
- Decisions problems on program behavior are usually undecidable.
- Complexity classes and the existence of intractable problems.
- Complete problems for a complexity class.
- Randomization and cryptographic applications.
- Approximability.

## What Is Computation?

- That can be coded in an **algorithm**.
- An algorithm is a detailed step-by-step method for solving a problem.
  - The Euclidean algorithm for the greatest common divisor is an algorithm.
  - “Let  $s$  be the least upper bound of compact set  $A$ ” is not an algorithm.
  - “Let  $s$  be a smallest element of a finite-sized array” can be solved by an algorithm.

## A TM Schema



## Turing Machines<sup>a</sup>

- A Turing machine (TM) is a quadruple  $M = (K, \Sigma, \delta, s)$ .
- $K$  is a finite set of **states**.
- $s \in K$  is the **initial state**.
- $\Sigma$  is a finite set of **symbols** (disjoint from  $K$ ).
  - $\Sigma$  includes  $\square$  (blank) and  $\triangleright$  (first symbol).
- $\delta : K \times \Sigma \rightarrow (K \cup \{h, \text{“yes”}, \text{“no”}\}) \times \Sigma \times \{\leftarrow, \rightarrow, -\}$  is a **transition function**.
  - $\leftarrow$  (left),  $\rightarrow$  (right), and  $-$  (stay) signify cursor movements.

<sup>a</sup>Turing (1936).

## “Physical” Interpretations

- The tape: computer memory and registers.
- $\delta$ : program.
- $K$ : instruction numbers.
- $s$ : “main()” in C.
- $\Sigma$ : **alphabet** much like the ASCII code.

### More about $\delta$

- The program  $\delta$  has the **halting state** ( $h$ ), the **accepting state** (“yes”), and the **rejecting state** (“no”).
- Given the current state  $q \in K$  and the current symbol  $\sigma \in \Sigma$ ,

$$\delta(q, \sigma) = (p, \rho, D)$$

specifies the next state  $p$ , the symbol  $\rho$  to be written over  $\sigma$ , and the direction  $D$  the cursor will move afterwards.

- We require  $\delta(q, \triangleright) = (p, \triangleright, \rightarrow)$  so that the cursor never falls off the left end of the string.

### Program Size

- A program has a *finite* size.
- The program  $\delta$  is a function from  $K \times \Sigma$  to  $(K \cup \{h, \text{“yes”}, \text{“no”}\}) \times \Sigma \times \{\leftarrow, \rightarrow, -\}$ .
- $|K| \times |\Sigma|$  lines suffice to specify such a function.
- Given  $K$  and  $\Sigma$ , there are

$$((|K| + 3) \times |\Sigma| \times 3)^{|K| \times |\Sigma|}$$

possible  $\delta$ 's.

- This is a constant—albeit large.
- Different  $\delta$ 's may define the same behavior.

### The Operations of TMs

- Initially the state is  $s$ .
- The string on the tape is initialized to a  $\triangleright$ , followed by a *finitely* long string  $x \in (\Sigma - \{\sqcup\})^*$ .
- $x$  is the **input** of the TM.
  - The input must not contain  $\sqcup$ 's (why?)!
- The cursor is pointing to the first symbol, always a  $\triangleright$ .
- The TM takes each step according to  $\delta$ .
- The cursor may overwrite  $\sqcup$  to make the string longer during the computation.

$K \quad \Sigma$


$(|K| + 3) \times |\Sigma| \times 3$   
possibilities

## The Halting of a TM

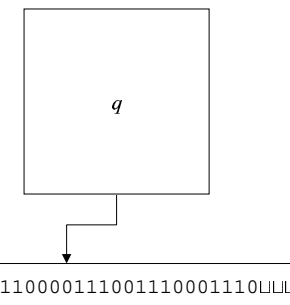
- A TM  $M$  may **halt** in three cases.
  - “**yes**”: The machine **accepts** its input  $x$ , and  $M(x) = \text{“yes”}$ .
  - “**no**”: The machine **rejects** its input  $x$ , and  $M(x) = \text{“no”}$ .
  - $h$ :  $M(x) = y$ , where the string consists of a  $\triangleright$ , followed by a finite string  $y$ , whose last symbol is not  $\sqcup$ , followed by a string of  $\sqcup$ s.
    - $y$  is the **output** of the computation.
    - $y$  may be empty denoted by  $\epsilon$ .
- If  $M$  never halts on  $x$ , then write  $M(x) = \nearrow$ .

## Configurations

- A **configuration** is a complete description of the current state of the computation.
- The specification of a configuration is sufficient for the computation to continue as if it had not been stopped.
  - What does your PC save before it sleeps?
  - Enough for it to resume work later.
- A configuration is a triple  $(q, w, u)$ :
  - $q \in K$ .
  - $w \in \Sigma^*$  is the string to the left of the cursor (inclusive).
  - $u \in \Sigma^*$  is the string to the right of the cursor.

## Why TMs?

- Because of the simplicity of the TM, the model has the advantage when it comes to complexity issues.
- One can develop a complexity theory based on C++ or Java, say.
- But the added complexity does not yield additional fundamental insights.
- We will describe TMs in pseudocode.



- $w = \triangleright 1000110000$ .
- $u = 111001110001110$ .

## Yielding

- Fix a TM  $M$ .
- Configuration  $(q, w, u)$  **yields** configuration  $(q', w', u')$  in one step, denoted

$$(q, w, u) \xrightarrow{M} (q', w', u'),$$

if a step of  $M$  from configuration  $(q, w, u)$  results in configuration  $(q', w', u')$ .

- That configuration  $(q, w, u)$  yields configuration  $(q', w', u')$  in  $k \in \mathbb{N}$  steps is denoted by  $(q, w, u) \xrightarrow{M^k} (q', w', u')$ .
- That configuration  $(q, w, u)$  yields configuration  $(q', w', u')$  is denoted by  $(q, w, u) \xrightarrow{M^*} (q', w', u')$ .

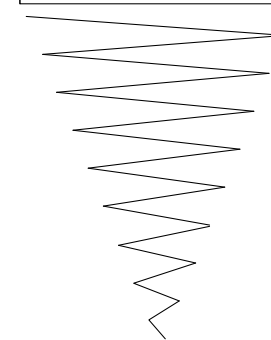
## Palindromes

- A string is a **palindrome** if it reads the same forwards and backwards (e.g., 001100).
- A TM program can be written to recognize palindromes: “yes” for palindromes and “no” for nonpalindromes.
  - It matches the first character with the last character.
  - It matches the second character with the next to last character, etc.
- This program takes  $O(n^2)$  steps.

## Example: How to Insert a Symbol

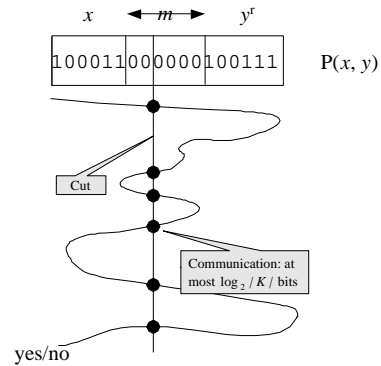
- We want to compute  $f(x) = ax$ .
  - The TM moves the last symbol of  $x$  to the right by one position.
  - It then moves the next to last symbol to the right, and so on.
  - The TM finally writes  $a$  in the first position.
- The total number of steps is  $O(n)$ , where  $n$  is the length of  $x$ .

100011000000100111

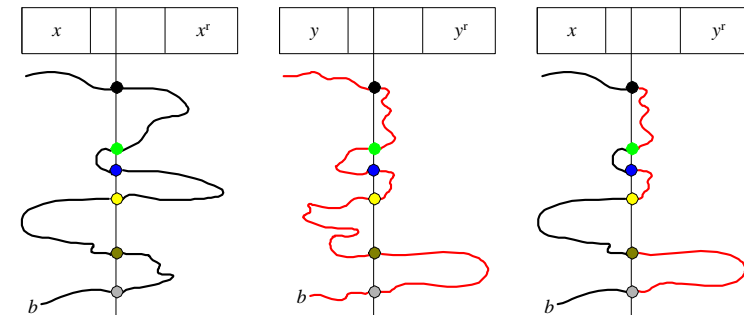


## A Matching Lower Bound for PALINDROME

**Theorem 1** PALINDROME on single-string TMs takes  $\Omega(n^2)$  steps in the worst case.



## Cut and Paste



## The Proof: Communications

- Our input is more restricted; hence any lower bound holds for the original problem.
- Each communication between the two halves across the cut is a state from  $K$ , hence of size  $O(1)$ .
- $C(x, x)$ : the sequence of communications for palindrome problem  $P(x, x)$  across the cut.
- $C(x, x) \neq C(y, y)$  when  $x \neq y$ .
  - Otherwise,  $C(x, x) = C(y, y) = C(x, y)$ , and  $P(x, y)$  has the same answer as  $P(x, x)$ !
- So  $C(x, x)$  is distinct for each  $x$ .

## The Proof: Amount of Communications

- Assume  $|x| = |y| = m = n/3$ .
- $|C(x, x)|$  is the number of times the cut is crossed.
- We first seek a lower bound on the total number of communications:

$$\sum_{x \in \{0,1\}^m} |C(x, x)|.$$

- Define

$$\kappa \equiv (m+1) \log_{|K|} 2 - \log_{|K|} m - 1 + \log_{|K|} (|K| - 1).$$

### The Proof: Amount of Communications (continued)

- There are  $\leq |K|^i$  distinct  $C(x, x)$ s with  $|C(x, x)| = i$ .
- Hence there are at most

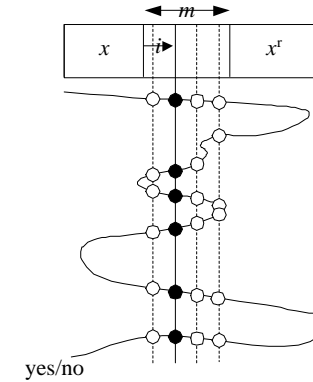
$$\sum_{i=0}^{\kappa} |K|^i = \frac{|K|^{\kappa+1} - 1}{|K| - 1} \leq \frac{|K|^{\kappa+1}}{|K| - 1} = \frac{2^{m+1}}{m}$$

distinct  $C(x, x)$ s with  $|C(x, x)| \leq \kappa$ .

- The rest must have  $|C(x, x)| > \kappa$ .
- Because  $C(x, x)$  is distinct for each  $x$  (p. 35), there are at least  $2^m - \frac{2^{m+1}}{m}$  of them with  $|C(x, x)| > \kappa$ .

### The Proof (continued)

We now lower-bound the worst-case number of communication points.



### The Proof: Amount of Communications (concluded)

- Thus

$$\begin{aligned} \sum_{x \in \{0,1\}^m} |C(x, x)| &\geq \sum_{x \in \{0,1\}^m, |C(x, x)| > \kappa} |C(x, x)| \\ &> \left(2^m - \frac{2^{m+1}}{m}\right) \kappa \\ &= \kappa 2^m \frac{m-2}{m}. \end{aligned}$$

- As  $\kappa = \Theta(m)$ , the total number of communications is

$$\sum_{x \in \{0,1\}^m} |C(x, x)| = \Omega(m2^m). \quad (1)$$

### The Proof (continued)

- $C_i(x, x)$  denotes the sequence of communications for  $P(x, x)$  given the cut.
- $T(n)$ : the worst-case running time, when dealing with  $P(y, y)$ .
- $T(n) \geq \sum_{i=1}^m |C_i(y, y)|$  as  $T(n)$  is the *worst-case* time bound.
- Now,

$$\begin{aligned} 2^m T(n) &= \sum_{x \in \{0,1\}^m} T(n) \geq \sum_{x \in \{0,1\}^m} \sum_{i=1}^m |C_i(x, x)| \\ &= \sum_{i=1}^m \sum_{x \in \{0,1\}^m} |C_i(x, x)|. \end{aligned}$$

### The Proof (concluded)

- By the pigeonhole principle,<sup>a</sup> there exists an  $0 \leq i^* \leq m$ ,

$$\sum_{x \in \{0,1\}^m} |C_{i^*}(x, x)| \leq \frac{2^m T(n)}{m}.$$

- Eq. (1) on p. 39 says that

$$\sum_{x \in \{0,1\}^m} |C_{i^*}(x, x)| = \Omega(m2^m).$$

- Hence

$$T(n) = \Omega(m^2) = \Omega(n^2).$$

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<sup>a</sup>Dirichlet (1805–1859).

### Decidability and Recursive Languages

- Let  $L \subseteq (\Sigma - \{\square\})^*$  be a **language**, i.e., a set of strings of symbols with a finite length.
  - For example,  $\{0, 01, 10, 210, 1010, \dots\}$ .
- Let  $M$  be a TM such that for any string  $x$ :
  - If  $x \in L$ , then  $M(x) = \text{“yes.”}$
  - If  $x \notin L$ , then  $M(x) = \text{“no.”}$
- We say  $M$  **decides**  $L$ .
- If  $L$  is decided by some TM, then  $L$  is **recursive**.
  - Palindromes over  $\{0, 1\}^*$  are recursive.

### Comments on Lower-Bound Proofs

- They are usually difficult.
  - Worthy of a Ph.D. degree.
- A lower bound that matches a known upper bound (given by an efficient algorithm) shows that the algorithm is optimal.
  - The simple  $O(n^2)$  algorithm for PALINDROME is optimal.
- This happens rarely and is model dependent.
  - Searching, sorting, PALINDROME, matrix-vector multiplication, etc.

### Acceptability and Recursively Enumerable Languages

- Let  $L \subseteq (\Sigma - \{\square\})^*$  be a language.
- Let  $M$  be a TM such that for any string  $x$ :
  - If  $x \in L$ , then  $M(x) = \text{“yes.”}$
  - If  $x \notin L$ , then  $M(x) = \nearrow$ .
- We say  $M$  **accepts**  $L$ .
- If  $L$  is accepted by some TM, then  $L$  is a **recursively enumerable language**.
  - Technically, a recursively enumerable language can be generated by a TM, thus the name.



## Recursive and Recursively Enumerable Languages

**Proposition 2** *If  $L$  is recursive, then it is recursively enumerable.*

- Let TM  $M$  decide  $L$ .
- We next modify  $M$ 's program to obtain  $M'$  that accepts  $L$ .
- $M'$  is identical to  $M$  except that when  $M$  is about to halt with a “no” state,  $M'$  goes into an infinite loop.
- $M'$  accepts  $L$ .

## Church's Thesis or the Church-Turing Thesis

- What is computable is Turing-computable; TMs are algorithms (Kleene 1953).
- Many other computation models have been proposed.
  - Recursive function (Gödel),  $\lambda$  calculus (Church), formal language (Post), assembly language-like RAM (Shepherdson & Sturgis), boolean circuits (Shannon), extensions of the Turing machine (more strings, two-dimensional strings, and so on), etc.
- All have been proved to be equivalent.
- No “intuitively computable” problems have been shown not to be Turing-computable (yet).

## Turing-Computable Functions

- Let  $f: (\Sigma - \{\sqcup\})^* \rightarrow \Sigma^*$ .
  - Optimization problems, root finding problems, etc.
- Let  $M$  be a TM with alphabet  $\Sigma$ .
- $M$  **computes**  $f$  if for any string  $x \in (\Sigma - \{\sqcup\})^*$ ,  $M(x) = f(x)$ .
- We call  $f$  a **recursive function**<sup>a</sup> if such an  $M$  exists.

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<sup>a</sup>Gödel (1931).

## Extended Church's Thesis

- All “reasonably succinct encodings” of problems are *polynomially related*.
  - Representations of a graph as an adjacency matrix and as a linked list are both succinct.
  - The *unary* representation of numbers is not succinct.
  - The *binary* representation of numbers is succinct.
    - \* 1001 vs. 111111111.
- All numbers for TMs will be binary from now on.

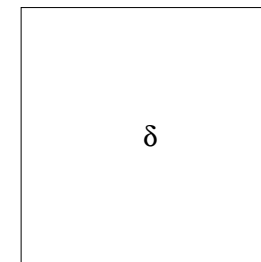
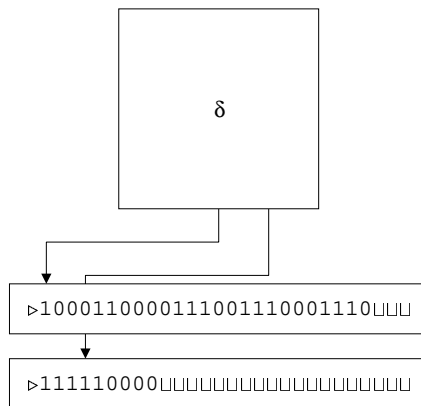
### Turing Machines with Multiple Strings

- A  $k$ -string Turing machine (TM) is a quadruple  $M = (K, \Sigma, \delta, s)$ .
- $K, \Sigma, s$  are as before.
- $\delta : K \times \Sigma^k \rightarrow (K \cup \{h, \text{"yes"}, \text{"no"}\}) \times (\Sigma \times \{\leftarrow, \rightarrow, -\})^k$ .
- All strings start with a  $\triangleright$ .
- The first string contains the input.
- Decidability and acceptability are the same as before.
- When TMs compute functions, the output is on the last ( $k$ th) string.

### PALINDROME Revisited

- A 2-string TM can decide PALINDROME in  $O(n)$  steps.
  - It copies the input to the second string.
  - The cursor of the first string is positioned at the first symbol of the input.
  - The cursor of the second string is positioned at the last symbol of the input.
  - The two cursors are then moved in opposite directions until the ends are reached.
  - The machine accepts if and only if the symbols under the two cursors are identical at all steps.

### A 2-String TM



## Configurations and Yielding

- The concept of configuration and yielding is the same as before except that a configuration is a  $(2k + 1)$ -triple

$$(q, w_1, u_1, w_2, u_2, \dots, w_k, u_k).$$

- $w_i u_i$  is the  $i$ th string.
- The  $i$ th cursor is reading the last symbol of  $w_i$ .
- Recall that  $\triangleright$  is each  $w_i$ 's first symbol.
- The  $k$ -string TM's initial configuration is

$$(s, \overbrace{\triangleright, x, \triangleright, \epsilon, \triangleright, \epsilon, \dots, \triangleright, \epsilon}^{2k}).$$

## Time Complexity Classes<sup>a</sup>

- Suppose language  $L \subseteq (\Sigma - \{\sqcup\})^*$  is decided by a multistring TM operating in time  $f(n)$ .
- We say  $L \in \text{TIME}(f(n))$ .
- $\text{TIME}(f(n))$  is the set of languages decided by TMs with multiple strings operating within time bound  $f(n)$ .
- $\text{TIME}(f(n))$  is a **complexity class**.
  - PALINDROME is in  $\text{TIME}(f(n))$ , where  $f(n) = O(n)$ .

<sup>a</sup>Hartmanis and Stearns (1965), Hartmanis, Lewis, and Stearns (1965).

## Time Complexity

- The multistring TM is the basis of our notion of the time expended by TM computations.
- If for a  $k$ -string TM  $M$  and input  $x$ , the TM halts after  $t$  steps, then the **time required by  $M$  on input  $x$**  is  $t$ .
- If  $M(x) = \nearrow$ , then the time required by  $M$  on  $x$  is  $\infty$ .
- Machine  $M$  **operates within time  $f(n)$**  for  $f : \mathbb{N} \rightarrow \mathbb{N}$  if for any input string  $x$ , the time required by  $M$  on  $x$  is at most  $f(|x|)$ .
  - $|x|$  is the length of string  $x$ .
  - Function  $f(n)$  is a **time bound** for  $M$ .

## The Simulation Technique

**Theorem 3** *Given any  $k$ -string  $M$  operating within time  $f(n)$ , there exists a (single-string)  $M'$  operating within time  $O(f(n)^2)$  such that  $M(x) = M'(x)$  for any input  $x$ .*

- The single string of  $M'$  implements the  $k$  strings of  $M$ .
- Represent configuration  $(w_1, u_1, w_2, u_2, \dots, w_k, u_k)$  of  $M$  by configuration

$$(q, \triangleright w'_1 u_1 \triangleleft w'_2 u_2 \triangleleft \dots \triangleleft w'_k u_k \triangleleft \triangleleft)$$

of  $M'$ .

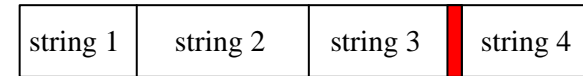
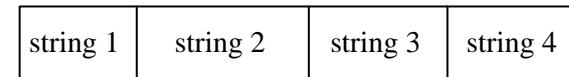
- $\triangleleft$  is a special delimiter.
- $w'_i$  is  $w_i$  with the first and last symbols "primed."

### The Proof (continued)

- The initial configuration of  $M'$  is

$$(s, \triangleright \triangleright' x \triangleleft \overbrace{\triangleright' \triangleleft \cdots \triangleright' \triangleleft}^{k-1 \text{ pairs}}).$$

- To simulate each move of  $M$ :
  - $M'$  scans the string to pick up the  $k$  symbols under the cursors.
    - \* The states of  $M'$  must include  $K \times \Sigma^k$  to remember them.
    - \* The transition functions of  $M'$  must also reflect it.
  - $M'$  then changes the string to reflect the overwriting of symbols and cursor movements of  $M$ .



### The Proof (continued)

- It is possible that some strings of  $M$  need to be lengthened.
  - The linear-time algorithm on p. 31 can be used for each such string.
- The simulation continues until  $M$  halts.
- $M'$  erases all strings of  $M$  except the last one.
- Since  $M$  halts within time  $f(|x|)$ , none of its strings ever becomes longer than  $f(|x|)$ .
- The length of the string of  $M'$  at any time is  $O(kf(|x|))$ .

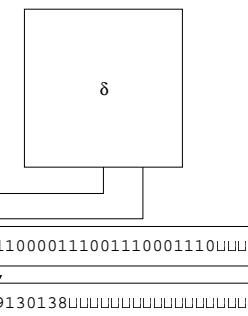
### The Proof (concluded)

- Simulating each step of  $M$  takes, *per string of  $M$* ,  $O(kf(|x|))$  steps.
  - $O(f(|x|))$  steps to collect information.
  - $O(kf(|x|))$  steps to write and, if needed, to lengthen the string.
- $M'$  takes  $O(k^2 f(|x|))$  steps to simulate each step of  $M$ .
- As there are  $f(|x|)$  steps of  $M$  to simulate,  $M'$  operates within time  $O(k^2 f(|x|)^2)$ .

## Linear Speedup

**Theorem 4** Let  $L \in TIME(f(n))$ . Then for any  $\epsilon > 0$ ,  $L \in TIME(f'(n))$ , where  $f'(n) = \epsilon f(n) + n + 2$ .

- Let  $L$  be decided by a  $k$ -string TM  $M = (K, \Sigma, \delta, s)$  operating within time  $f(n)$ .
- Our goal is to construct a  $k'$ -string  $M' = (K', \Sigma', \delta', s')$  operating within the time bound  $f'(n)$  and which *simulates*  $M$ .
- Set  $k' = \max(k, 2)$ .
- We encode  $m = \lceil 6/\epsilon \rceil$  symbols of  $M$  in *one* symbol of  $M'$  so that  $M'$  can simulate  $m$  steps of  $M$  within 6 steps.



- $m = 3$ .
- 3-ary representation, with  $\square \rightarrow 2$ .

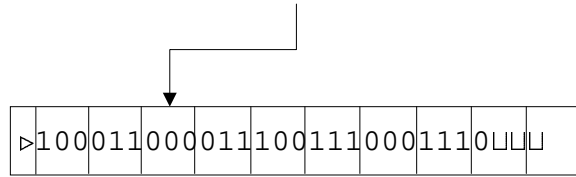
## The Proof (continued)

- $\Sigma' = \Sigma \cup \Sigma^m$ .
- Phase one of  $M'$ :
  - $M'$  has states corresponding to  $K \times \Sigma^m$ .
  - Map each block of  $m$  symbols of the input  $\sigma_1\sigma_2 \cdots \sigma_m$  to the *single* symbol  $(\sigma_1\sigma_2 \cdots \sigma_m) \in \Sigma'$  of  $M'$  to the second string.
  - Doable because  $M'$  has the states for remembering.
- This phase takes  $m\lceil |x|/m \rceil + 2$  steps.
  - The extra 2 comes from the enclosing symbols  $\triangleright$  and  $\square$ .

## The Proof (continued)

- Treat the second string as the one containing the input.
  - If  $k > 1$ , use the first string as an ordinary work string.
- $M'$  simulates  $m$  steps of  $M$  by six or fewer steps, called a **stage**.
- A stage begins with  $M'$  in state  $(q, j_1, j_2, \dots, j_k)$ .
  - $q \in K$  and  $j_i \leq m$  is the position of the  $i$ th cursor within the  $m$ -tuple scanned.
  - If the  $i$ th cursor of  $M$  is at the  $\ell$ th symbol after  $\triangleright$ , then the  $(i + 1)$ st cursor of  $M'$  will point to the  $\lceil \ell/m \rceil$ th symbol after  $\triangleright$  and  $j_i = ((\ell - 1) \bmod m) + 1$ .

### The Proof (continued)



- $m = 3$ .
- $\ell = 8$ .
- $\lceil \ell/m \rceil = \lceil 8/3 \rceil = 3$ .
- $j_i = ((8 - 1) \bmod 3) + 1 = 2$ .

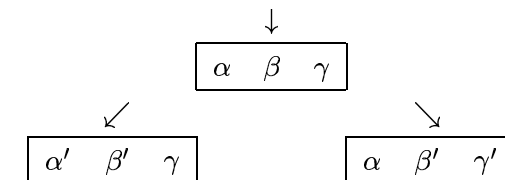
### The Proof (concluded)

- $M'$  has all the information needed for the next  $m$  moves of  $M$ !
- Hard-code that into its program  $\delta'$ .
- $\delta'$  now implements the changes in string contents and state brought about by the next  $m$  moves of  $M$ .
  - This takes 2 steps: One for the current  $m$ -tuple and one for one of its two neighbors.
- The total number of  $M'$  steps is at most 6 per stage.
- The total number of  $M'$  steps is at most

$$|x| + 2 + 6 \times \left\lceil \frac{f(|x|)}{m} \right\rceil \leq |x| + 2 + \epsilon f(|x|).$$

### The Proof (continued)

- Then  $M'$  moves all cursors to the left by one position, then to the right twice, and then to the left once.
  - This takes 4 steps.
  - No cursor of  $M$  can in  $m$  moves get out of the  $m$ -tuples scanned by  $M'$  above.
- $M'$  now “remembers” all symbols (of  $\Sigma'$ ) at or next to all cursors.
  - $M'$  contains states in  $K \times \{1, 2, \dots, m\}^k \times \Sigma^{3mk}$ .
  - That is an  $m^k \cdot |\Sigma|^{3mk}$ -fold increase.



## Implications of the Speedup Theorem

- State size can be traded for speed.
  - $m^k \cdot |\Sigma|^{3mk}$ -fold increase to gain a speedup of  $O(m)$ .
- If  $f(n) = cn$  with  $c > 1$ , then  $c$  can be made arbitrarily close to 1.
- If  $f(n)$  is superlinear, say  $f(n) = 14n^2 + 31n$ , then the constant in the leading term (14 in this example) can be made arbitrarily small.
  - *Arbitrary* linear speedup can be achieved.
  - This justifies the asymptotic big-O notation.
- 1-bit, 4-bit, 8-bit, 16-bit, 32-bit, 64-bit, 128-bit CPUs, and so on.

## Charging for Space

- We do not want to charge the space used only for input and output.
- Let  $k > 2$  be an integer.
- A  **$k$ -string Turing machine with input and output** is a  $k$ -string TM that satisfies the following conditions.
  - The input string is *read-only*.
  - The last string, the output string, is *write-only*.
  - So its cursor never moves to the left.
  - The cursor of the input string does not wander off into the  $\sqcup$ s.

## P

- By the linear speedup theorem, any polynomial time bound can be represented by its leading term  $n^k$  for some  $k \geq 1$ .
- If  $L$  is a polynomially decidable language, it is in  $\text{TIME}(n^k)$  for some  $k \in \mathbb{N}$ .
- The union of all polynomially decidable languages is denoted by P:

$$P = \bigcup_{k>0} \text{TIME}(n^k).$$

- Problems in P can be efficiently solved.

## Space Complexity

- Consider a  $k$ -string TM  $M$  with input  $x$ .
- We may assume  $\sqcup$  is never written over a non- $\sqcup$  symbol.
- If  $M$  halts in configuration  $(H, w_1, u_1, w_2, u_2, \dots, w_k, u_k)$ , then the **space required by  $M$  on input  $x$**  is  $\sum_{i=1}^k |w_i u_i|$ .
- If  $M$  is a TM with input and output, then the space required by  $M$  on input  $x$  is  $\sum_{i=2}^{k-1} |w_i u_i|$ .
- Machine  $M$  **operates within space bound  $f(n)$**  for  $f: \mathbb{N} \rightarrow \mathbb{N}$  if for any input  $x$ , the space required by  $M$  on  $x$  is at most  $f(|x|)$ .

## Space Complexity Classes

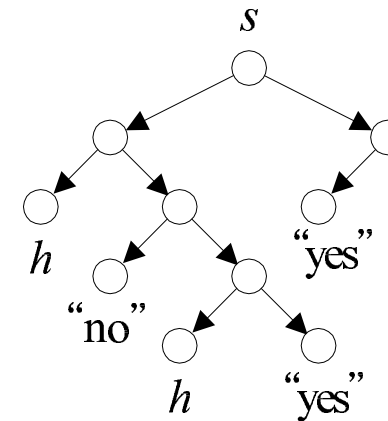
- Let  $L$  be a language.
- Then

$$L \in \text{SPACE}(f(n))$$

if there is a TM with input and output that decides  $L$  and operates within space bound  $f(n)$ .

- $\text{SPACE}(f(n))$  is a set of languages.
  - $\text{PALINDROME} \in \text{SPACE}(\log n)$ : Keep 3 pointers.
- As in the linear speedup theorem (Theorem 4), constant coefficients do not matter.

## Computation Tree and Computation Path



## Nondeterminism<sup>a</sup>

- A **nondeterministic Turing machine (NTM)** is a quadruple  $N = (K, \Sigma, \Delta, s)$ .
- $K, \Sigma, s$  are as before.
- $\Delta \subseteq K \times \Sigma \rightarrow (K \cup \{h, \text{"yes"}, \text{"no"}\}) \times \Sigma \times \{\leftarrow, \rightarrow, -\}$  is a relation, not a function.
  - For each state-symbol combination, there may be more than one next steps—or none at all.
- A configuration yields another configuration in one step if there *exists* a rule in  $\Delta$  that makes this happen.

<sup>a</sup>Rabin and Scott (1959).

## Decidability under Nondeterminism

- Let  $L$  be a language and  $N$  be an NTM.
- $N$  **decides**  $L$  if for any  $x \in \Sigma^*$ ,  $x \in L$  if and only if there is a sequence of valid configurations that ends in “yes.”
  - It is not required that the NTM halts in all computation paths.
- So if  $x \notin L$ , then no nondeterministic choices should lead to a “yes” state.
- Determinism is a special case of nondeterminism.



### An Example

- Let  $L$  be the set of logical conclusions of a set of axioms.
- Consider the nondeterministic algorithm:
  - 1:  $b := \text{false}$ ;
  - 2: **while** the input predicate  $\phi \neq b$  **do**
  - 3:   Generate a logical conclusion of  $b$  by applying some of the axioms; {Nondeterministic choice.}
  - 4: **end while**
  - 5: “yes”;
- This algorithm decides  $L$ .

### Complementing a TM's Halting States

- Let  $M$  decide  $L$ , and  $M'$  be  $M$  after “yes”  $\leftrightarrow$  “no”.
- If  $M$  is a (deterministic) TM, then  $M'$  decides  $\bar{L}$ .
- But if  $M$  is an NTM, then  $M'$  may not decide  $\bar{L}$ .
  - It is possible that both  $M$  and  $M'$  accept  $x$ .

