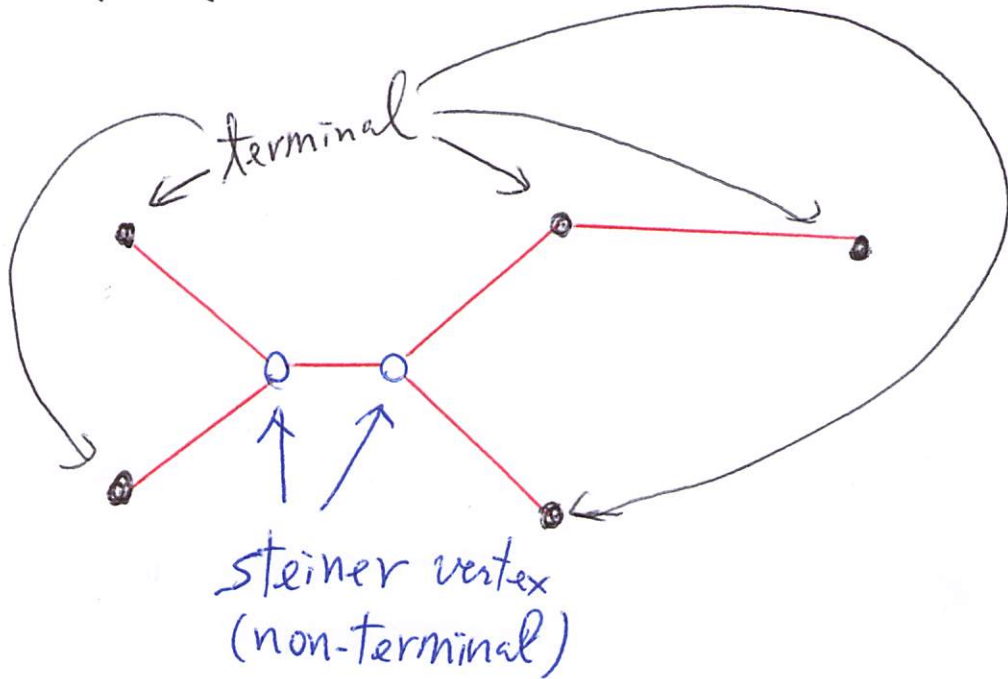


Steiner Minimal Trees (SMT)

Kent Max Q. @2019

Euclidean SMT



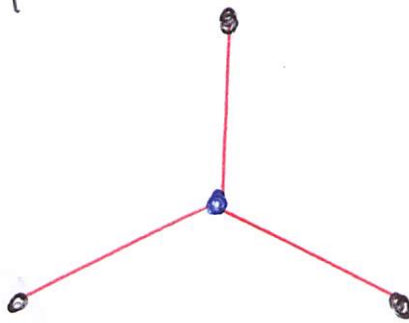
The objective is to find a tree spanning all the terminals with the minimum total cost.

Gilbert-Pollak conjecture:

$$\text{MST/SMT} \leq \frac{2}{\sqrt{3}}$$

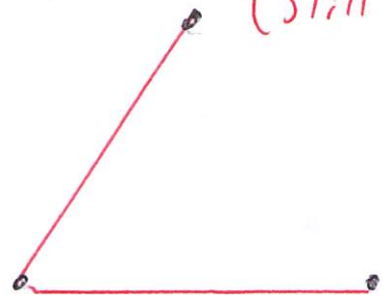
(still open!)

SMT:



$$\frac{\sqrt{3}}{2} \times \frac{2}{3} \times 3 = \sqrt{3}$$

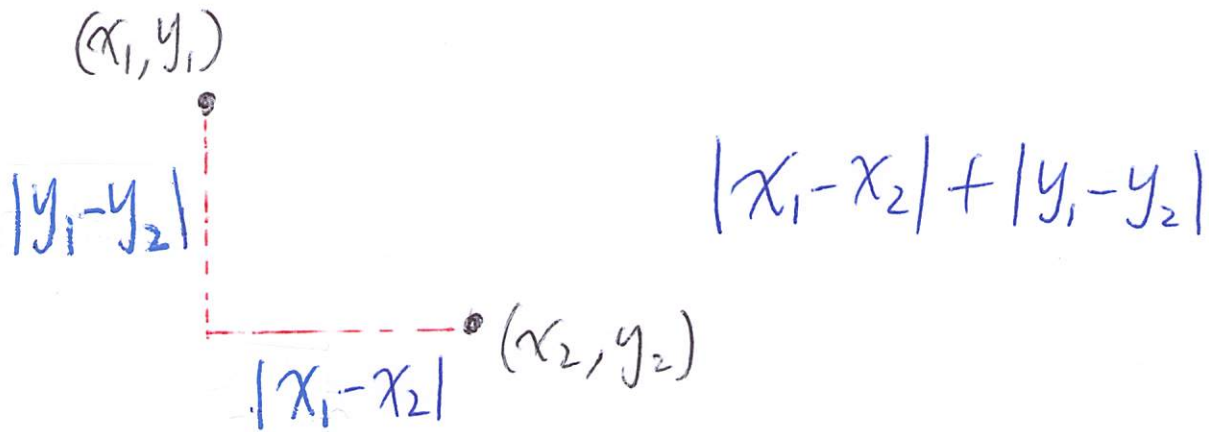
MST:



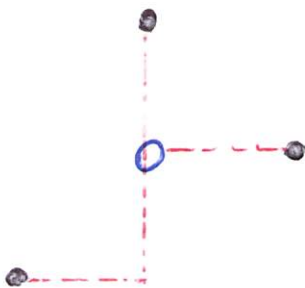
$$2$$

• Rectilinear SMT

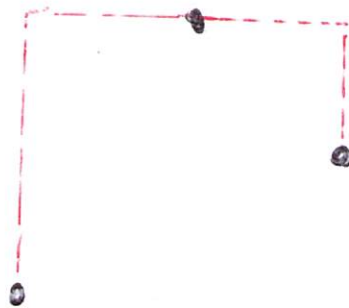
L^1 -norm (rectilinear distance)
Manhattan distance



SMT:



MST:



$$MST/SMT \leq \frac{3}{2} \quad (\text{Hwang, 1976})$$

②



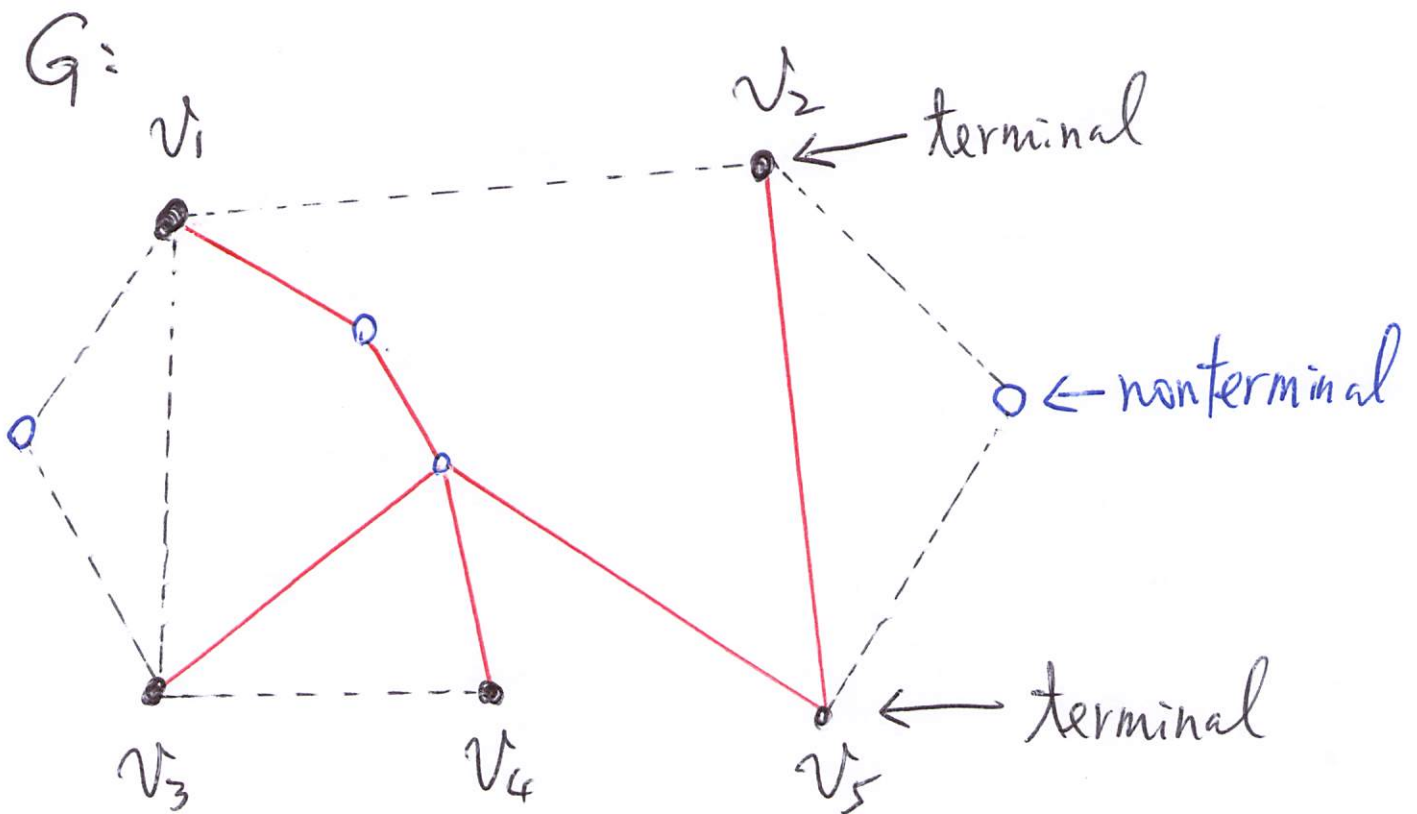
Graph SMT

$$G = (U, E, w)$$

$L \subseteq V =$ terminals

$w =$ nonnegative

Find a tree T with L & minimize $w(T)$.



$$L = \{v_1, v_2, v_3, v_4, v_5\}$$

4

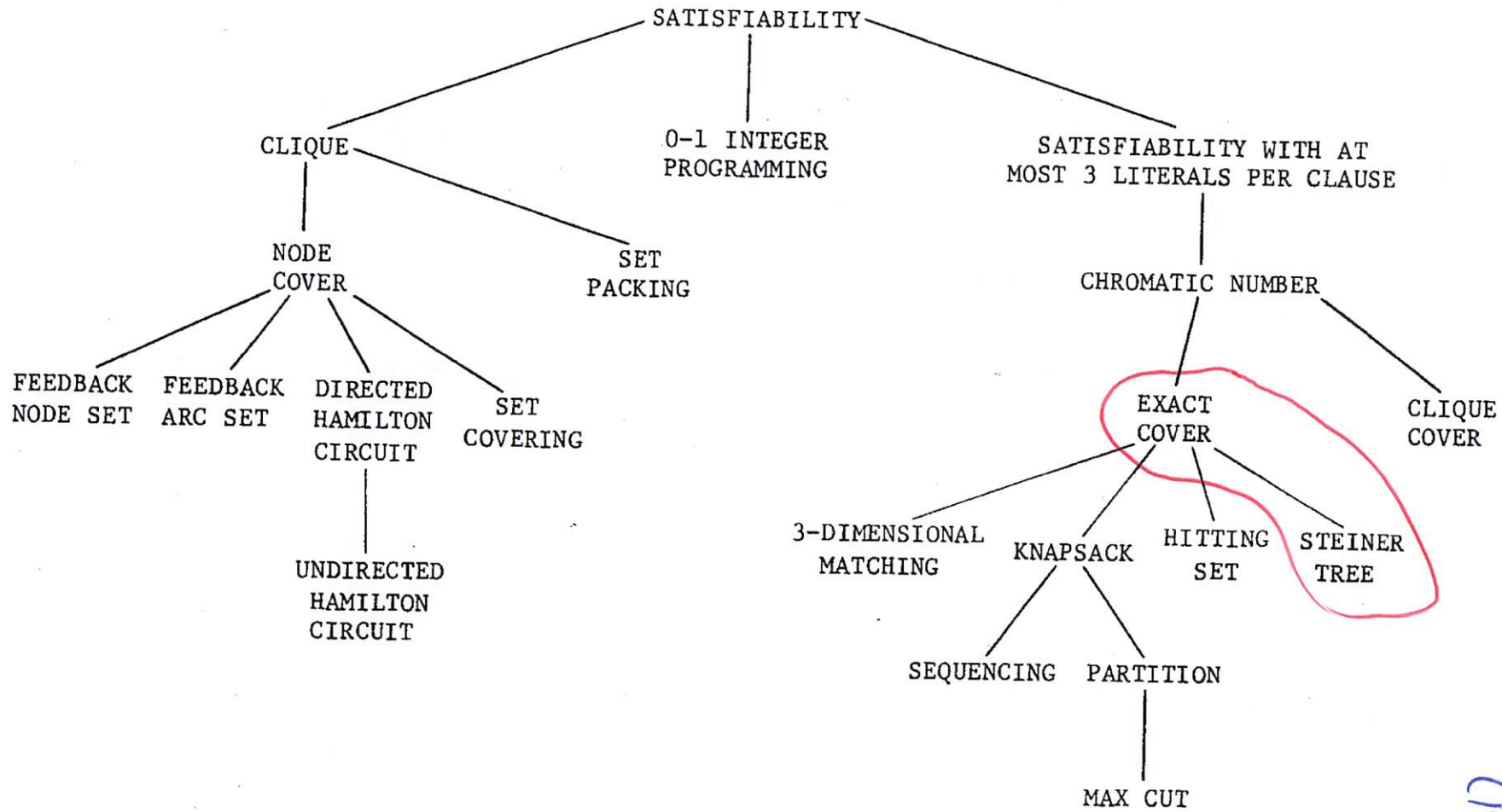


FIGURE 1 - Complete Problems

(1972)

RICHARD W. KARP

Kim-Miao Chen ©2019

Reducibility among Combinatorial Problems -- Richard M. Karp (1972)

14. EXACT COVER

INPUT: family $\{S_j\}$ of subsets of a set $\{u_i, i = 1, 2, \dots, t\}$
 PROPERTY: There is a subfamily $\{T_h\} \subseteq \{S_j\}$ such that the sets T_h are disjoint and $\cup T_h = \cup S_j = \{u_i, i = 1, 2, \dots, t\}$.

In short, an exact cover is "exact" in the sense that each element in $\{u_i\}$ is contained in exactly one subset in $\{T_h\}$.

16. STEINER TREE

INPUT: graph G , $R \subseteq N$, weighting function $w: A \rightarrow Z$, positive integer k
 PROPERTY: G has a subtree of weight $\leq k$ containing the set of nodes in R .

EXACT COVER α STEINER TREE

$$N = \{n_0\} \cup \{S_j\} \cup \{u_i\}$$

$$R = \{n_0\} \cup \{u_i\}$$

$$A = \{\{n_0, S_j\}\} \cup \{\{S_j, u_i\} \mid u_i \in S_j\}$$

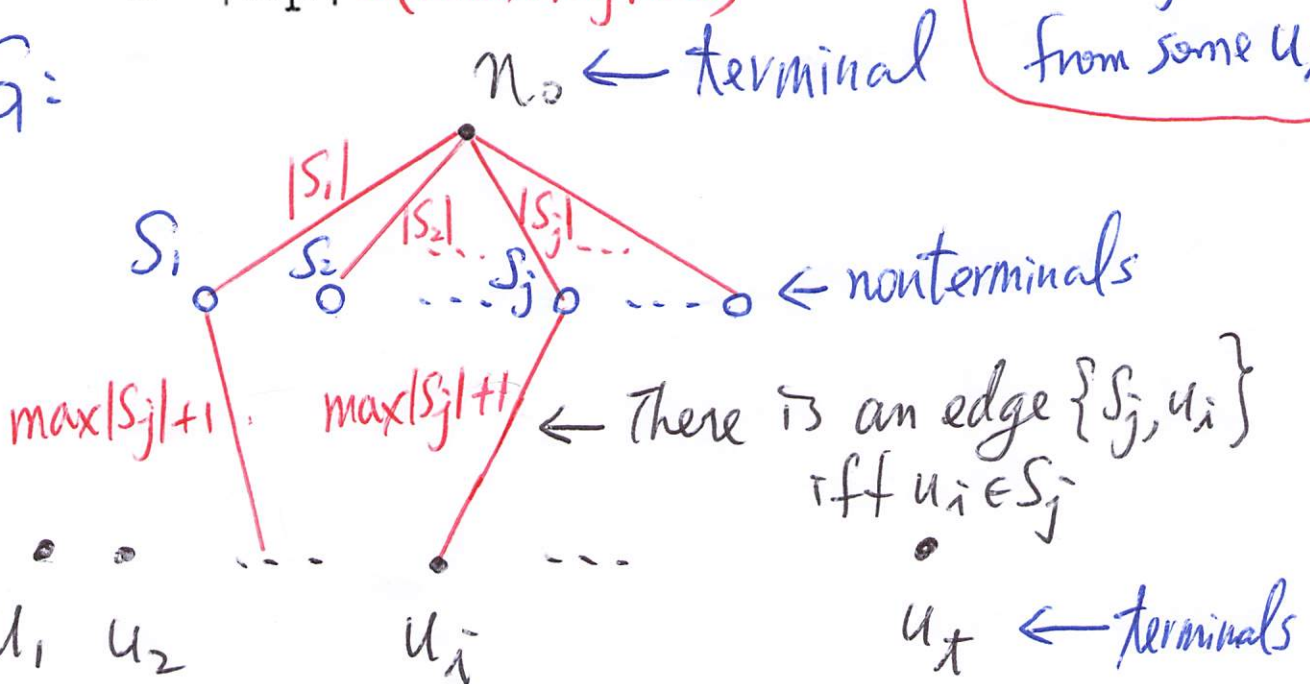
$$w(\{n_0, S_j\}) = |S_j|$$

$$w(\{S_j, u_i\}) = 0 \rightarrow \max |S_j| + 1$$

$$k = |\{u_i\}| \times (\max |S_j| + 2)$$

to avoid a free connection to some S_j back from some u_i

G :



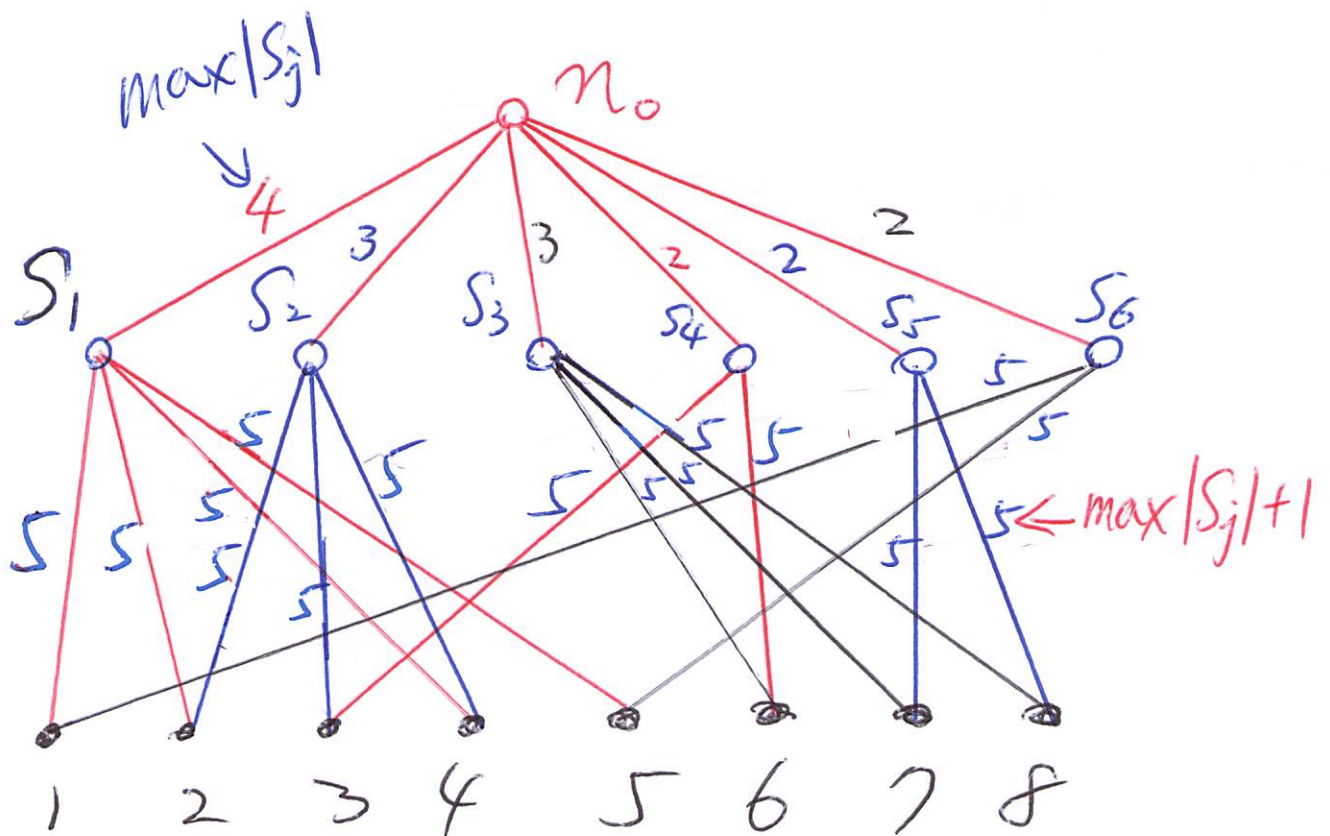
There is an exact cover $\{T_h\} \subseteq \{S_j\}$

if and only if G has an SMT of weight $|\{u_i\}| \times (\max |S_j| + 2)$.

Kun-Yao Chao
@2019

$$\{u_i\} = \{1, 2, 3, 4, 5, 6, 7, 8\}$$

$$\{S_j\} = \left\{ \overset{S_1}{\{1, 2, 4, 5\}}, \overset{S_2}{\{2, 3, 4\}}, \overset{S_3}{\{6, 7, 8\}}, \overset{S_4}{\{3, 6\}}, \overset{S_5}{\{7, 8\}}, \overset{S_6}{\{1, 5\}} \right\}$$



Exact Cover: $\{S_1, S_4, S_5\}$ or $\{S_2, S_3, S_6\}$.

⑥ SMT = weight = $(4+2+2) \times (4+2) = (3+3+2) \times (4+2) = 48$.

Algorithm: MST-STEINER

Input: A graph $G = (V, E, w)$ and a terminal set $L \subset V$.

Output: A Steiner tree T .

- 1: Construct the metric closure G_L on the terminal set L .
- 2: Find an MST T_L on G_L .
- 3: $T \leftarrow \emptyset$.
- 4: **for** each edge $e = (u, v) \in E(T_L)$ in a depth-first-search order of T_L **do**
 - 4.1: Find a shortest path P from u to v on G .
 - 4.2: **if** P contains less than two vertices in T **then**
 Add P to T ;
else
 Let p_i and p_j be the first and the last vertices already in T ;
 Add subpaths from u to p_i and from p_j to v to T .
- 5: Output T .

Basically we replace each edge in T_L with the corresponding shortest path at Step 4. But if there are two vertices already in the tree, adding the path will result in cycles. In this case we only insert the subpaths from the terminals to the vertices already in the tree. It avoids any cycle and ensures that the terminals are included. As a result, we can see that the algorithm returns a Steiner tree.

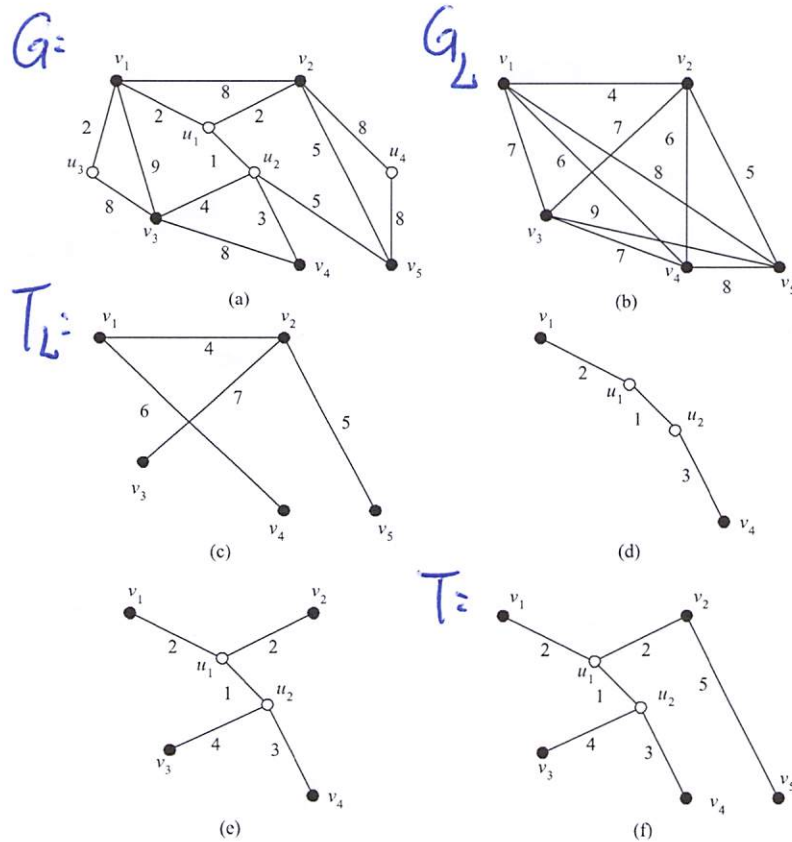


Figure 2: A sample execution of Algorithm MST-STEINER.



G_L : the metric closure on the terminal set L .

$\text{smt}(G, L)$: the Steiner minimal tree.

T_L : an MST on G_L

T : output of MST-Steiner.

* $w(T) \leq \bar{w}(T_L)$ ← At most all the shortest paths are inserted.

X : an Eulerian tour on $\text{smt}(G, L)$

* $w(X) = 2w(\text{smt}(G, L))$ ↓ visit all terminals

* $\bar{w}(T_L) \leq \bar{w}(\text{tsp}(G_L)) \leq w(X)$

↑
Traveling Salesperson Problem
(Removing one edge forms a spanning tree.)

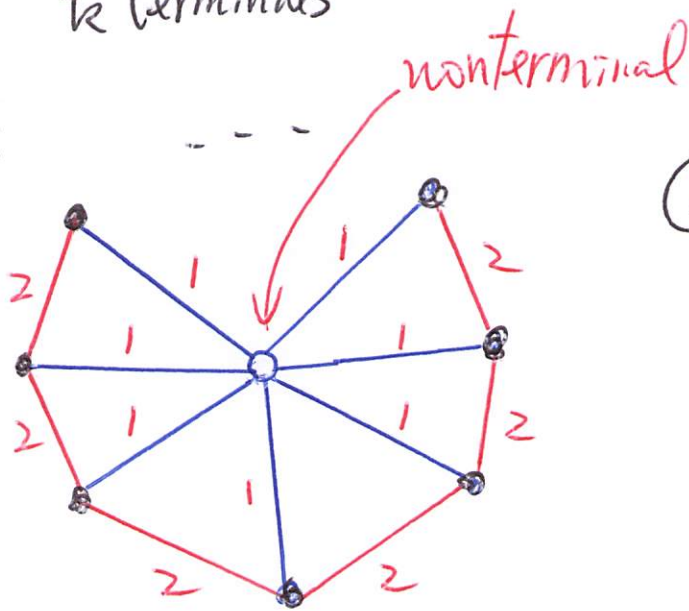


$\Rightarrow w(T) \leq 2w(\text{smt}(G, L))$

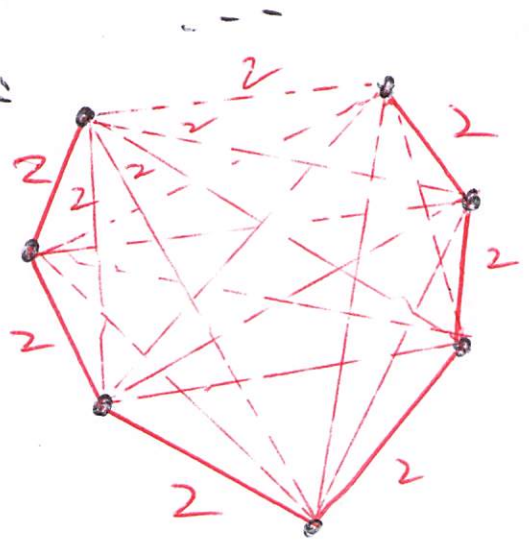
k terminals

Kun-Mow Chow @2019

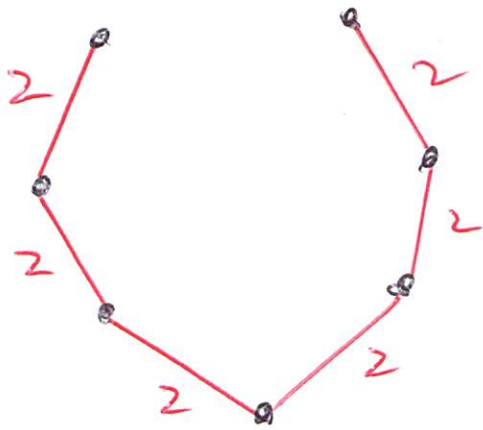
G :



G_L :



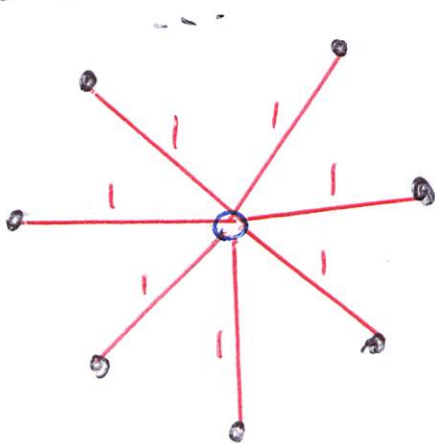
MST on G_L (T_L)



$\leftarrow T$

$$w(T) = 2(k-1)$$

$\text{smt}(G, L)$



$$w(\text{smt}(G, L)) = k$$

$$\frac{w(T)}{w(\text{smt}(G, L))} = \frac{2(k-1)}{k} = 2 - \frac{2}{k}$$