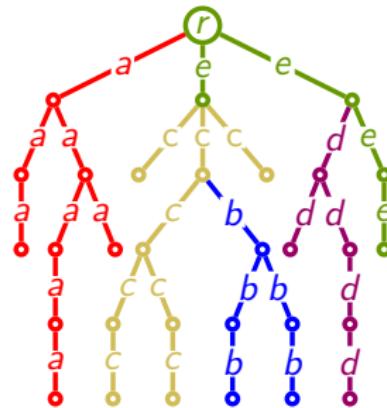
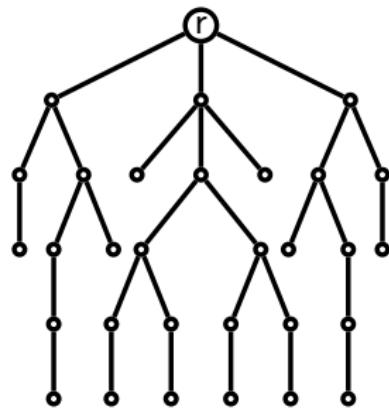


## *k*-split



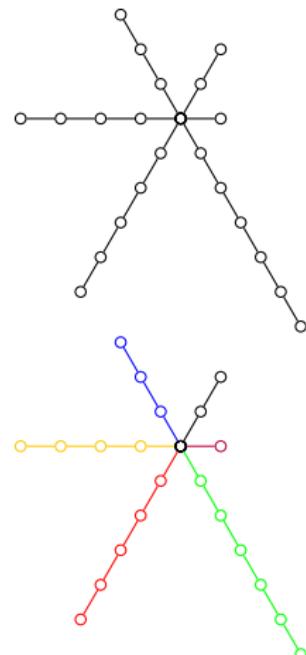
$$\text{EMax}(\mathcal{P}) = \_, \text{EMin}(\mathcal{P}) = \_, \text{ratio}(\mathcal{P}) = \_, \text{diff}(\mathcal{P}) = \_.$$

# Vertex Partition vs edge Partition

R. Becker *et. al*(JACM, 1982,1983) gave a poly-time algorithm for min-max and max-min objects for vertex partition problem on a tree.

G. N. Frederickson *et. al*(SODA, 1991) gave a linear-time algorithm for min-max and max-min objects for vertex partition problem on a tree.

B.Y. Wu *et. al*(DAM, 2007) showed that the min-max, max-min and min-ratio objects for edge-partition problem on a tree is NP-hard.



## Related Result

$\mathcal{P}$  is a  $k$ -split of a tree. B.Y. Wu showed that:

$\min(\text{ratio}(\mathcal{P})) \leq \underline{\quad}, \forall k \in \underline{\quad}$

$\min(\text{ratio}(\mathcal{P})) \leq \underline{\quad}, \text{ for } k = \underline{\quad}$

### Conjecture (Wu's $k$ -split conjecture)

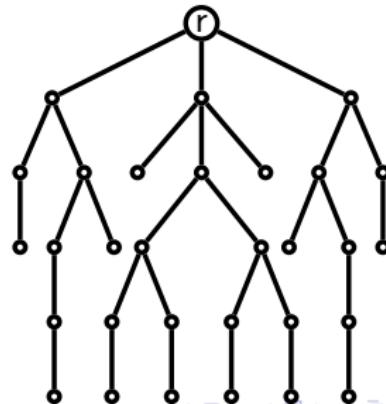
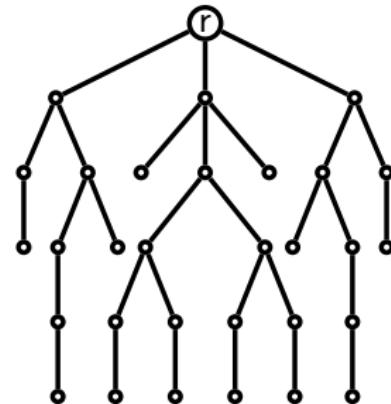
*Given a tree  $T$  with  $n$  edges and a positive integer  $k \leq n$ , we can always split  $T$  into  $k$  edge-disjoint subtrees such that the ratio of the maximum number to the minimum number of edges of the subtrees is at most two.*

# Split lemma

## Lemma (splitting lemma)

Let  $P$  be a tree with root  $r$ . For any  $2 \leq \lambda \leq e(P)$ , we can find a subtree  $P'$  in linear time, such that  $e(P')$  is in a closed interval  $[\lambda, 2\lambda - 2]$ .

Moreover, when we remove  $P'$  from  $P$ , we get a subtree of  $P$  containing the root  $r$ . Remark:  $\lambda$  的上限仍可維持  $e(P)$ ，當  $\lambda > \frac{e(P)}{2}$  時，則  $P'$  取整個  $P$ ，但 remove  $P'$  後， $P$  仍剩一點 root。



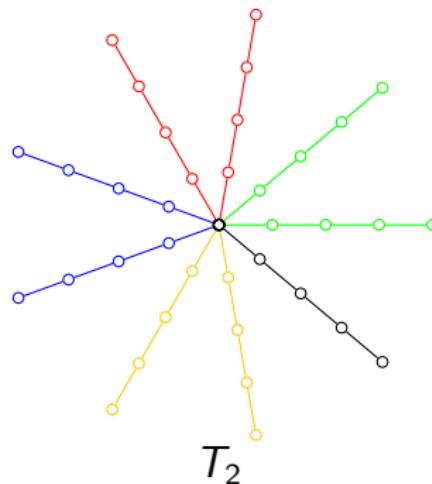
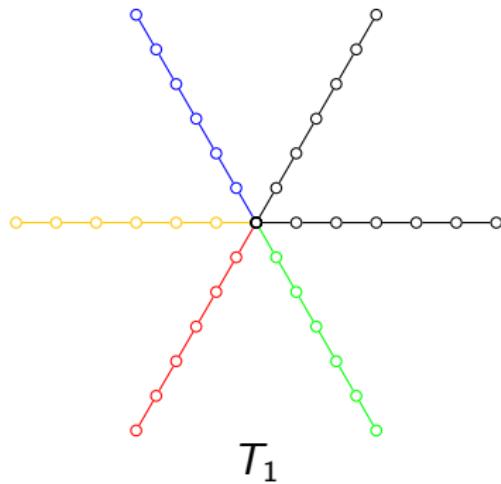
# Where is the boundary?

$\text{EMax}(\mathcal{P}) \leq \underline{\quad}$ , ex:  $e(\mathcal{P}) = (1, 1, \dots, 1, 2)$

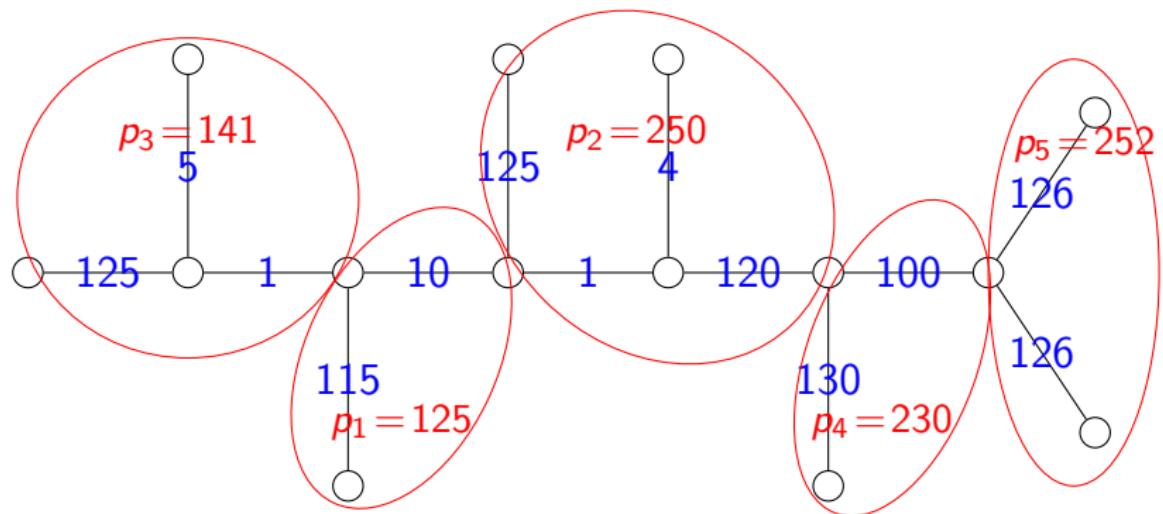
$\text{EMin}(\mathcal{P}) \geq \underline{\quad}$ , ex:  $e(\mathcal{P}) = (1, 2, 2, \dots, 2)$

When  $n=36$ ,  $\exists T_1$  s.t.  $\min(\text{EMax}(\mathcal{P})) = 12$ .

When  $n=36$ ,  $\exists T_2$  s.t.  $\max(\text{EMin}(\mathcal{P})) = 4$ ,

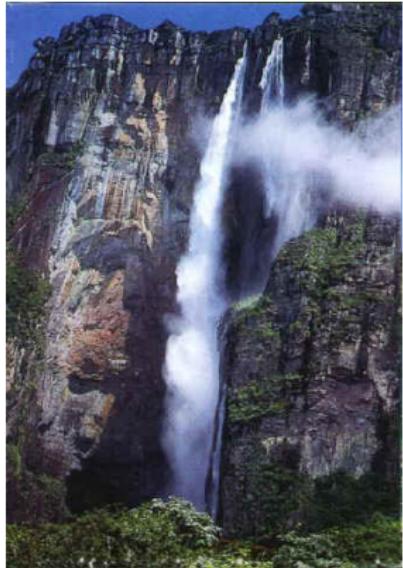
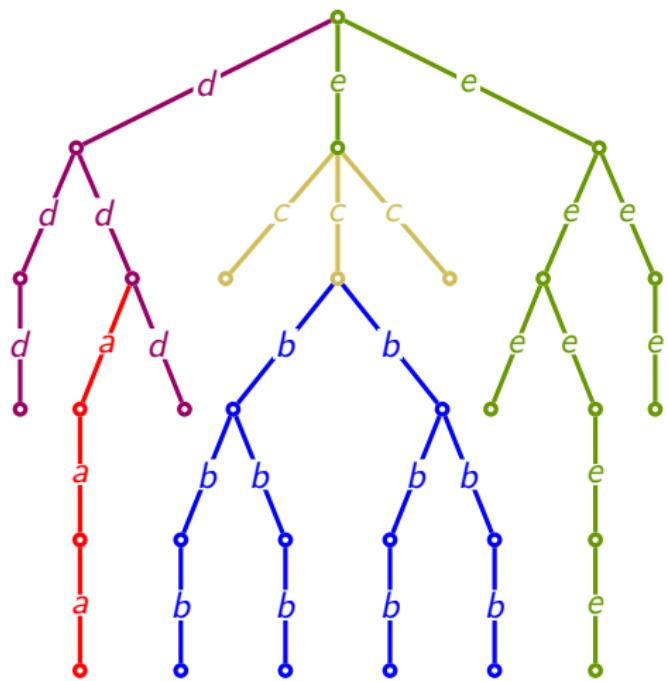


# Split a part with weight closest to the average



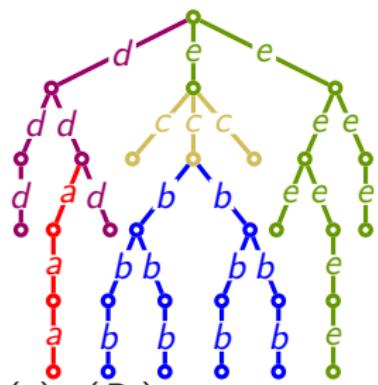
$i$	5	4	3	2	1
$n_i$	998	746	516	375	125
$m_i$	199.6	186.5	172	187.5	125
$p_i$	252	230	141	250	125

When ratio is greater than 2, ...

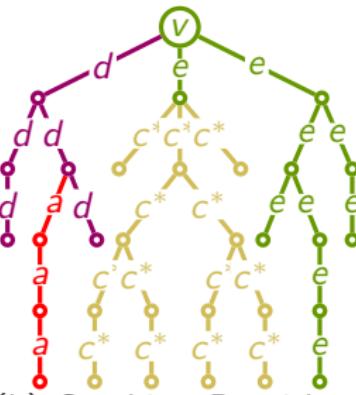


Key: \_\_\_\_\_

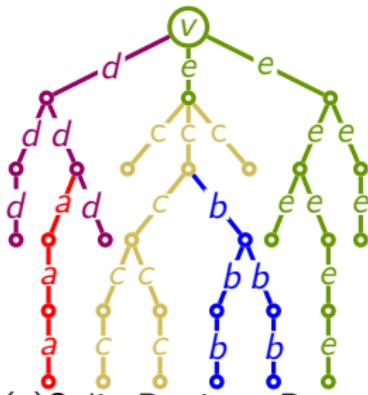
$$e(P_b) = 10, e(P_a) = e(P_c) = 3.$$



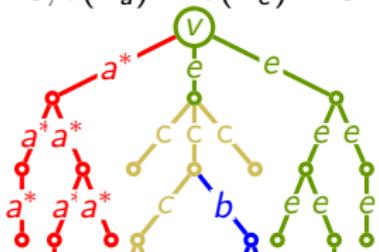
$$(a) e(P_b) = 10, e(P_a) = e(P_c) = 3.$$



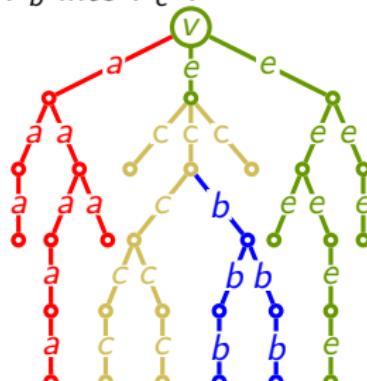
(b) Combine  $P_c$  with  $P_b$  into  $P_{c^*}$ .



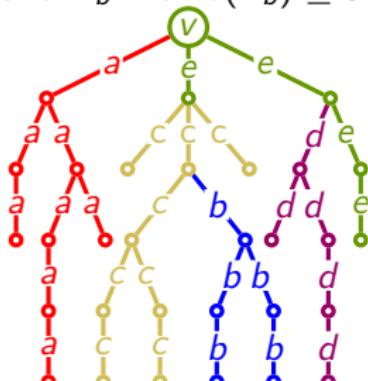
(c) Split  $P_{c^*}$  into  $P_c$  and  $P_b$  with  $e(P_b) \geq 5$ .



(d) Combine  $P_a$  and  $P_d$  into  $P_{a^*}$ .



$$(e) \ e(P_{a^*}) < e(P_e), \\ P_a \leftarrow P_{a^*}.$$



(f) Split  $e(P_e)$  into  $P_d$  and  $P_e$ :

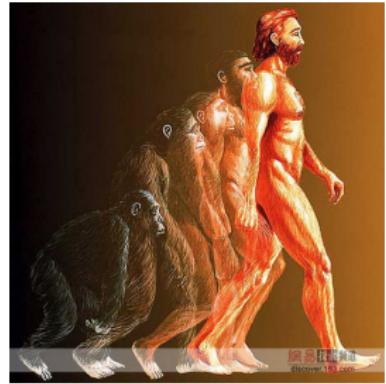
# Evaluate-And-Evolve

For a  $k$ -split  $\mathcal{P}$  of a tree, if  $\text{ratio}(\mathcal{P}) > 2$ , we execute  $\text{EVOLUTION}(\mathcal{P})$  to get a better  $\mathcal{P}$ .

The idea of  $\text{EVOLUTION}$  is to “balance” the size of different parts. Move some edges from the largest part to the smallest one.

To Claim the processes would terminal in finite steps: Use an integer function  $\text{Eval} : \mathcal{P} \rightarrow \mathbb{N}$  and claim

$$\text{Eval}(\text{EVOLUTION}(\mathcal{P})) < \text{Eval}(\mathcal{P}).$$



# Evaluate Partition

## Definition

$$D\text{Max}(\mathcal{P}) = E\text{Max}(\mathcal{P}) - \lceil \frac{n}{k} \rceil, D\text{Min}(\mathcal{P}) = \lfloor \frac{n}{k} \rfloor - E\text{Min}(\mathcal{P}).$$

$$N\text{Max}(\mathcal{P}) = |\{P_i : e(P_i) = E\text{Max}(\mathcal{P})\}|,$$

$$N\text{Min}(\mathcal{P}) = |\{P_i : e(P_i) = E\text{Min}(\mathcal{P})\}|.$$

$$\text{EEval}(\mathcal{P}) = (D\text{Max}(\mathcal{P}), N\text{Max}(\mathcal{P}), D\text{Min}(\mathcal{P}), N\text{Min}(\mathcal{P})).$$

The order of  $\text{EEval}(\{P_i\})$  is compared by lexicographic order.

We have \_\_\_\_\_  $< \text{EEval}(\mathcal{P}) <$  \_\_\_\_\_, for any  $\mathcal{P}$ .

For example,  $n = 100, k = 10$ ,

$$e(\mathcal{P}_1) = (5, 5, 5, 6, 9, 12, 14, 14, 15, 15),$$

$$e(\mathcal{P}_2) = (4, 5, 5, 9, 9, 12, 14, 14, 14, 14).$$

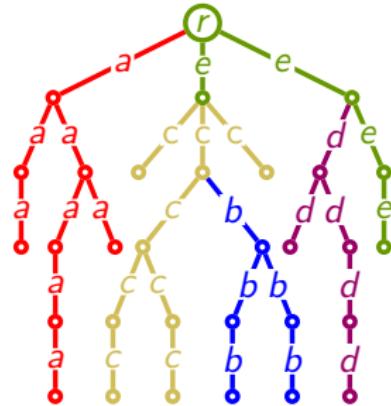
We have  $\text{EEval}(\mathcal{P}_1) = (5, 2, 5, 3) >$  \_\_\_\_\_  $= \text{EEval}(\mathcal{P}_2)$ .

# Partition Path

## Definition

$\mathcal{P} = \{P_1, P_2, \dots, P_k\}$  is a  $k$ -split of a tree  $T$ . For any two parts  $P_i, P_j \in \mathcal{P}$ , we define  $PPath(P_i, P_j) = \{P_{p_1}, P_{p_2}, \dots, P_{p_m}\}$  as follows:

- ①  $p_1 = i, p_m = j$
- ② For any two vertices  $v_i \in P_i, v_j \in P_j$ ,  $\{P_{p_2}, \dots, P_{p_{m-1}}\}$  are the parts which we cross in order when traversing along the path from  $v_i$  to  $v_j$ .



# Balance Lemma

BALANCE( $\{P_{p_1}, \dots, P_{p_m}\}$ ,  $\lambda$ )

- 1     $i = 1$
- 2    **while**  $P_{p_i} < \lambda$  and  $i < m$
- 3        **do**  $P_{p_i}^* = P_{p_i} \cup T_{p_{i+1}}$
- 4         $P_{p_i} = \text{SPLIT}(P_{p_i}^*, \lambda)$
- 5         $P_{p_{i+1}} = P_{p_i}^* \setminus P_{p_i}$
- 6         $i = i + 1$

## Lemma (Balance Lemma)

$\mathcal{P}$  is a  $k$ -split of tree  $T$ . Assume that  $\text{ratio}(\mathcal{P}) > 2$ ,  $e(P_{\min}) = E\text{Min}(\mathcal{P})$  and  $e(P_{\max}) = E\text{Max}(\mathcal{P})$ . Let  $\mathcal{P}'$  be the partition after executing  $\text{BALANCE}(P\text{Path}(P_{\min}, P_{\max}), \underline{E\text{Min}(\mathcal{P}) + 1})$ . We have  $E\text{Eval}(\mathcal{P}') < E\text{Eval}(\mathcal{P})$ .

# Proof of Balance lemma :

Proof:

BALANCE executes  $i$  times while-loop.

- $i < m$ :

$\text{DMax}(\mathcal{P}')$ ,  $\text{NMax}(\mathcal{P}')$  don't increase.

$\text{EMin}(\mathcal{P}) + 1 \leq e(P'_{p_t}) \leq 2 \cdot \text{EMin}(\mathcal{P})$ ,  $\forall 1 \leq t < i$ ,  
and  $P_{p_1} < \text{EMin}(\mathcal{P}) + 1 \leq P'_{p_1}$ .

Hence,  $\text{EEval}(\mathcal{P}')$  decreases.

- $i = m$ :

$\text{EMin}(\mathcal{P}) + 1 \leq e(P'_{p_t}) \leq 2 \cdot \text{EMin}(\mathcal{P})$ ,  $\forall 1 \leq t < m$ ,

$T_{p'_m} < P_{p_m}$ .

Hence,  $\text{EEval}(\mathcal{P}')$  decreases.



$k$ -split in  $O(n^3)$  time.

### Theorem

For any tree  $T$  with  $e(T) = n$ , there is an algorithms to get a  $k$ -split  $\mathcal{P}$  with  $\text{ratio}(\mathcal{P}) \leq 2$  in  $O(n^3)$ .

Proof:

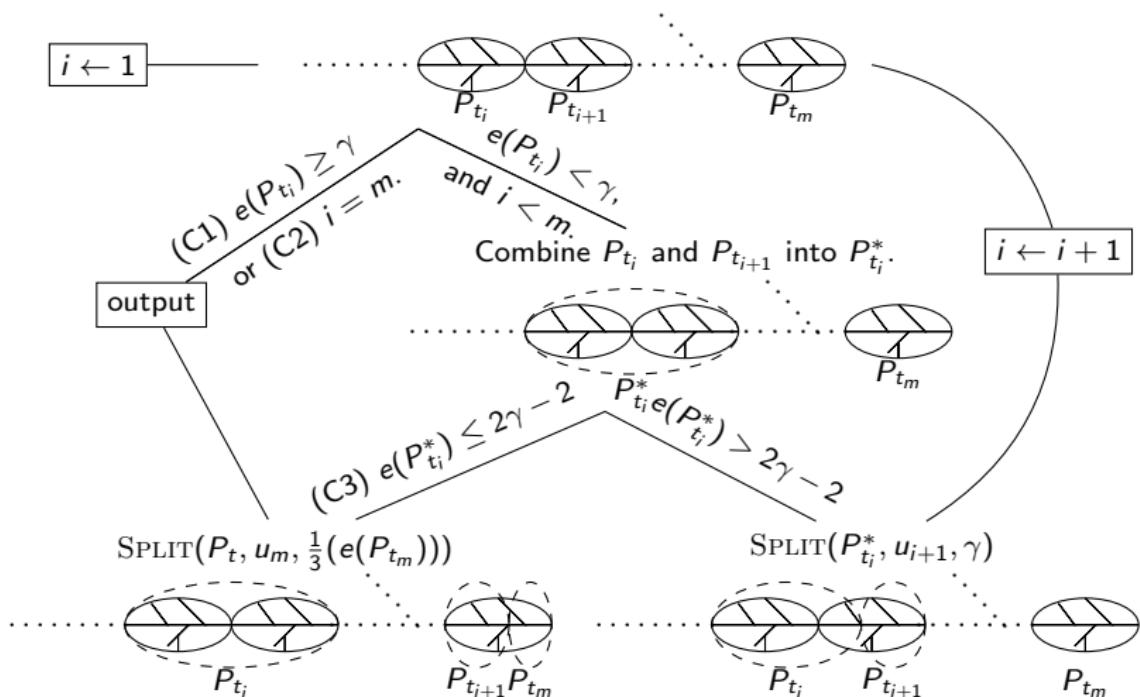
Choosin a  $\mathcal{P}$  with  $\text{EMax}(\mathcal{P}) \leq \frac{2n}{k+1} < 2\frac{n}{k}$ .

# (values smaller than  $\text{EEval}(\mathcal{P})$ )  $\leq$  \_\_\_\_\_.

By Balance Lemma, after executing BALANCE at most  $2n^2$  times, we have  $\text{ratio}(\mathcal{P}) \leq 2$ .

In BALANCE, we use SPLIT at most  $k$  times. And  $e(T_{p_i}^*) \leq \underline{\hspace{2cm}}$ . So the time complexity of BALANCE is  $O(3\frac{n}{k} \cdot k) = O(n)$ . Hence, this algorithm takes in  $O(n^3)$  time. □

# $k$ -split in $O(n^2)$ time : New Balance.



## $k$ -split in $O(n^2)$ time : New Evaluation.

$$\text{Eval}(\mathcal{P}) = k \cdot (\text{EMax}(\mathcal{P}) - \lceil \frac{n}{k} \rceil) - \text{NAccept}(\mathcal{P}),$$

where  $\text{NAccept}(\mathcal{P})$  is the number of parts with size in the range  $[\lfloor \frac{\text{EMax}(\mathcal{P})+1}{2} \rfloor, 2\lfloor \frac{\text{EMax}(\mathcal{P})+1}{2} \rfloor - 2]$ .

### Lemma (NewBalance Lemma)

$\mathcal{P}$  is a  $k$ -split of tree  $T$ . Assume that  $\text{ratio}(\mathcal{P}) > 2$ ,

$e(P_{\min}) = \text{EMin}(\mathcal{P})$  and  $e(P_{\max}) = \text{EMax}(\mathcal{P})$ . Let  $\mathcal{P}'$  be the partition after executing  $\text{NEWBALANCE}(PPath(P_{\min}, P_{\max}), \lfloor \frac{\text{EMax}(\mathcal{P})+1}{2} \rfloor)$ . We have  $\text{Eval}(\mathcal{P}') < \text{Eval}(\mathcal{P})$ .

### Theorem

For any tree  $T$  with  $e(T) = n$ , there is an algorithms to get a  $k$ -split  $\mathcal{P}$  with  $\text{ratio}(\mathcal{P}) \leq 2$  in  $O(n^2)$ .