

Attempts Towards Robust and Controllable Generation

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About Me

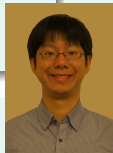
Professor
National Taiwan University



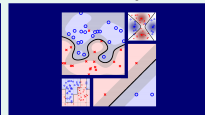
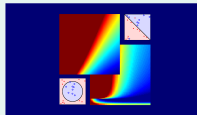
Chief Data Science Consultant
(former Chief Data Scientist)
Appier Inc.



Co-author
Learning from Data



Instructor
NTU-Coursera MOOCs
ML Foundations/Techniques



research goal: making machine more realistic

From Intelligence to Artificial Intelligence

intelligence: thinking and acting **smartly**

- **humanly**
- **rationally**

artificial intelligence: **computers** ~~thinking and~~ acting **smartly**

- **humanly**
- **rationally**

humanly \approx **smartly** \approx **rationally**
—are humans rational? 😊

Humanly versus Rationally

What if your self-driving car decides one death is better than two—and that one is you? (The Washington Post <http://wpo.st/ZK-51>)

You're humming along in your self-driving car, chatting on your iPhone 37 while the machine navigates on its own. Then a swarm of people appears in the street, right in the path of the oncoming vehicle.

Car Acting Humanly

to save my (and passengers')
life, stay on track

Car Acting Rationally

avoid the crowd and crash the
owner for minimum total loss

which is smarter?
—depending on where I am, maybe? 😊

Traditional vs. Modern [My] Definition of AI

Traditional Definition

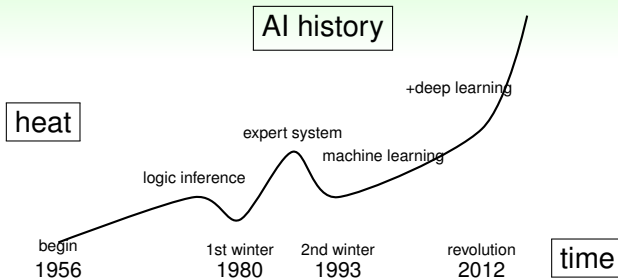
humanly \approx intelligently \approx rationally

My Definition

intelligently \approx easily
is your smart phone 'smart'? 😊

modern artificial intelligence
= application intelligence

AI Milestones



- first AI winter: AI cannot solve 'combinatorial explosion' problems
- second AI winter: expert system failed to scale

reason of winters: expectation mismatch

What's Different Now?

More Data

- cheaper storage
- Internet companies

Better Algorithms

- decades of research
- e.g. deep learning

Faster Computation

- cloud computing
- GPU computing

Healthier Mindset

- reasonable wishes
- key breakthroughs

data-enabled AI (with Machine Learning):
mainstream nowadays

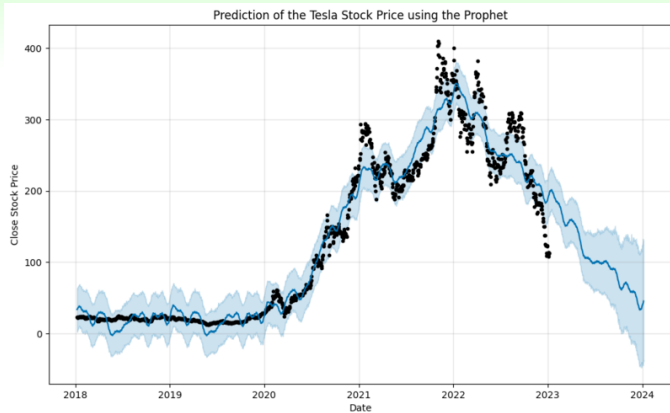
From AI to GAI: Is This GAI? (1/4)



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generative,
but **arguably no intelligence**

From AI to GAI: Is This GAI? (2/4)



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predictive intelligence,
but **arguably not generative**

From AI to GAI: Is This GAI? (3/4)



Leonardo da Vinci,
in Public Domain

+



Van Gogh,
in Public Domain

⇒



Pjfinlay,
with CC0

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generative intelligence,
or just (predictive) image processing?

Properties of Generative AI

Recognitive AI

Listen/Read/Watch

Generative AI

Speak/Write/Draw

Two Properties of Generative AI

variation (creativity)



(Pictures Extracted from Ho et al. for
educational purposes)

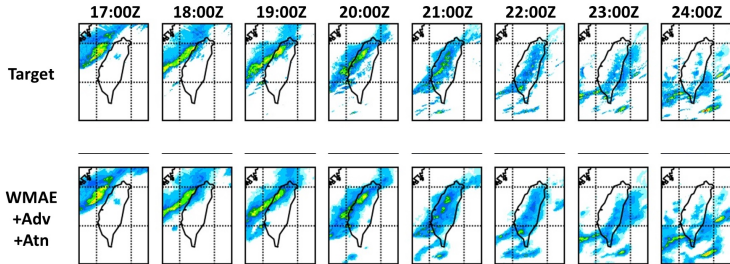
complexity (structure)



(Pictures Licensed under CC0 on Wikipedia)

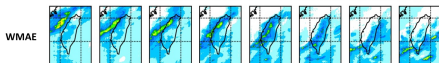
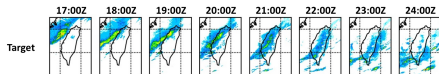
Generative AI :
complex outputs with **variations**

From AI to GAI: Is This GAI? (4/4)

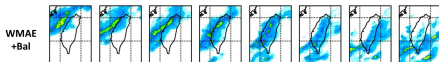


predictive: time-series prediction;
generative: complex output;
or does it matter? 😊

A Story on Modern Generative AI



regression model feels “safer” to
predict a bit of rain



multi-pixel regression + discretization
lack details

let's start with multi-pixel regression

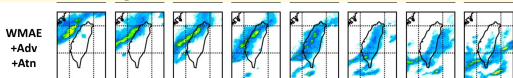
appears always raining, why?

let's force no-rain by discretizing
regression output

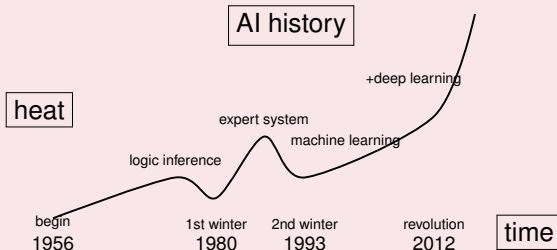
looks unnatural, why?

force human-indistinguishable by
generative AI

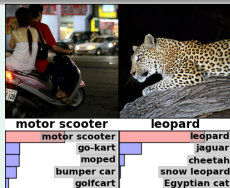
modern generative AI with mixed tools:



History (?): From Recognition to Generation

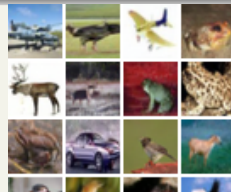


(Krizhevsky et al., 2012)
ImageNet
classification
with deep
convolutional
neural networks



Picture extracted from the original paper
of Krizhevsky et al. for educational purposes

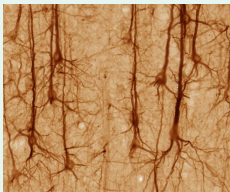
(Ho et al., 2020)
Denoising
diffusion
probabilistic
models



Picture extracted from the original paper
of Ho et al. for educational purposes

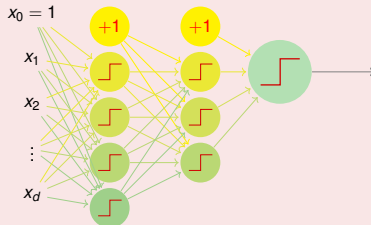
deep learning (neural network)
speeds up realizing modern AI

Neural Network: from Bird to Airplanes



by UC Regents Davis campus-brainmaps.org.

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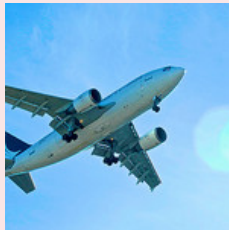
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neural network: a bio-inspired model

From Wright Flyer (1903) to Commercial Airplanes (1919–)



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we are at **wright-flyer-age** of (generative) AI

What's Needed before Wider Acceptance

- war? 😊
- technology advancements
 - like lighter materials, more efficient engines, **better control**
- regulations
 - like laws, licenses, etc.
- trials
 - understanding success **and failure** cases



will discuss research on **better control**

Score-based Generative Model (SGM)

SGM



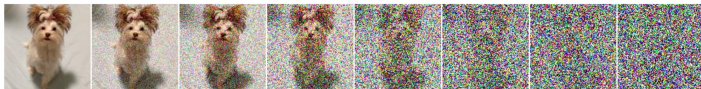
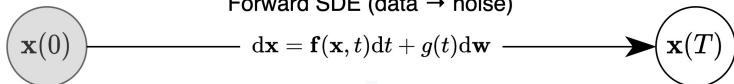
generated image \mathbf{x}



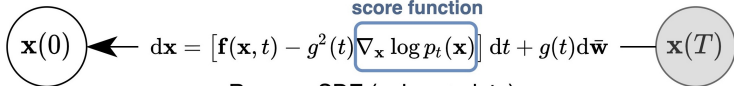
random image \mathbf{z}

(Free Content Use from <https://pixabay.com/vectors/robot-machine-technology-science-312566/>)

Forward SDE (data \rightarrow noise)



score function



Reverse SDE (noise \rightarrow data)

(Figure 1 from Song et al., ICLR 2021)

high-quality generation
when **score function** can be estimated

Conditional SGM

SGM

high-quality **unconditional** generation when $\nabla_{\mathbf{x}} \log p(\mathbf{x})$ can be estimated

Conditional SGM



generated \mathbf{x} ←

← random \mathbf{z} & $y = \text{dog}$

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Conditional SGM

high-quality **conditional** generation when $\nabla_{\mathbf{x}} \log p(\mathbf{x}|y)$ can be estimated

Hello, Bayes Rule

$$\nabla_{\mathbf{x}} \log p(\mathbf{x}|y) = \underbrace{\nabla_{\mathbf{x}} \log p(\mathbf{x})}_{\text{unconditional score}} + \underbrace{\nabla_{\mathbf{x}} \log p(y|\mathbf{x})}_{\text{classifier gradient}} - \underbrace{\nabla_{\mathbf{x}} \log p(y)}_0$$

simple **CGSGM**

by **classifier guidance** + **unconditional SGM**

Our Contributions

manuscript: Paul Kuo-Ming Huang, Si-An Chen, and Hsuan-Tien Lin.
Semi-Supervised Classifier Guidance with Self-Calibration for
Conditional Score-Based Generation.

our impacts: an in-depth study of cSGM, which ...

- makes its classifier design more **robust** with a novel angle of regularization
- **reduces the use of labeled data** significantly
- **achieves** state-of-the-art conditional generation performance in semi-supervised setting

next: our **fundamental research** attempt

Simple CGSGM

$$\nabla_{\mathbf{x}} \log p(\mathbf{x}|y) = \underbrace{\nabla_{\mathbf{x}} \log p(\mathbf{x})}_{\text{unconditional score}} + \underbrace{\nabla_{\mathbf{x}} \log p(y|\mathbf{x})}_{\text{classifier gradient}}$$

Pros

- easy reuse of well-trained unconditional SGM
- naturally applicable to semi-supervised data (few labeled data)

Cons

- ⇒ overfitting classifier
- ⇒ bad conditional score
- ⇒ bad conditional generation

but few labeled data \Rightarrow overfitting classifier?!

Key Idea: Align Classifier with Unconditional SGM

energy-based parameterization $\exp(h_\theta(\mathbf{x}, y)) \propto p(\mathbf{x}, y)$

unconditional SGM:

approximate $\nabla_{\mathbf{x}} \log p(\mathbf{x})$ by

classifier:

approximate $p(y|\mathbf{x})$ by

$$\frac{\exp(h_\theta(\mathbf{x}, y))}{\sum_k \exp(h_\theta(\mathbf{x}, k))}$$

$$\begin{aligned} \nabla_{\mathbf{x}} \log \underbrace{\frac{\sum_k \exp(h_\theta(\mathbf{x}, k))}{\text{normalization}}}_{p(\mathbf{x})} \\ = \nabla_{\mathbf{x}} \log \sum_k \exp(h_\theta(\mathbf{x}, k)) \\ - \underbrace{\nabla_{\mathbf{x}} \log(\text{normalization})}_0 \end{aligned}$$

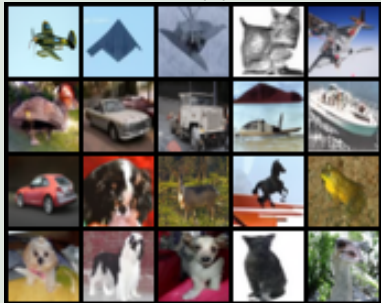
classifier can regularize itself by viewing from angle of unconditional SGM (proof omitted 😊)

Comparison to Original CGSGM

with merely 5% of labeled data

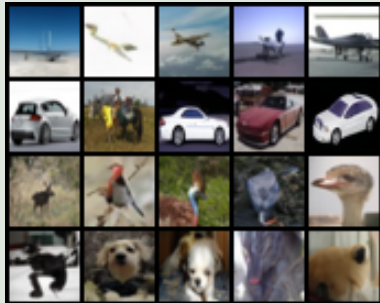
Original CGSVM

Intra-FID (\downarrow) 31.17



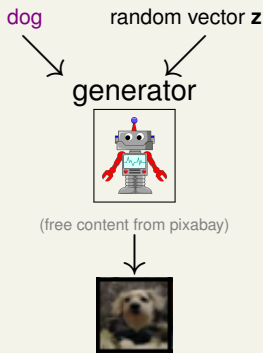
Our Improved CGSFM

Intra-FID (\downarrow) 18.95



ours: better quality & more accurate

Technical Summary



- creativity can go **wild**
—regularization **by another view** helps **control**
 - improved CGSGM: another view of classifier as **unconditional SGM**
- most importantly, **math helps!** 😊
—more efforts on **fundamental research** needed
 - energy-based parameterization helps

enough about **boring research** 😊,
let's share some **final wisdom**

My Thoughts after Research/(Teaching) Attempts

need research on **process**

manipulation challenge

generating something is easy;
generating good thing is difficult

—need research on **control**

certification challenge

trying is easy;
systematic testing is difficult

—need research on **evaluation**

let's research more to move GAI

to **trustworthy commercial tools**

