

Attempts Towards Controllable Generation

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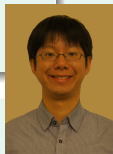
About Me

Professor
National Taiwan University



Chief Data Science Consultant
(former Chief Data Scientist)
Appier Inc.

The logo for Appier Inc., consisting of the word "Appier" in a blue, sans-serif font.



Co-author
Learning from Data



Instructor
NTU-Coursera MOOCs
ML Foundations/Techniques



research goal: making machine more realistic

Outline

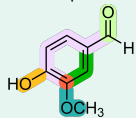
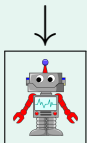
Si-An Chen, Chun-Liang Li, and Hsuan-Tien Lin. A unified view of cGANs with and without classifiers. NeurIPS 2021.

Paul Kuo-Ming Huang, Si-An Chen, and Hsuan-Tien Lin. Score-based conditional generation with fewer labeled data by self-calibrating classifier guidance. Work-In-Progress 2023.

Generative Models

molecular generation

random vector z

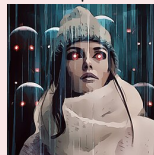
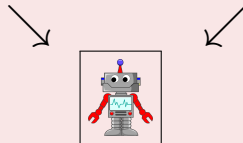


O=Cc1ccc(O)c(OC)c1

prompted drawing

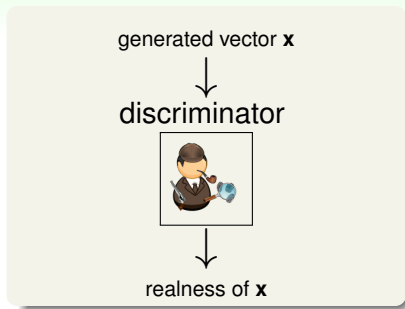
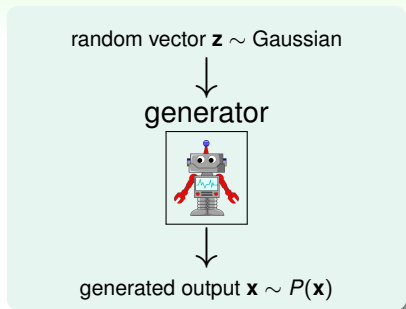
person w/ red eyes

random vector z



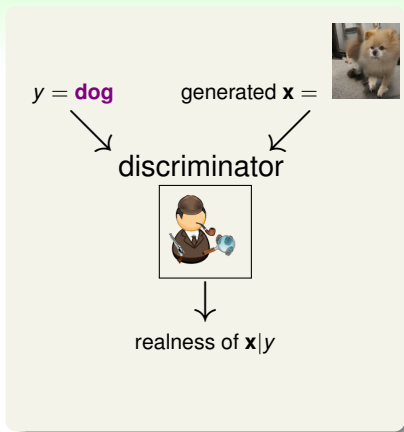
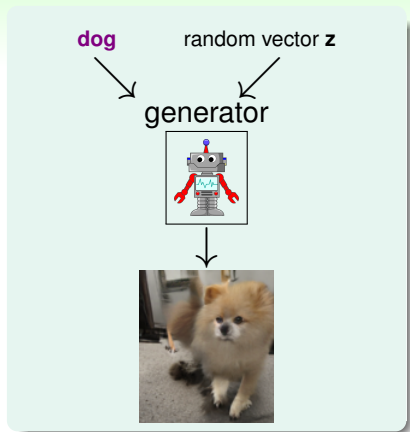
generative models: **creativity** for machines

Generative Adversarial Network (GAN)



high-quality generation when generator can
fool the discriminator

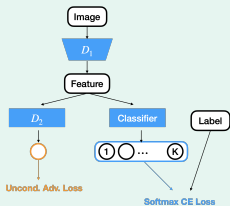
Conditional Generative Adversarial Network (cGAN)



high-quality conditional (i.e. **controllable**)
generation when generator can **fool the**
conditional discriminator

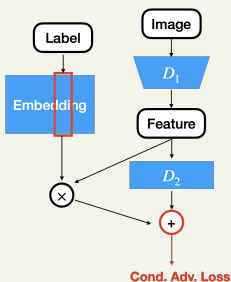
Existing Attempts to Design cGANs

ACGAN (Odena et al., 2017)



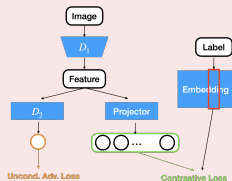
- unconditional discriminator
- classifier

ProjGAN (Miyato et al., 2018)



- conditional discriminator

ContraGAN (Kang et al., 2020)



- unconditional discriminator
- contrastive loss

can we **comprehensively** understand and improve them?

Our Contributions

a comprehensive study of cGANs, which ...

- **explains** the designs of **classifier loss**, **unconditional loss**, **conditional loss**, **contrastive loss** in principle
- **unifies** **ACGAN**, **ProjGAN**, **ContraGAN** to a new architecture **ECGAN** with the principled explanation
- **achieves** state-of-the-art conditional generation performance with the unification

will describe **key ideas** behind the unification
without detailed math

Idea 1: Connecting Loss Terms

- **classifier loss**: for estimating $p(y|\mathbf{x})$
- **unconditional loss**: for estimating $p(\mathbf{x})$
- **conditional loss**: for estimating $p(\mathbf{x}|y)$

—how do they connect?

Bayes Rule

$$\begin{aligned} \log p(\mathbf{x}, y) &= \log p(\mathbf{x}|y) + \underbrace{\log p(y)}_{\text{assumed as known constants}} \\ &= \log p(y|\mathbf{x}) + \log p(\mathbf{x}) \end{aligned}$$

unifying view \Rightarrow estimate joint distribution
 $p(\mathbf{x}, y)$ better **from different angles**

Idea 2: Energy-Based Parameterization

unifying view

estimate $p(\mathbf{x}, y)$ from different angles

energy-based parameterization

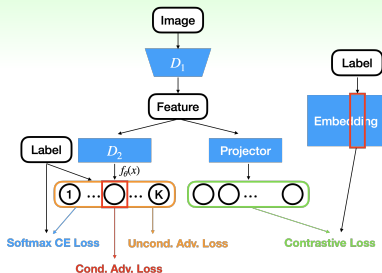
- if $\exp(h_\theta(\mathbf{x}, y)) \propto p(\mathbf{x}, y)$,

$$\log p(\mathbf{x}, y) = \underbrace{h_\theta(\mathbf{x}, y)}_{\text{neural network}} - \log(\text{normalization term})$$

- $\log(\text{normalization term}) \approx$ **contrastive loss** (proof omitted 😊)

ECGAN (Energy-based Conditional GAN):
unifying view + energy-based parameterization

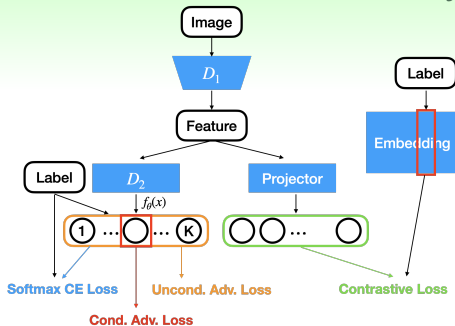
ECGAN



- unifying view: stabilizes estimation by **two angles** instead of one
- energy-based parameterization: explains **contrastive loss** as regularization from **energy normalization**

not just ad-hoc combination,
but **principled design from math**

The Unified ECGAN Family



ACGAN (Odena et al., 2017)

1-angle ECGAN
without **contrastive**

ProjGAN (Miyato et al., 2018)

1-angle ECGAN
without **classifier** and
contrastive

ContraGAN (Kang et al., 2020)

1-angle ECGAN
without **classifier**

complete ECGAN:
2-angle with **classifier** (w/ or w/o **contrastive**)

Evaluation Setting

Data

	# training	# test	# classes	resolution
CIFAR-10	50,000	10,000	10	32x32
Tiny ImageNet	100,000	10,000	200	64x64
ImageNet	1,281,167	50,000	1,000	128x128

Evaluation Metrics

- Frechet Inception Distance (FID) (\downarrow): **unconditional** generation goodness
- Inception Score (IS) (\uparrow): **unconditional** image quality
- intra-FID (per-class FID) (\downarrow): **conditional** generation goodness

is **complete ECGAN** better?

Comparison to Existing cGANs

Dataset	Backbone	method	FID (\downarrow)	IS (\uparrow)	Intra-FID (\downarrow)
CIFAR-10	DCGAN	ACGAN	32.507 ± 2.174	7.621 ± 0.088	129.603 ± 1.212
		ProjGAN	21.918 ± 1.580	8.095 ± 0.185	68.164 ± 2.055
		ContraGAN	28.310 ± 1.761	7.637 ± 0.125	153.730 ± 9.965
		ECGAN-UC	18.035 ± 0.788	8.487 ± 0.131	59.343 ± 1.557
	ResGAN	ACGAN	10.073 ± 0.274	9.512 ± 0.050	48.464 ± 0.716
		ProjGAN	10.195 ± 0.203	9.268 ± 0.139	46.598 ± 0.070
		ContraGAN	10.551 ± 0.976	9.087 ± 0.228	138.944 ± 12.582
		ECGAN-UC	9.244 ± 0.062	9.651 ± 0.098	43.876 ± 0.384
	BigGAN	ACGAN	8.615 ± 0.146	9.742 ± 0.041	45.243 ± 0.129
		ProjGAN	8.145 ± 0.156	9.840 ± 0.080	42.110 ± 0.405
		ContraGAN	8.617 ± 0.671	9.679 ± 0.210	114.602 ± 13.261
		ECGAN-UC	7.942 ± 0.041	10.002 ± 0.120	41.425 ± 0.221
Tiny ImageNet	BigGAN	ACGAN	29.528 ± 4.612	12.964 ± 0.770	315.408 ± 1.171
		ProjGAN	28.451 ± 2.242	12.213 ± 0.624	242.332 ± 11.447
		ContraGAN	24.915 ± 1.222	13.445 ± 0.371	257.657 ± 3.246
		ECGAN-UC	18.780 ± 1.291	17.475 ± 1.052	204.830 ± 5.648

is **complete ECGAN** (w/o **contrastive**) better?

YES

State-of-the-art Performance (2021)

Method	FID(↓)	IS(↑)
BigGAN*	24.68	28.63
ContraGAN*	25.16	25.25
ECGAN-UC	30.05	26.47
ECGAN-UCE	12.16	56.33
ECGAN-UCE (40k step)	8.49	80.69

Table 6: Evaluation on ImageNet128×128. (*: Reported by StudioGAN.)

(StudioGAN: <https://github.com/POSTECH-CVLab/PyTorch-StudioGAN>)

deeper mathematical understanding

⇒ **state-of-the-art performance**

Generated Images from ECGAN



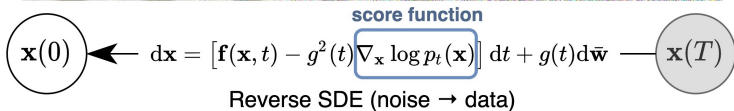
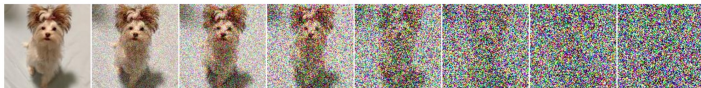
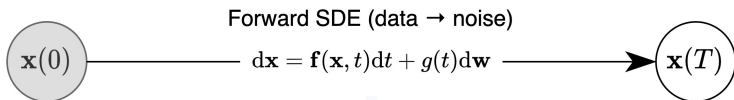
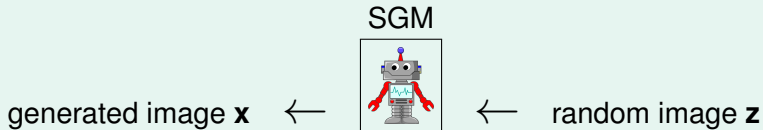
high-quality **controllable** generation
somewhat achieved

Outline

Si-An Chen, Chun-Liang Li, and Hsuan-Tien Lin. A unified view of cGANs with and without classifiers. NeurIPS 2021.

Paul Kuo-Ming Huang, Si-An Chen, and Hsuan-Tien Lin. Score-based conditional generation with fewer labeled data by self-calibrating classifier guidance. Work-In-Progress 2023.

Score-based Generative Model (SGM)



(Song et al., ICLR 2021)

high-quality generation
when **score function** can be estimated

Conditional SGM

SGM

high-quality **unconditional** generation when $\nabla_{\mathbf{x}} \log p(\mathbf{x})$ can be estimated

Conditional SGM

high-quality **conditional** generation when $\nabla_{\mathbf{x}} \log p(\mathbf{x}|y)$ can be estimated

Hello Again, Bayes Rule

$$\nabla_{\mathbf{x}} \log p(\mathbf{x}|y) = \underbrace{\nabla_{\mathbf{x}} \log p(\mathbf{x})}_{\text{unconditional score}} + \underbrace{\nabla_{\mathbf{x}} \log p(y|\mathbf{x})}_{\text{classifier gradient}} - \underbrace{\nabla_{\mathbf{x}} \log p(y)}_0$$

simple **CGSGM**
by **classifier guidance** + **unconditional SGM**

Simple CGSGM

$$\nabla_{\mathbf{x}} \log p(\mathbf{x}|y) = \underbrace{\nabla_{\mathbf{x}} \log p(\mathbf{x})}_{\text{unconditional score}} + \underbrace{\nabla_{\mathbf{x}} \log p(y|\mathbf{x})}_{\text{classifier gradient}}$$

Pros

- easy reuse of well-trained **unconditional SGM**
- naturally applicable to **semi-supervised** data (**few labeled data**)

Cons

- ⇒ overfitting **classifier**
- ⇒ bad **conditional score**
- ⇒ bad **conditional generation**

but **few labeled data** ⇒ overfitting **classifier**?!

Key Idea: Align Classifier with Unconditional SGM

energy-based parameterization $\exp(h_\theta(\mathbf{x}, y)) \propto p(\mathbf{x}, y)$

unconditional SGM:

approximate $\nabla_{\mathbf{x}} \log p(\mathbf{x})$ by

classifier:

approximate $p(y|\mathbf{x})$ by

$$\frac{\exp(h_\theta(\mathbf{x}, y))}{\sum_k \exp(h_\theta(\mathbf{x}, k))}$$

$$\begin{aligned} & \nabla_{\mathbf{x}} \log \underbrace{\frac{\sum_k \exp(h_\theta(\mathbf{x}, k))}{\text{normalization}}}_{p(\mathbf{x})} \\ &= \nabla_{\mathbf{x}} \log \sum_k \exp(h_\theta(\mathbf{x}, k)) \\ & \quad - \underbrace{\nabla_{\mathbf{x}} \log(\text{normalization})}_0 \end{aligned}$$

classifier can **cosplay as** (be cast to) **unconditional SGM**

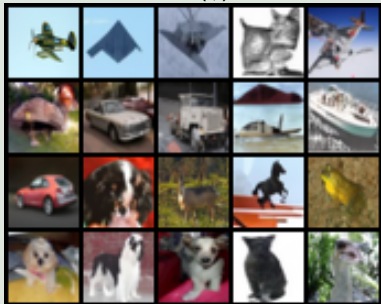
can **regularize classifier** by its **unconditional SGM loss** to avoid overfitting (proof omitted 😊)

Comparison to Original CGSGM

with merely 5% of labeled data

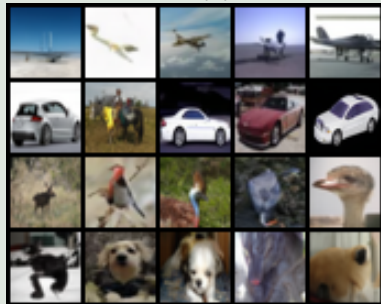
Original CGSVM

Intra-FID (\downarrow) 31.17



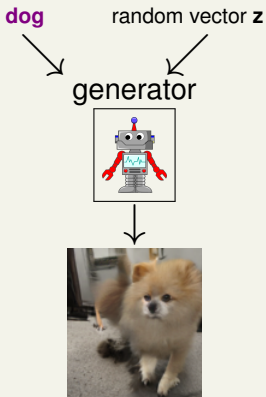
Our Improved CGSFM

Intra-FID (\downarrow) 18.95



ours: better quality & more accurate

Short Take-Home Messages







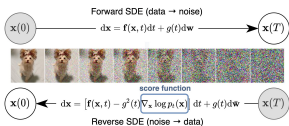
- creativity can go **wild**
 - regularization **by another view** helps
 - ECGAN: another view by **decomposing joint probability**
 - improved CGSGM: another view by **casting classifier as unconditional SGM**
- most importantly, **math helps!** 😊
 - more efforts on **fundamental research** needed
 - Bayes rule helps
 - energy-based parameterization helps

Thank you! Questions?

Appendix: Image Citations

Except for images from our papers, other images are cited as follows:

- : Free Content Use from <https://pixabay.com/vectors/robot-machine-technology-science-312566/>
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- : CC-0 from https://commons.wikimedia.org/wiki/File:Demonic_possession.jpg
- : CC-0 from <https://commons.wikimedia.org/wiki/File:Detective.svg>



: Figure 1 from Song et al., ICLR 2021

<https://openreview.net/forum?id=PxTIG12RRHS>