Data Structures and Algorithms (資料結構與演算法) Lecture 2: Data Structure Hsuan-Tien Lin (林軒田) htlin@csie.ntu.edu.tw

> Department of Computer Science & Information Engineering

National Taiwan University (國立台灣大學資訊工程系)



# Roadmap

### the one where it all began

### Lecture 1: Algorithm

clearly-illustrated instructions to provably solve a computational task

### Lecture 2: Data Structure

- definition of data structure
- ordered array as data structure
- Get (search) in ordered array
- why data structures and algorithms
- 2 the data structures awaken
- 3 fantastic trees and where to find them
- 4 the search revolutions
- **5** sorting: the final frontier

### definition of data structure

definition of data structure

## From Cloth Structure to Data Structure



data structure: scheme of organizing data within computer

# Good Algorithm Needs Proper Data Structure

### Selection-Sort with Get-Min-Index, remember? :-)

### Selection-Sort(A)

1	for $i = 1$ to A. length
2	m = Get-Min-Index(A, i, A. length))
3	if $i \neq m$
4	Swap(A[i], A[m])
5	return $A \parallel$ which has been sorted in place

### Get-Min-Index( $A, \ell, r$ )

- 1  $m = \ell /\!\!/$  store current min. index
- 2 for  $i = \ell + 1$  to r 3 // update if i-
  - // update if *i*-th element smaller

if 
$$A[m] > A$$
  
 $m = i$ 

6 return m

4 5

# if having data structure with faster Get-Min-Index,

 $\implies$  Selection-Sort also faster (to be taught)

algorithm :: data structure  $\sim$  recipe :: ingredient structure

# Data Structure Needs Accessing Algorithms

Get	Insert
• Get-By-Index(): for arrays	• Insert-By-Index(): for arrays
• Get-Next(): for sequential	<ul> <li>Insert-After(): for sequential</li> </ul>
access	access
<ul> <li>Get(data): for search</li> </ul>	• Insert(data)
•	•
—generally assume to	—generally assume to
read without deleting	add without overriding

'philosophical' rule of thumb (to be taught): often-Get  $\iff$  Insert "nearby"

# Data Structure Needs Maintenance Algorithms

### Construct

- baseline: with multiple Insert
- often faster if designed carefully & strategically

### Remove

- often viewed as deleting after Get
- ~ UnInsert: often harder than Insert

### Update

- usually possible with Remove + Insert
- can be viewed as Insert with overriding

hidden cost of data structure: maintenance effort (especially Remove & Update)

# Which of the following can be viewed as the reverse algorithm of Insert within a data structure?

- 1 Construct
- 2 Get
- 3 Remove
- 4 Update

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- 1 Construct
- 2 Get
- 3 Remove
- 4 Update

### Reference Answer: (3)

 ${\rm Remove-ing}$  an item from the data structure essentially takes out what has been  ${\rm Insert}\mbox{-}ed.$ 

## ordered array as data structure

ordered array as data structure

### Definition of Ordered Array



an array of consecutive elements with ordered values

#### ordered array as data structure

### Insert of Ordered Array

### Swap Version

Insert(A, data) 1 n = A. length2 A. [n + 1] = data // put in the back3 for i = n downto 1 4 if A[i + 1] < A[i]5 Swap(A[i], A[i + 1]) // cut in 6 else 7 return

88	1	2	3	4	5
orig.	000	800			88
i = 4	<u></u>	88		88	888
i = 3	000	88	88		
return	00	900 000	88		

### **Direct Cut-in Version**

Insert(A, data)

$$i = A. length$$

$$i = A. length$$

$$i = 0 \text{ and } A[i] > data$$

$$A[i+1] = A[i]$$

$$i = i - 1$$

$$A[i+1] = data$$

$$T$$

88	1	2	3	4	5
orig.	000	00		883	?
i = 4	000	60 60			
i = 3	000	99 60	***		
return	00	8000	88		

Insert of ordered array: cut in from back

# Construct of Ordered Array

### Selection-Sort, remember? :-)

```
Selection-Sort(A)
```

```
1 for i = 1 to A. length

2 m = \text{Get-Min-Index}(A, i, A. length))

3 if i \neq m

4 \text{Swap}(A[i], A[m])

5 return A
```

### Get-Min-Index( $A, \ell, r$ )

 $\begin{array}{ll} 1 & m = \ell \ /\!\!/ \ \text{store current min. index} \\ 2 & \text{for } i = \ell + 1 \ \text{to } r \\ 3 & /\!\!/ \ \text{update if } i\text{-th element smaller} \\ 4 & \text{if } A[m] > A[i] \\ 5 & m = i \\ 6 & \text{return } m \end{array}$ 

### or Insertion-Sort

```
Insertion-Sort(A)
   for i = 1 to A. length
2
       Insert(A, i)
3
4
5
   return A
Insert(A, m)
   data = A[m]
2 i = m - 1
3 while i > 0 and A[i] > data
   A[i+1] = A[i]
4
5
   i = i - 1
6 A[i + 1] = data
```

### Insertion-Sort: Construct with multiple Insert

ordered array as data structure

### ${\rm Remove} \text{ and } {\rm Update} \text{ of Ordered Array}$

### Remove

Remove(A, m) 1 i = m + 12 while  $i \le A$ . length 3 A[i-1] = A[i] // fill in 4 i = i + 15 A. length = A. length - 1

### Update

Update(A, m, data) i = mif A[i] > data // cut in to front2 3 i = i - 1while i > 0 and A[i] > data4 5 6 A[i + 1] = A[i]i = i - 17 A[i+1] = data8 else // cut in to back 9 ... complete on your own ...

# ordered array: more maintenance efforts than unordered $\implies$ faster Get (?)

Consider the direct cut-in version of Insert. Assume that some *data* is inserted to an array *A* with *A*. *length* = 6211 (prior to insertion) and ends up in position *A*[1126]. How many comparisons of the form A[i] > data has been conducted?

Insert(A, data)	1126
1 $i = A$ . length 2 while $i > 0$ and $A[i] > data$	<b>2</b> 5087
$\begin{array}{c} 2 \\ 3 \\ 3 \\ A[i+1] = A[i] \end{array}$	<b>3</b> 6211
$ \begin{array}{l} 4 & i = i - 1 \\ 5 & A[i + 1] = data \end{array} $	④ 7337

Consider the direct cut-in version of Insert. Assume that some *data* is inserted to an array *A* with *A*. *length* = 6211 (prior to insertion) and ends up in position *A*[1126]. How many comparisons of the form A[i] > data has been conducted?

1126

2 5087

6211

4 7337

Insert(A, data) i = A. length 2 while i > 0 and A[i] > dataA[i+1] = A[i]i = i - 1A[i+1] = data

Reference Answer: (2)

When *data* ends up in position A[1126], 6212 – 1126 elements are larger than *data* (pushed back within while). Another comparison with A[1125] terminates while. So the total is 6212 – 1126 + 1 = 5087.

## $\operatorname{Get}$ (search) in ordered array

# Application: Book Search within (Digital) Library



figure by LaiAndrewKimmy,

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### Get book with ID as key in ordered array

Get (search) in ordered array

# Sequential Search Algorithm for Any Array

	1	2	3	4	5	6	7
original	0	00	8				80 80
<i>i</i> = 1	0	000	8			8	00 00
i = 2	۲	000	8				00
<i>i</i> = 3	۲	00	8				00
<i>i</i> = 4	۲	<u>0</u>	8				80

```
Seq-Search(A, key, \ell, r)

1

2 for i = \ell to r

3 // return when found

4 if A[i] equals key

5 return i

6 return nil
```

Get-Min-Index( $A, \ell, r$ ) 1  $m = \ell$  // store current min. index 2 for  $i = \ell + 1$  to r3 // update if *i*-th element smaller 4 if A[m] > A[i]5 m = i6 return m

Seq-Search: structurally similar to Get-Min-Index

Get (search) in ordered array

# Ordered Array: Sequential Search with Shortcut

88	1	2	3	4	5	6	7
original	0	8	000	08 00	8		888
<i>i</i> = 1	0	0	000	00 00	88		888
<i>i</i> = 2	0	8	000	00	8		
<i>i</i> = 3	۲	8	000	00			
<i>i</i> = 4	۲	8	0 <mark>00</mark>	00			
<i>i</i> = 5	۲	8	8 <mark>00</mark>	00	88		

Seq-Search-Shortcut( $A, key, \ell, r$ )

for  $i = \ell$  to r 1 2 // return when found

```
3
         if A[i] equals key
4
              return i
```

```
5
        elseif A[i] > key
6
```

```
return nil
```

```
7
   return nil
```

```
Seq-Search(A, key, \ell, r)
    for i = \ell to r
2
         // return when found
3
         if A[i] equals key
               return i
4
5
6
7
    return nil
```

ordered: possibly easier to declare nil

#### Get (search) in ordered array

# Ordered Array: Binary Search Algorithm

8	1	2	3	4	5	6	7
original	0	00	000	00 00			888
$[\ell, r] = [1, 7]$	0	8	00	00 00			
$[\ell, r] = [5, 7]$	۲	8	00	00 00	88		
$[\ell, r] = [5, 5]$	<b>(</b>	8	00	000			

 $Bin-Search(A, key, \ell, r)$ 

1	while $\ell \leq r$
2	$m = \text{floor}((\ell + r)/2)$
3	if A[m] equals key
4	return <i>m</i>
5	elseif $A[m] > key$
6	r = m - 1 //  cut out end
7	elseif $A[m] < key$
8	$\ell = m + 1 // \text{ cut out begin}$
9	return nil

Seq-Search-Shortcut( $A, key, \ell, r$ )

1 for  $i = \ell$  to r2 // return when found 3 if A[i] equals key 4 return i5 elseif A[i] > key

return nil

return mi

9 return nil

Bin-Search: multiple shortcuts by quickly checking the middle

6

7 8

# Binary Search in Open Source

```
Bin-Search(A, key, \ell, r)
    while \ell < r
1
2
          m = \text{floor}((\ell + r)/2)
3
          if A[m] equals key
4
                return m
5
          elseif A[m] > kev
6
               r = m - 1 // \text{ cut out end}
7
          elseif A[m] < key
8
               \ell = m + 1 // \text{ cut out begin}
9
    return nil
```

### "must-know" for programmers

#### java.util.Arrays

```
private static int
  binarySearch(int[] a, int key) {
    int low = 0;
    int high = a.length - 1;
    while (low <= high) {
        int mid =
            (low + high) >>> 1:
        int midVal = a[mid]:
        if (midVal < key)
            low = mid + 1;
        else if (midVal > key)
            high = mid - 1;
        else
            return mid:
              // key found
    }
    return -(low + 1);
      // key not found.
```

Consider running the Bin-Search algorithm on an ordered array of size 15 with some *key* that is not in the array. How many comparisons does Bin-Search take before returning nil?



Consider running the Bin-Search algorithm on an ordered array of size 15 with some *key* that is not in the array. How many comparisons does Bin-Search take before returning nil?

1	1
2	2
3	4
4	15

### Reference Answer: (3)

The first comparison is a shortcut that leaves only 7 remaining elements; the second leaves 3; the third leaves 1; the fourth eliminates all possibilities.

### why data structures and algorithms

why data structures and algorithms

# Why Data Structures and Algorithms?

### good program: proper use of resources

Space Resources	Computation Resources
memory	• CPU(s)
• disk(s)	• GPU(s)
<ul> <li>transmission bandwidth</li> </ul>	<ul> <li>computation power</li> </ul>
-usually cared by data structure	—usually cared by algorithm
Other Resources <ul> <li>manpower</li> <li>budget</li> </ul>	agement

data structures and algorithms: for writing good program

why data structures and algorithms

# Proper Use: Trade-off of Different Factors



good program needs understanding trade-off

# $\textbf{Programming} \neq \textbf{Coding}$

programming :: building house  $\sim$  coding :: construction work

	Introduction to C	Data Structures and Algorithms
requirement	simple	simple
analysis	simple	simple
design	simple	*
coding	*	•
proof	none	•
test	simple	*
debug	*	•

data structures and algorithms: moving from coding to programming

Which of the following is a property of an ordered array when compared with an unordered one with the same number of elements?



- 2 faster Insert
- 3 more space
- 4 none of the other choices

Which of the following is a property of an ordered array when compared with an unordered one with the same number of elements?



- 2 faster Insert
- 3 more space
- 4 none of the other choices

Reference Answer: (1)

An ordered array allows faster  $\operatorname{Get}$  by  $\operatorname{Bin-Search}$ 

# Summary

# Lecture 2: Data Structure definition of data structure organize data with access/maintenance algorithms ordered array as data structure insert by cut-in, remove by fill-in • Get (search) in ordered array binary search using order for shortcuts why data structures and algorithms study trade-off to move from coding to programming

next: tools for analyzing/studying trade-off