

* minimum UD code length is $H(X)$!

$$\begin{aligned}
 H(X) - \underbrace{\sum P_k n_k}_{\text{avg. code length}} &= \sum P_k \log \frac{1}{P_k} + \sum P_k \log 2^{-n_k} \\
 &= \sum P_k \frac{\ln}{\ln 2} \left(\frac{1}{P_k} \cdot 2^{-n_k} \right) \\
 &\leq \sum \frac{P_k}{\ln 2} \left(\underbrace{-1} + \frac{1}{P_k} 2^{-n_k} \right) \\
 &= \frac{1}{\ln 2} \left(\underbrace{-1} + \sum 2^{-n_k} \right) \\
 &\leq 1 \text{ by UD} \\
 &\leq 0
 \end{aligned}$$

equality if $\frac{1}{P_k} \cdot 2^{-n_k} = 1$ (and Kraft becomes "=")

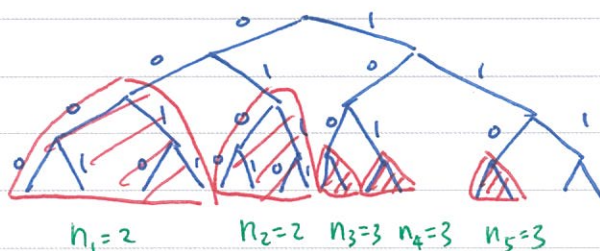
$$\Downarrow \\
 n_k = \log \frac{1}{P_k}$$

Small $P_k \iff$ large n_k achieves $H(X)$ (?)
without throwing those away like $H_S(X)$

* "achieves"? does such UD code exist?

construct a UD code w/ a full binary tree

wlog, assume $n_1 \leq n_2 \leq \dots \leq n_k$



for $i=1, 2, \dots, k$
pick 1st node @ level n_k
as $v(a_k)$
remove sub-tree @ $v(a_k)$

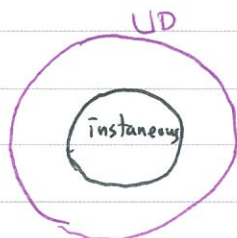
leaves eliminated

$$\sum_i 2^{n_k - n_i} \leq \underbrace{2^{n_k}}_{\text{total leaves}}$$

(Kraft's for this special UD code)

decoding is just
binary tree traversal

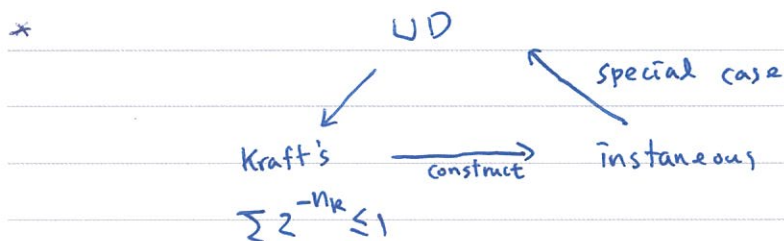
* decoding just binary tree traversal $\Leftrightarrow$ instantaneous (can do "partial decode" per symbol)



prefix-free (no codeword prefix of the other)

	γ_1 (not instantaneous)	γ_2 (<u>instantaneous</u>)
a_1	0	0
a_2	01	10
a_3	011	110
a_4	0111	1110

usually preferred



* what if $\log \frac{1}{p_k}$ not integers?

- naive idea (Shannon Coding)

$$n_k = \lceil \log \frac{1}{p_k} \rceil \Rightarrow \sum p_k n_k < \sum p_k (1 + \log \frac{1}{p_k}) = 1 + H(X)$$

- naive idea on a few blocks (M): coding on $\bar{X}^M$

$$\frac{1 + M H(X)}{M}$$

(can be arbitrarily close to $H(X)$, error-free)

by giving atypical sequence super-long codes

* Huffman Coding

$$\min_{n_k} \sum p_k n_k$$

s.t. n_k integers

$$\& \sum 2^{-n_k} \leq 1 \quad (\text{Kraft's})$$

* Consider : $P_1 \geq P_2 \geq P_3$

claim : $n_1 \leq n_2 \leq n_3$ at optimal

(otherwise can swap and still satisfy Kraft's)

claim : $n_2 = n_3$ at some optimal instance
(prefix-free so can decrease n_3
w/o violating anything)

smallest-two prob. elements
w/ same longest codewords

* Huffman Coding ($p_1, p_2, \dots, p_k$)

recursively

pick two smallest prob. elements

merge and construct $HC(P'_1, P'_2, \dots, P'_{n-1})$
 give the two elements $\begin{matrix} c''_0 \\ c''_1 \end{matrix}$

① need to prove that there's no loss of optimality here

② need to prove
that $HC(P_1, P_2)$
 $= \{ "0", "1" \}$

