# RICE FIELD INTERPRETATION WITH TEMPORAL SENTINEL-1 SYNTHETIC APERTURE RADAR IMAGE DATA

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#### ABSTRACT

Rice is the most important crop in Taiwan. Every year, the Agriculture and Food Agency (AFA) has spent lots of budget to monitor or develop efficiently interpretation method the distribution of rice fields. Because Taiwan is a cloudy country, optical remote sensing usually cannot get enough cloud free image in the appropriate time to cover all rice plant area. In this paper, according to Synthetic Aperture Radar (SAR) data can pass through cloud, and the European Space Agency (ESA) has open Sentinel-1 C-Band SAR data to registers for free, we apply spatial-temporal Sentinel-1 data and Local Binary Pattern (LBP) to develop a Rice Fields Temporal LBP Interpretation Method (R-TLIM). By using R-TLIM, the accuracy in overall and producer are 83.55% and 57.82% verify with all ground truth fields polygons. Verify with those polygons are greater than 14m in width, the accuracy in overall and producer are 76.87% and 60.86%.

*Keywords Rice Field Interpretation; European Space Agency (ESA); Sentinel-1; Local Binary Pattern (LBP);* Rice Fields Temporal LBP Interpretation Method (R-TLIM)

## **1. INTRODUCTION**

Remote Sensing data have been widely used to monitor land cover and land use in large area, such as crop's distribution and health conditions. In Taiwan, the Agriculture and Food Agency (AFA) use annually two times of aerial photo to monitor rice distribution in 1<sup>st</sup> and 2<sup>nd</sup> rice season. Besides, researchers are also using different remote sensing data to develop more efficiently methods to monitor rice crop fields and estimate production. Chu et al. based on the rice crop's life cycle information in different county of Taiwan, using multitemporal optical images (such as SPOT series, Formosat-2, aerial or UAV images), analyze with a hybrid classification which integrating iterative selforganizing data. analysis technique algorithm (ISODATA), maximum likelihood classification (MLC), interpretation error analysis and man-machine interaction interpretation, the producer accuracy of rice crop interpretation can up to 95% (Chu et al., 2002, Chu et al., 2003, Chu et al., 2004, Chu et al., 2005, Chu et al., 2006, Chu et al., 2007). Cheng et al. using RADARSAT-2 C-Band quad-polarized SAR images acquired from March to August 2009 to analyze polarimetric response of rice crop field with fourcomponent scattering decomposition (Y4R), including surface scattering, volume scattering, double bounce, and helix scattering. Consider as feature vector, Cheng et al. using CFAR detector to trace the growth stage of rice crop (Cheng et al., 2012).



Fig. 1: Different rice crop stages of four-component scattering decomposition. (R:P<sub>d</sub>, G:P<sub>v</sub>, B:P<sub>s</sub>)

Chu et al. using ALOS-1 PALSAR data acquired in 8<sup>th</sup> April 2011, decomposed polarimetric response with Pauli basis, Y4R, and HH-VV, then classified by minimum distance classification (MDC) and verified with ground truth of 1<sup>st</sup> rice season in the Yulin County, 2011. The highest rice producer accuracy is HH-VV 91.11%, but the highest overall accuracy is Pauli basis 76.62% (Chu et al., 2014).

|                     | Pauli Basis | Y4R    | HH-VV  |
|---------------------|-------------|--------|--------|
| Producer<br>of Rice | 91.01%      | 85.39% | 91.11% |
| Overall             | 76.62%      | 66.88% | 70.32% |

Table 1: Interpretation accuracy of Pauli Basis, Y4R, HH-VV decomposition.

Chu et al. use temporal Sentinel-1 backscattering data to analyze the difference between rice, building, betel nut, bare soil, and banana. The backscattering is obviously lower the other land cover during transplant (Chu et al., 2016).



Fig. 2: Temporal backscatter change between different land cover.

From 2014, the European Space Agency (ESA) launched a series of satellites called "Sentinel", including optical and SAR instruments. Monitoring targets are land cover, ocean surface, temperature and atmosphere quality. Most of Sentinel satellite data are open to registers for free. It means anyone who is interested in environmental issues and want to use satellite images to monitor land use or land cover situation can get various image data for those issues. In the series of Sentinel satellite plans, Sentinel-1 plan is a C-Band (5.405 GHz center frequency) Synthetic Aperture Radar (SAR) with single polarization (HH or VV) and dual polarization (HH+HV or VH+VV), which have twin satellites named Sentinel-1A and Sentinel-1B. The orbit height is 700km and 12-days revisit in the same track and same direction. Because SAR system is a positive remote sensing system can operate during day or night. Besides, the operating wavelengths (C-Band) is not impeded by cloud cover, Sentinel-1 can acquire land cover data under all weather conditions. Sentinel-1 have four exclusive acquisition modes: Stripmap (SM), Interferometric Wide swath (IW), Extra-Wide swath (EW) and Wave (WV). To prevent satellite resources conflict, the primary modes are IW, with VV+VH polarization over land, and WV, with VV polarization over open ocean.



Fig. 3: Acquisition mode of Sentinel-1.

| Table 2: The primary of | conflict-free | acquisition | modes | of |
|-------------------------|---------------|-------------|-------|----|
|                         | Sentinel-1    |             |       |    |

| Mode | Polarization | Main Targets           |
|------|--------------|------------------------|
| IW   | VV+VH        | land                   |
| WV   | VV           | open ocean             |
| EW   |              | wide area coastal, oil |
|      |              | spill, sea-ice         |
| SM   |              | Extraordinary events   |

In 1994, Ojala et al. based on the contract between pixel and eight neighbors, developed a locally texture description method, Local binary Pattern (LBP) operator. In the beginning, LBP use the sign of eight differences between central and neighbors, recorded into an 8-bit number (Ojala et al., 1996, Ojala et al., 2001).

LBP = 
$$\sum_{i=1}^{8} s(g_0, g_i) 2^{i-1}$$
,  $s(g_0, g_i) = \begin{cases} 1, & \text{if } g_i \ge g_0, \\ 0, & \text{if } g_i < g_0. \end{cases}$ 

# 2. METHODOLOGY

Although LBP is an efficient method use to descript local texture in 2D images. But for locally homogenous land cover type, such as water body, rice fields, grassland, and flat bare land, the separability of LBP is not explicit. Because the agricultural land cover is change with crop's lifecycle, image patterns in the remote sensing data are changed also. In this paper, by using time series of Sentinel-1 IW mode SLC image data to avoid the cloud cover influence, applying LBP operator into temporal backscatter change of SAR images, we analyze rice crop's backscatter change pattern in 1<sup>st</sup> rice season 2016.

After define the rice crop's backscatter change pattern, we calculate all pixel's LBP and filter out the rice pixels. Finally, by using ground truth field polygons, we verify the interpretation accuracy of rice fields.



Fig. 4: Research flow of rice fields' interpretation with temporal LBP

## 3. DATA

# 3.1. Farm Field Polygons and Ground Truth Data

We use farm field polygons data to calculate LBP, interpret rice pixel and use rice distribution polygons data to verify the accuracy. These data are provided by AFA and produced during 1<sup>st</sup> rice season, 2016.



Fig. 5: Farm field and ground truth polygons data in Liouying District.

#### 3.2. Sentinel-1 SLC Image Data

We collected Sentinel-1 SLC image data for each month from 11th Jan to 12th Dec in 2016. To avoid the potential polarimetric response error from different acquire direction and satellite obits, all image data are cover southern Taiwan in the same orbit with descending direction.

Table 3: Image data of Sentinel-1

| Date                  |                       | Orbit | Mode | Level | Swath |
|-----------------------|-----------------------|-------|------|-------|-------|
| 11 <sup>th</sup> Jan. | 21 <sup>th</sup> Jul. |       |      |       |       |
| 4 <sup>th</sup> Feb.  | 14 <sup>th</sup> Aug. |       |      |       |       |
| 23 <sup>th</sup> Mar. | 7 <sup>th</sup> Sep.  | 215   | IW   | SLC   | IW2   |
| 16 <sup>th</sup> Apr. | 1 <sup>st</sup> Oct.  | 213   |      |       |       |
| 10 <sup>th</sup> May  | 18 <sup>th</sup> Nov. |       |      |       |       |
| 3 <sup>rd</sup> Jun.  | 12 <sup>th</sup> Dec. |       |      |       |       |

## 4. RESULTS AND DISCUSSION

#### 4.1. SAR Image Pre-processing

Because of the Liouying District, Tainan County is located in the second swath of SAR image data used in this paper, we only processed these swaths of SAR SLC image data into backscatter image. The backscatter image process sequence via ESA SNAP are shown in Fig. 1. Fig. 2 shows single swath data of SLC image, GRD image and backscatter feature image of 4<sup>th</sup> Feb. 2016.



Fig. 6: Process sequence of SLC to GRD image.



Fig. 7: Sample image of SLC, GRD and Backscatter (4<sup>th</sup> Feb. 2016).



(a) Combination of 12 backscatter images in VH polarization. (R: Jun., G: Feb., B: Mar.).



Fig. 8: Backscatter images combination and overlap with Liouying District.

# 4.2. Temporal LBP Calculation

Rice crops are relatively homogeneous within fields in SAR image at single time period, so that LBP cannot separate rice from other land cover types correctly. Because the backscatter feature of rice is changed with the stage of rice crop's life cycle, we apply LBP into monthly backscatter temporal change feature with rice fields.

Farmers mostly start to transplant rice in January in Liouying District, so that the backscatter feature are similar to water body in February. In this paper, we set the backscatter feature of February as base line, compare the backscatter feature from March to October at the same location and calculate temporal LBP.

Because the digital number of backscatter are negative number and save in 32bit floating format, to reduce the complexity during LBP calculation, all pixels are plus 100 and saved into 8bit integer format before calculating temporal LBP. Although temporal pixel value are different from VH and VV polarization, but the temporal change of sign are almost the same. So that the temporal LBP distribution pattern of VH and VV polarization are quite similar.



Fig. 9: Temporal LBP distribution pattern of VH and VV polarization

#### 4.3. Threshold of Rice Pixel

To understand the temporal LBP of rice pixel, we subset three different image chips of rice growing area around Liouying District with VH and VV polarization backscatter. Then we calculate temporal LBP and statistics of these three rice image chips. With statistics, except the average of Sample 2 in VV polarization is obviously lower than others, the average are higher than 189.

Table 4: Statistics of rice fields image chips.

|         | Ric    | e 1    | Ric    | e 2    | Rice 3 |        |
|---------|--------|--------|--------|--------|--------|--------|
|         | VH     | VV     | VH     | VV     | VH     | VV     |
| Average | 203.87 | 189.76 | 198.87 | 142.09 | 204.26 | 214.37 |
| STD.    | 13.51  | 29.64  | 20.82  | 29.27  | 11.51  | 8.13   |

The 1<sup>st</sup> rice season in Liouying District mostly starts to transplant rice in January and harvester in June. Fields would lay bare or fallowed during later June to later July or early August then plant 2<sup>nd</sup> season crop. It means that backscatter feature is getting stronger from February to

June. After 1<sup>st</sup> rice harvester, backscatter would decrease but still higher than transplant period. Table 2 shows temporal LBP with different agriculture behaviors in the rice fields. According to Table 2, we define the rice temporal LBP threshold as 200.

Table 5: Sample of temporal LBP in rice pixel.

|      |   |       | _      |        | _ |     |         |         | -                 |      |
|------|---|-------|--------|--------|---|-----|---------|---------|-------------------|------|
|      |   | Month |        |        |   |     |         |         |                   | LBP  |
|      | 2 | 3     | 4      | 5      | 6 | 7   | 8       | 9       | 10                | Sum. |
|      | 0 | 1     | 1      | 1      | 1 | 1   | 0       | 1       | 1                 | 223  |
| LBP  | 0 | 1     | 1      | 1      | 1 | 0   | 1       | 1       | 1                 | 239  |
|      | 0 | 1     | 1      | 1      | 1 | 1   | 1       | 1       | 1                 | 255  |
| Ag.  |   | Diani |        |        |   | Ric | ce or o | ther in | n 2 <sup>nd</sup> |      |
| type |   | Rice  | n 1~ 3 | season | 1 |     | Sea     | ason    |                   |      |

#### 4.4. Rice Field Interpretation

With the temporal LBP threshold of rice pixel, we classify all image pixel into rice or non-rice. Then we use Zonal Statistic Function in ESRI ArcGIS to calculate the majority pixel class in each ground truth field polygon and define the field type by the majority class.



Fig. 10: Rice Interpretation of VH and VV polarization

Compare the interpretation results of VH and VV polarization, because the backscatter of 4<sup>th</sup> Feb. are the lowest in VH and VV polarization during 1<sup>st</sup> rice season, the temporal LBP and rice field interpretations are the same between VH and VV polarization.

|            |              |         | Ground Truth | 1       |         |
|------------|--------------|---------|--------------|---------|---------|
|            |              | Rice    | Non-<br>Rice | Total   | U.A.    |
|            | Rice         | 7964    | 0            | 7964    | 100.00% |
| Classified | Non-<br>Rice | 0       | 33474        | 33474   | 100.00% |
|            | Total        | 7964    | 33474        | 41438   |         |
|            | P.A.         | 100.00% | 100.00%      | Overall | 100.00% |
|            |              |         |              | kappa   | 1.00    |

Table 6: Comparison between VH and VV rice interpretations.

The rice field interpretation result is verify with ground truth field polygons. The overall accuracy is 83.55%, but producer accuracy of rice is only 57.82%. The distribution is show in Fig. 11. Some of non-rice fields with similar temporal LBP is error classified as rice fields, such as eastern-southern part of Liouyin Dist. (Fig. 12).

Table 7: Accuracy with all ground truth polygons.

|            |       | Rice   | Non-Rice | Total   | U.A.    |
|------------|-------|--------|----------|---------|---------|
|            | Rice  | 4245   | 3719     | 7964    | 53.30%  |
| Classified | Non-  | 3097   | 30377    | 33/7/   | 90.75%  |
| Classifieu | Rice  | 3097   | 50577    | 55474   | 90.7570 |
|            | Total | 7342   | 34096    | 41438   |         |
|            | P.A.  | 57.82% | 89.09%   | Overall | 83.55%  |
|            |       |        |          | kappa   | 0.45    |



Fig. 11: Rice fields distribution of VH polarization.



Fig. 12: Rice fields distribution of ground truth and VH polarization.

Because some fields are too thin for Sentinel-1 image data, we use 7m inner buffer to filter out those field polygons which are not wider than 14m. After that, we verify with those ground truth polygons wider than 14m and accuracy in overall and producer are 78.87% and 60.86%. The distribution is show in Fig. 13. There are still some non-rice fields with similar temporal LBP is error classified as rice fields (show in Fig. 14).

| Table 8: Accuracy with groun | d truth polygons wider |
|------------------------------|------------------------|
| than 14n                     | n.                     |

|            |       | Ground Truth |          |         |         |  |  |
|------------|-------|--------------|----------|---------|---------|--|--|
|            |       | Rice         | Non-Rice | Total   | U.A.    |  |  |
|            | Rice  | 3682         | 1390     | 5072    | 72.59%  |  |  |
| Classified | Non-  | 2368         | 8810     | 11178   | 78 82%  |  |  |
| Classified | Rice  | 2300         | 0010     | 11170   | 70.0270 |  |  |
|            | Total | 6050         | 10200    | 16250   |         |  |  |
|            | P.A.  | 60.86%       | 86.37%   | Overall | 76.87%  |  |  |
|            |       |              |          | kappa   | 0.49    |  |  |



Fig. 13: Rice fields distribution of VH polarization with 7m inner buffer.



Fig. 14: Rice fields distribution of ground truth and VH polarization with 7m inner buffer.

Compare with original and filter by 7m inner buffer interpretation results, error classified fields located in building area and along with road are mostly been filtered out, e.g. the red polygons show in Fig. 15. But some error classified fields still there, such as some light blue polygons with red boundary in the middle part of Liouying Dist. (Fig. 14).



Fig. 15: Rice fields distribution of VH polarization and VH polarization with 7m inner buffer.

#### 5. CONCLUSION

In this paper, we demonstrate rice fields can be interpreted by temporal backscatter image of SAR with LBP. The overall accuracy is from 76.87% to 83.55%, but from 57.82% to 60.86% in producer accuracy of rice fields. Because we only use temporal LBP to interpret rice field's polygon, some non-rice fields with similar temporal LBP are error classified. It could be decrease the number of these kind of error by consider the threshold of LBP and the variance of temporal backscatter change.

The shape of rice fields are mostly in narrow rectangle in Taiwan. Part of those rice fields are too thin for SAR image. It could be one possible reason of lower producer accuracy in rice fields.

Taiwan is in a cloudy area. Although the rice fields producer accuracy can higher than 96% with optical remote sensing data and analyze techniques, but still cannot interpret the cloud cover area. SAR is a positive remote sensing technique can provide land cover information over day and night regardless cloud cover. Based on our results, an efficient rice field's interpretation method with temporal SAR data and LBP is proposed. It can be focus upon develop interpret methods of different land cover type, over season's lifecycle changing pattern of rice fields, integrate optical image data to improve the interpretation accuracy.

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