

# Faces and Image-Based Lighting

Digital Visual Effects

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*with slides by Richard Szeliski, Steve Seitz, Alex Efros, Li-Yi Wei and Paul Debevec*

# Outline

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- Image-based lighting
- 3D acquisition for faces
- Statistical methods (with application to face super-resolution)
- 3D Face models from single images
- Image-based faces
- Relighting for faces

# Image-based lighting

# Rendering

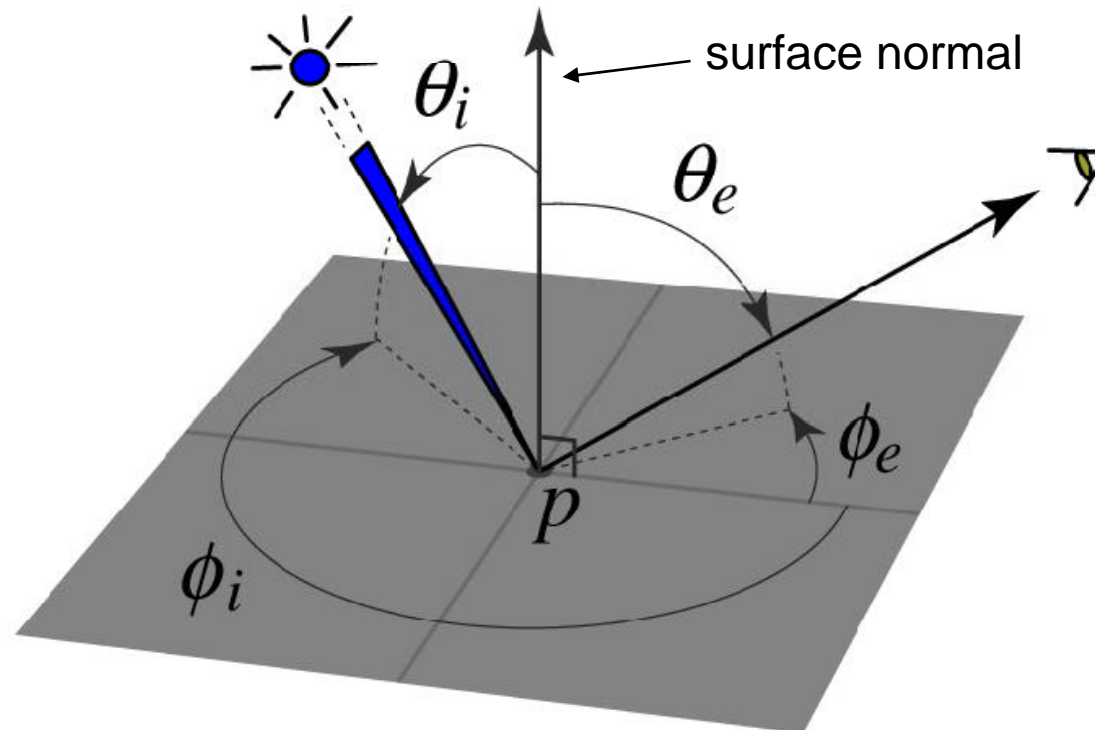
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- Rendering is a function of geometry, reflectance, lighting and viewing.
- To synthesize CGI into real scene, we have to match the above four factors.
- Viewing can be obtained from *calibration* or *structure from motion*.
- Geometry can be captured using *3D photography* or made by hands.
- How to capture lighting and reflectance?



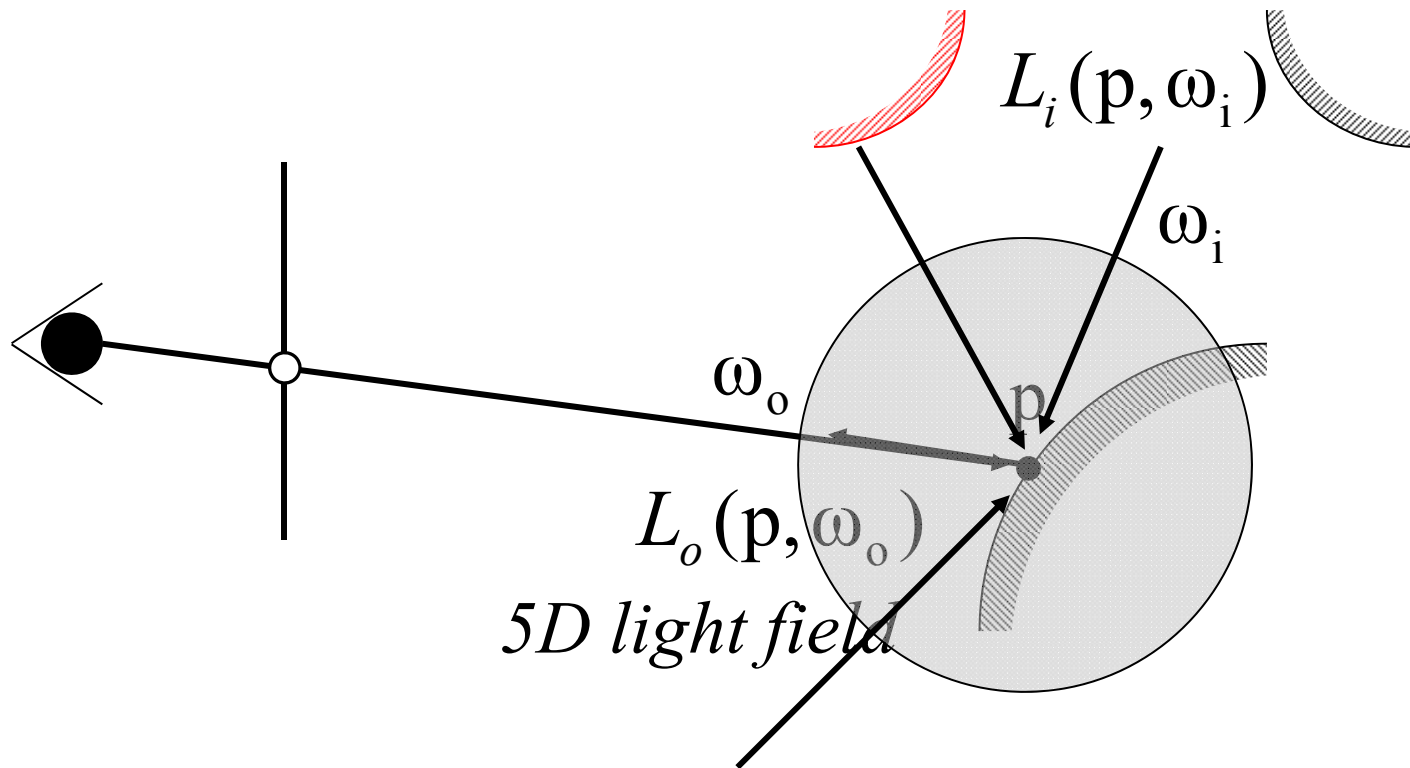
# Reflectance

- The Bidirectional Reflection Distribution Function
  - Given an incoming ray  $(\theta_i, \phi_i)$  and outgoing ray  $(\theta_e, \phi_e)$  what proportion of the incoming light is reflected along out



Answer given by the BRDF:  $\rho(\theta_i, \phi_i, \theta_e, \phi_e)$

# Rendering equation



$$L_o(p, \omega_o) = L_e(p, \omega_o) + \int_{s^2} \rho(p, \omega_o, \omega_i) L_i(p, \omega_i) |\cos \theta_i| d\omega_i$$

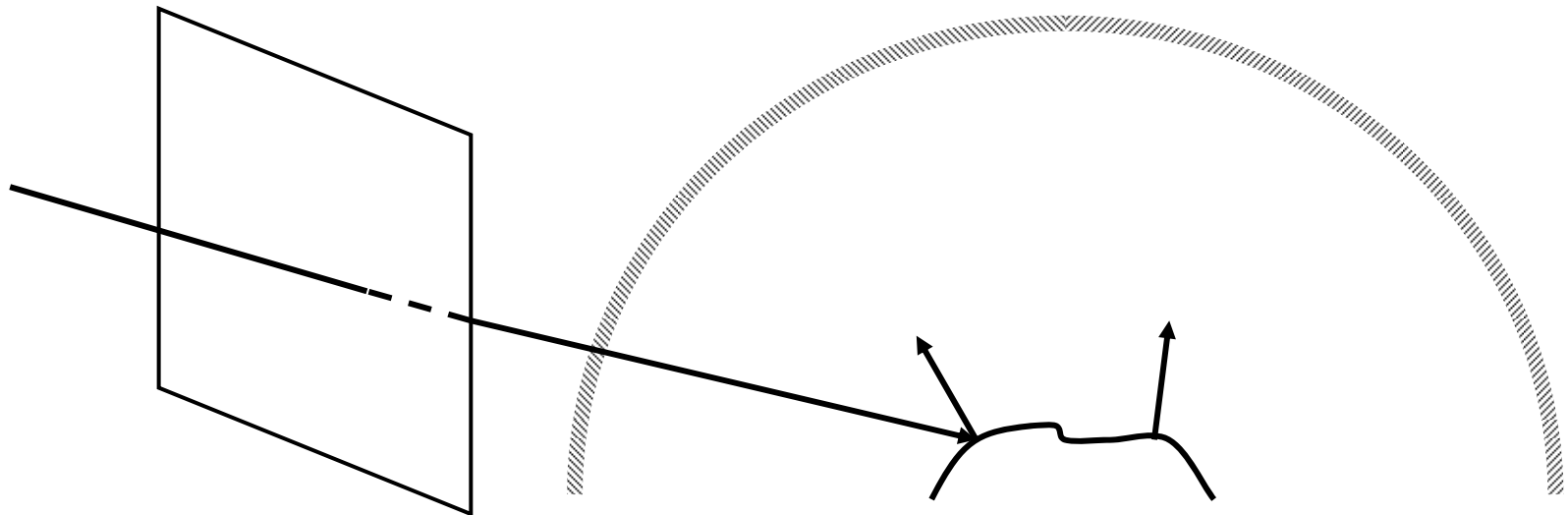
# Complex illumination

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$$L_o(p, \omega_o) = L_e(p, \omega_o) + \int_{s^2} f(p, \omega_o, \omega_i) L_i(p, \omega_i) |\cos \theta_i| d\omega_i$$

$$B(p, \omega_o) = \int_{s^2} f(p, \omega_o, \omega_i) L_d(p, \omega_i) |\cos \theta_i| d\omega_i$$

$$B_p(\omega_o) = \int_{s^2} f_{p, \omega_o}(\omega_i) L_d(\omega_i) |\cos \theta_i| d\omega_i$$



# Point lights

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Classically, rendering is performed assuming point light sources



directional source

# Natural illumination

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People perceive materials more easily under natural illumination than simplified illumination.

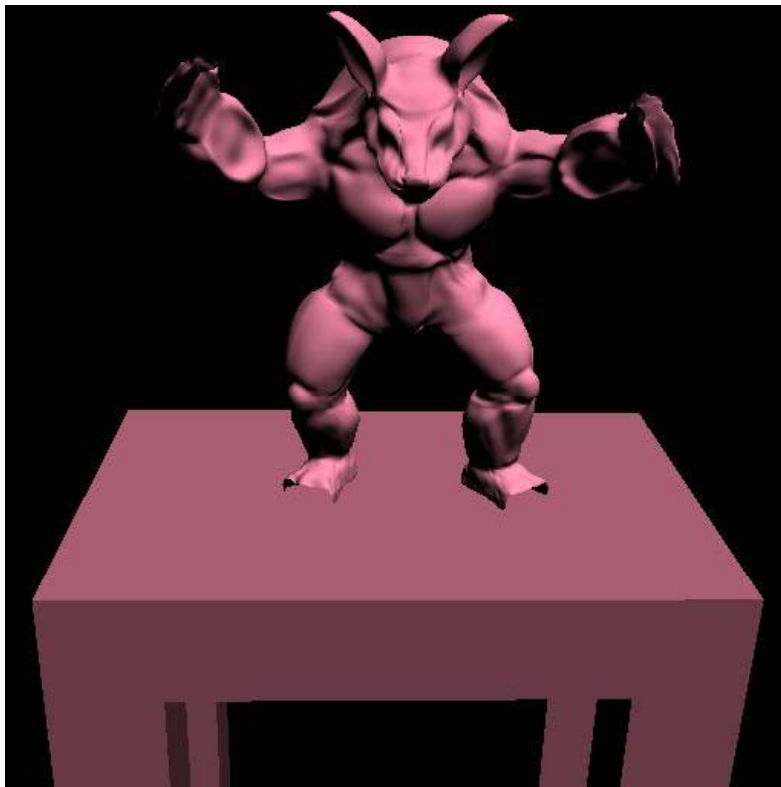


Images courtesy Ron Dror and Ted Adelson

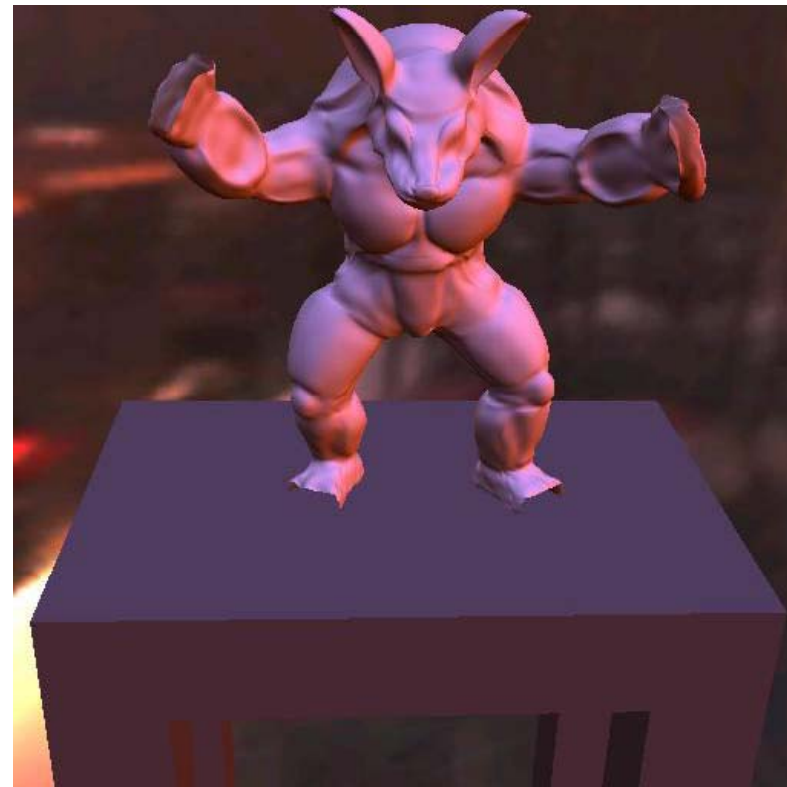
# Natural illumination

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Rendering with natural illumination is more expensive compared to using simplified illumination



directional source



natural illumination

# Environment maps

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Miller and Hoffman, 1984



# HDR lighting

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# Examples of complex environment light

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# Examples of complex environment light

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# Complex illumination

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$$L_o(p, \omega_o) = L_e(p, \omega_o) + \int_{s^2} f(p, \omega_o, \omega_i) L_i(p, \omega_i) |\cos \theta_i| d\omega_i$$

$$B(p, \omega_o) = \int_{s^2} f(p, \omega_o, \omega_i) L_d(p, \omega_i) |\cos \theta_i| d\omega_i$$

$$B_p(\omega_o) = \int_{s^2} f_{p, \omega_o}(\omega_i) L_d(\omega_i) |\cos \theta_i| d\omega_i$$

reflectance

lighting

Both are spherical functions

# Function approximation

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- $G(x)$ : the function to approximate
- $B_1(x), B_2(x), \dots, B_n(x)$ : basis functions
- We want

$$G(x) = \sum_{i=1}^n c_i B_i(x)$$

- Storing a finite number of coefficients  $c_i$  gives an approximation of  $G(x)$

# Function approximation

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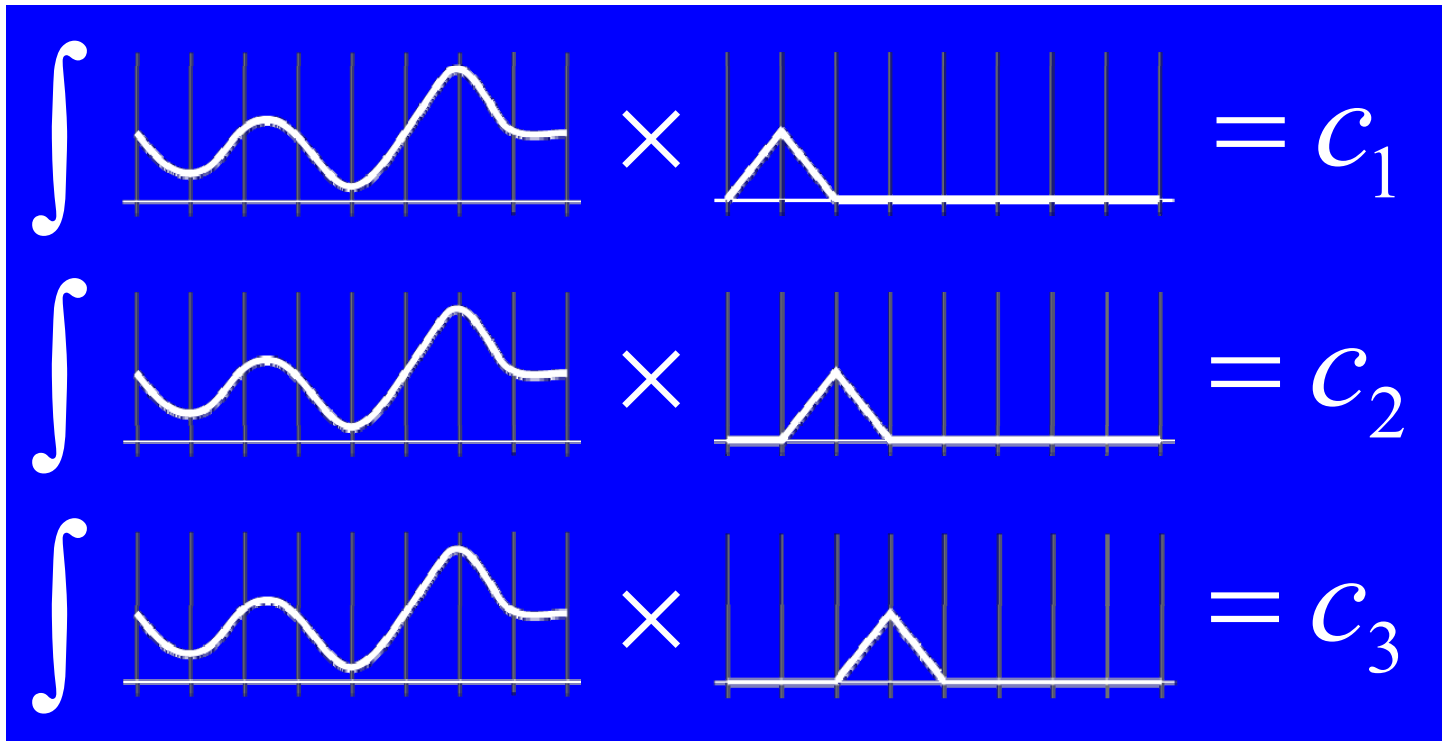
- How to find coefficients  $c_i$ ?
  - Minimize an error measure
- What error measure?
  - $L_2$  error

$$E_{L_2} = \int_I [G(x) - \sum_i c_i B_i(x)]^2$$

- Coefficients  $c_i = \langle G | B_i \rangle = \int_X G(x) B_i(x) dx$

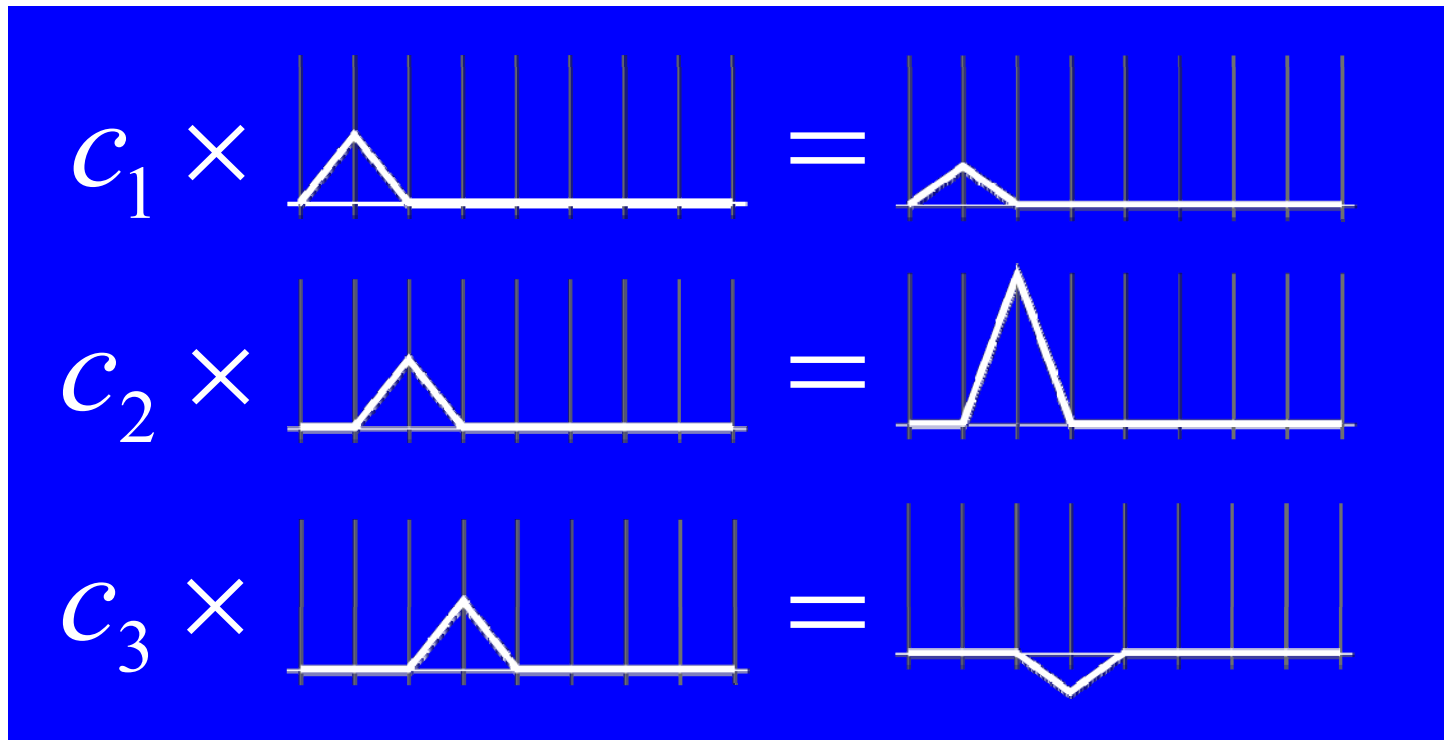
# Function approximation

- Basis Functions are pieces of signal that can be used to produce approximations to a function



# Function approximation

- We can then use these coefficients to reconstruct an approximation to the original signal

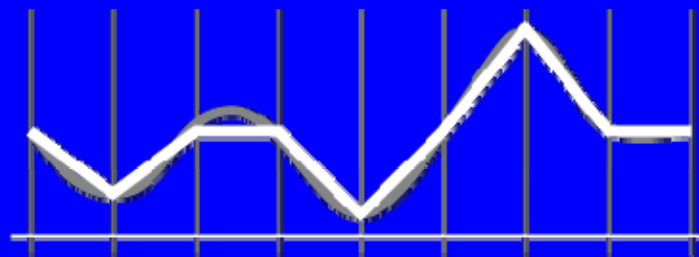


# Function approximation

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- We can then use these coefficients to reconstruct an approximation to the original signal

$$\sum_{i=1}^N c_i B_i(x) =$$





# Orthogonal basis functions

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- Orthogonal Basis Functions
  - These are families of functions with special properties

$$\int B_i(x)B_j(x) dx = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}$$

- Intuitively, it's like functions don't overlap each other's footprint
  - A bit like the way a Fourier transform breaks a functions into component sine waves

# Integral of product

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$$I = \int F(x)G(x) dx$$

$$F(x) = \sum_i f_i B_i(x) \quad G(x) = \sum_j g_j B_j(x)$$

$$\begin{aligned} \int F(x)G(x) dx &= \int \left( \sum_i f_i B_i(x) \sum_j g_j B_j(x) \right) dx \\ &= \int \sum_i \sum_j f_i g_j B_i(x) B_j(x) dx = \int \sum_i f_i g_i dx = \hat{F} \cdot \hat{G} \end{aligned}$$

$$B_p(\omega_o) = \int_{S^2} f_{p,\omega_o}(\omega_i) L_d(\omega_i) |\cos \theta_i| d\omega_i$$

# Basis functions

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- Transform data to a space in which we can capture the essence of the data better
- Spherical harmonics, similar to Fourier transform in spherical domain, is used in PRT.

# Real spherical harmonics

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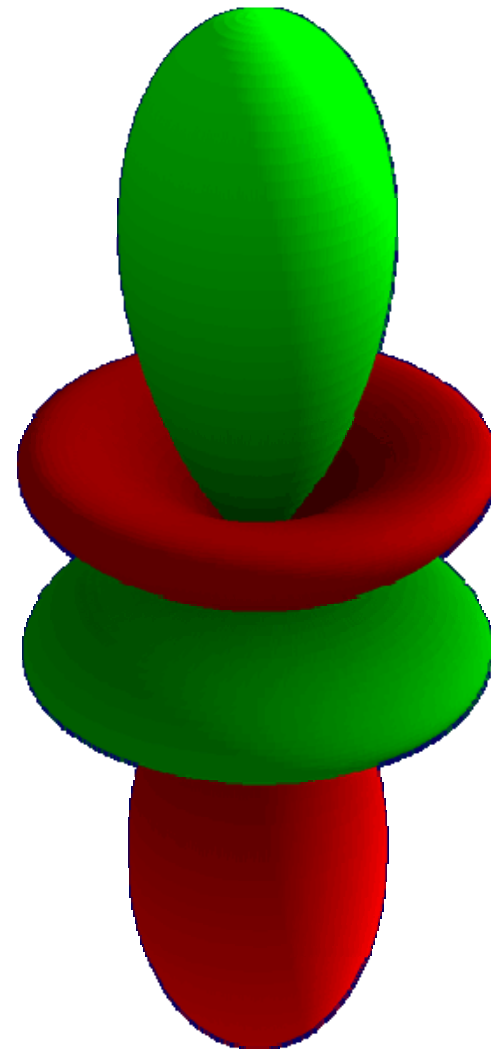
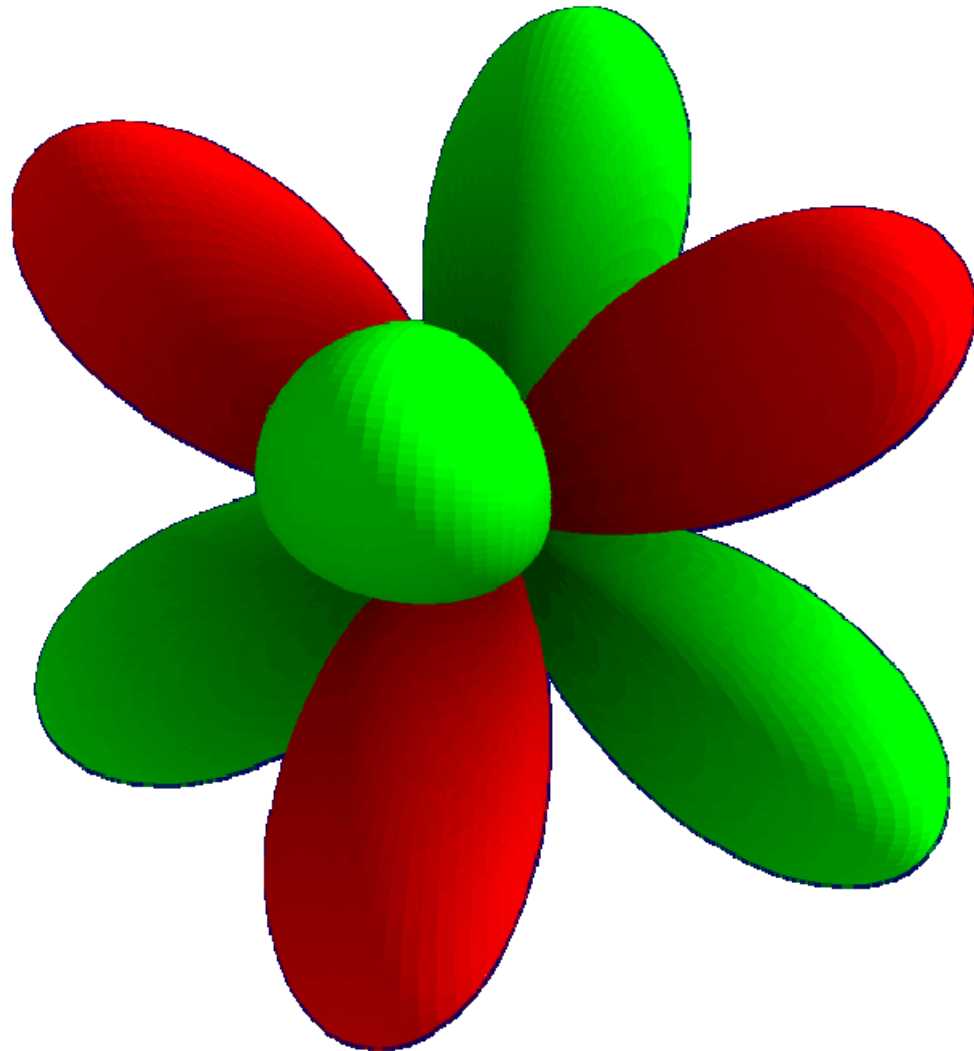
- A system of signed, orthogonal functions over the sphere
- Represented in spherical coordinates by the function

$$y_l^m(\theta, \varphi) = \begin{cases} \sqrt{2}K_l^m \cos(m\varphi)P_l^m(\cos\theta), & m > 0 \\ \sqrt{2}K_l^m \sin(-m\varphi)P_l^{-m}(\cos\theta), & m < 0 \\ K_l^0 P_l^0(\cos\theta), & m = 0 \end{cases}$$

where  $l$  is the band and  $m$  is the index within the band

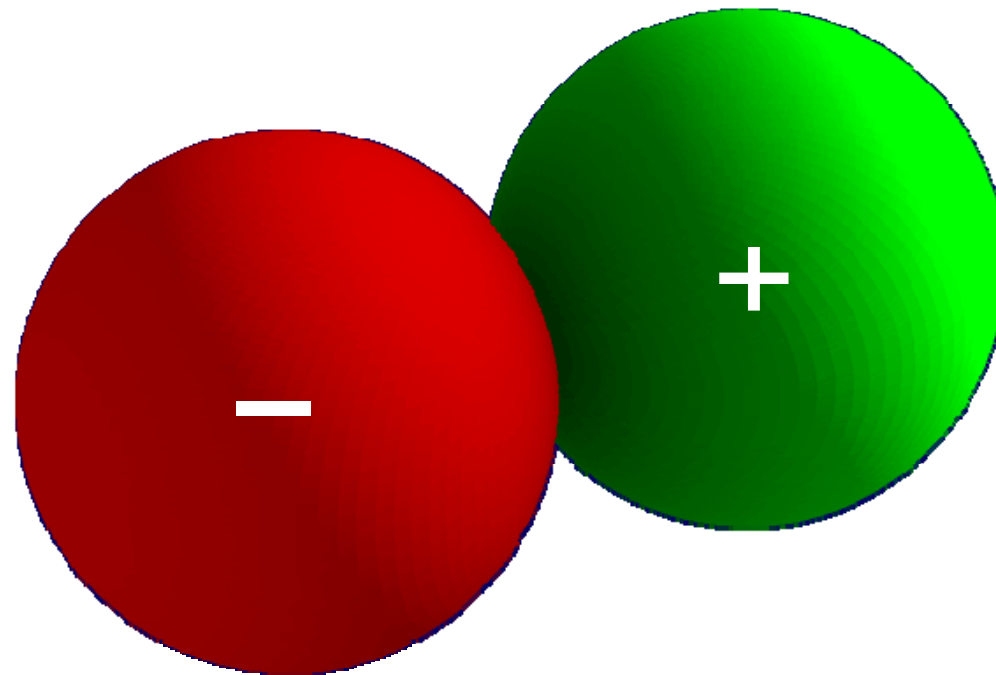
# Real spherical harmonics

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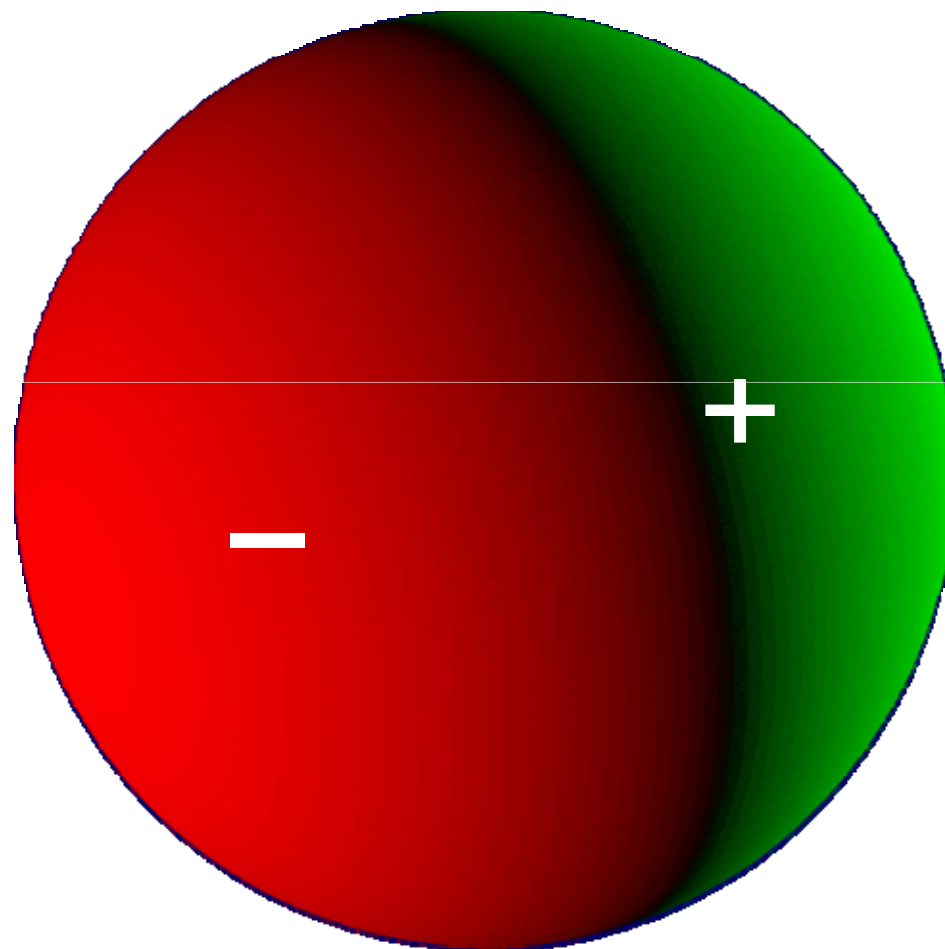
# Reading SH diagrams

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# Reading SH diagrams

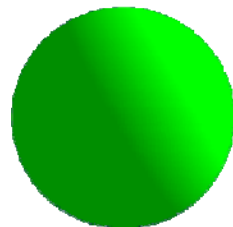
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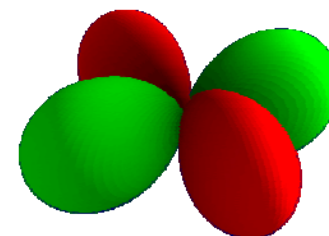
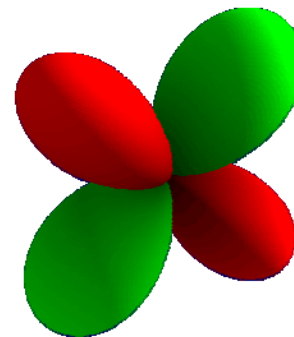
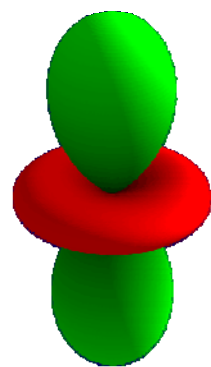
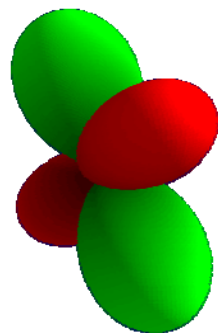
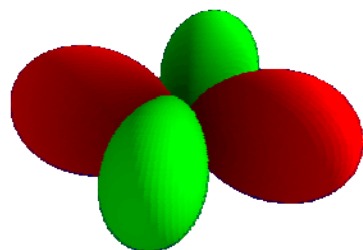
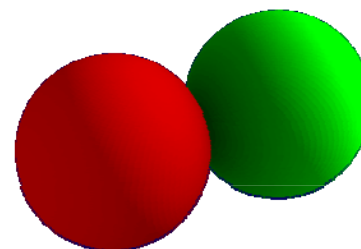
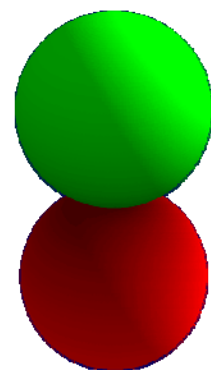
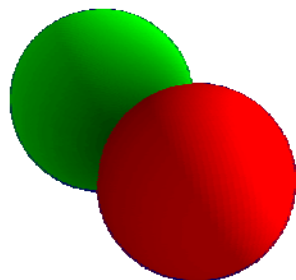
# The SH functions

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$$y_0^0 =$$



$$y_1^{-1} =$$





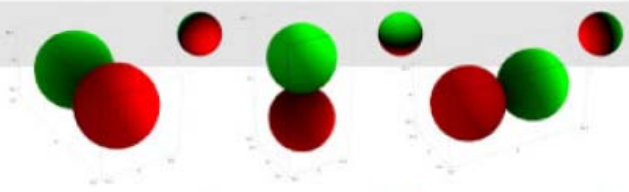
# The SH functions

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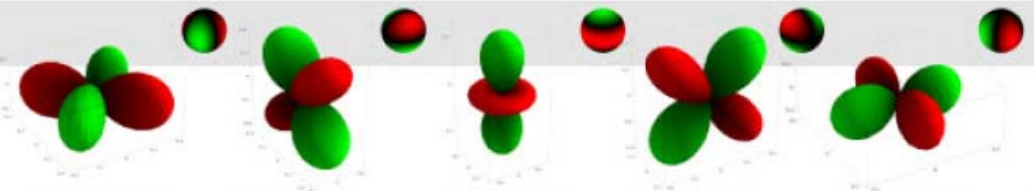
$l=0$



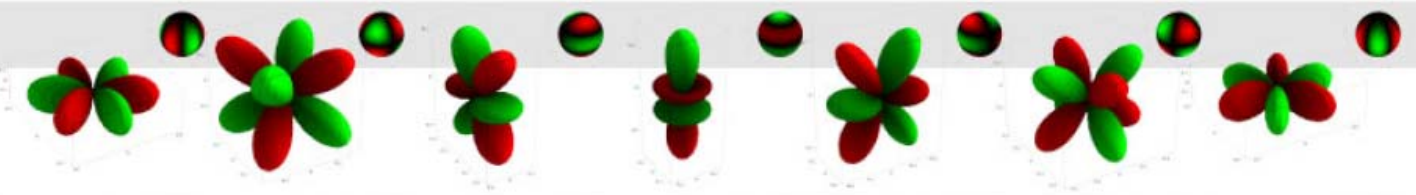
$l=1$



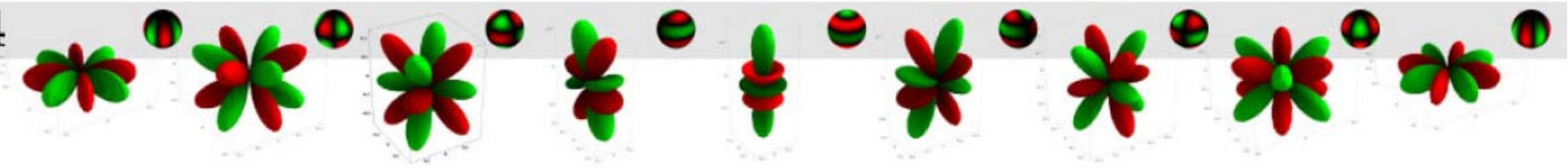
$l=2$



$l=3$



$l=4$

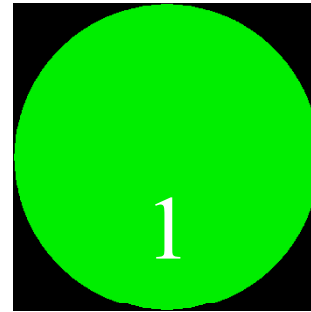
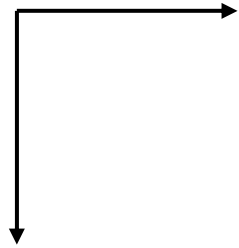


# Spherical harmonics

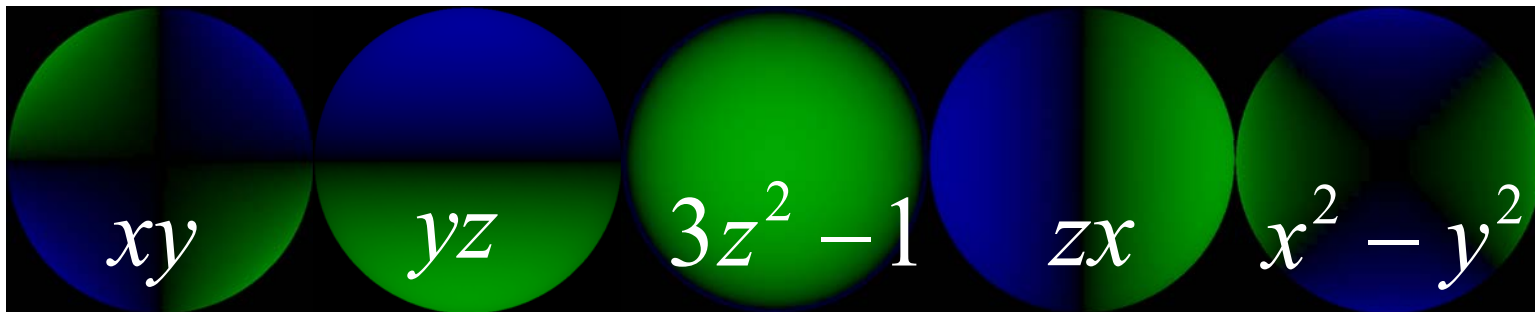
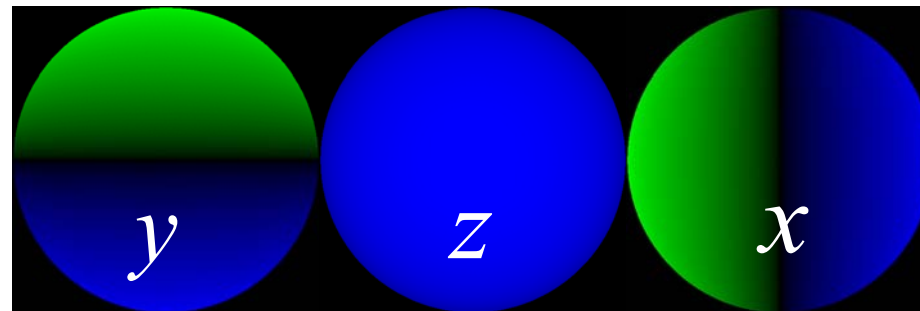
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$$\begin{aligned}(x, y, z) &= (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta) \\ Y_{00}(\theta, \phi) &= 0.282095 \\ (Y_{11}; Y_{10}; Y_{1-1})(\theta, \phi) &= 0.488603 (x; z; y) \\ (Y_{21}; Y_{2-1}; Y_{2-2})(\theta, \phi) &= 1.092548 (xz; yz; xy) \\ Y_{20}(\theta, \phi) &= 0.315392 (3z^2 - 1) \\ Y_{22}(\theta, \phi) &= 0.546274 (x^2 - y^2)\end{aligned}$$

# Spherical harmonics



$$Y_{lm}(\theta, \varphi)$$



# SH projection

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- First we define a strict order for SH functions

$$i = l(l + 1) + m$$

- Project a spherical function into a vector of SH coefficients

$$c_i = \int_S f(s) y_i(s) ds$$

# SH reconstruction

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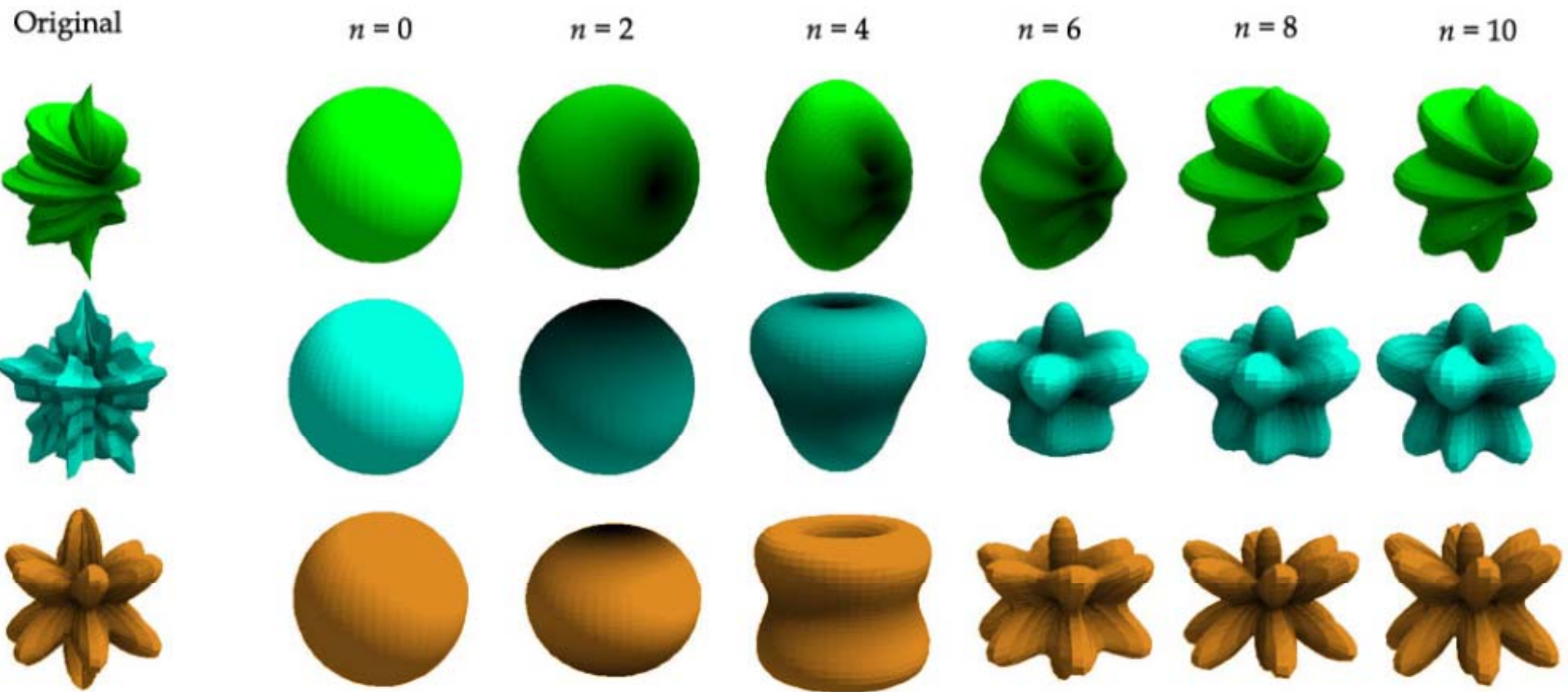
- To reconstruct the approximation to a function

$$\tilde{f}(s) = \sum_{i=0}^{N^2} c_i y_i(s)$$

- We truncate the infinite series of SH functions to give a low frequency approximation

# Examples of reconstruction

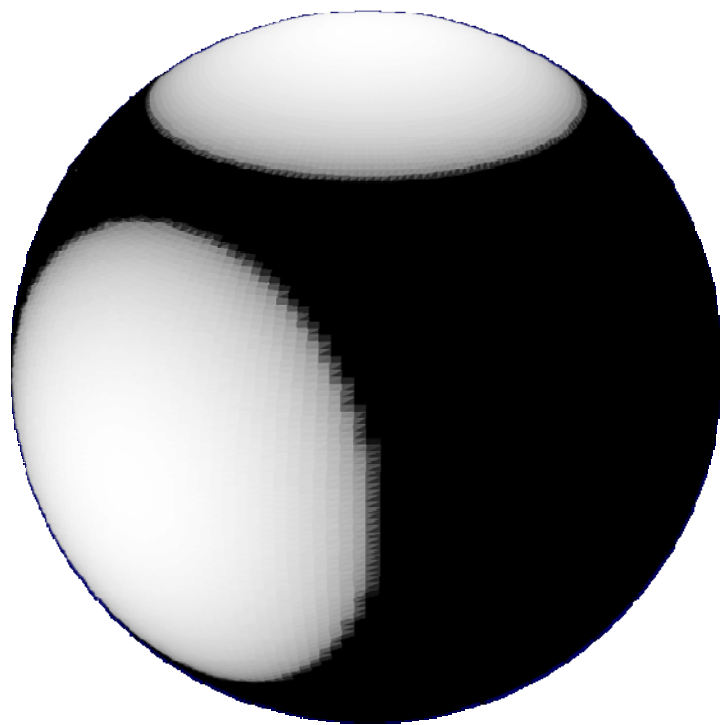
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# An example

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- Take a function comprised of two area light sources
  - SH project them into 4 bands = 16 coefficients

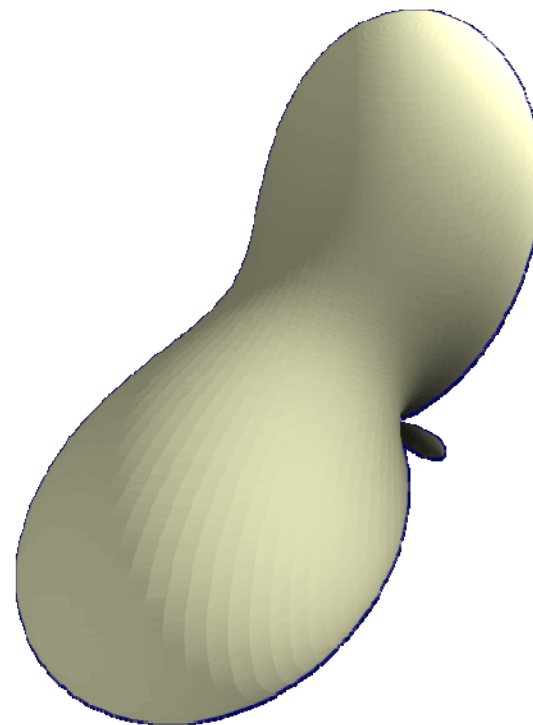
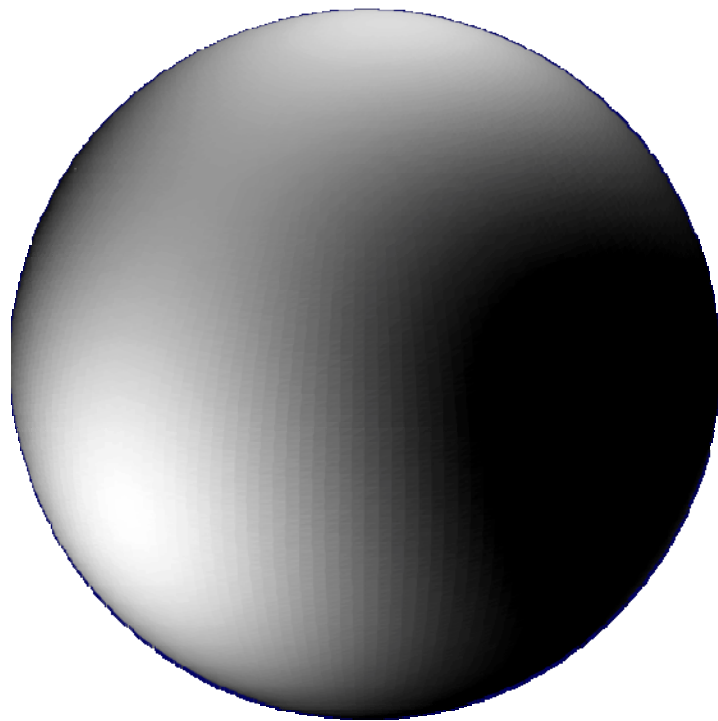


$$\begin{bmatrix} 1.329, \\ -0.679, 0.930, 0.908, \\ -0.940, 0, 0.417, 0, 0.278, \\ -0.642, 0.001, 0.317, 0.837, \\ -0.425, 0, -0.238 \end{bmatrix}$$

# Low frequency light source

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- We reconstruct the signal
  - Using only these coefficients to find a low frequency approximation to the original light source





# SH lighting for diffuse objects

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- *An Efficient Representation for Irradiance Environment Maps*, Ravi Ramamoorthi and Pat Hanrahan, SIGGRAPH 2001
- Assumptions
  - Diffuse surfaces
  - Distant illumination
  - No shadowing, interreflection

$$B(p, \omega_o) = \int_{s^2} f(p, \omega_o, \omega_i) L_d(p, \omega_i) |\cos \theta_i| d\omega_i$$

$$B(p, n) = \rho(p) E(n)$$

irradiance is a function of surface normal

# Diffuse reflection

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$$B = \rho E$$

radiosity  
(image intensity)

reflectance  
(albedo/texture)

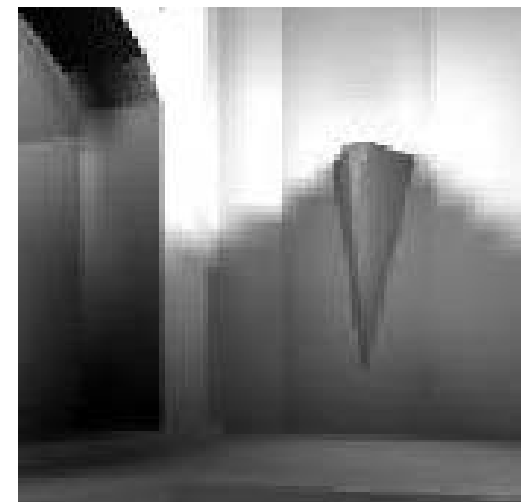
irradiance  
(incoming light)



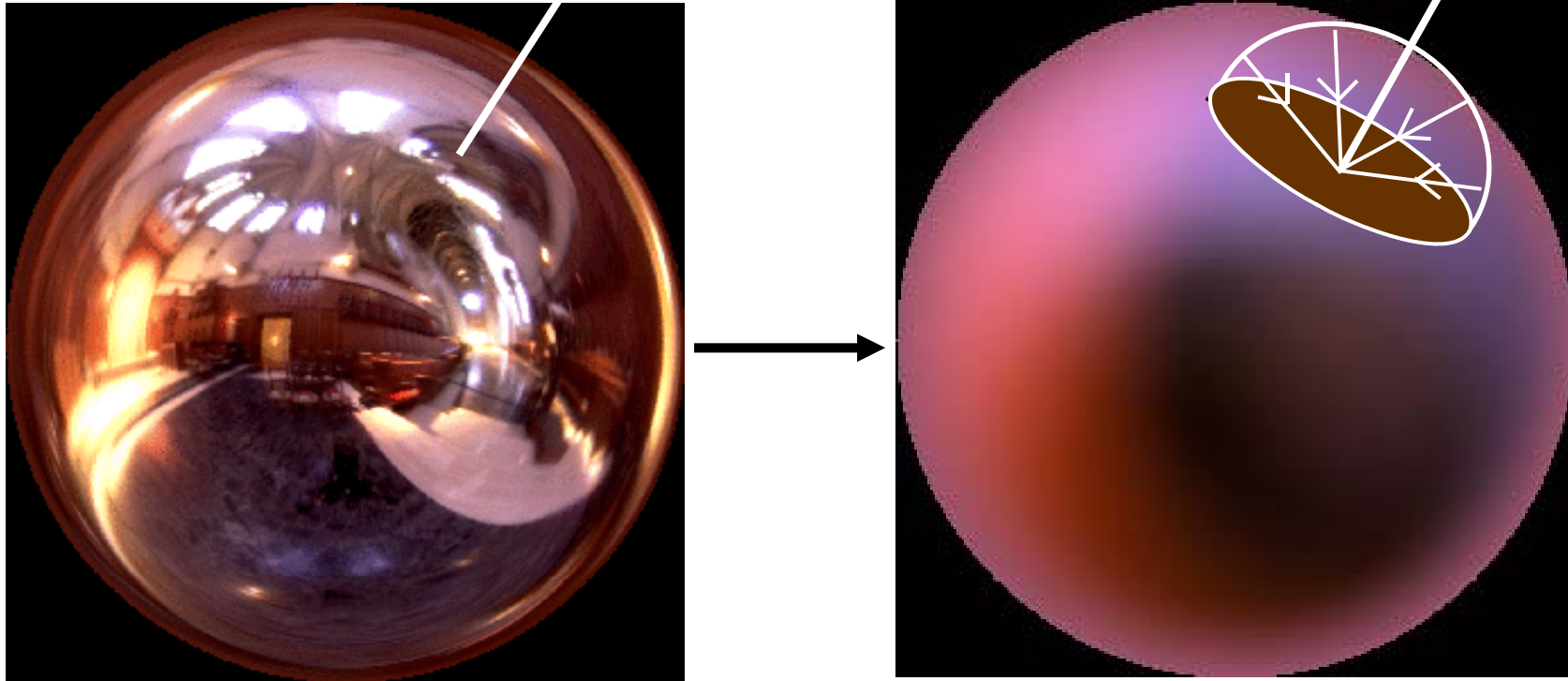
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# Irradiance environment maps



$$E(n) = \int_{\Omega} L(\omega)(n \cdot \omega) d\omega$$

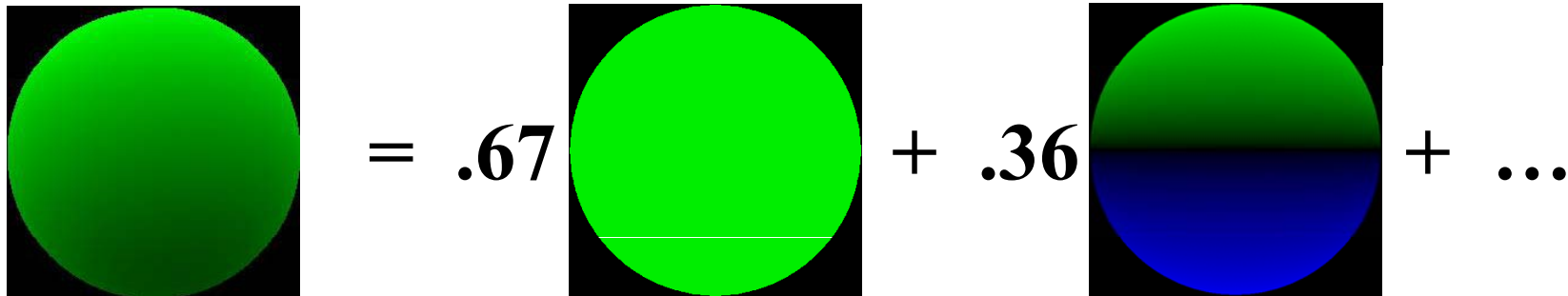
# Spherical harmonic expansion

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Expand lighting (L), irradiance (E) in basis functions

$$L(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^{+l} L_{lm} Y_{lm}(\theta, \phi)$$

$$E(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^{+l} E_{lm} Y_{lm}(\theta, \phi)$$

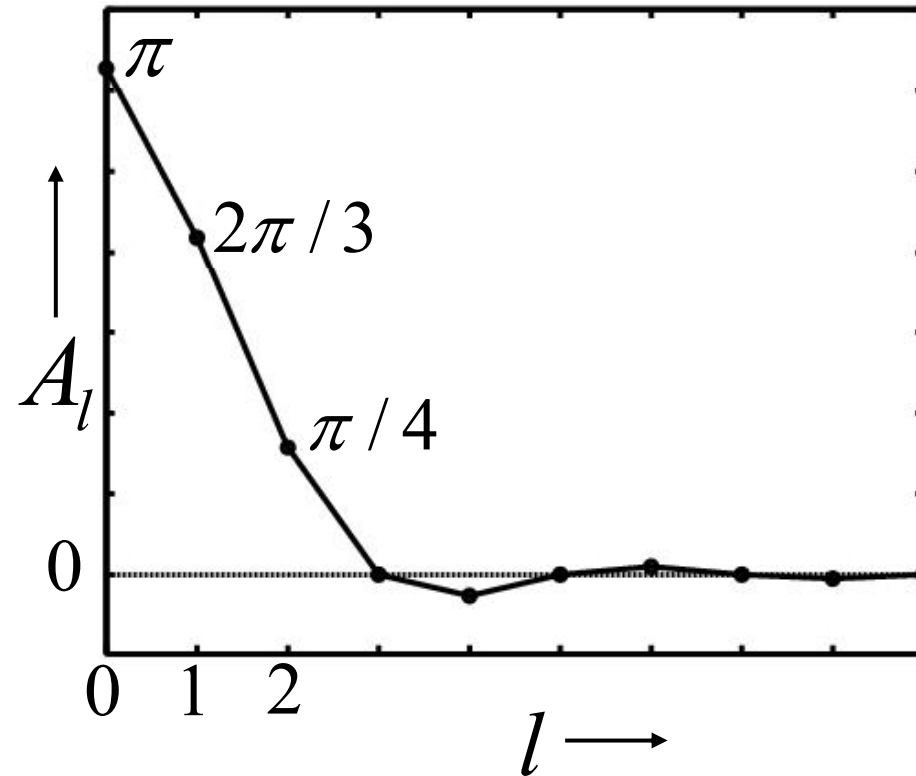


# Analytic irradiance formula

Lambertian surface acts like low-pass filter

$$E_{lm} = A_l L_{lm}$$

cosine term



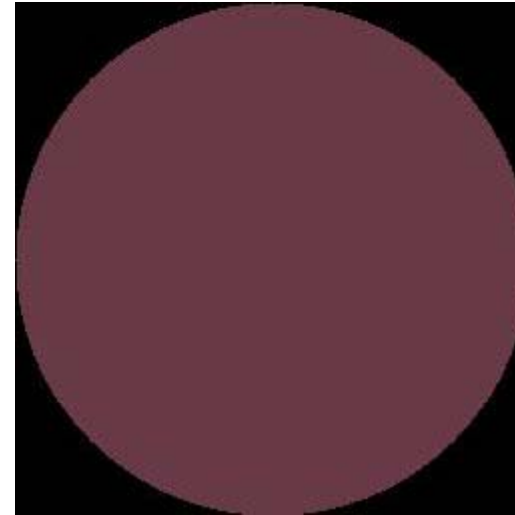
$$A_l = 2\pi \frac{(-1)^{\frac{l}{2}-1}}{(l+2)(l-1)} \left[ \frac{l!}{2^l (\frac{l}{2}!)^2} \right] \quad l \text{ even}$$

# 9 parameter approximation

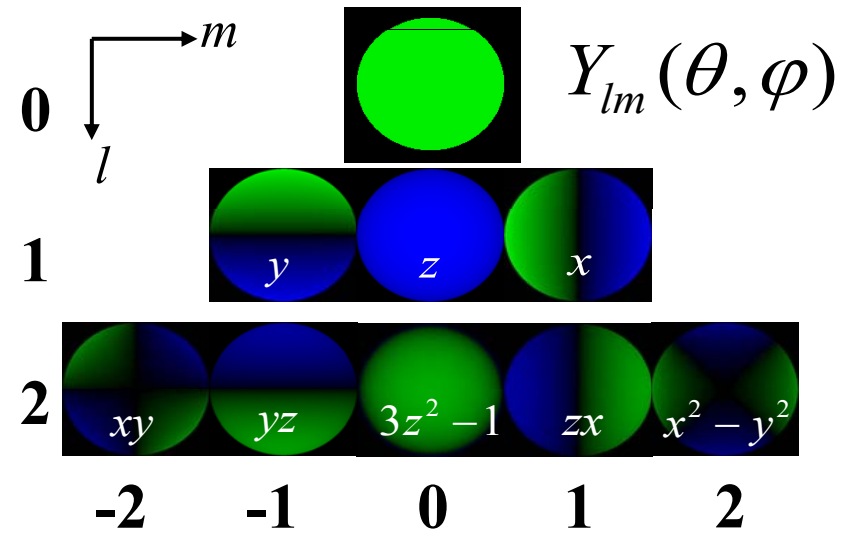
Exact image



Order 0  
1 term



**RMS error = 25 %**



# 9 Parameter Approximation

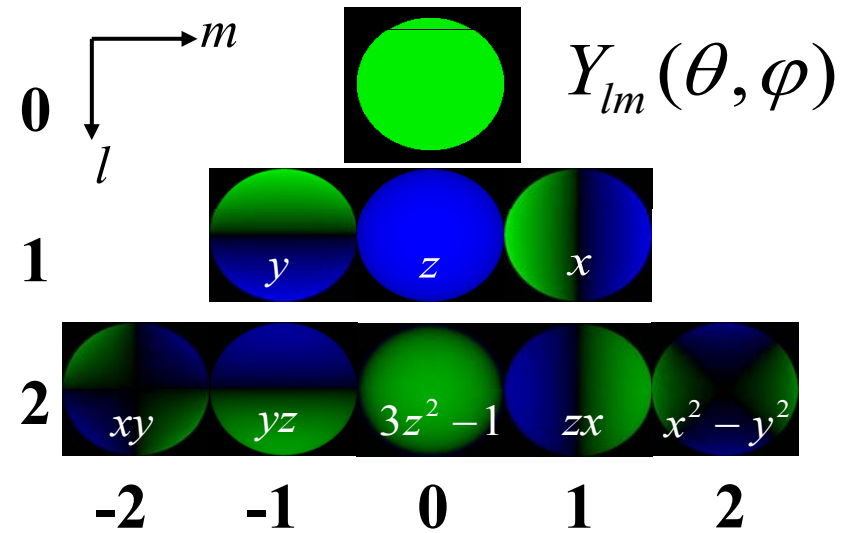
Exact image



Order 1  
4 terms



**RMS Error = 8%**



# 9 Parameter Approximation

Exact image

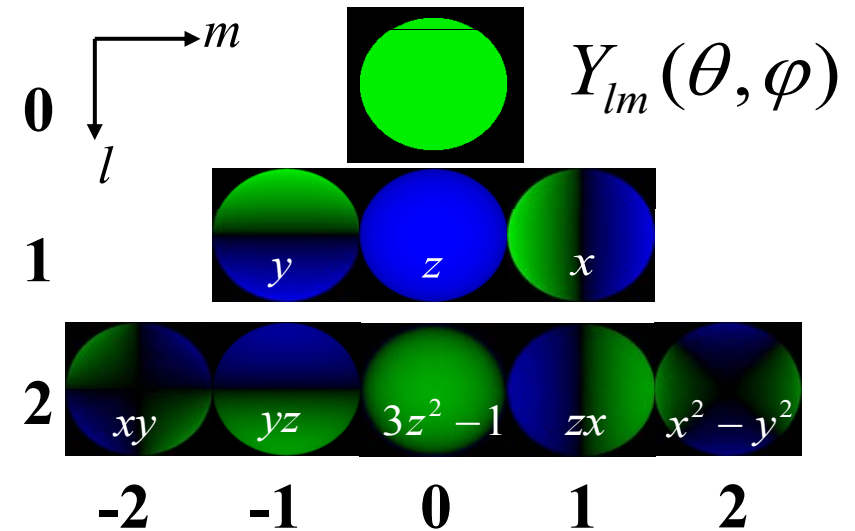


Order 2  
9 terms



**RMS Error = 1%**

For any illumination, average error < 3% [Basri Jacobs 01]





# Comparison

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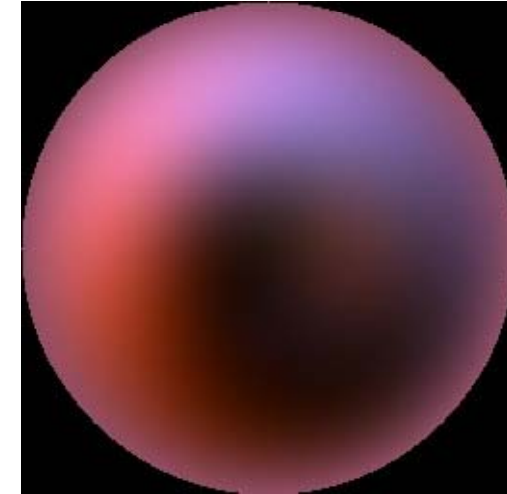


Incident  
illumination  
300x300



Irradiance map  
Texture: 256x256  
Hemispherical  
Integration 2Hrs

Time  $\propto$   $300 \times 300 \times 256 \times 256$



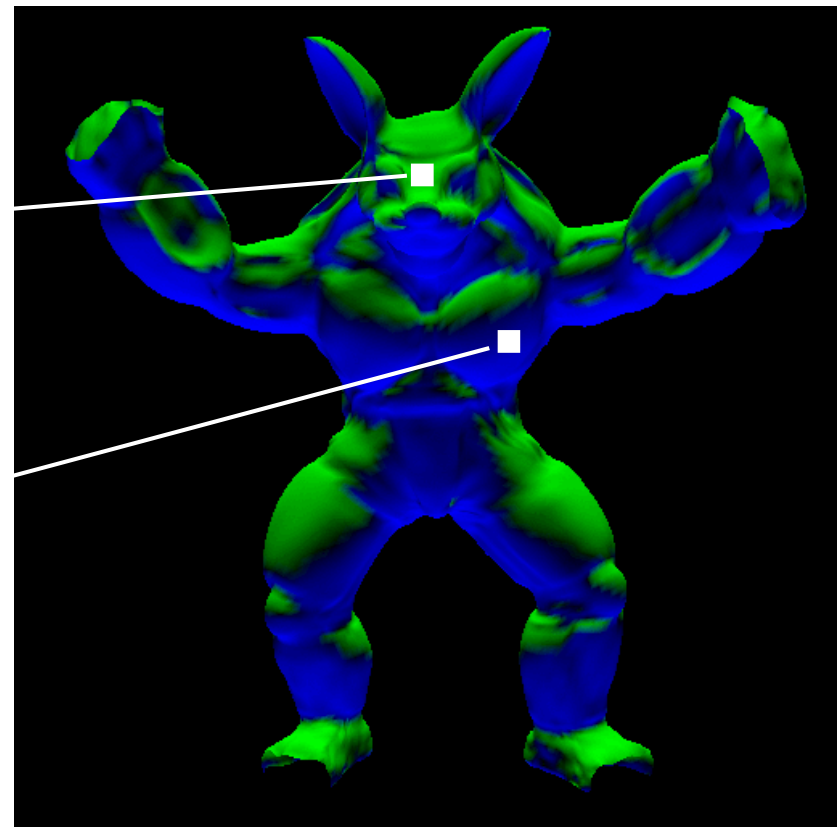
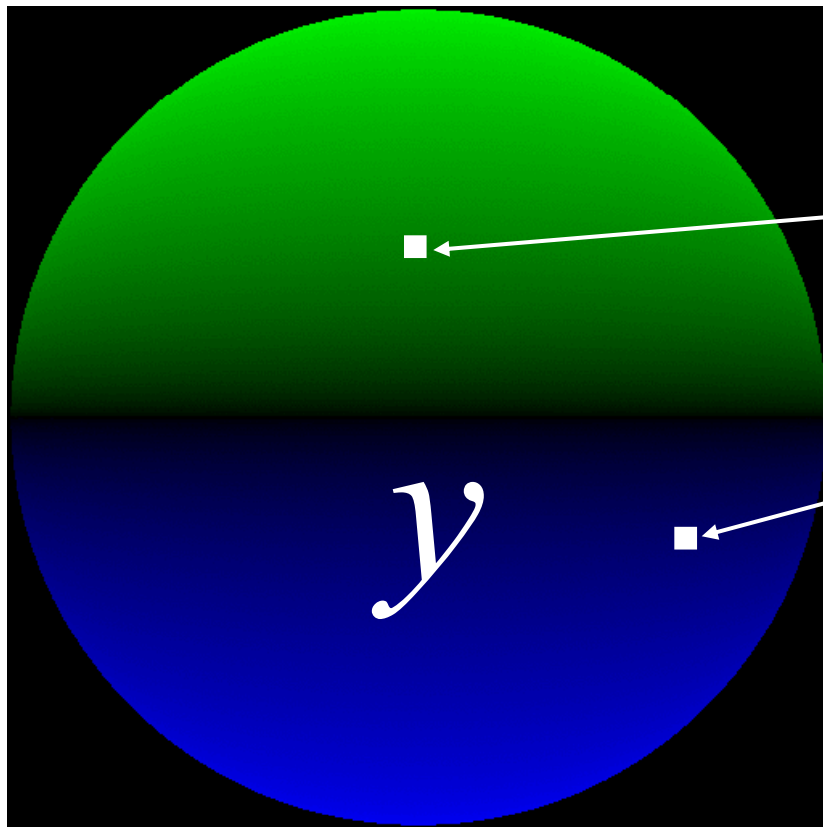
Irradiance map  
Texture: 256x256  
Spherical Harmonic  
Coefficients 1sec

Time  $\propto$   $9 \times 256 \times 256$

# Complex geometry

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Assume no shadowing: Simply use surface normal



# Natural illumination

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For diffuse objects, rendering with natural illumination can be done quickly



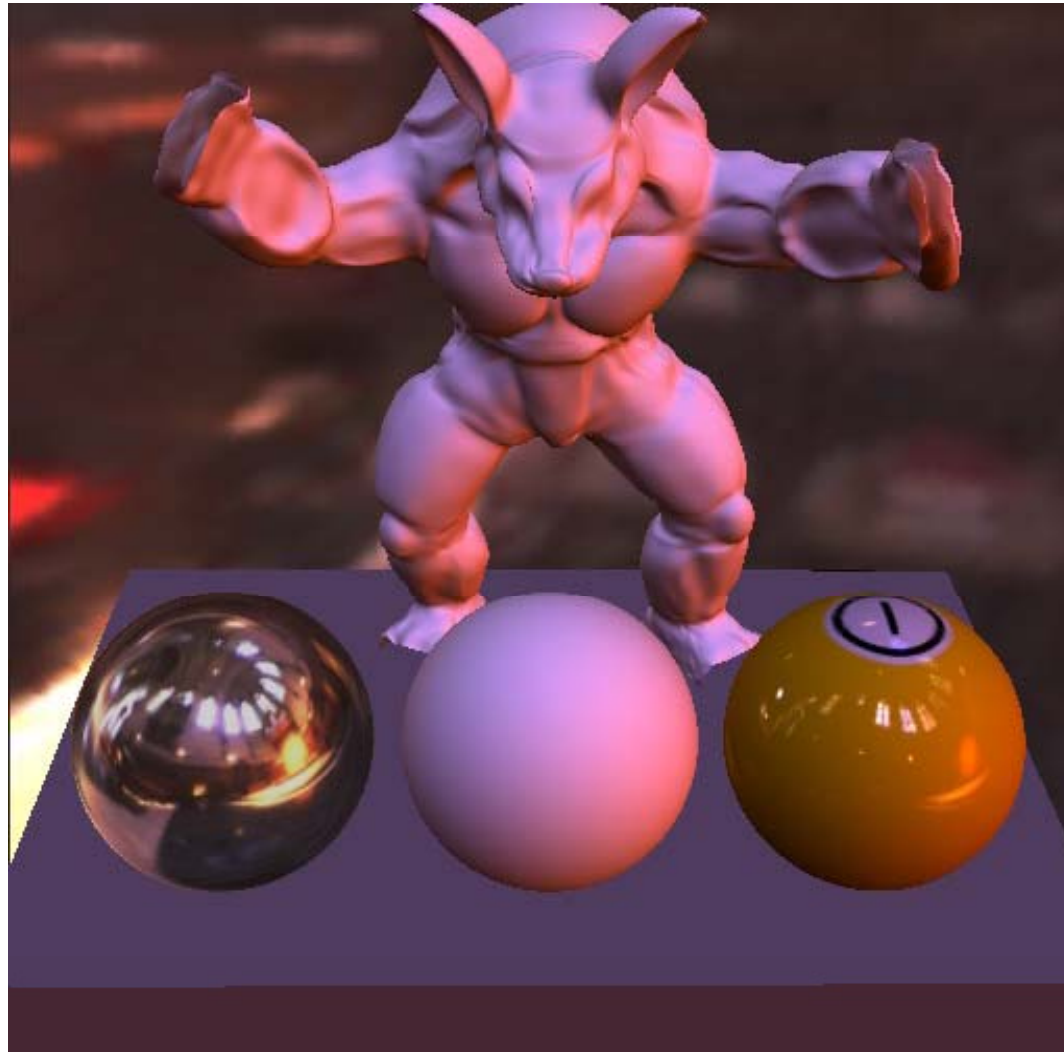
directional source



natural illumination

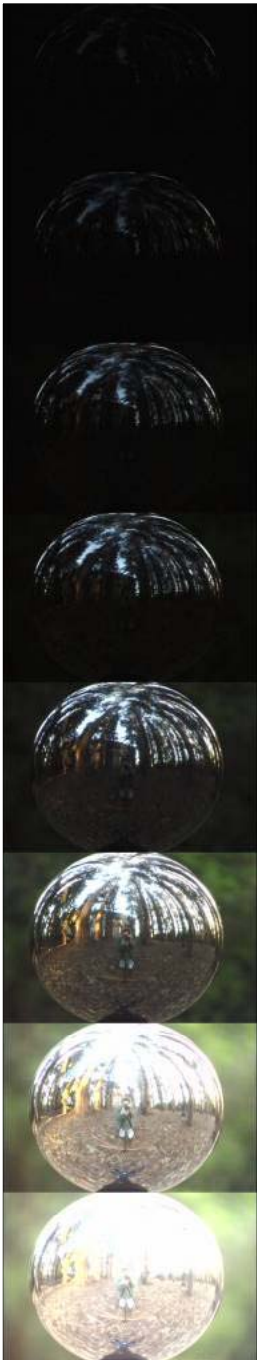
# Video

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# Acquiring the Light Probe



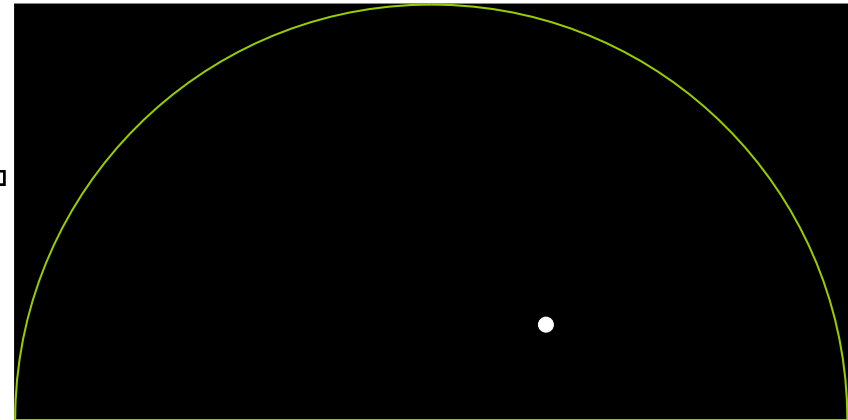
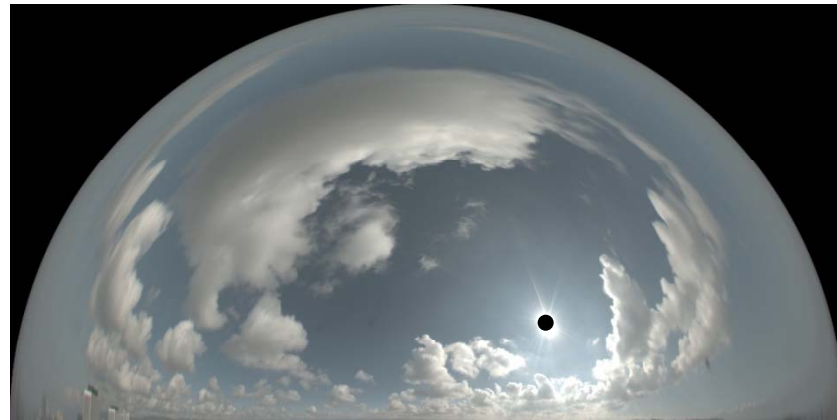
# HDRI Sky Probe

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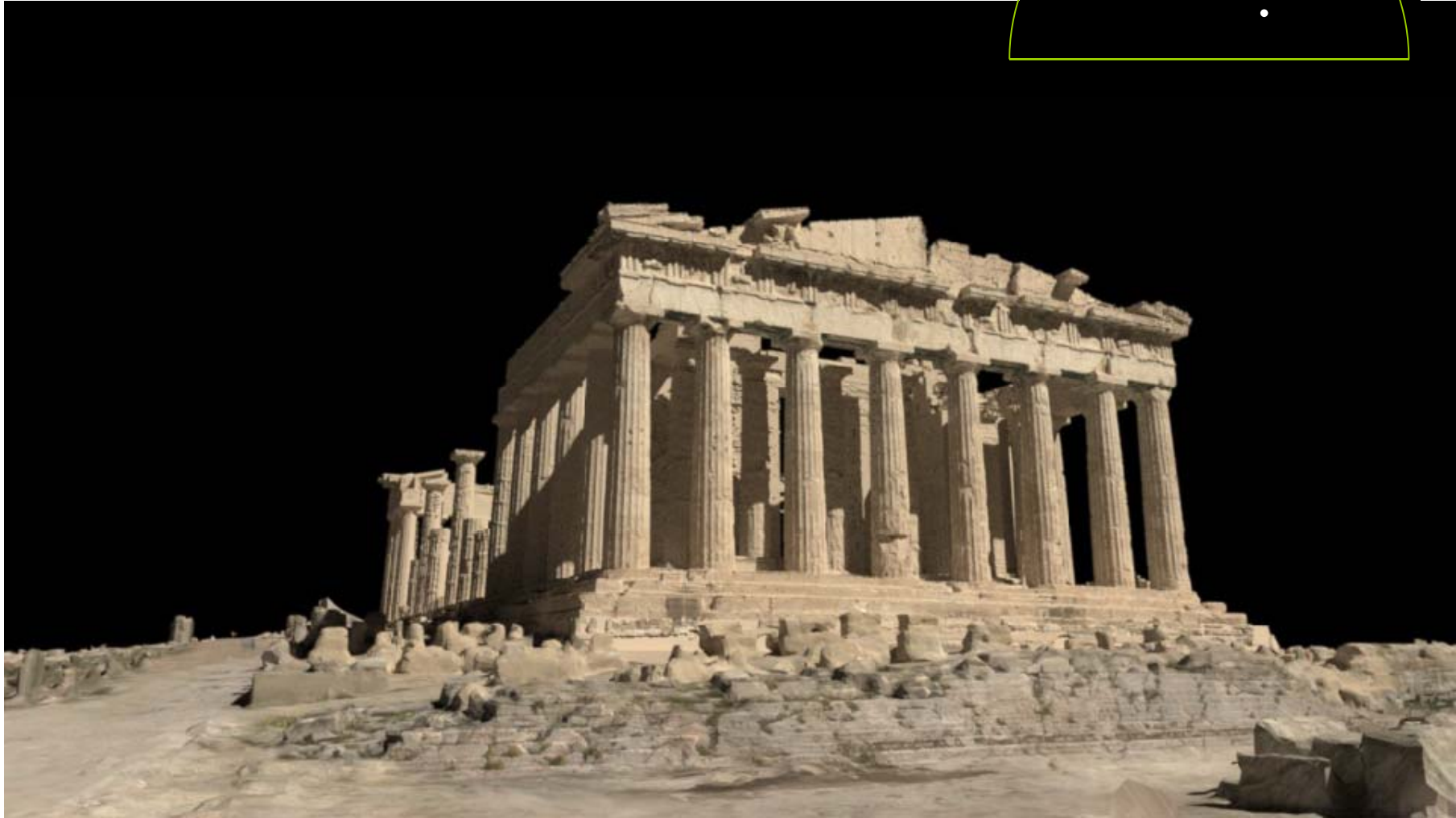
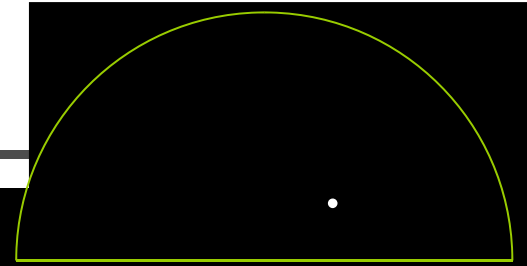
# Clipped Sky + Sun Source

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# Lit by sun only

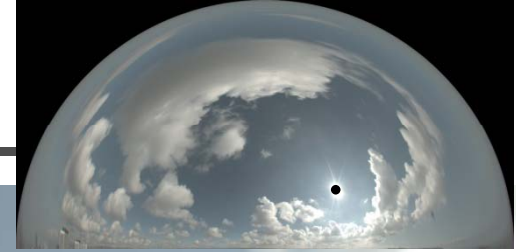
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# Lit by sky only

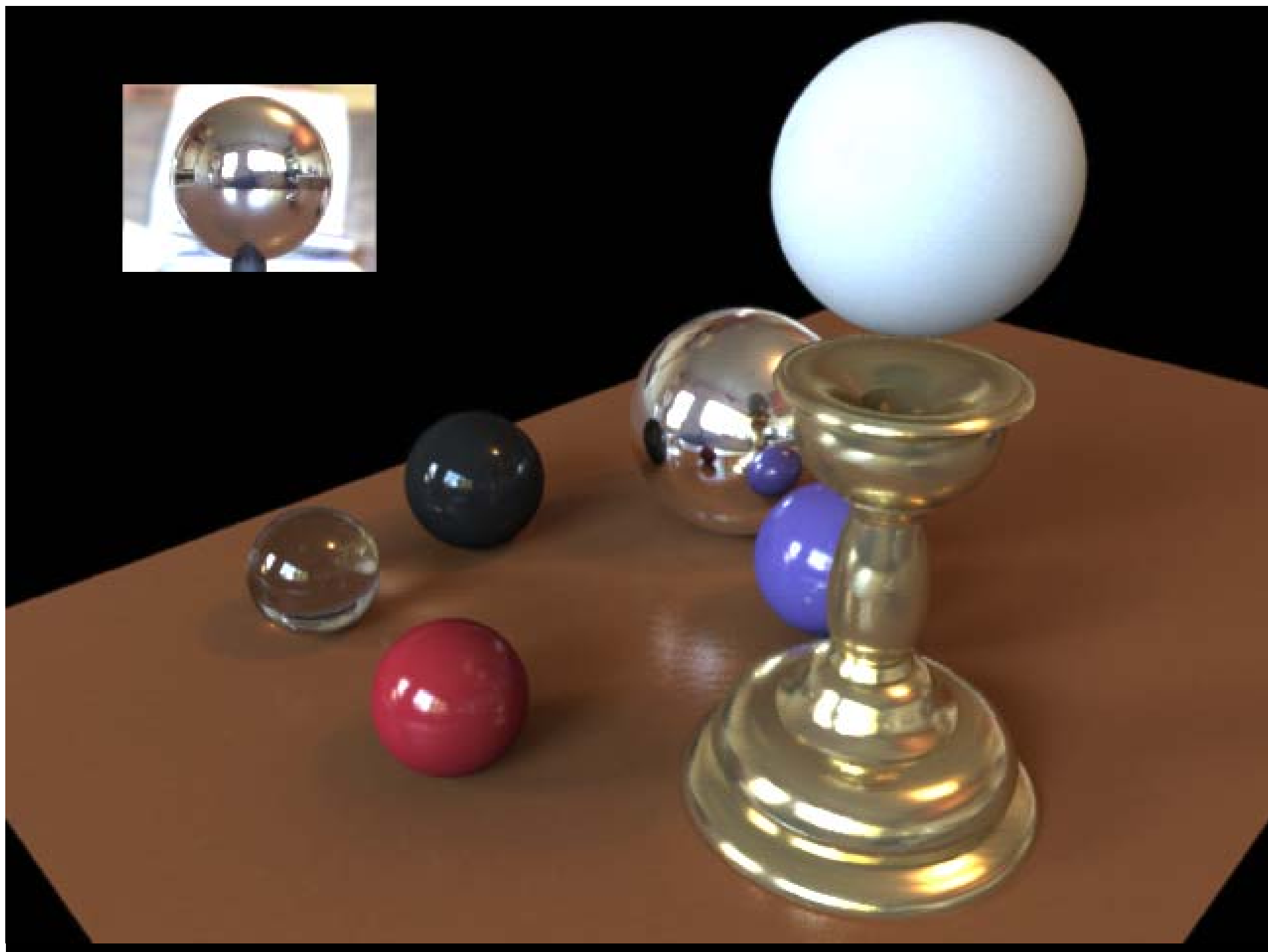
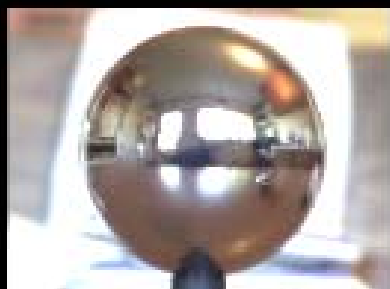
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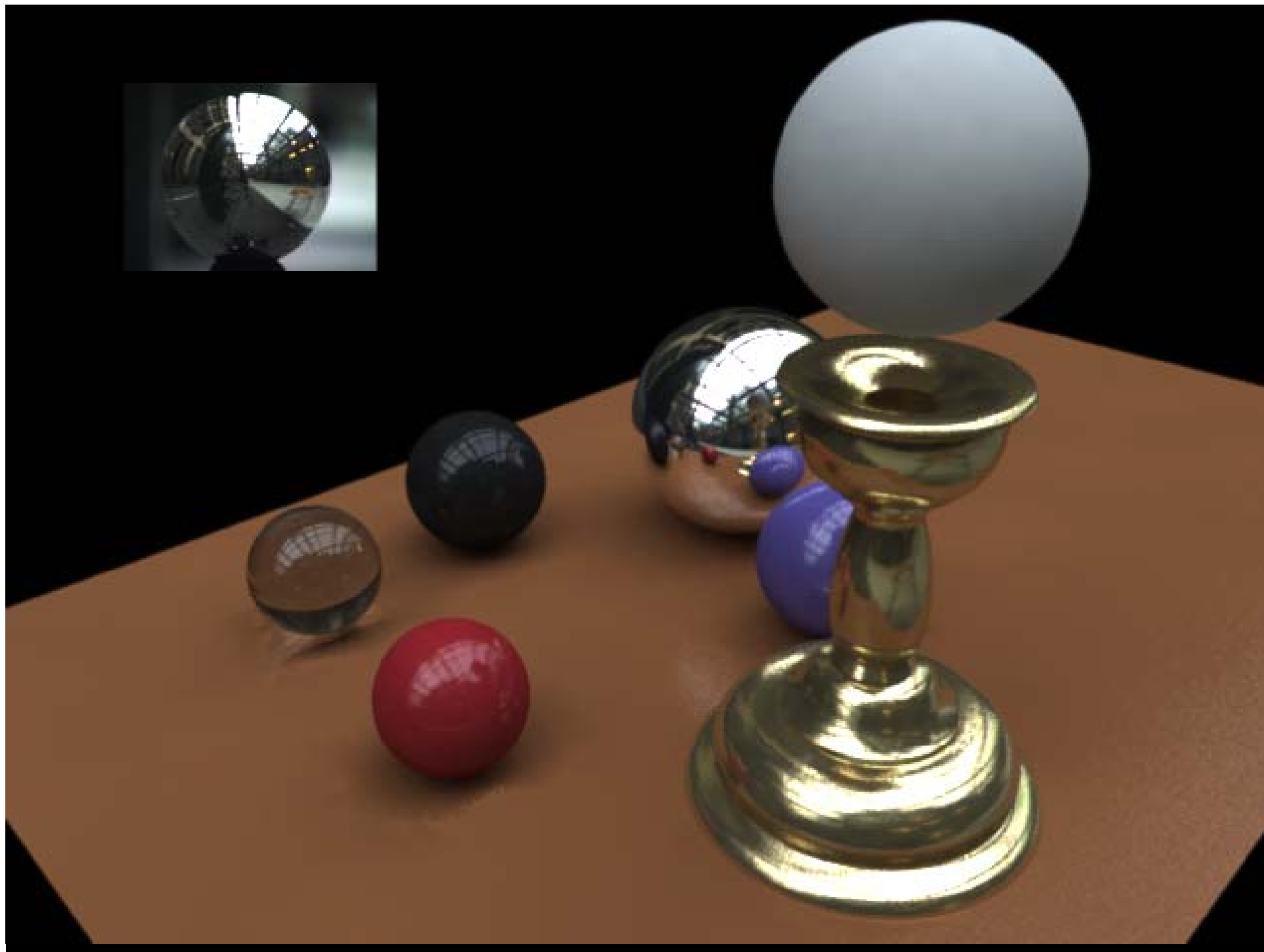


# Lit by sun and sky

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# Real Scene Example

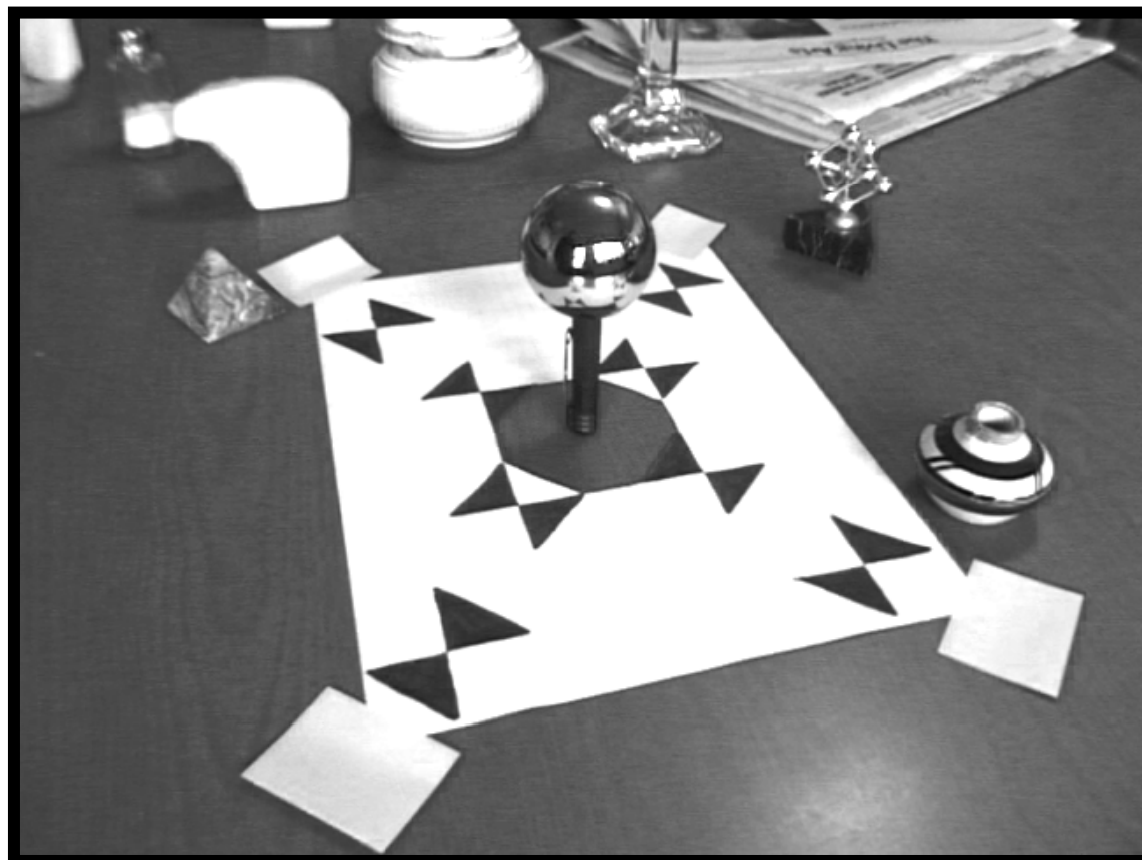
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- Goal: place synthetic objects on table

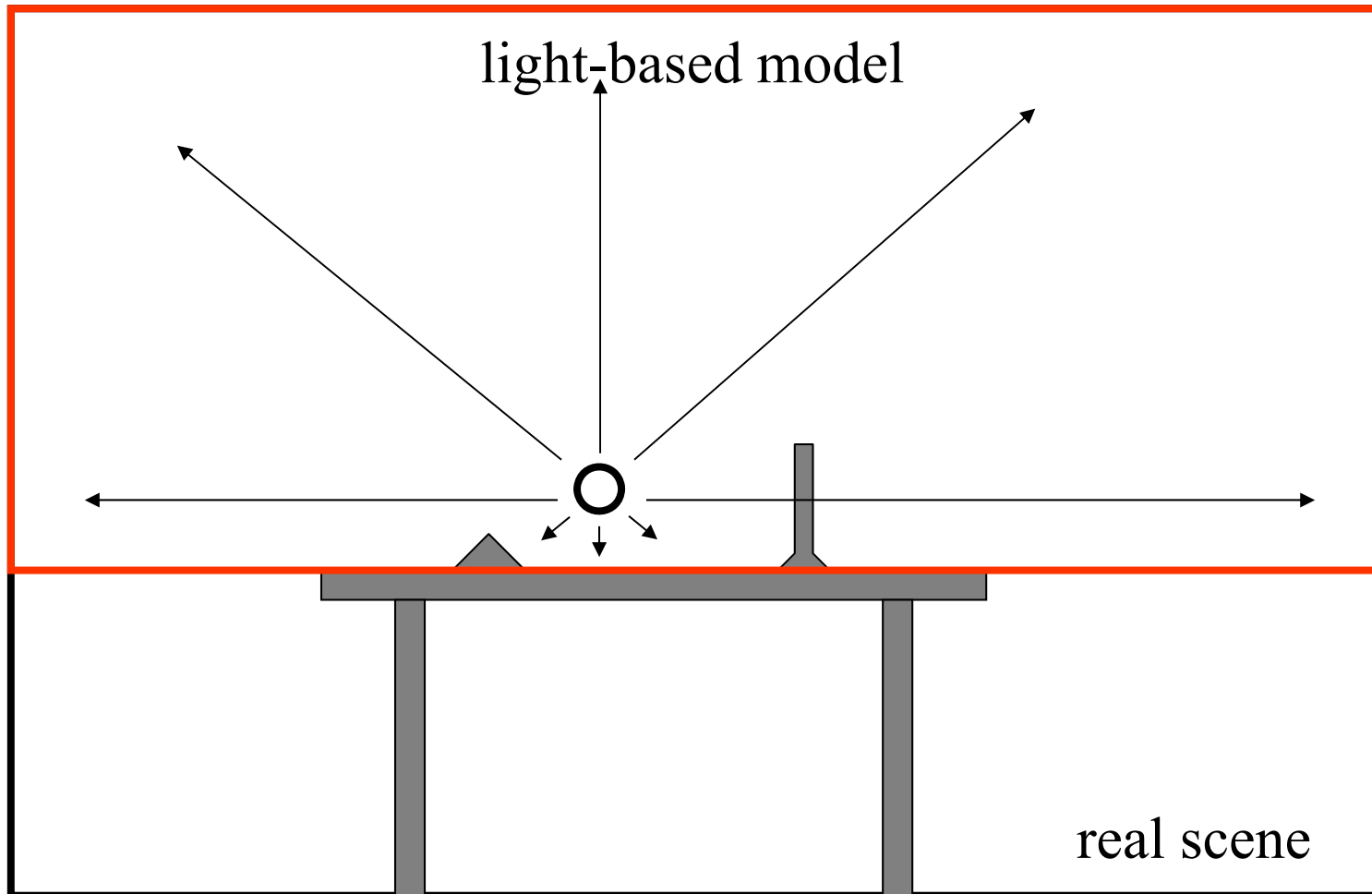
# Light Probe / Calibration Grid

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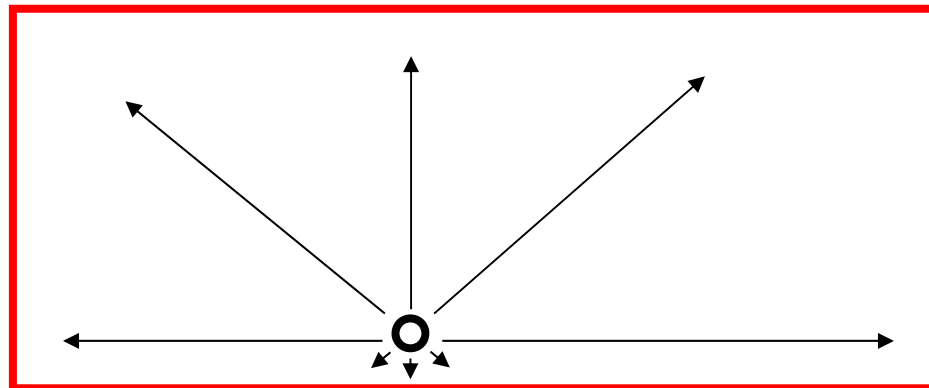
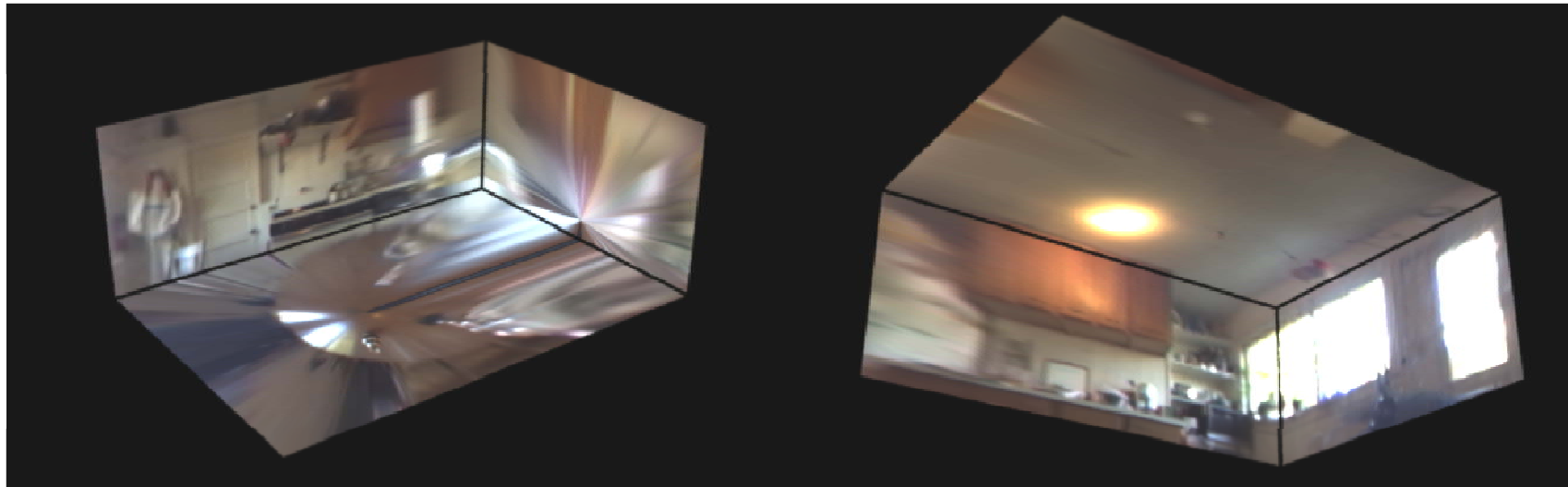




# Modeling the Scene



# The *Light-Based* Room Model





# Rendering into the Scene

---



- Background Plate

# Rendering into the scene

---



- Objects and Local Scene matched to Scene

# Differential rendering

---



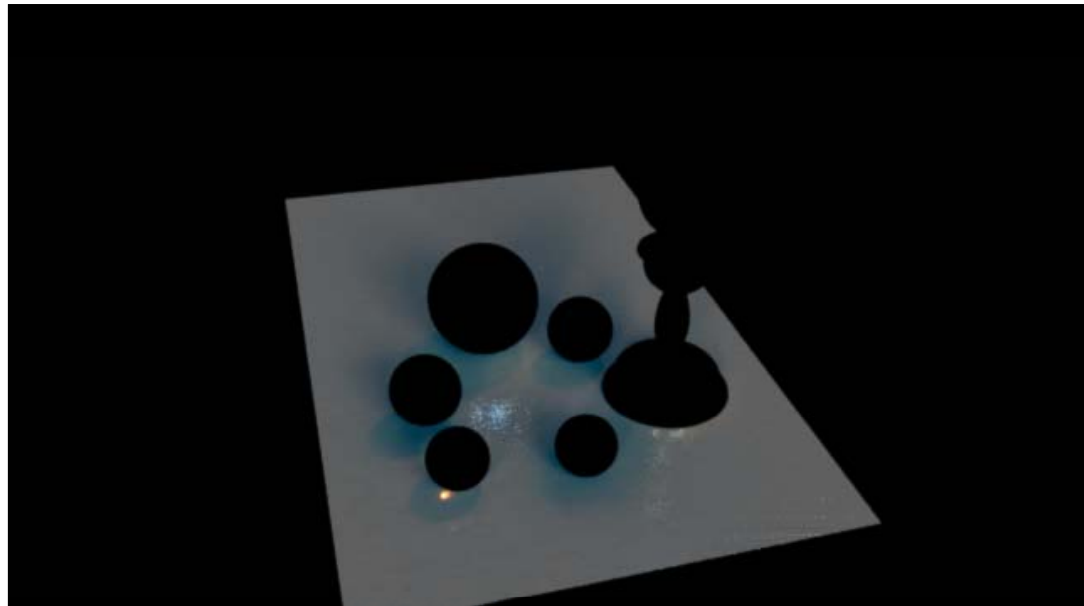
- Local scene w/o objects, illuminated by model

# Differential rendering

---



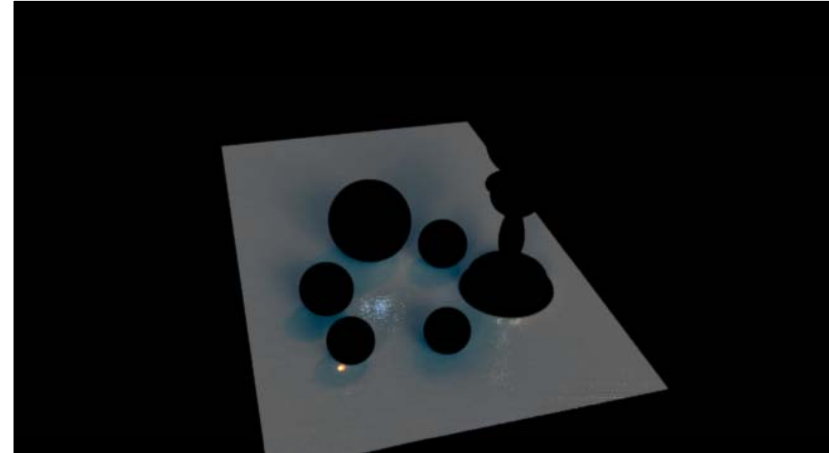
-



# Differential rendering



+





# Environment map from single image?

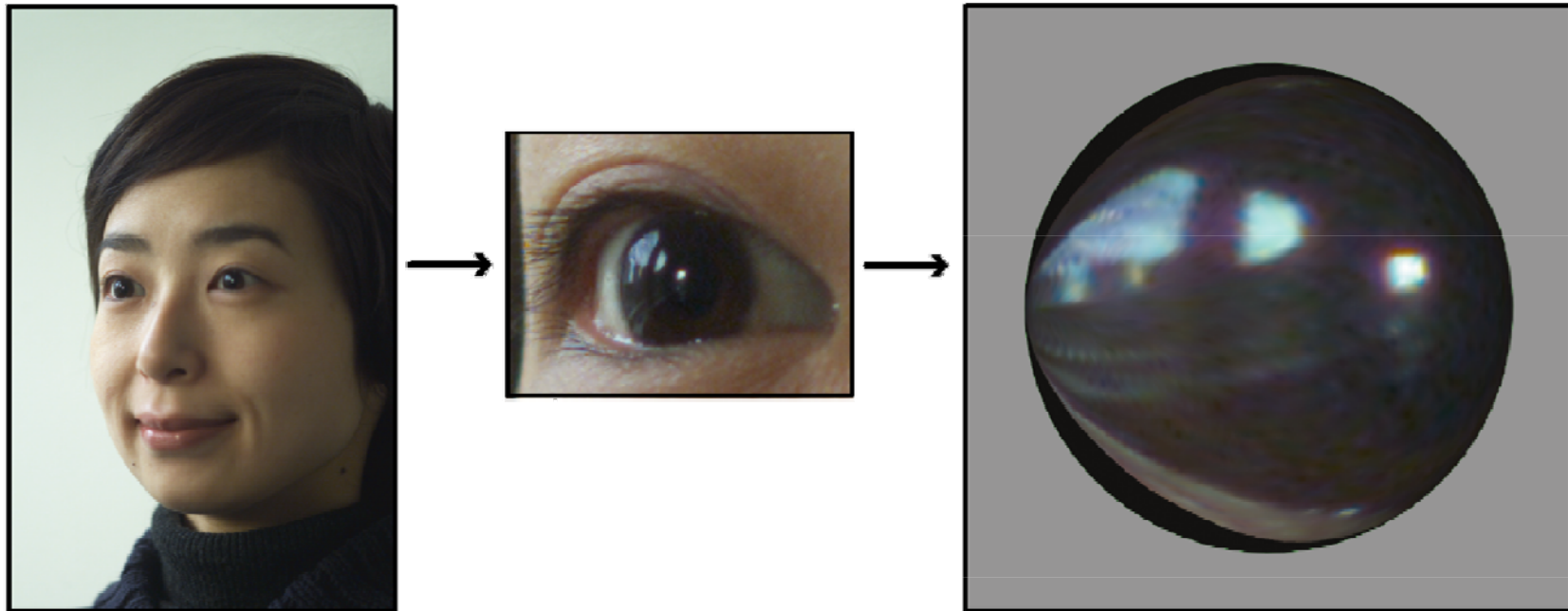
---





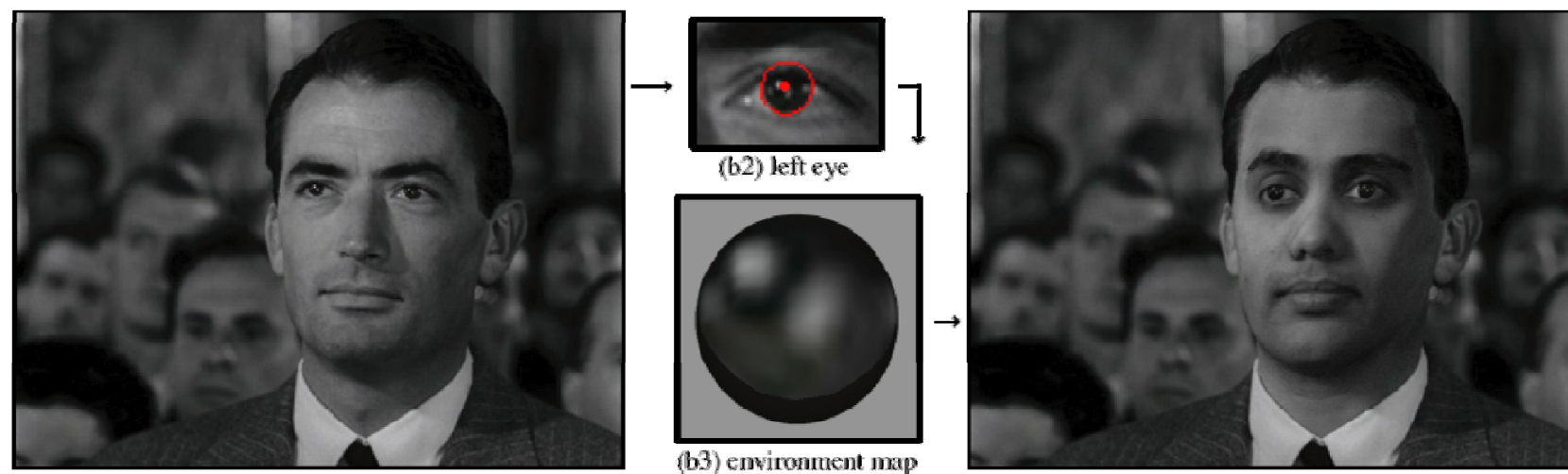
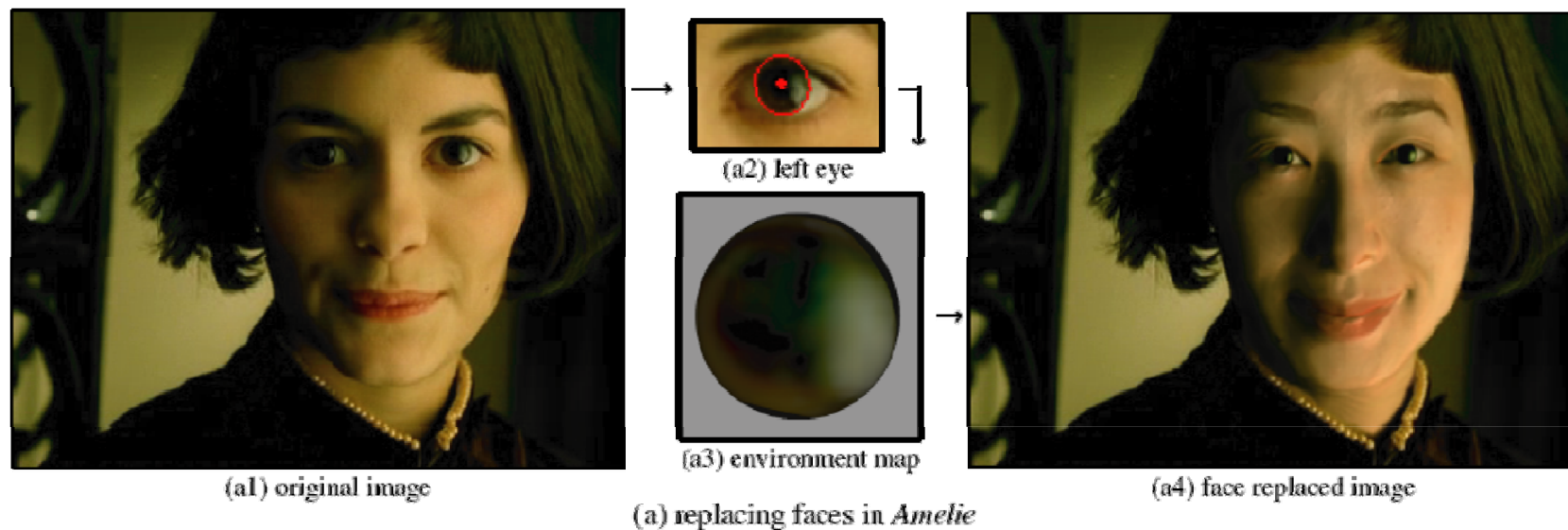
# Eye as light probe! (Nayar et al)

---





# Results



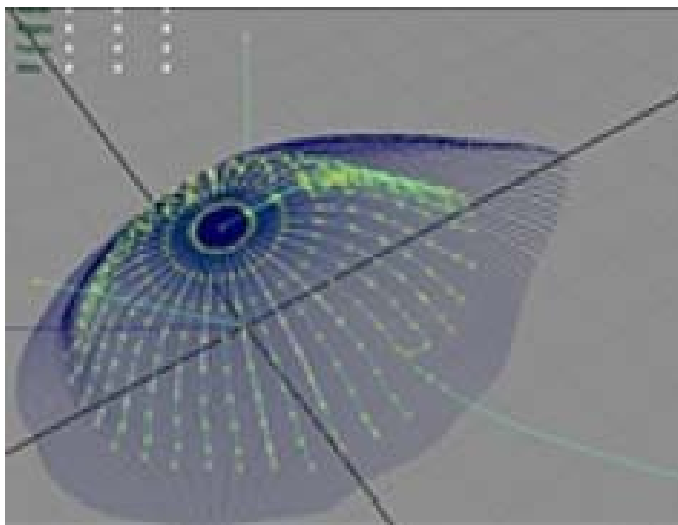
# Application in "Superman returns"

---

DigiVFX



# Capturing reflectance



# Application in "The Matrix Reloaded"

---



# 3D acquisition for faces

# Cyberware scanners

---



face & head scanner



whole body scanner



# Making facial expressions from photos

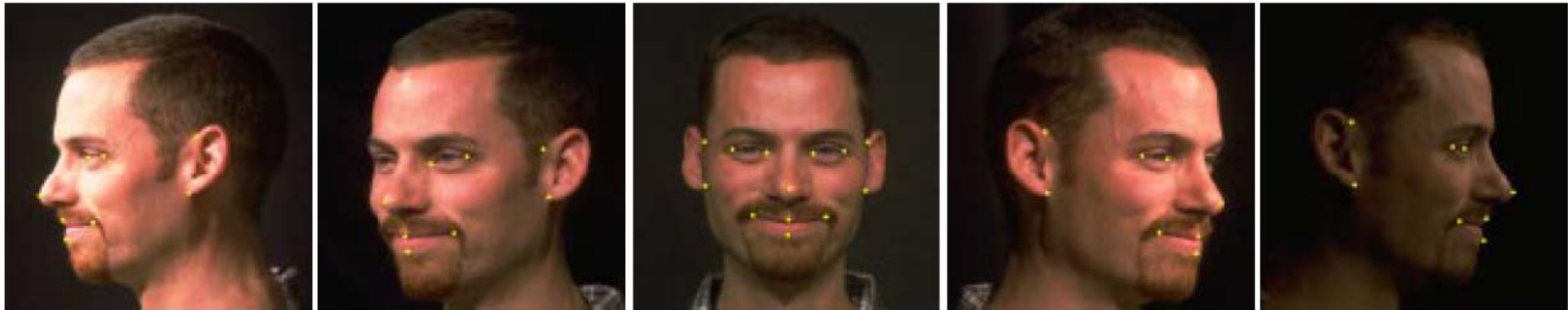
---

- Similar to Façade, use a generic face model and view-dependent texture mapping
- Procedure
  1. Take multiple photographs of a person
  2. Establish corresponding feature points
  3. Recover 3D points and camera parameters
  4. Deform the generic face model to fit points
  5. Extract textures from photos

# Reconstruct a 3D model

---

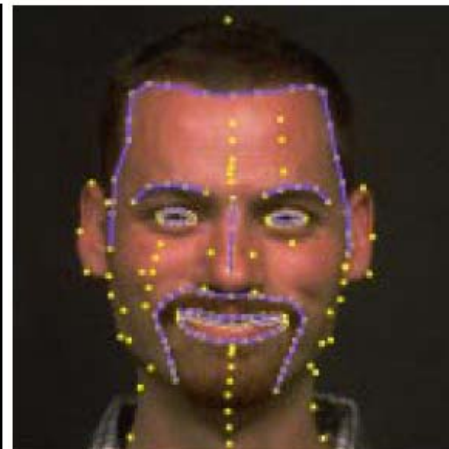
input photographs



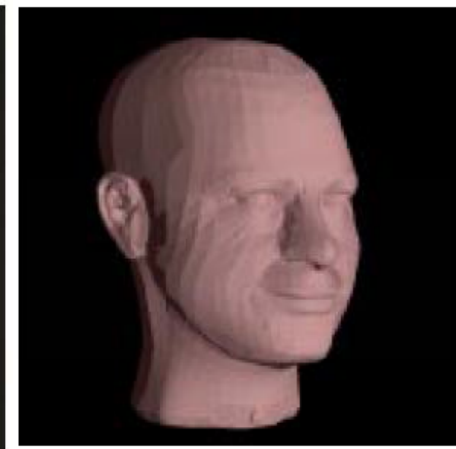
generic 3D  
face model



pose  
estimation



more  
features



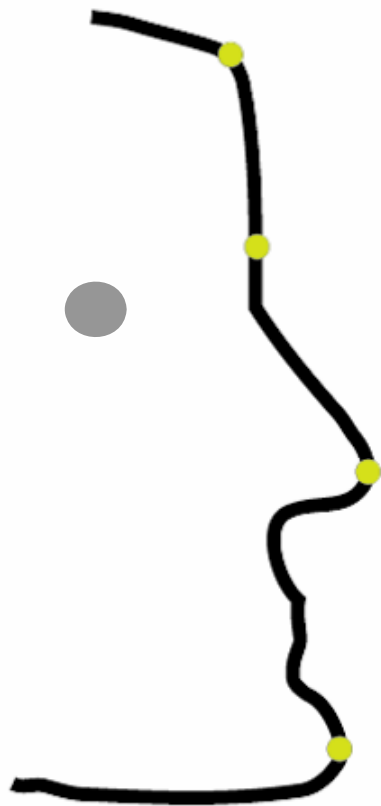
deformed  
model



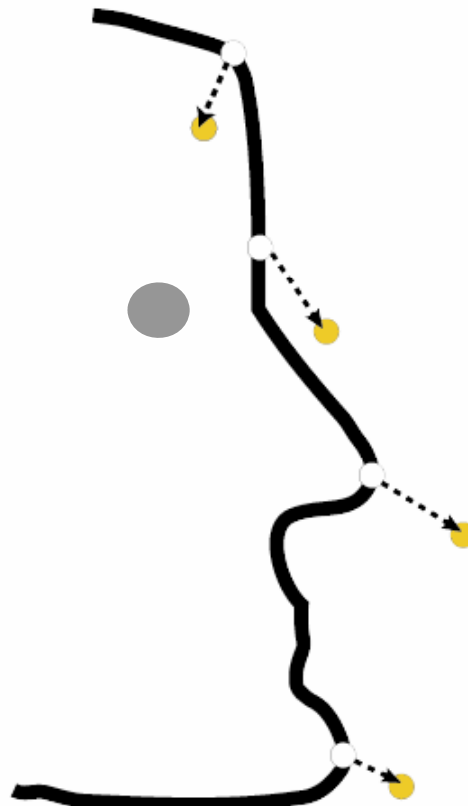
# Mesh deformation

---

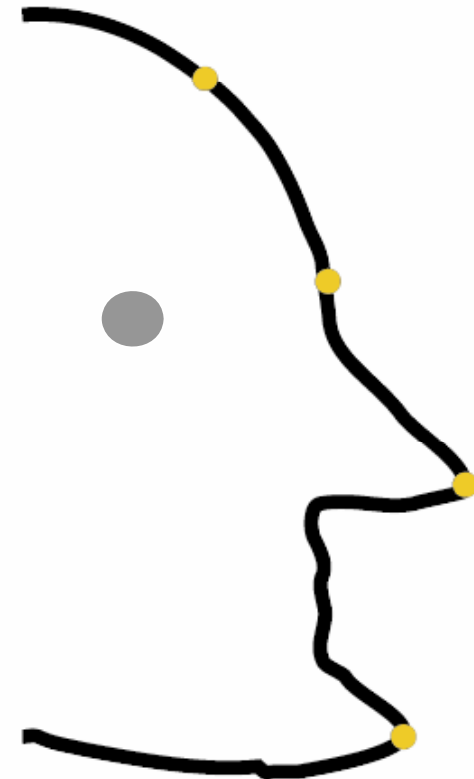
- Compute displacement of feature points
- Apply scattered data interpolation



generic model



displacement



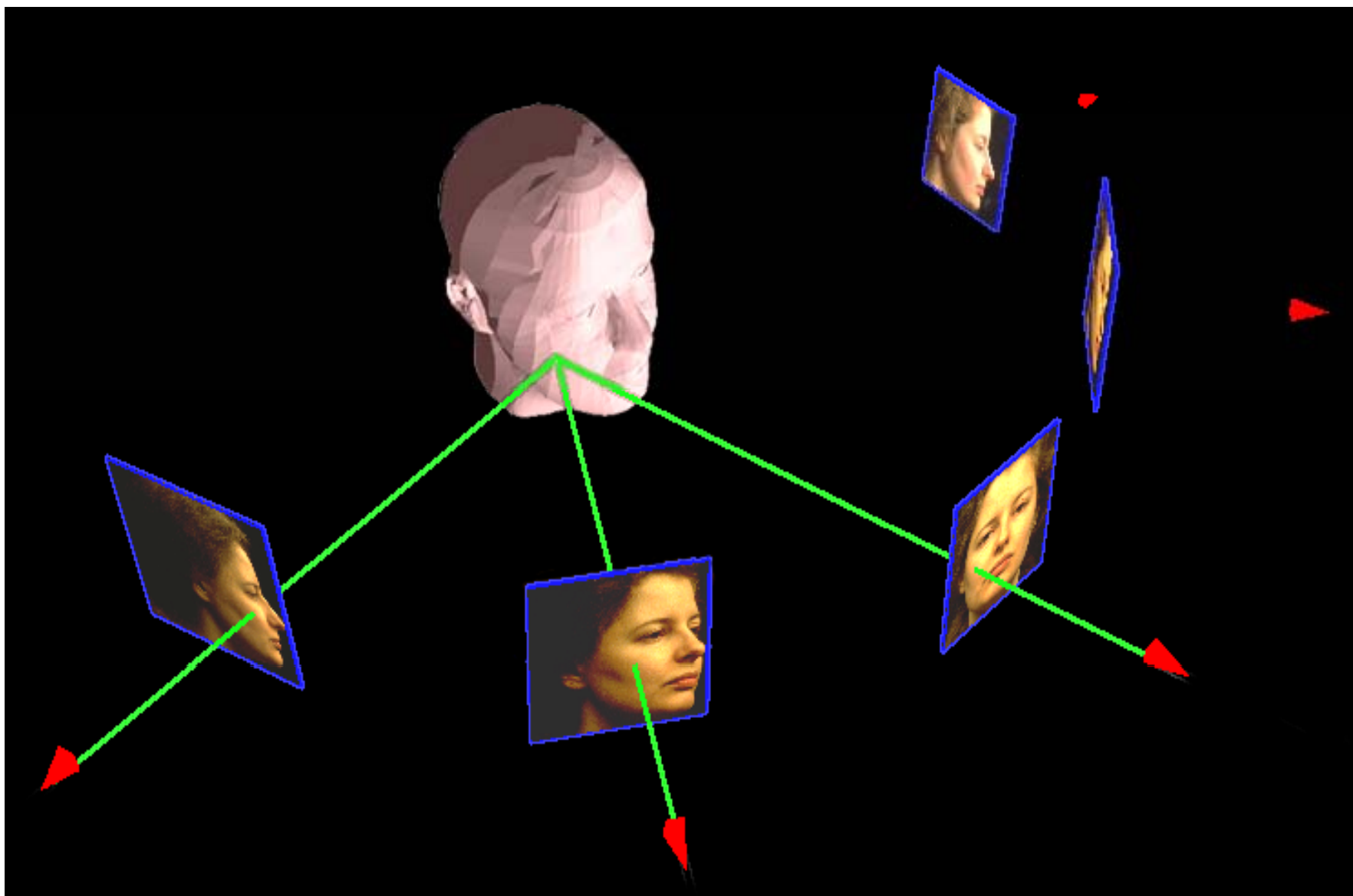
deformed model

# Texture extraction

---

- The color at each point is a weighted combination of the colors in the photos
- Texture can be:
  - view-independent
  - view-dependent
- Considerations for weighting
  - occlusion
  - smoothness
  - positional certainty
  - view similarity

# Texture extraction



# Texture extraction

---



# Texture extraction

---



view-independent



view-dependent

# Model reconstruction

---



Use images to adapt a generic face model.

# Creating new expressions

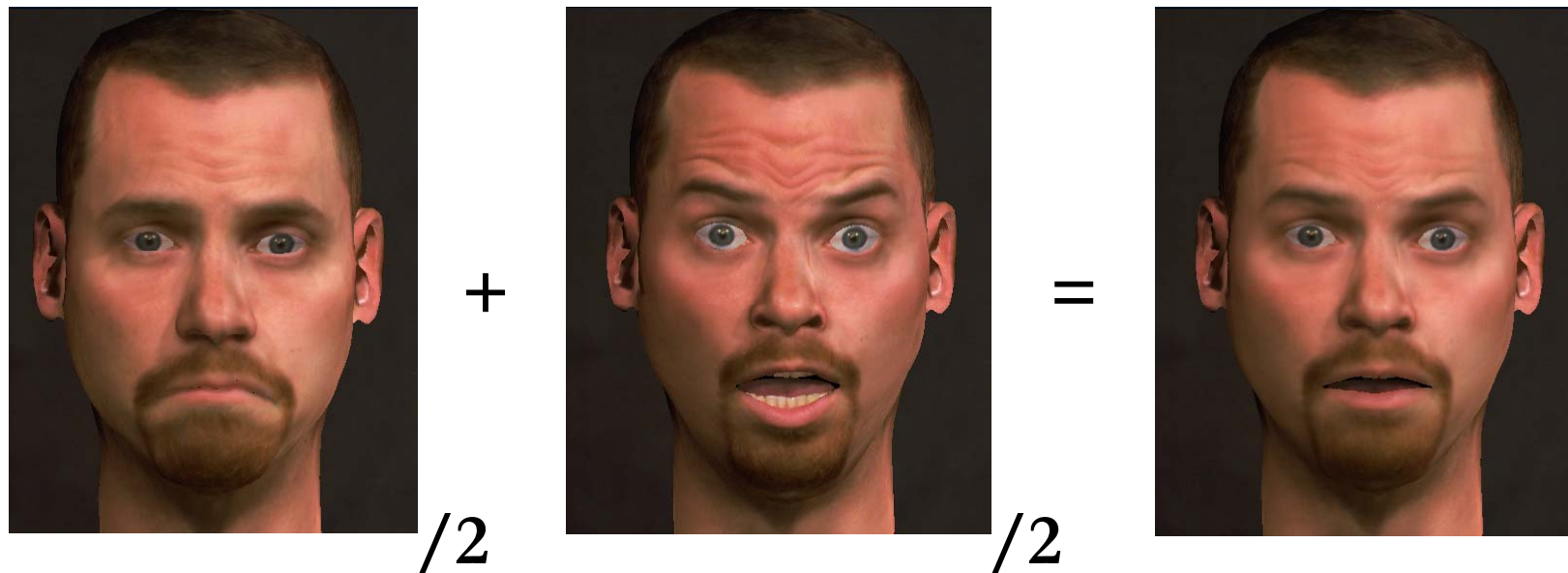
---

- In addition to global blending we can use:
  - Regional blending
  - Painterly interface

# Creating new expressions

---

New expressions are created with 3D morphing:



Applying a global blend



# Creating new expressions

---



X



X

+

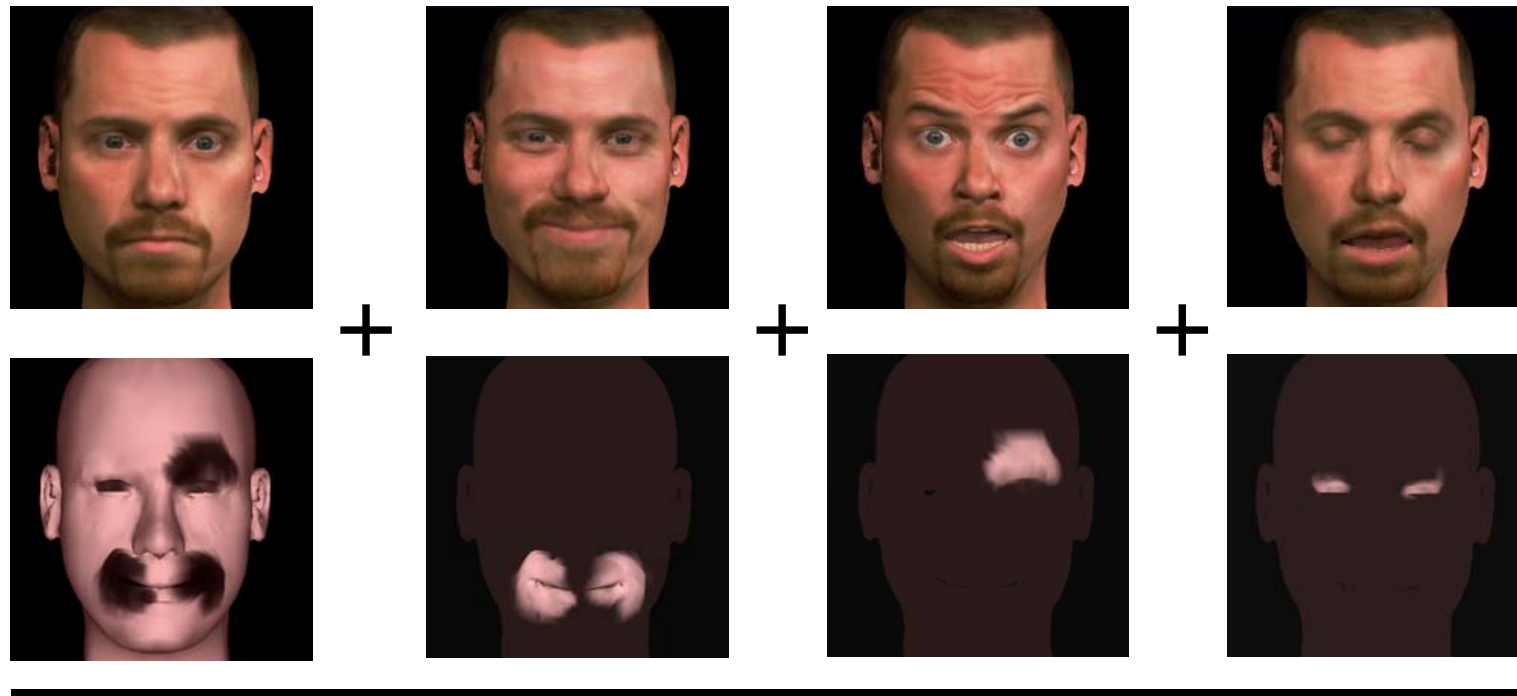
=



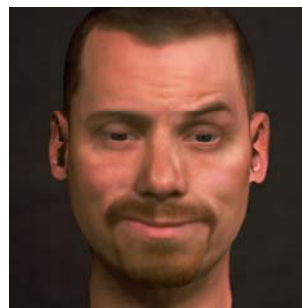
Applying a region-based blend

# Creating new expressions

---



=



Using a painterly interface

# Drunken smile

---



# Animating between expressions

---

Morphing over time creates animation:



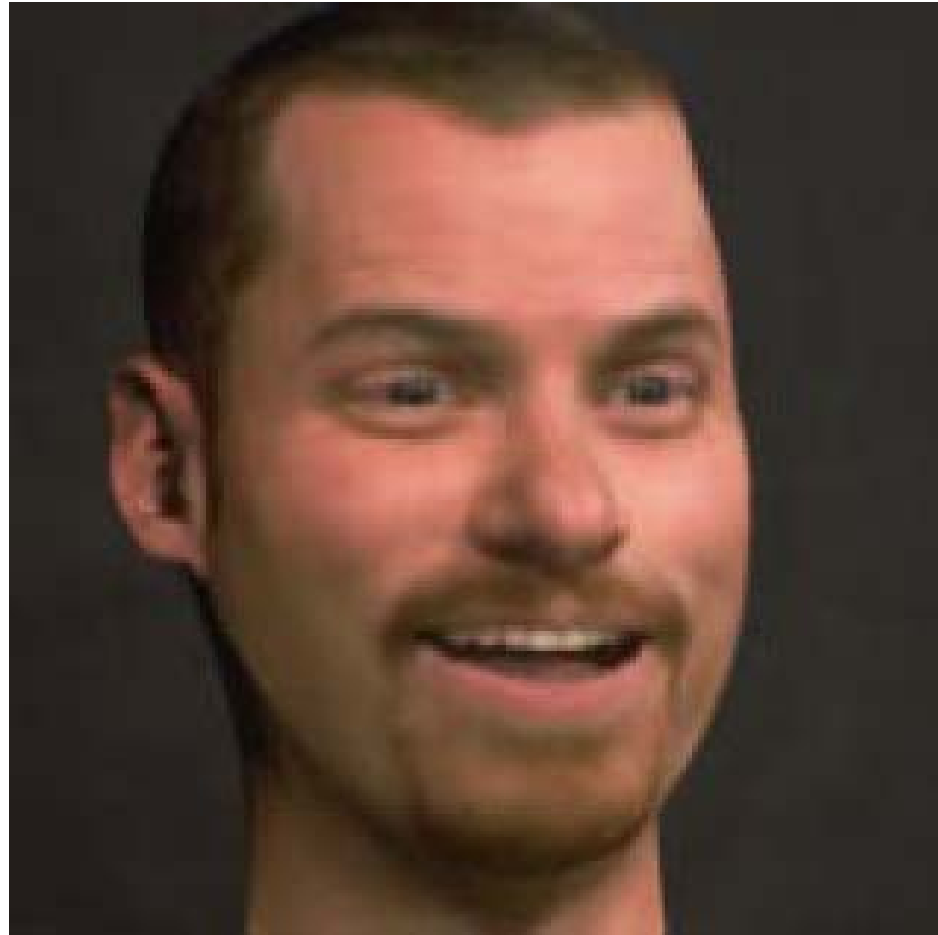
“neutral”



“joy”

# Video

---



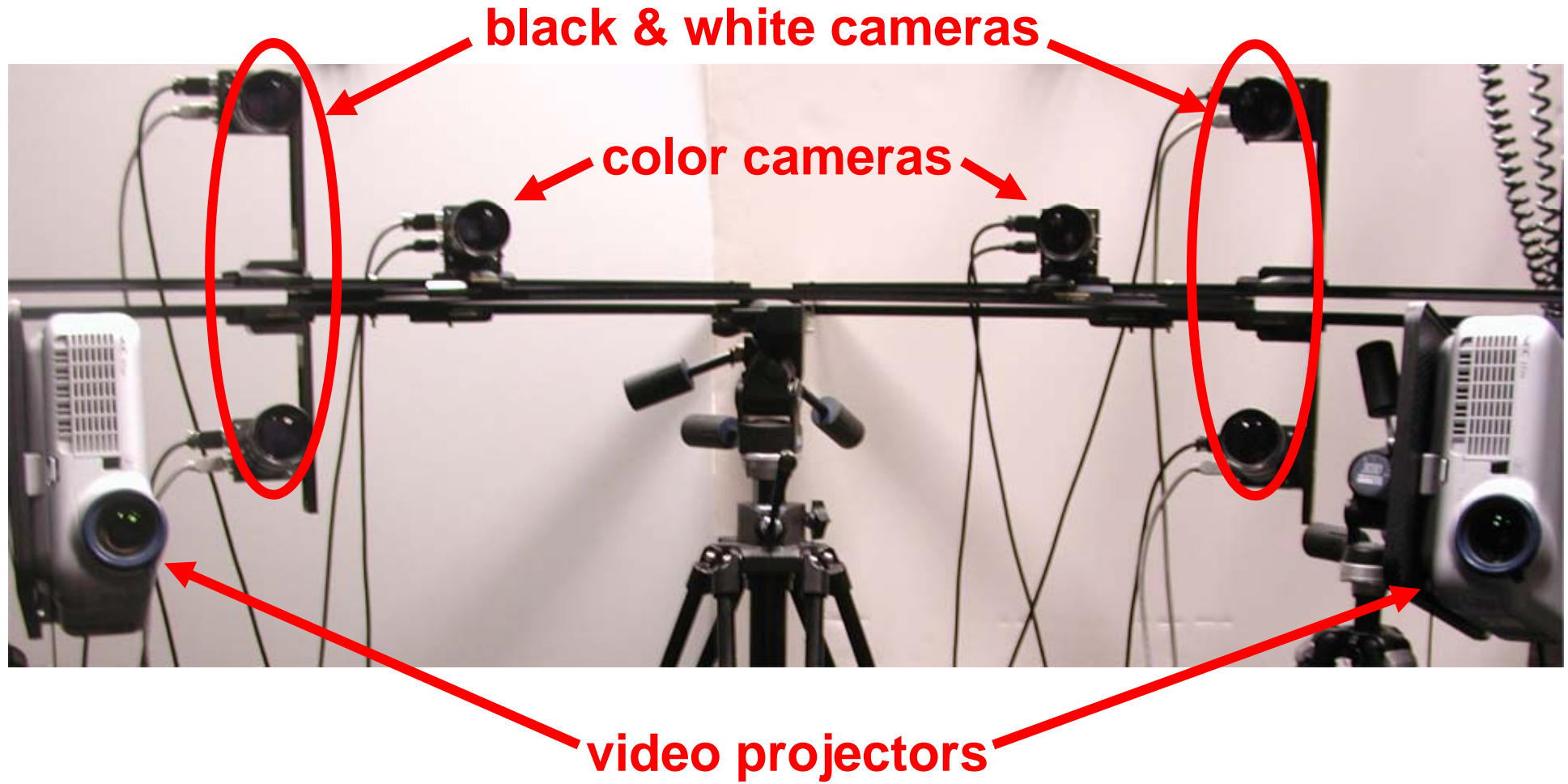
# Spacetime faces

---

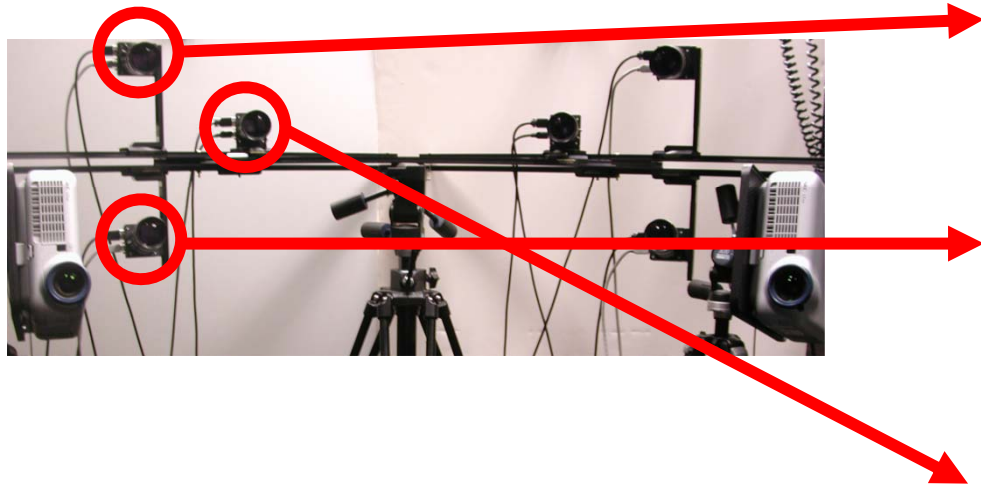


# Spacetime faces

---



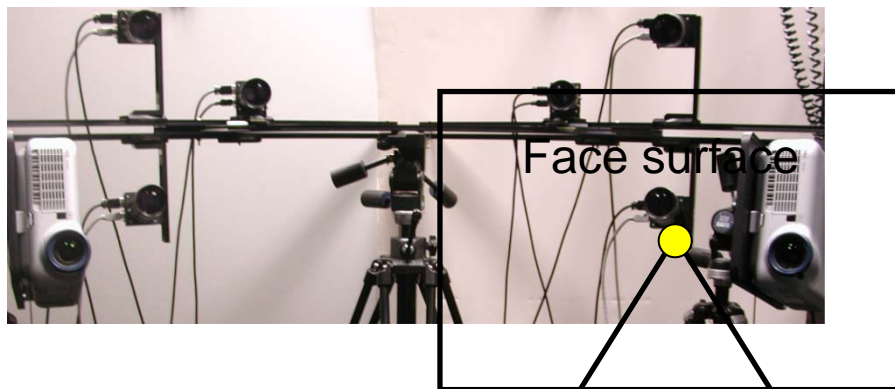




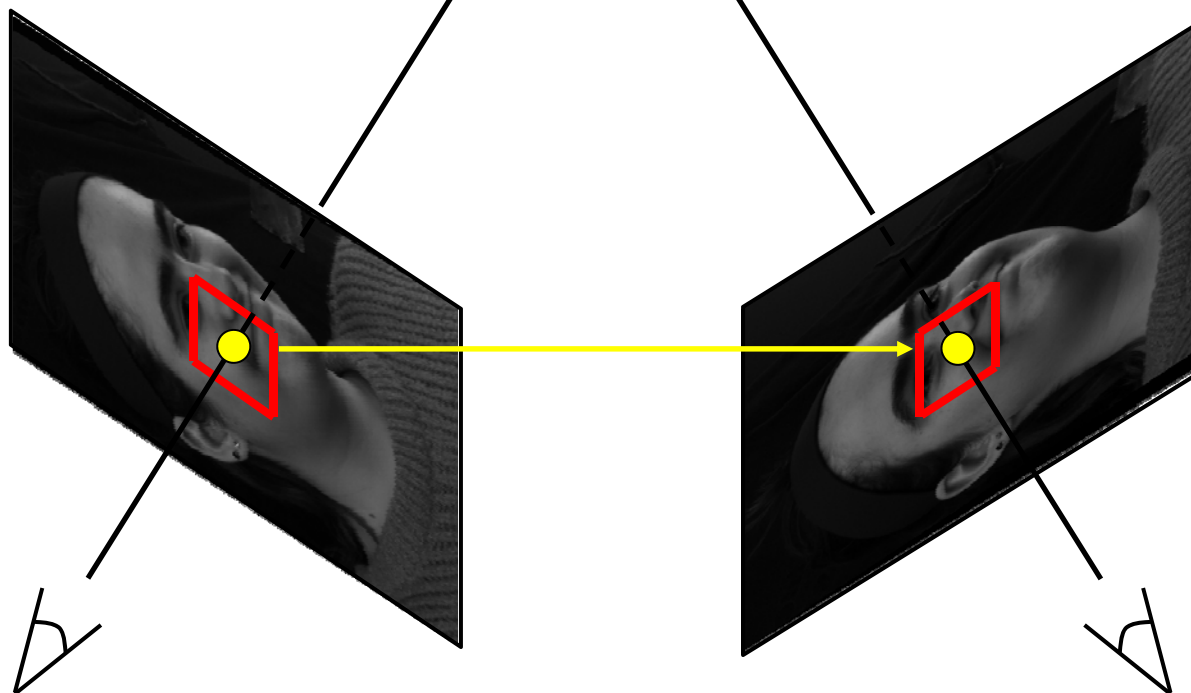
time →



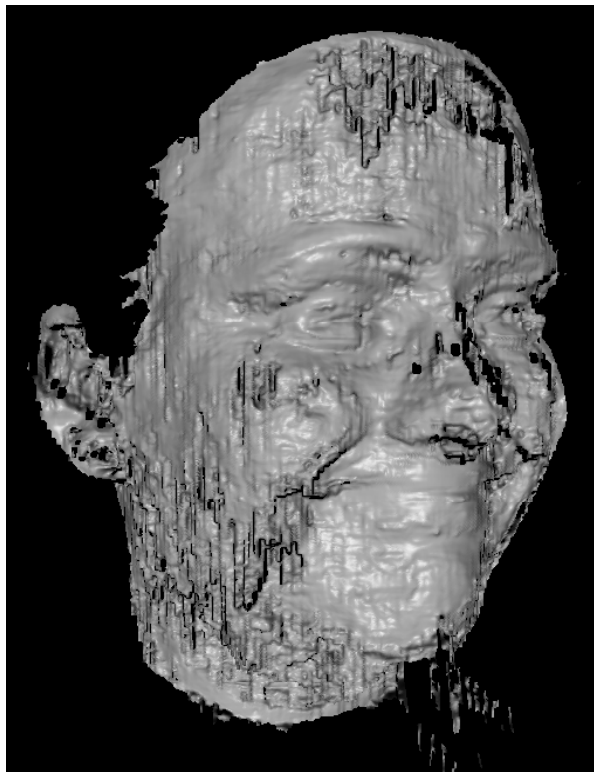




time →

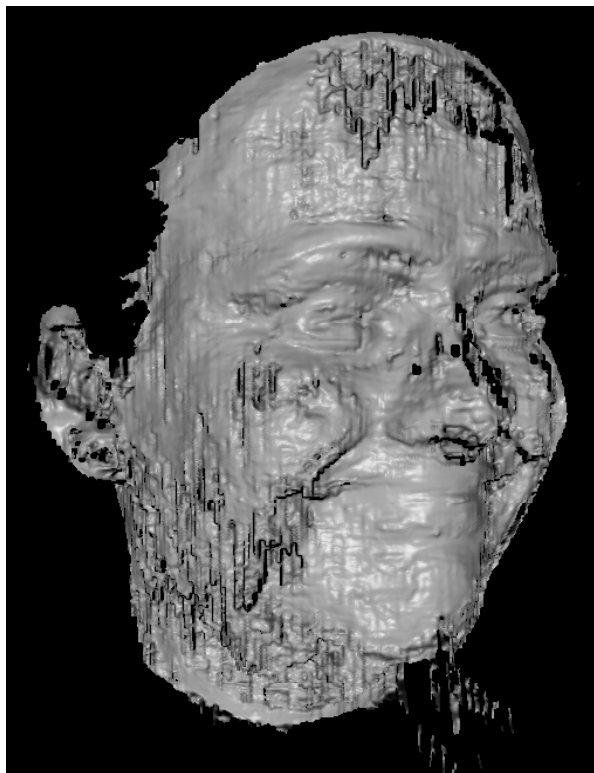


time →

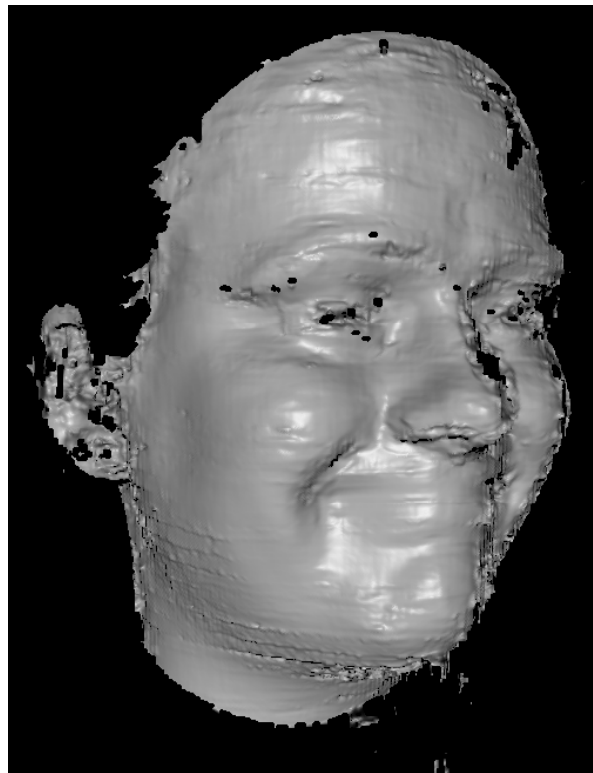


stereo

time →



stereo

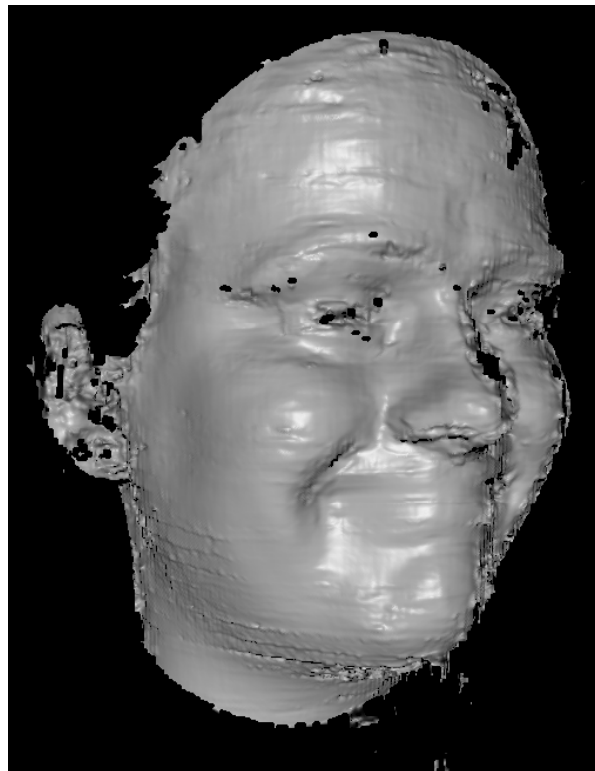


active stereo

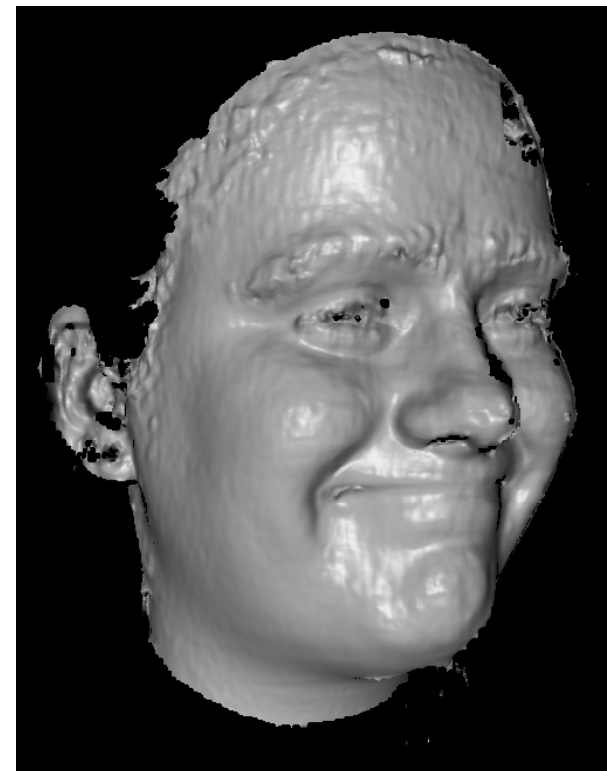
time →



stereo



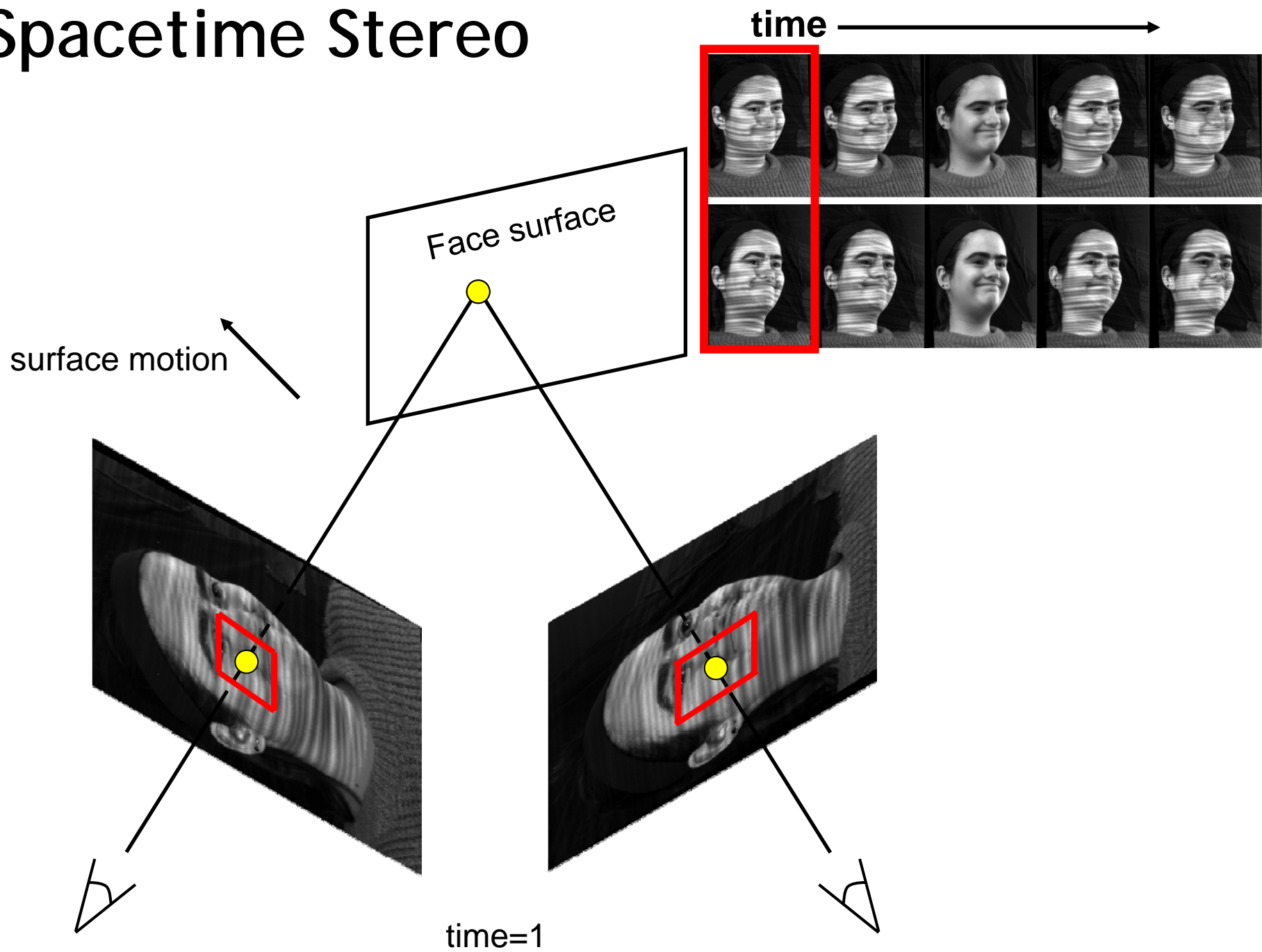
active stereo



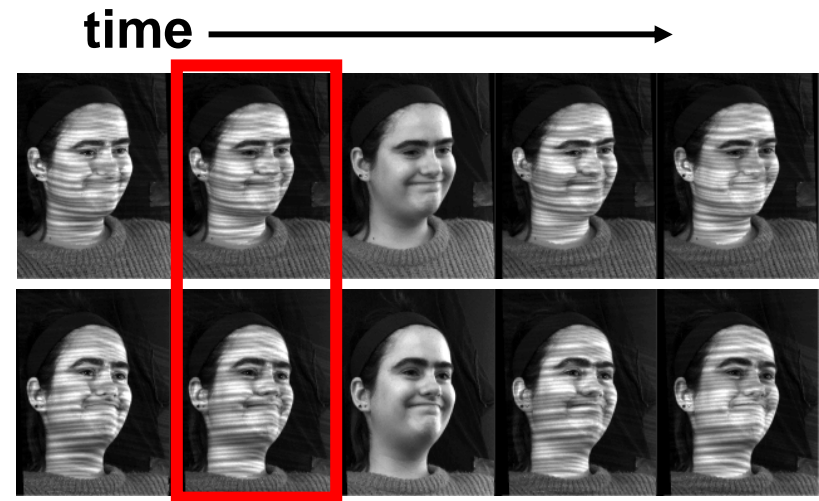
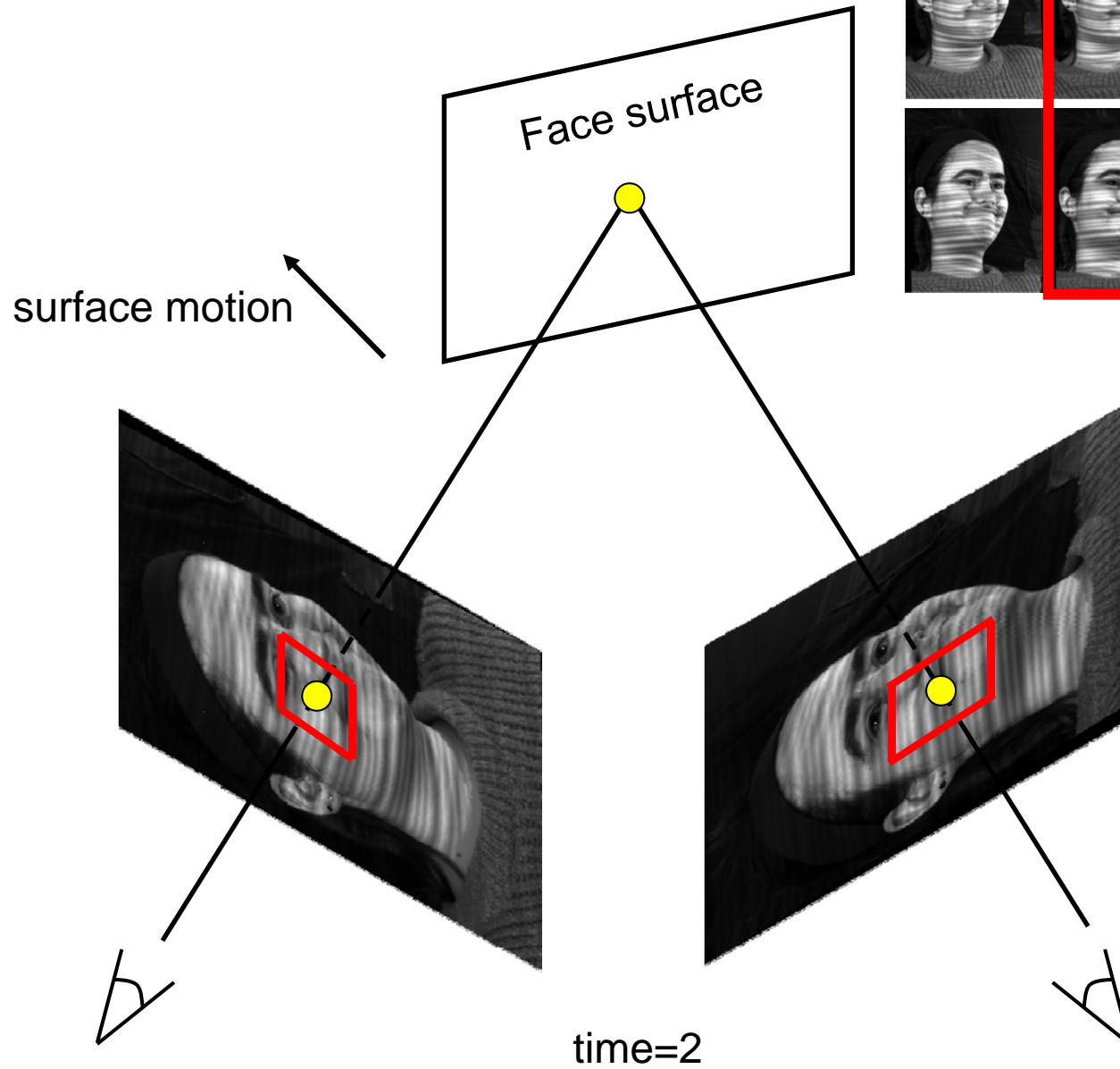
spacetime stereo



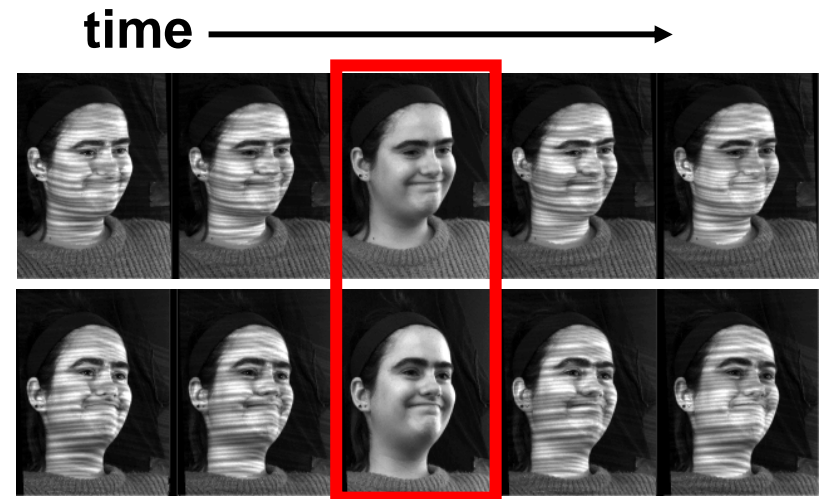
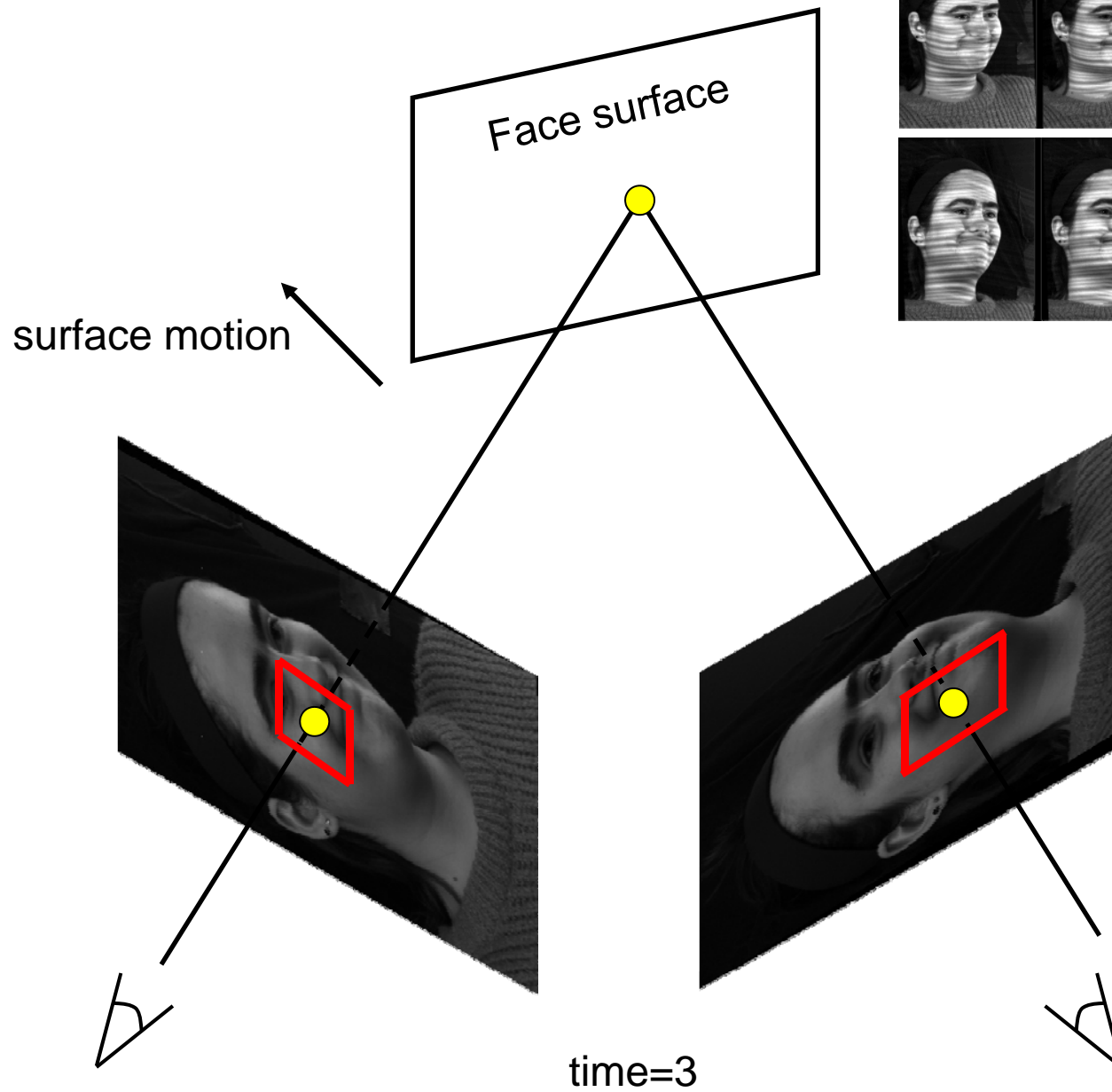
# Spacetime Stereo



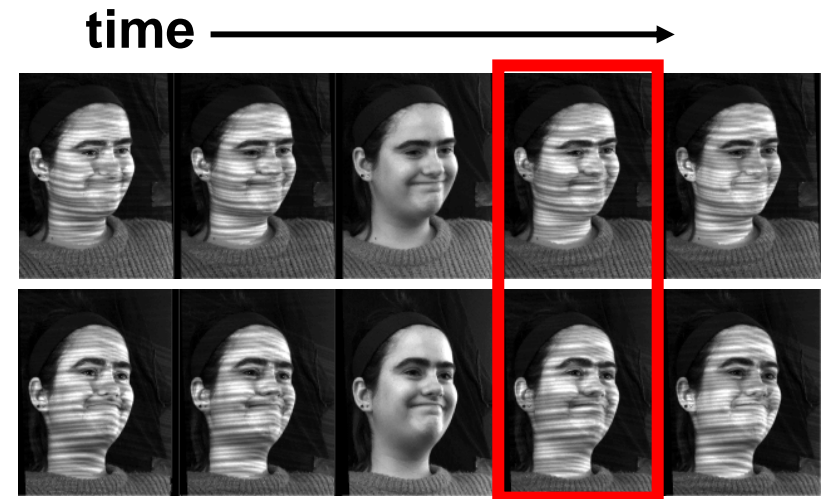
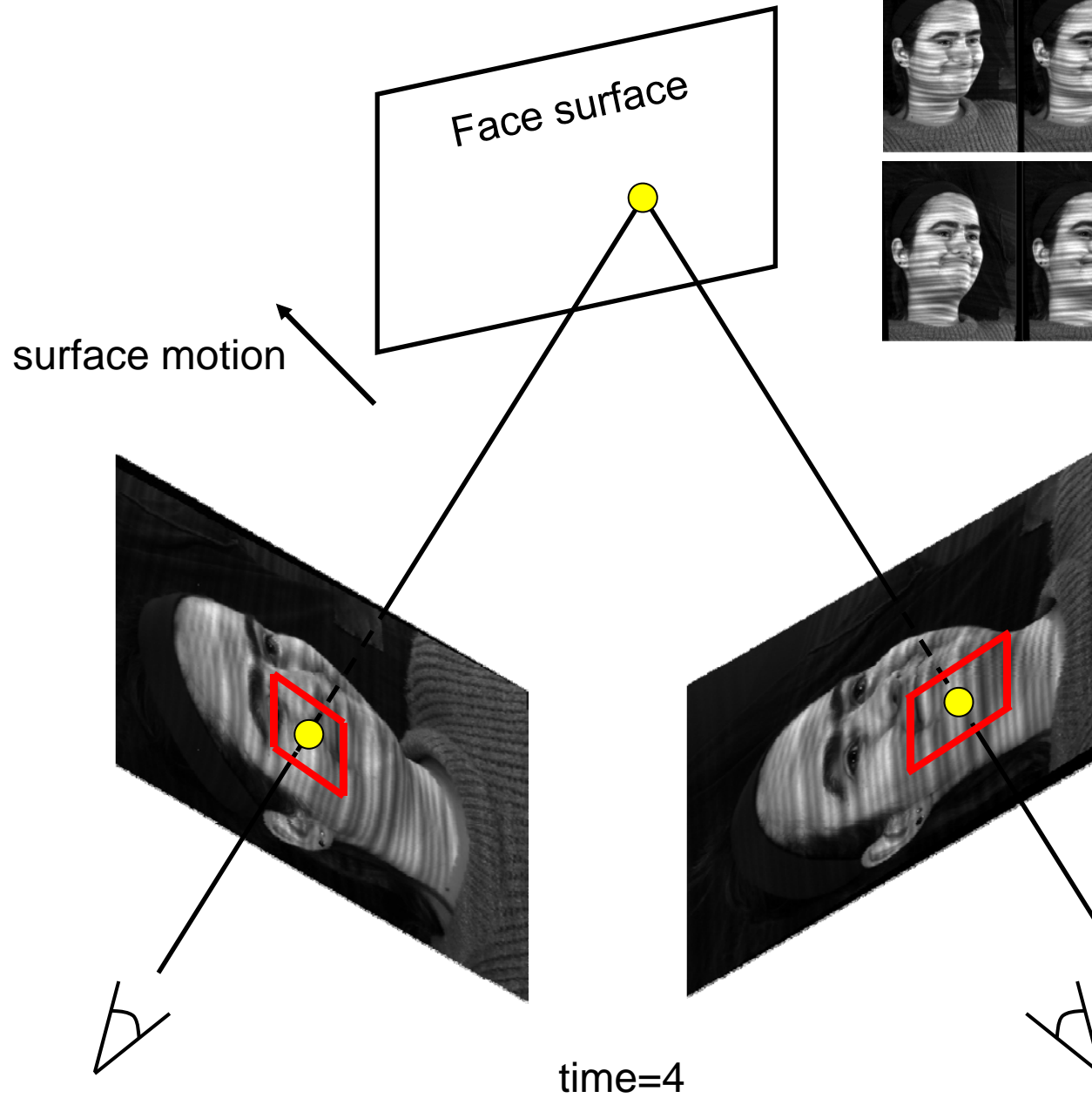
# Spacetime Stereo



# Spacetime Stereo

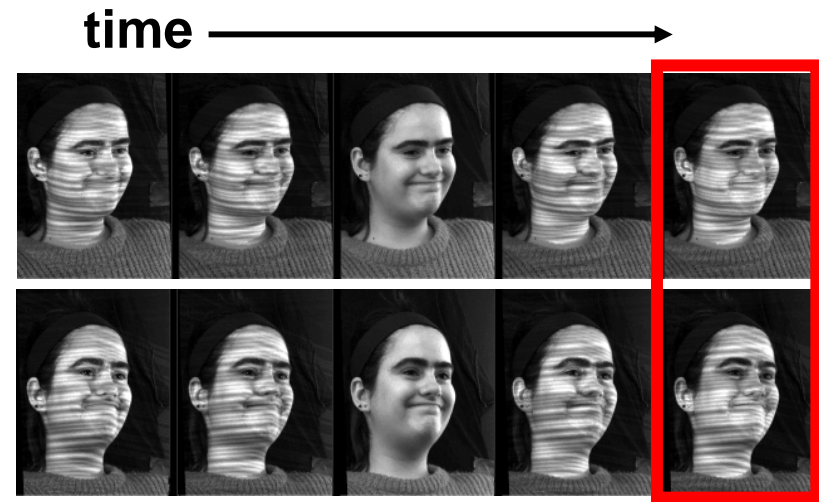
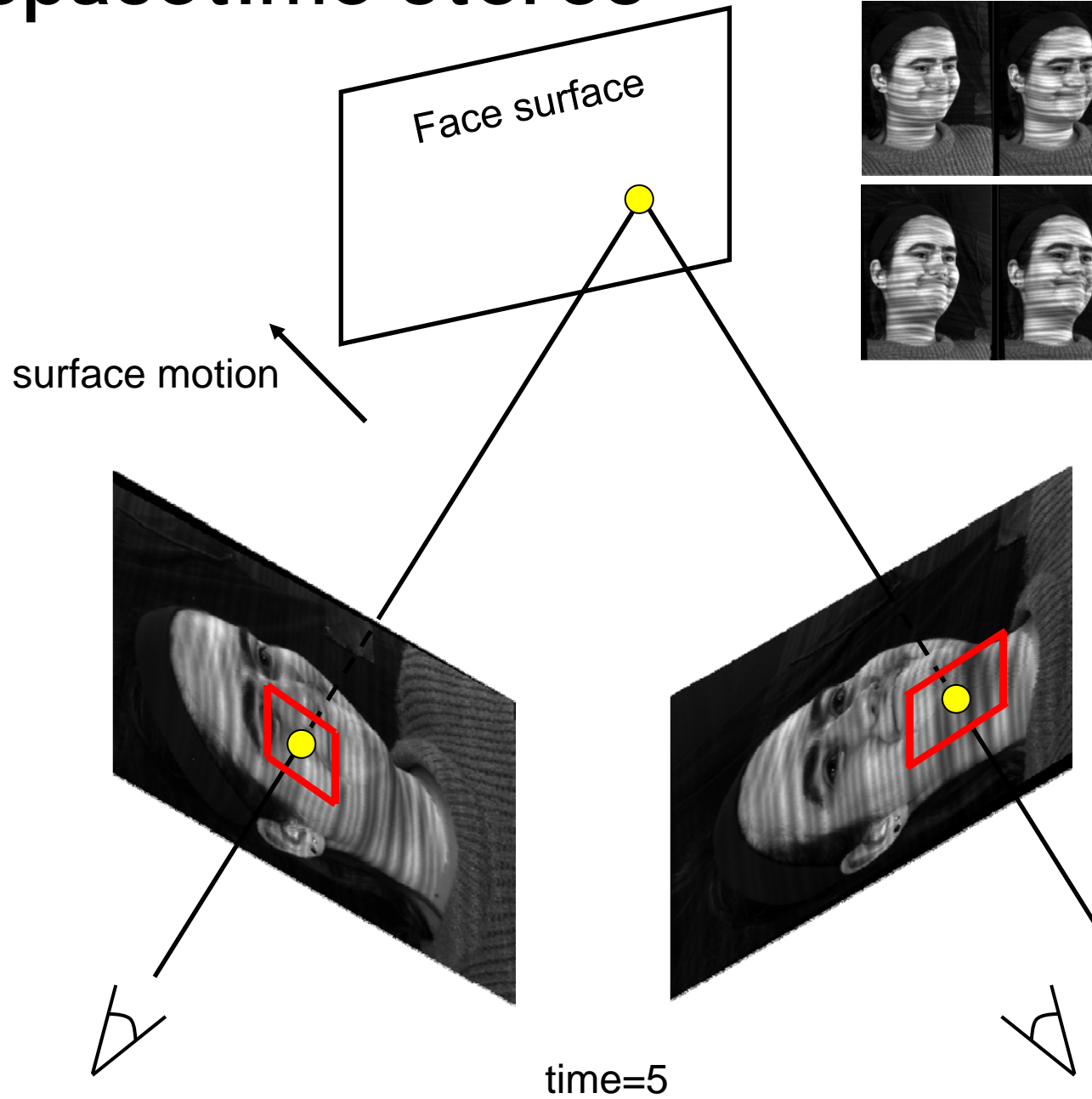


# Spacetime Stereo

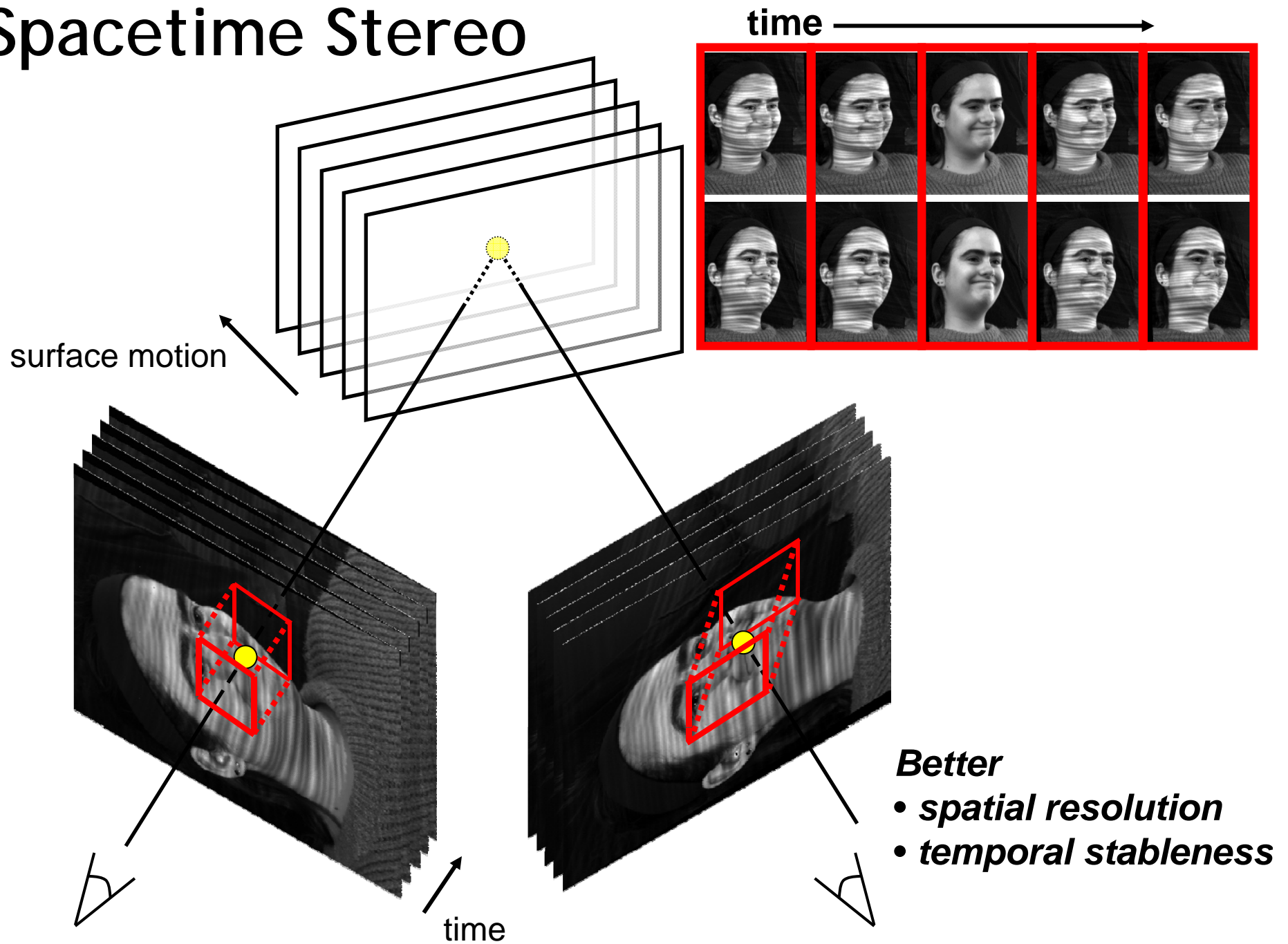




# Spacetime Stereo

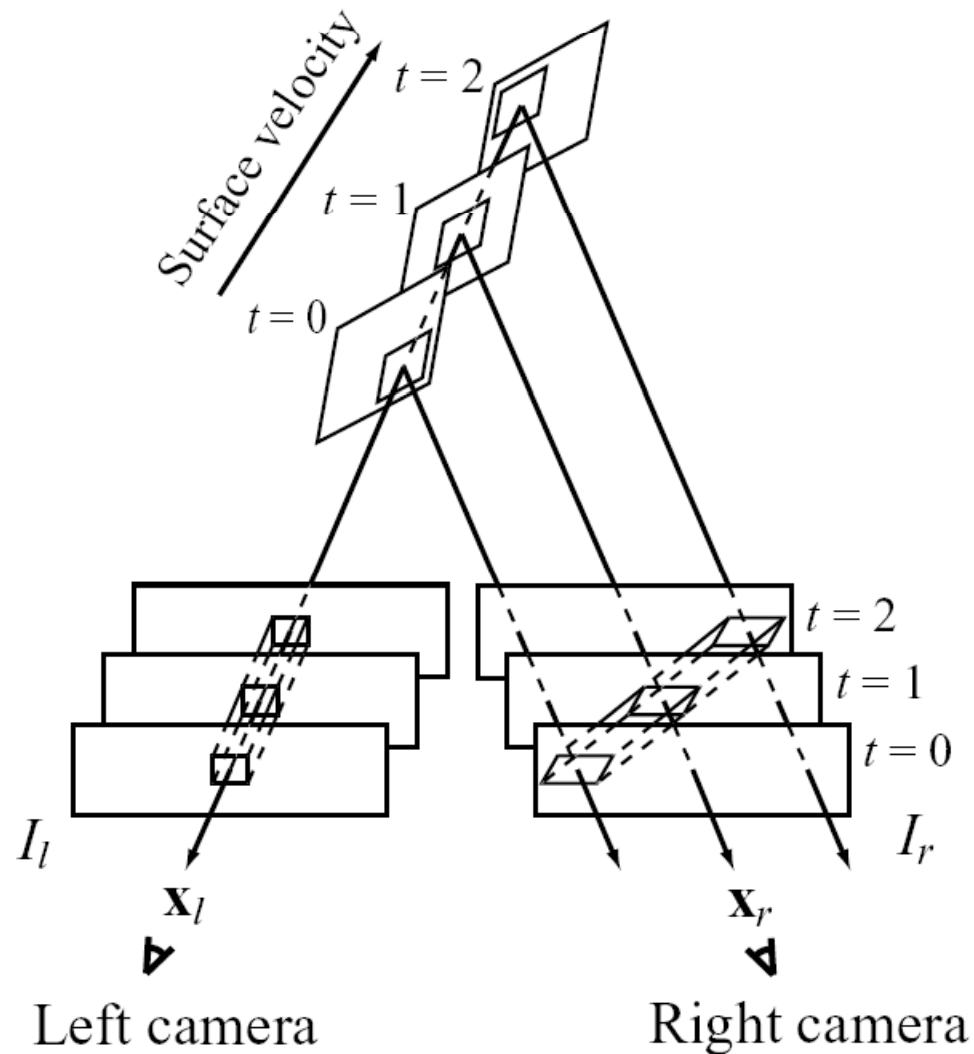


# Spacetime Stereo

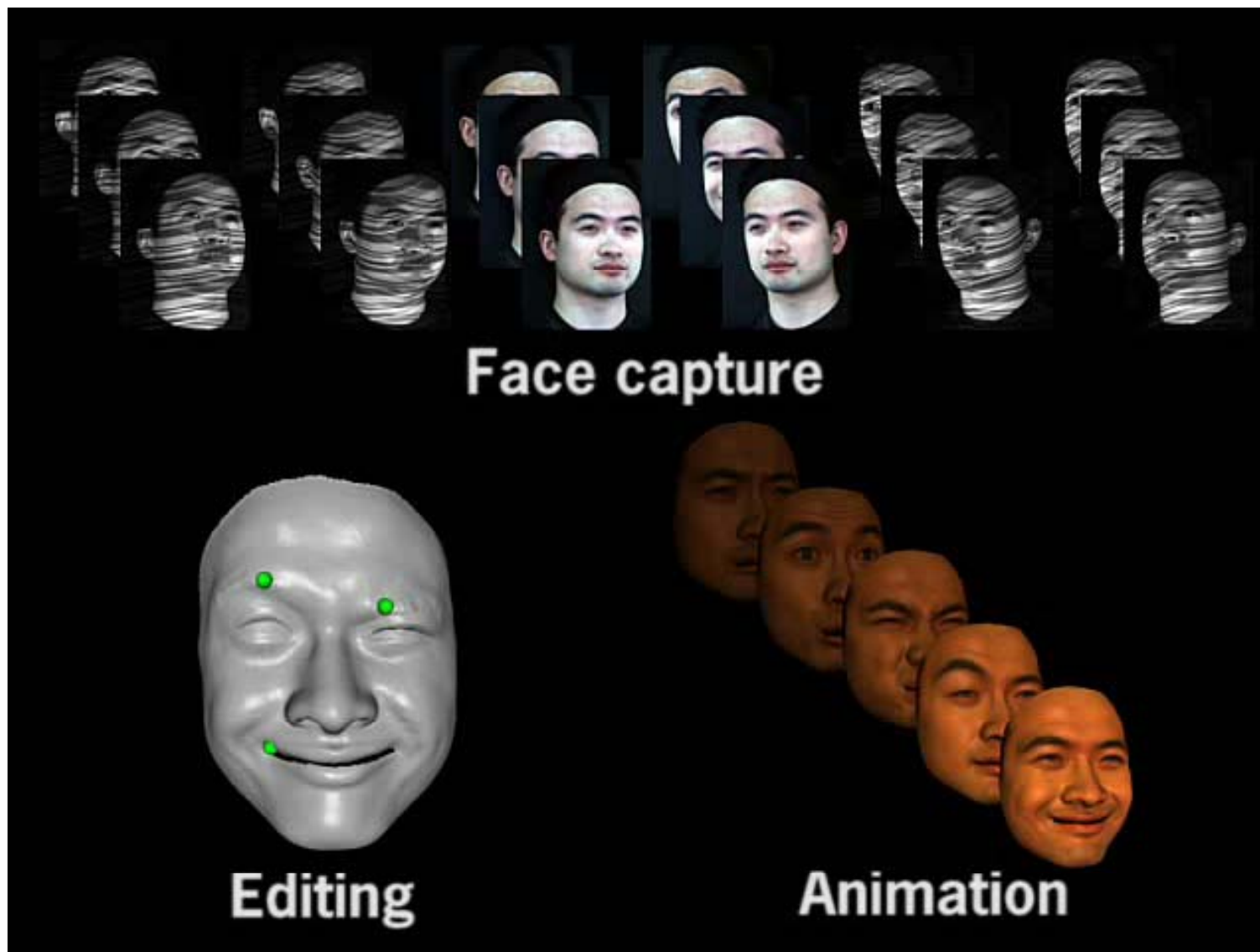


# Spacetime stereo matching

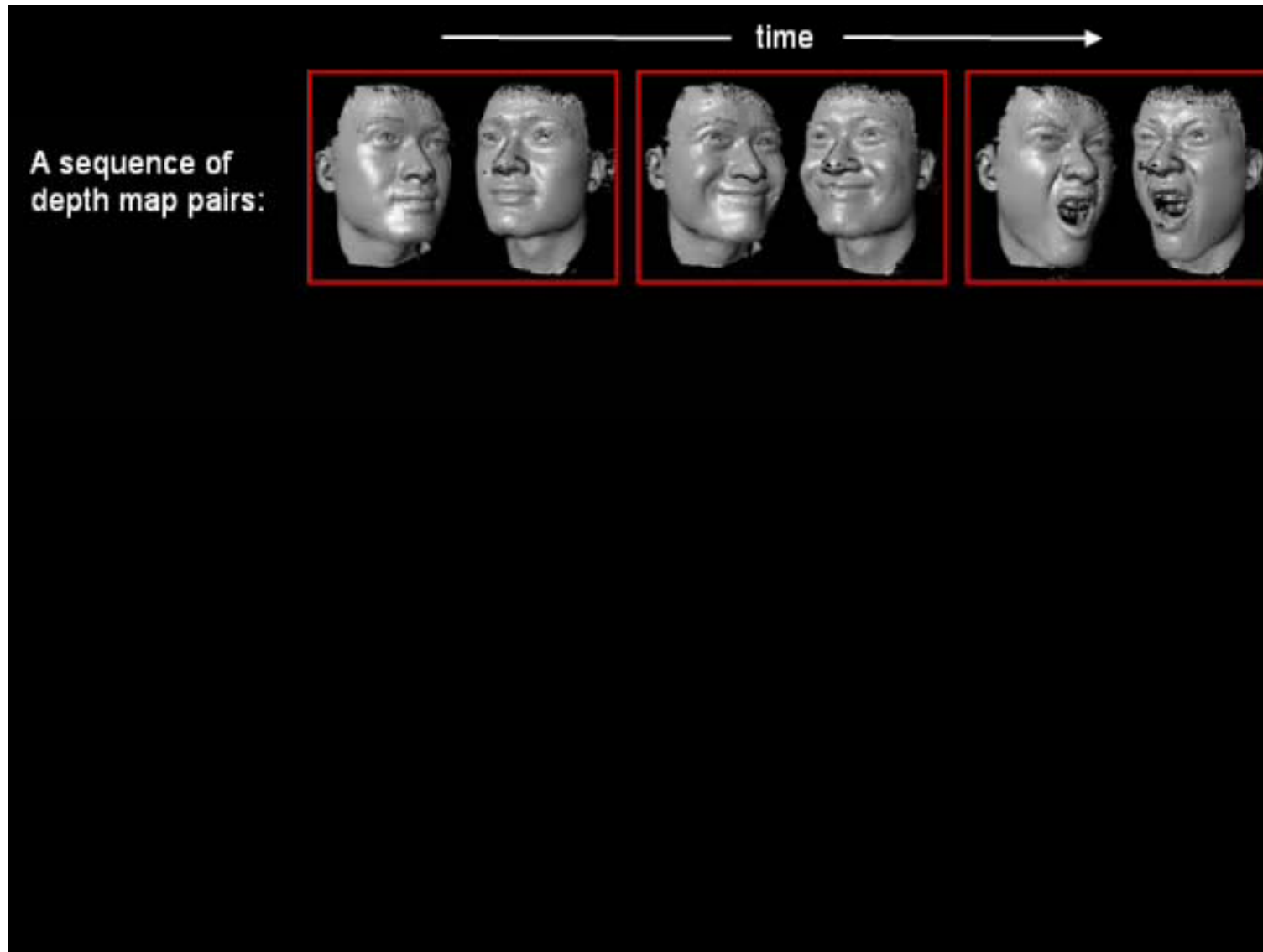
A moving oblique surface



# Video



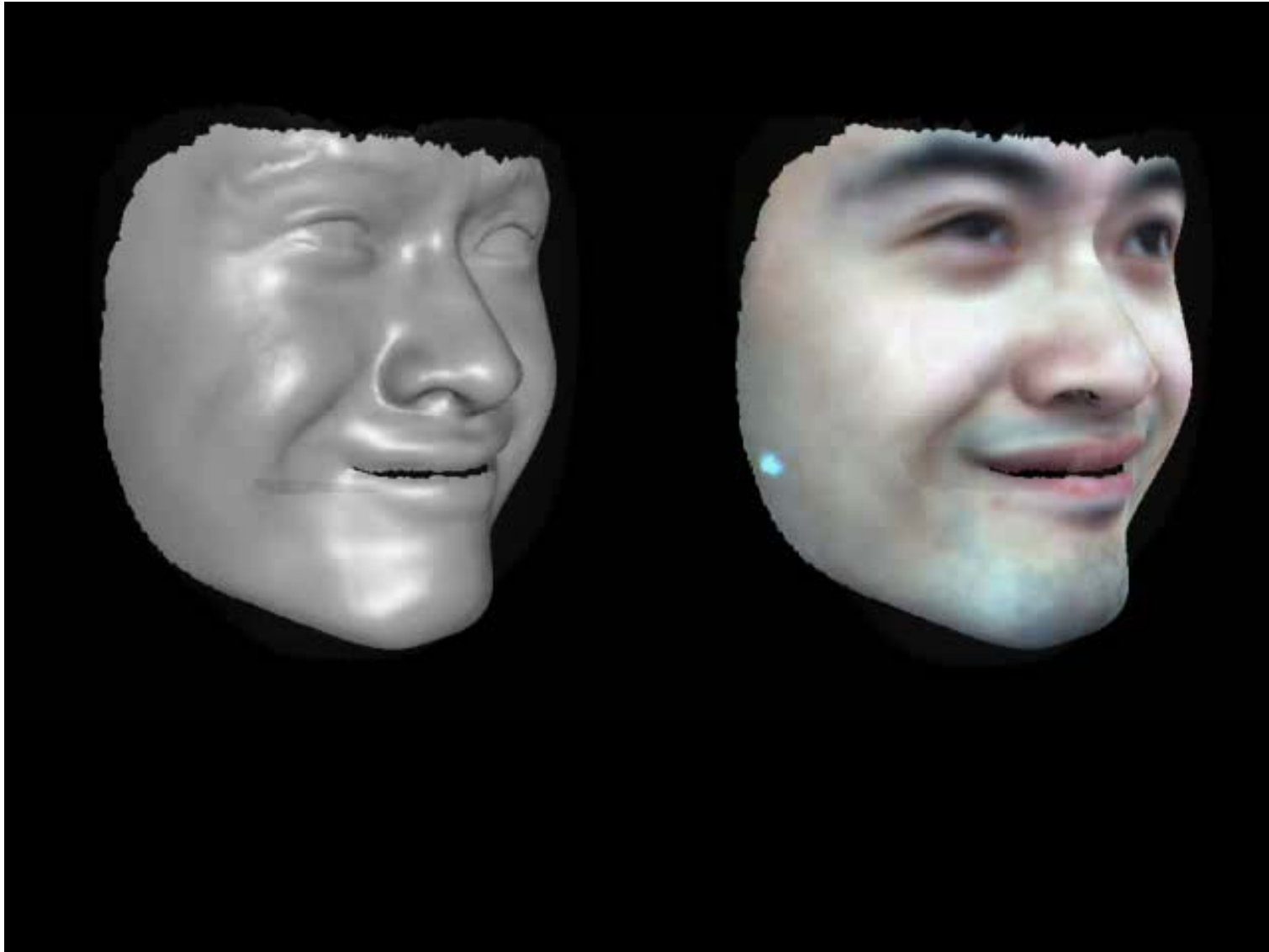
# Fitting



## Face Editing

# Animation

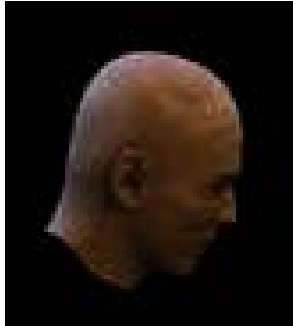
---





# 3D face applications: The one

---





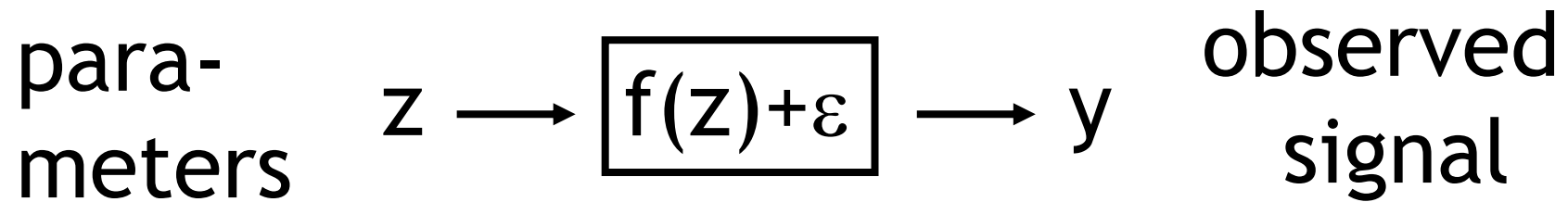
# 3D face applications: Gladiator

---



extra 3M

# **Statistical methods**

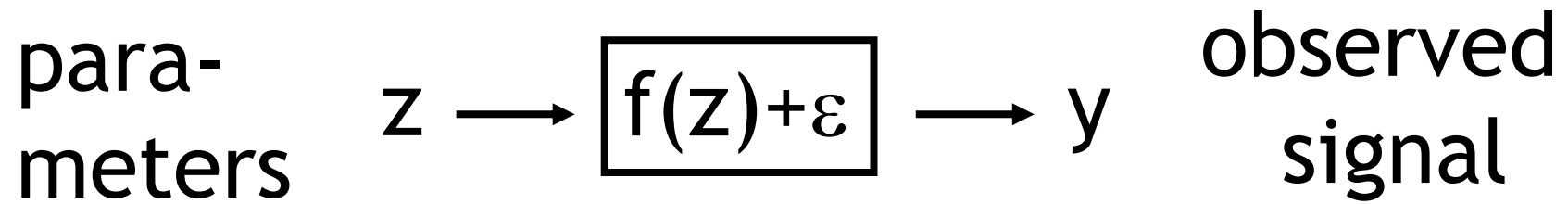


$$\begin{aligned} z^* &= \max_z P(z | y) \\ &= \max_z \frac{P(y | z)P(z)}{P(y)} \\ &= \min_z L(y | z) + L(z) \end{aligned}$$

Example:  
super-resolution  
de-noising  
de-blocking  
Inpainting

...

# Statistical methods



$$z^* = \min_z L(y | z) + L(z)$$

data  
evidence  $\frac{\|y - f(z)\|^2}{\sigma^2}$  *a-priori*  
knowledge

# Statistical methods

---

*There are approximately  $10^{240}$  possible  $10 \times 10$  gray-level images. Even human being has not seen them all yet. There must be a strong statistical bias.*

*Takeo Kanade*

Approximately  $8 \times 10^{11}$  blocks per day per person.

# Generic priors

---

“Smooth images are good images.”

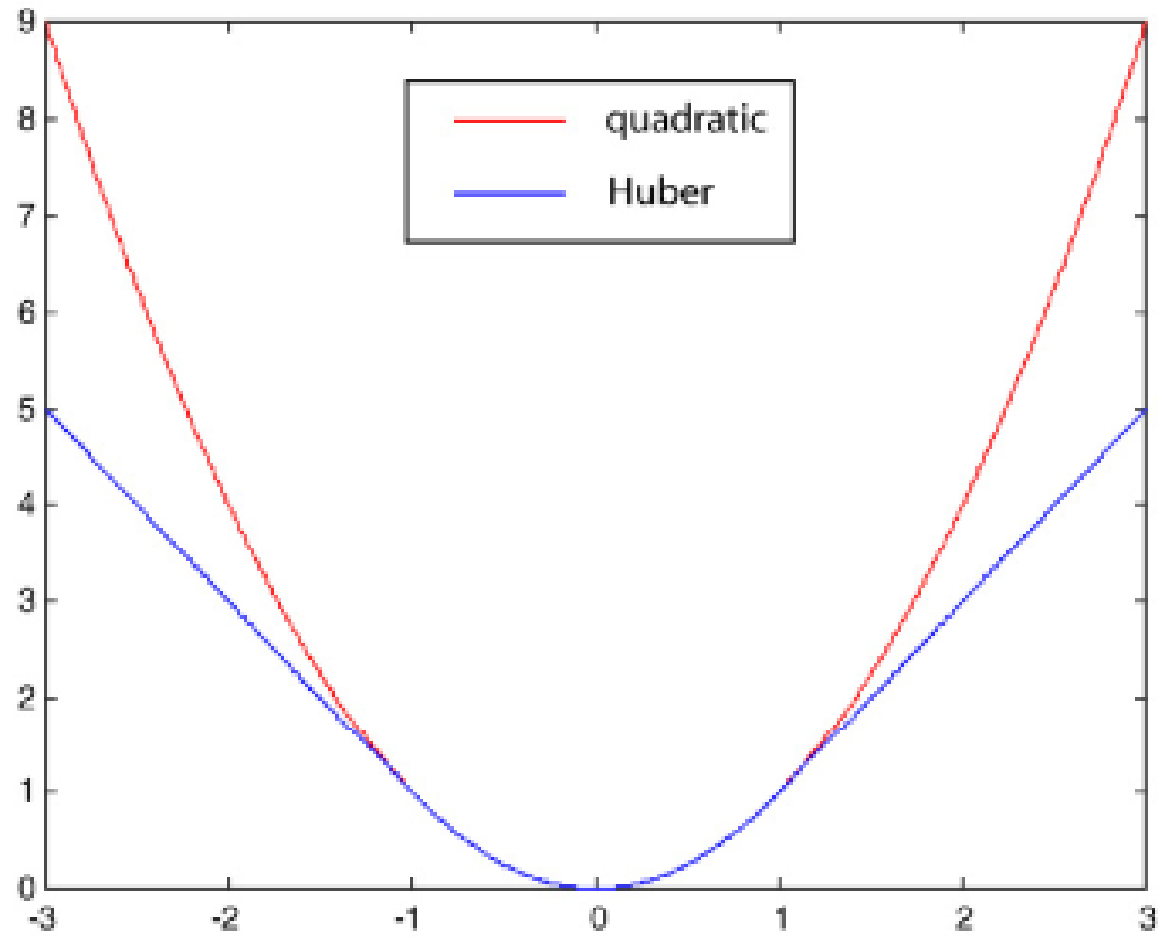
$$L(z) = \sum_x \rho(V(x))$$

Gaussian MRF  $\rho(d) = d^2$

Huber MRF  $\rho(d) = \begin{cases} d^2 & |d| \leq T \\ T^2 + 2T(|d| - T) & d > T \end{cases}$

# Generic priors

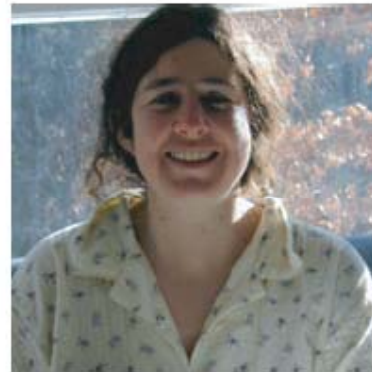
---



# Example-based priors

---

“Existing images are good images.”

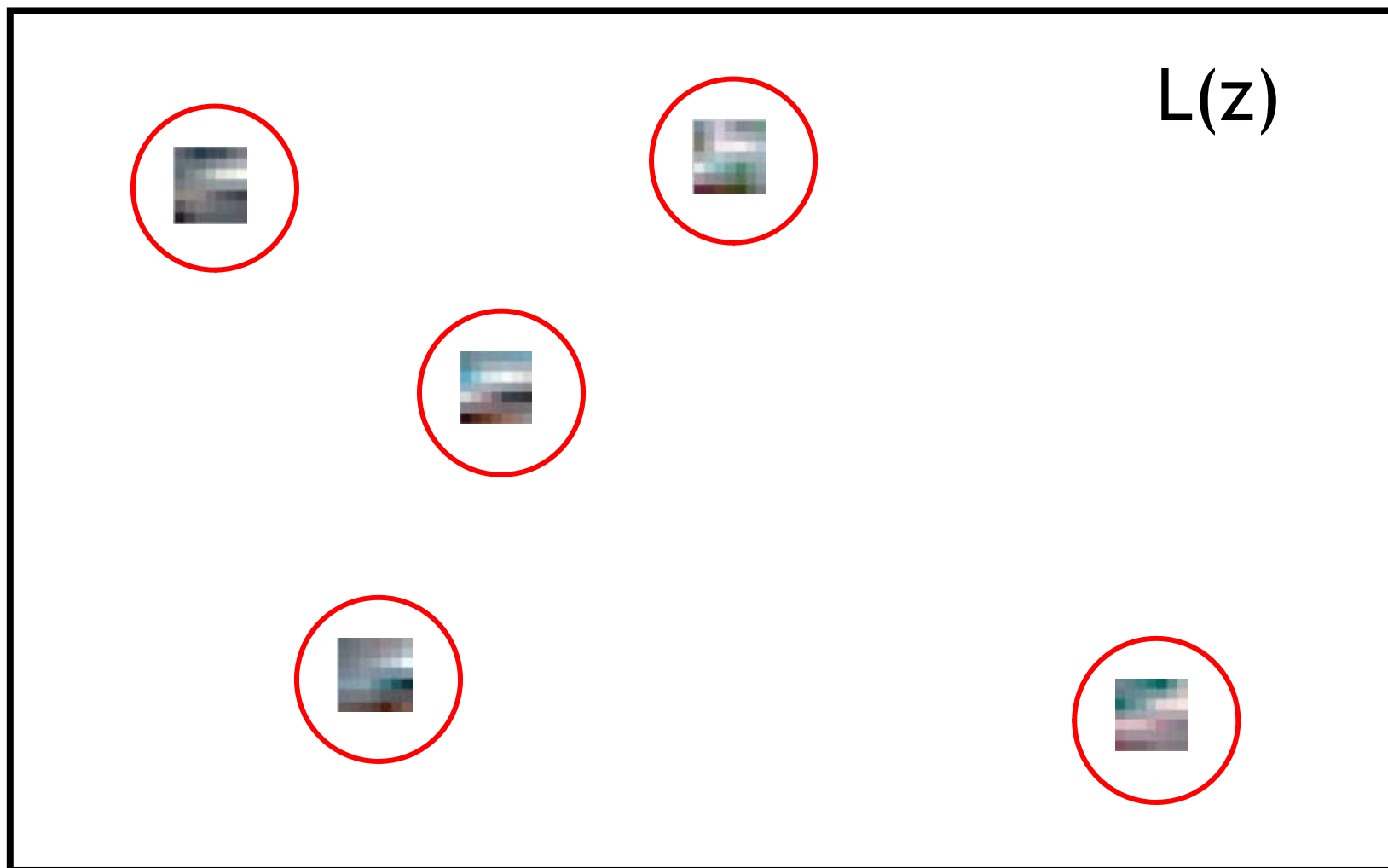


six  $200 \times 200$   
Images  $\Rightarrow$   
2,000,000  
pairs



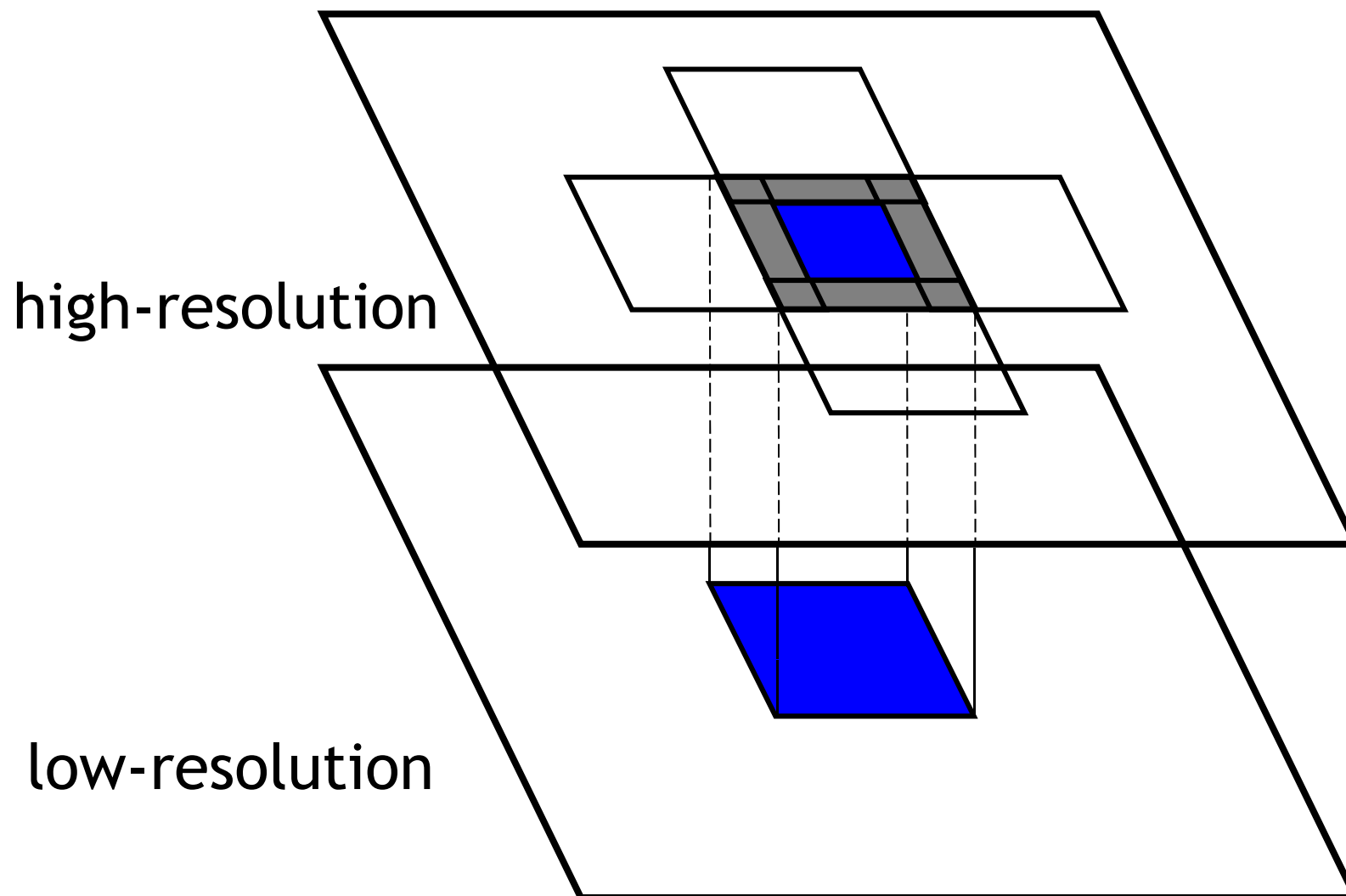
# Example-based priors

---



# Example-based priors

---



# Model-based priors

---

“Face images are good images when working on face images ...”

Parametric  
model

$$Z = WX + \mu \quad L(X)$$

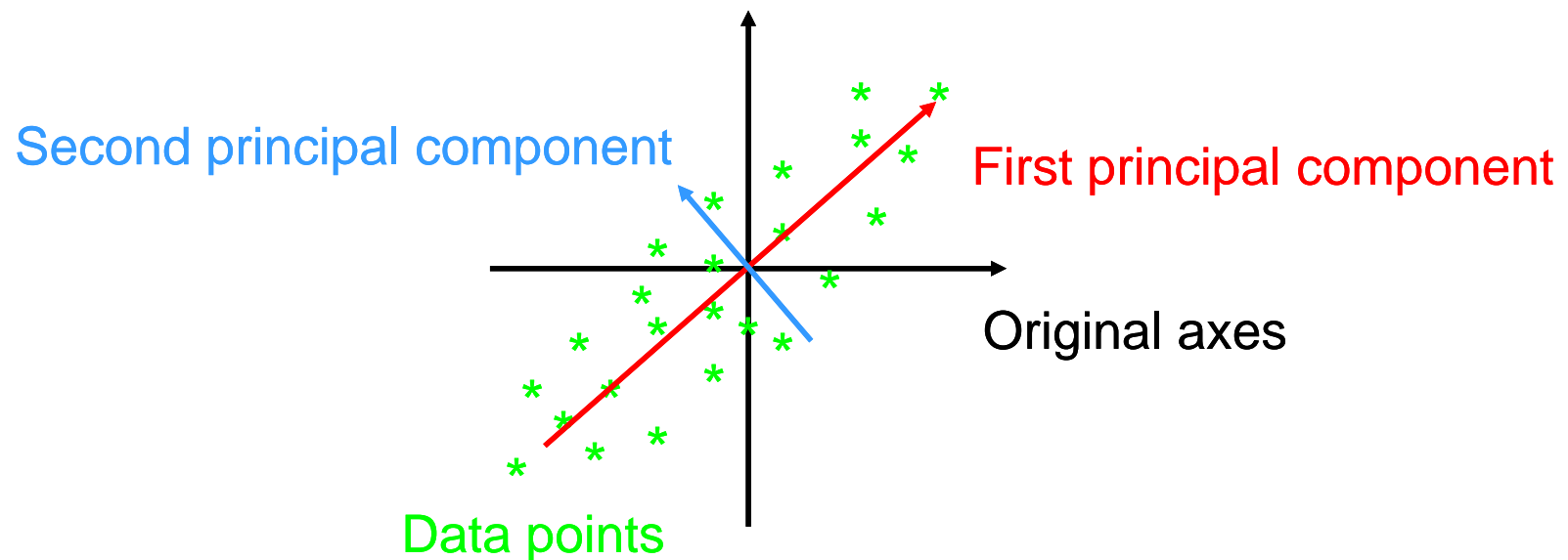
$$z^* = \min_z L(y | z) + L(z)$$

$$\begin{cases} X^* = \min_x L(y | WX + \mu) + L(X) \\ z^* = WX^* + \mu \end{cases}$$

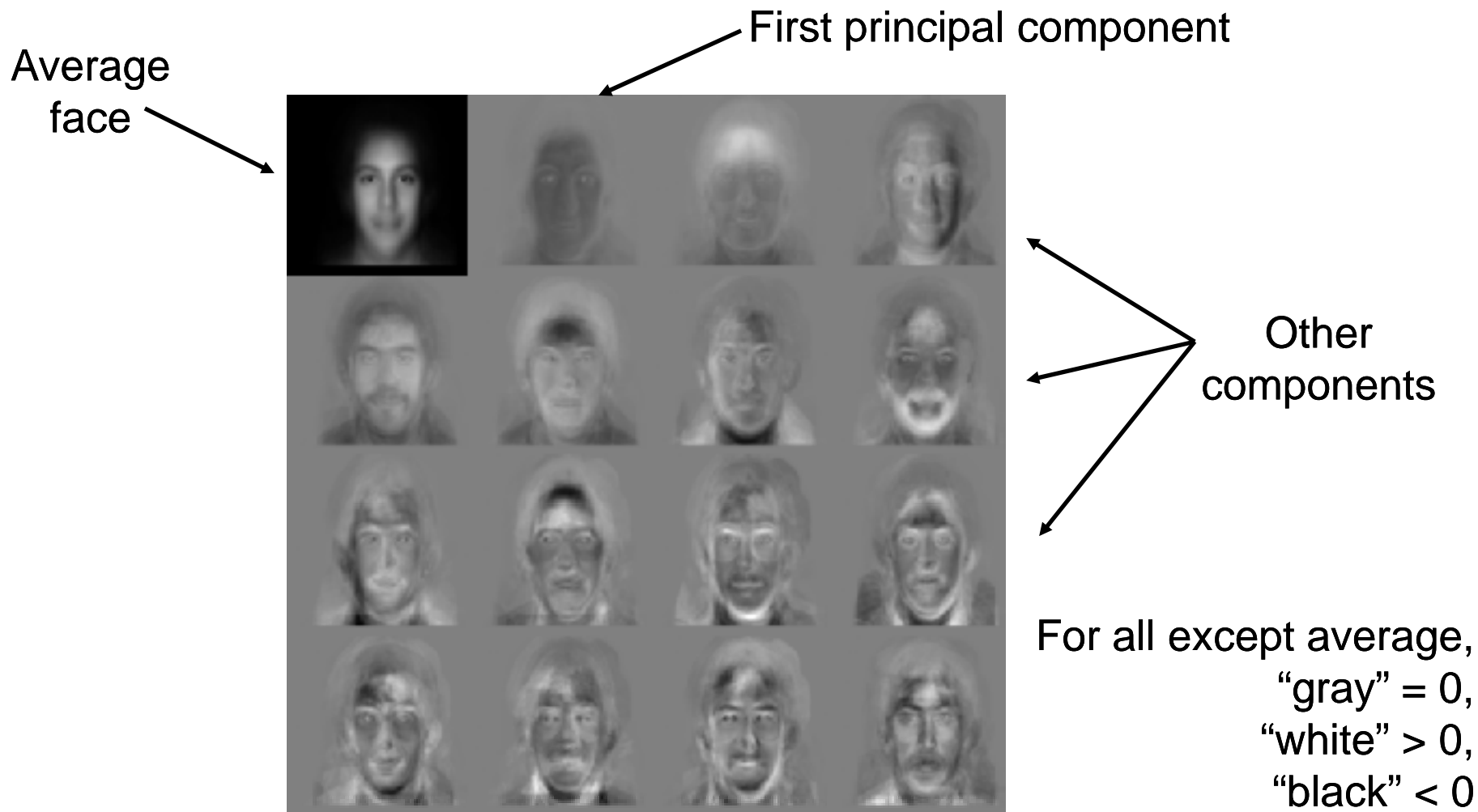
# PCA

---

- Principal Components Analysis (PCA): approximating a high-dimensional data set with a lower-dimensional subspace



# PCA on faces: “eigenfaces”



# Model-based priors

---

“Face images are good images when working on face images ...”

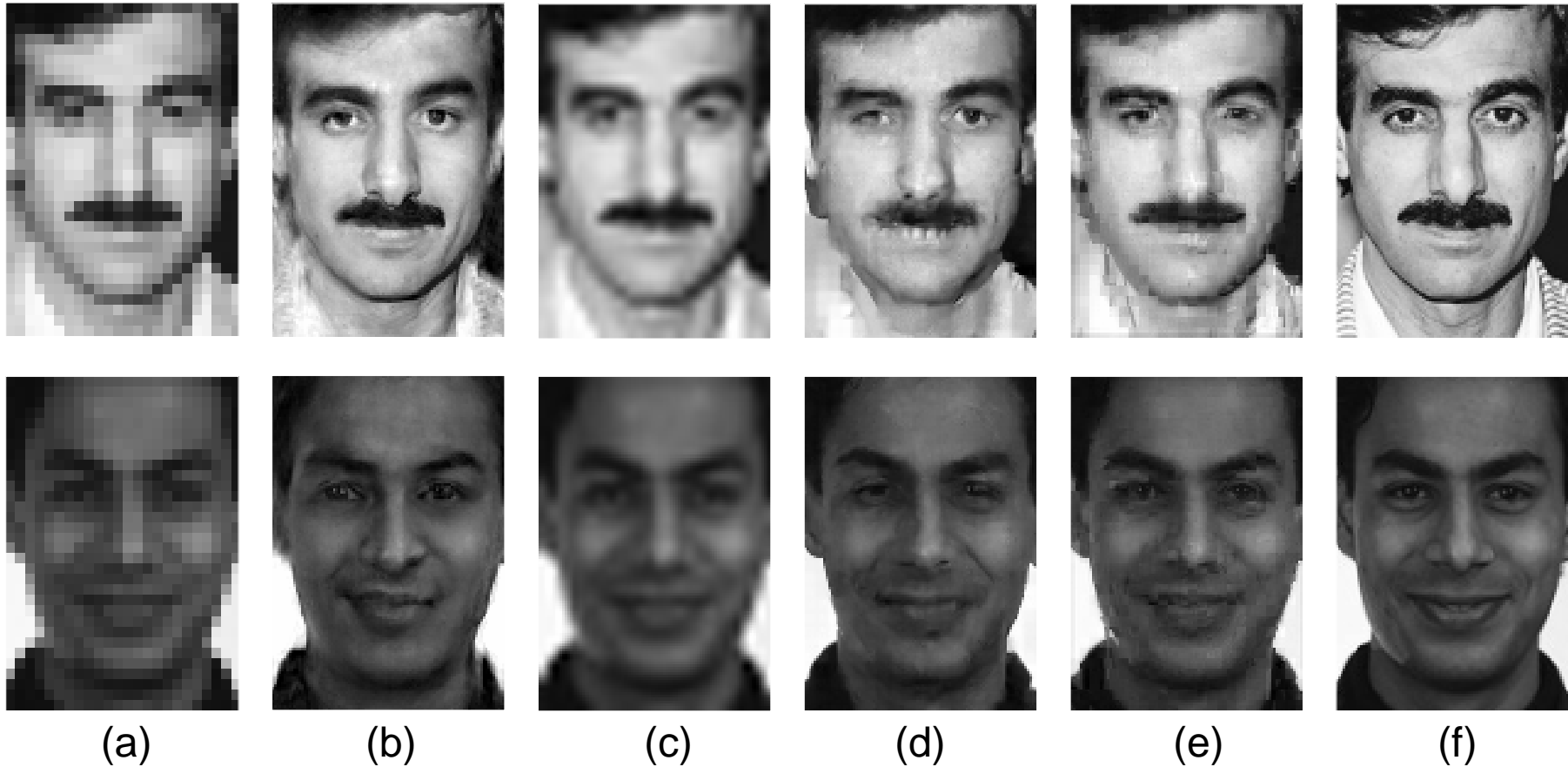
Parametric  
model

$$Z = WX + \mu \quad L(X)$$

$$z^* = \min_z L(y | z) + L(z)$$

$$\begin{cases} X^* = \min_x L(y | WX + \mu) + L(X) \\ z^* = WX^* + \mu \end{cases}$$

# Super-resolution



(a) Input low  $24 \times 32$  (b) Our results (c) Cubic B-Spline  
(d) Freeman et al. (e) Baker et al. (f) Original high  $96 \times 128$

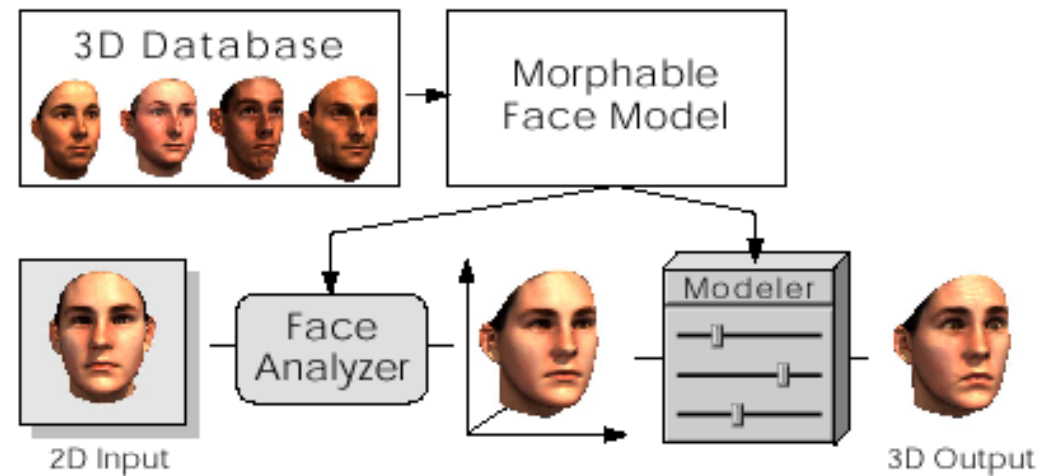
# Face models from single images



# Morphable model of 3D faces

---

- Start with a catalogue of 200 aligned 3D Cyberware scans



- Build a model of *average* shape and texture, and principal *variations* using PCA

# Morphable model

---

shape exemplars

texture exemplars

$$S_{model} = \bar{S} + \sum_{i=1}^{m-1} \alpha_i s_i, \quad T_{model} = \bar{T} + \sum_{i=1}^{m-1} \beta_i t_i, \quad (1)$$

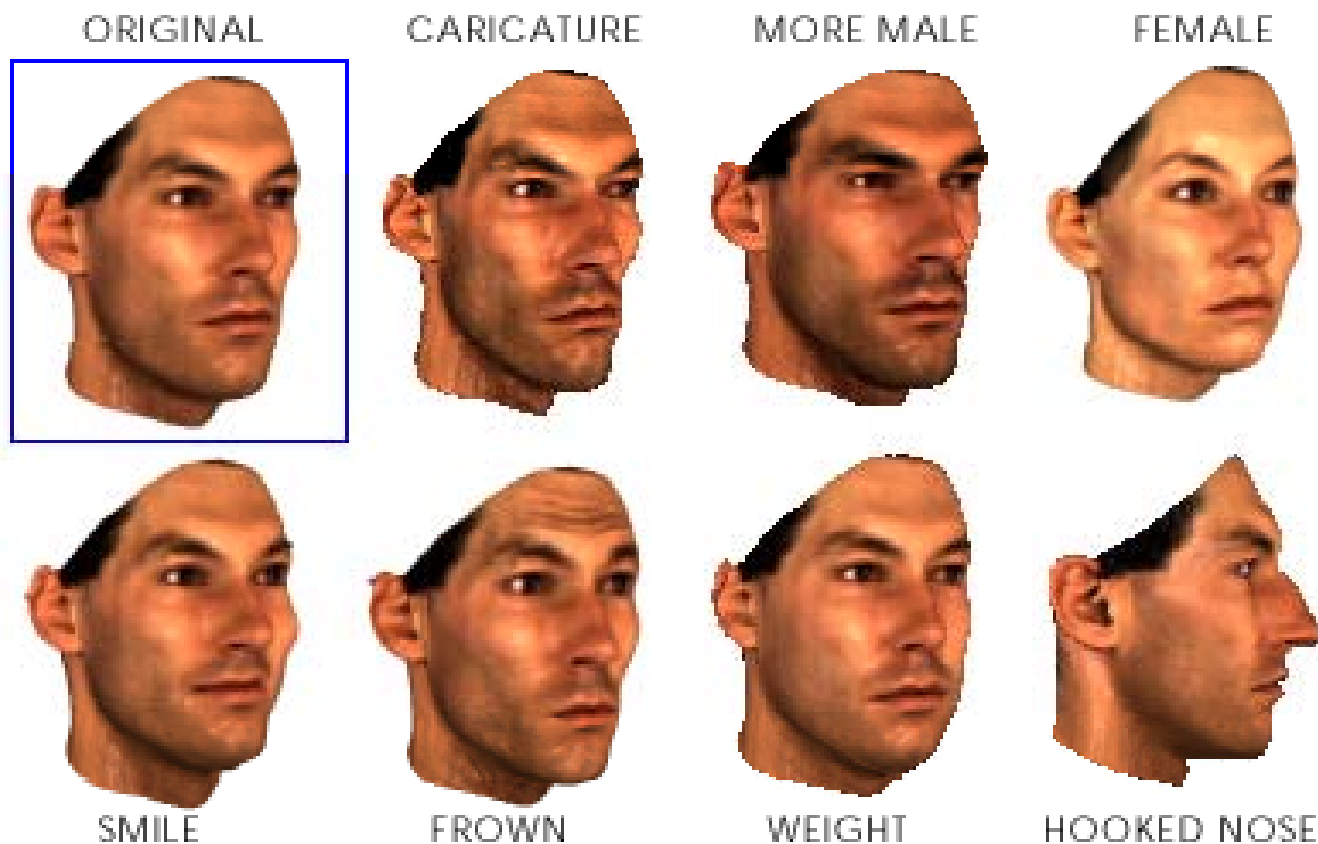
$\vec{\alpha}, \vec{\beta} \in \mathfrak{R}^{m-1}$ . The probability for coefficients  $\vec{\alpha}$  is given by

$$p(\vec{\alpha}) \sim \exp\left[-\frac{1}{2} \sum_{i=1}^{m-1} (\alpha_i / \sigma_i)^2\right], \quad (2)$$

# Morphable model of 3D faces

---

- Adding some variations



# Reconstruction from single image

2D Input

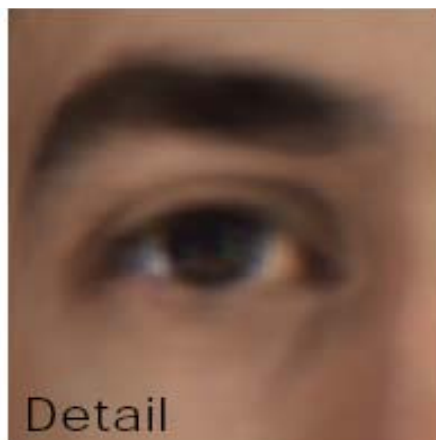


Initializing the Morphable Model  
rough interactive alignment of 3D average head



Automated 3D Shape and Texture Reconstruction

$\alpha_j \beta_j$



Rendering must be similar to the input if we guess right

# Reconstruction from single image

---

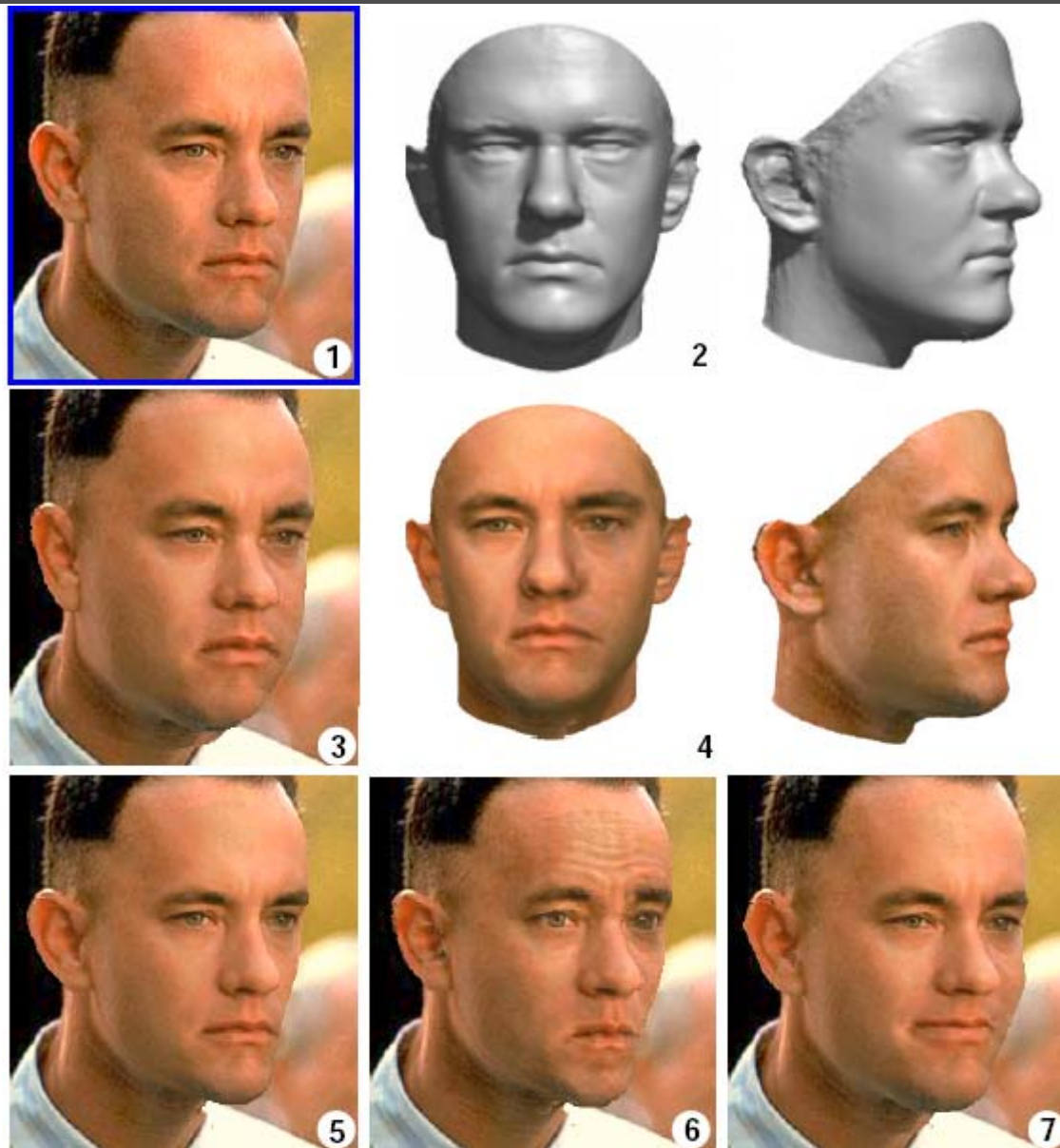
$$E = \frac{1}{\sigma_N^2} E_I + \sum_{j=1}^{m-1} \frac{\alpha_j^2}{\sigma_{S,j}^2} + \sum_{j=1}^{m-1} \frac{\beta_j^2}{\sigma_{T,j}^2} + \sum_j \frac{(\rho_j - \bar{\rho}_j)^2}{\sigma_{\rho,j}^2} \text{ prior}$$

$$E_I = \sum_{x,y} \|\mathbf{I}_{input}(x,y) - \mathbf{I}_{model}(x,y)\|^2$$

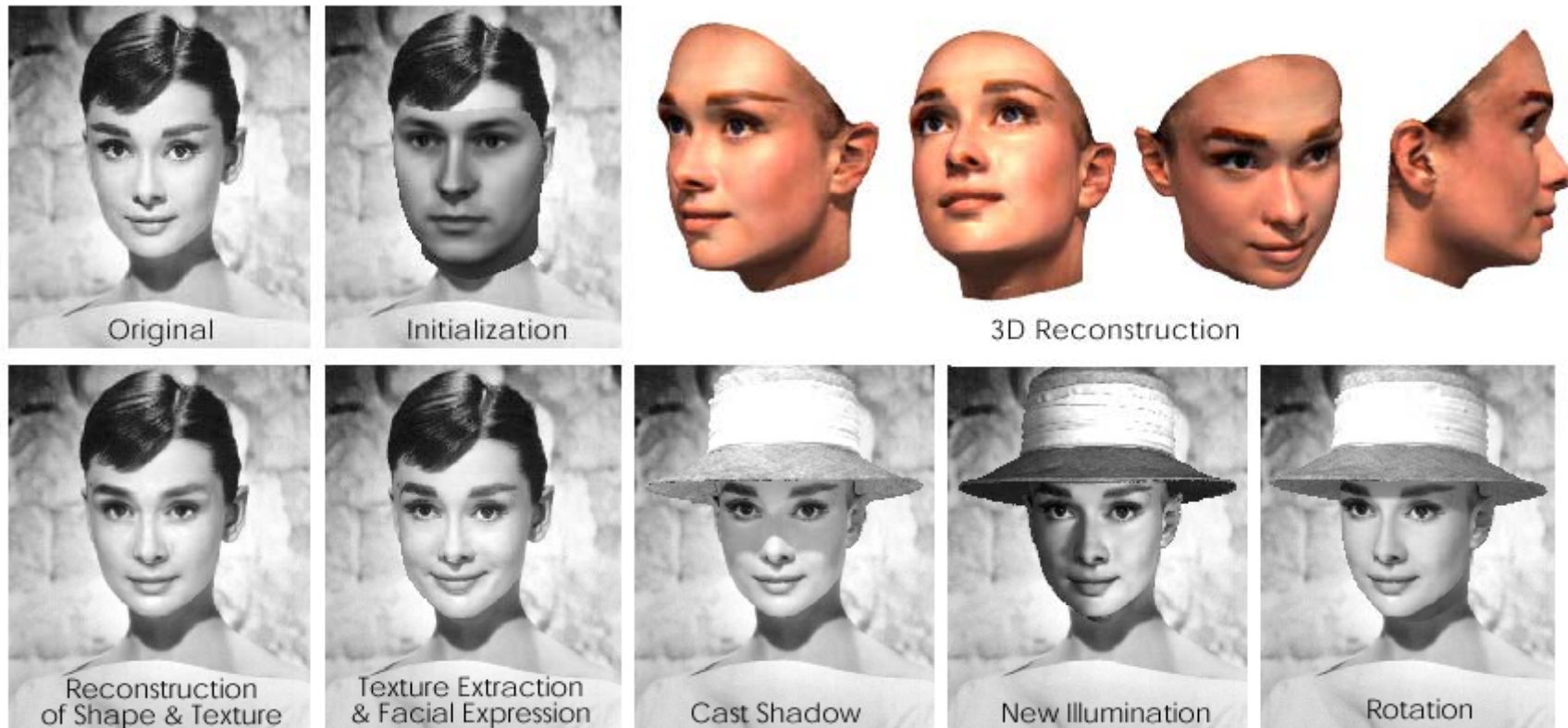
shape and texture priors are learnt from database

$\rho$  is the set of parameters for shading including camera pose, lighting and so on

# Modifying a single image



# Animating from a single image



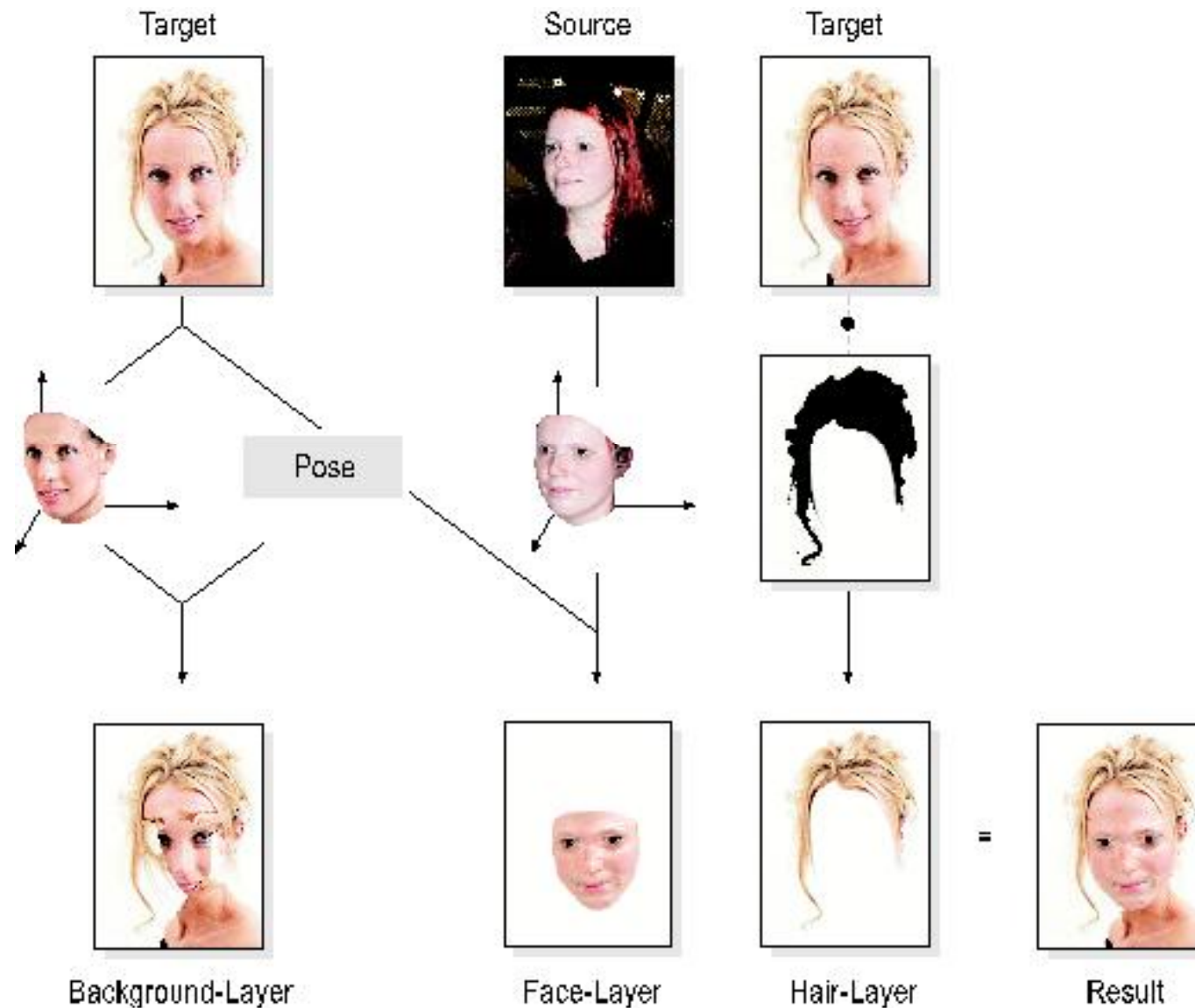
# A Morphable Model for the Synthesis of 3D Faces

Volker Blanz & Thomas Vetter

MPI for Biological Cybernetics  
Tübingen, Germany



# Exchanging faces in images



# Exchange faces in images

---



# Exchange faces in images

---





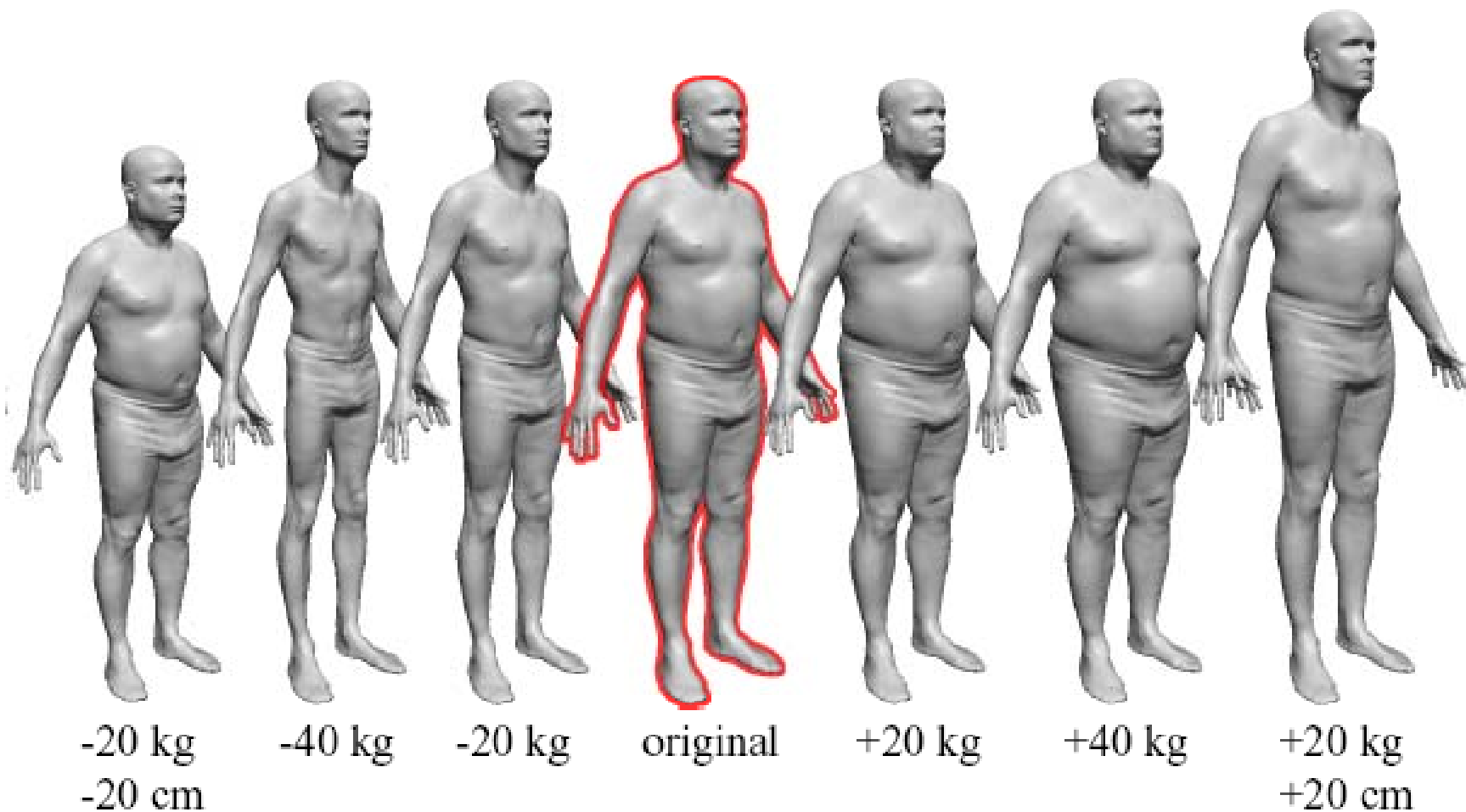
# Exchange faces in images



# Exchange faces in images



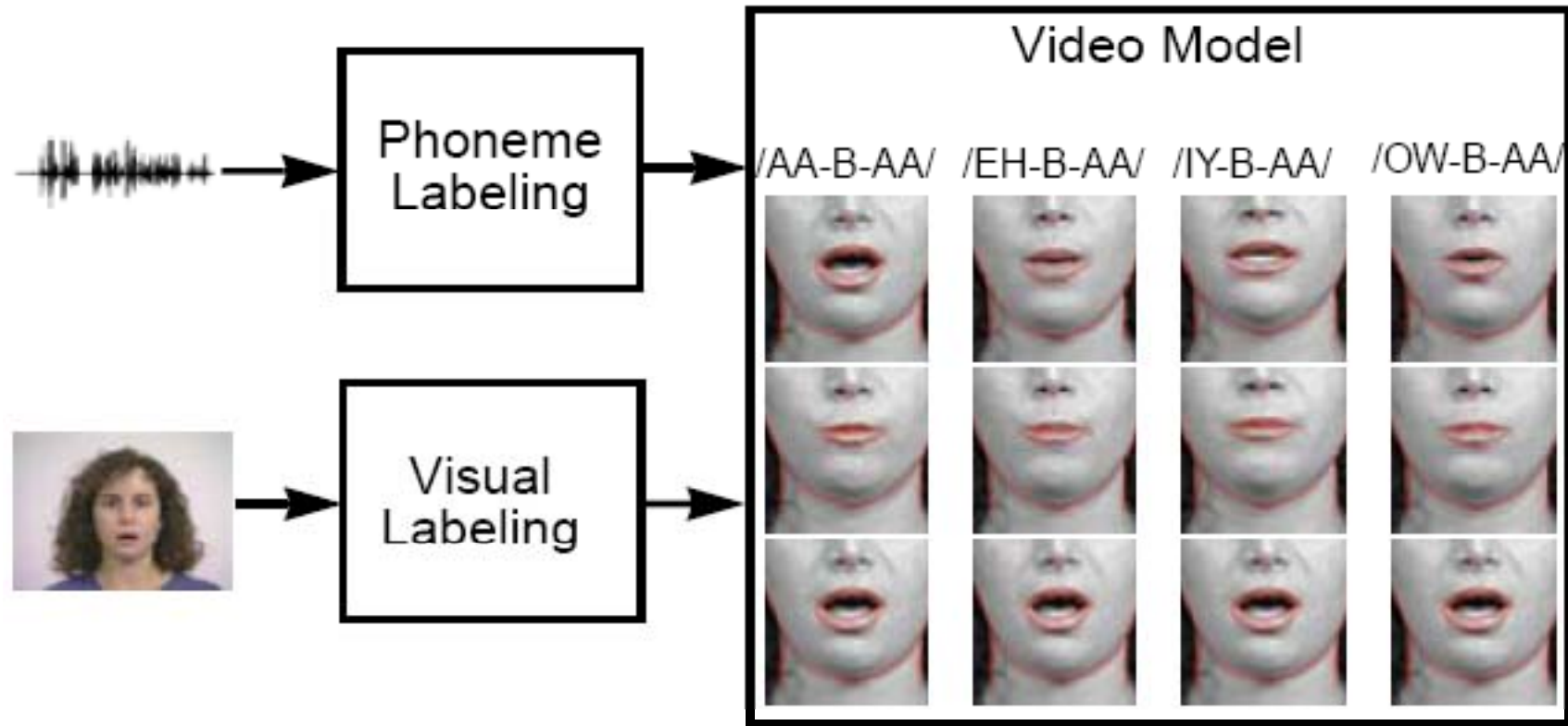
# Morphable model for human body



# Image-based faces (lip sync.)

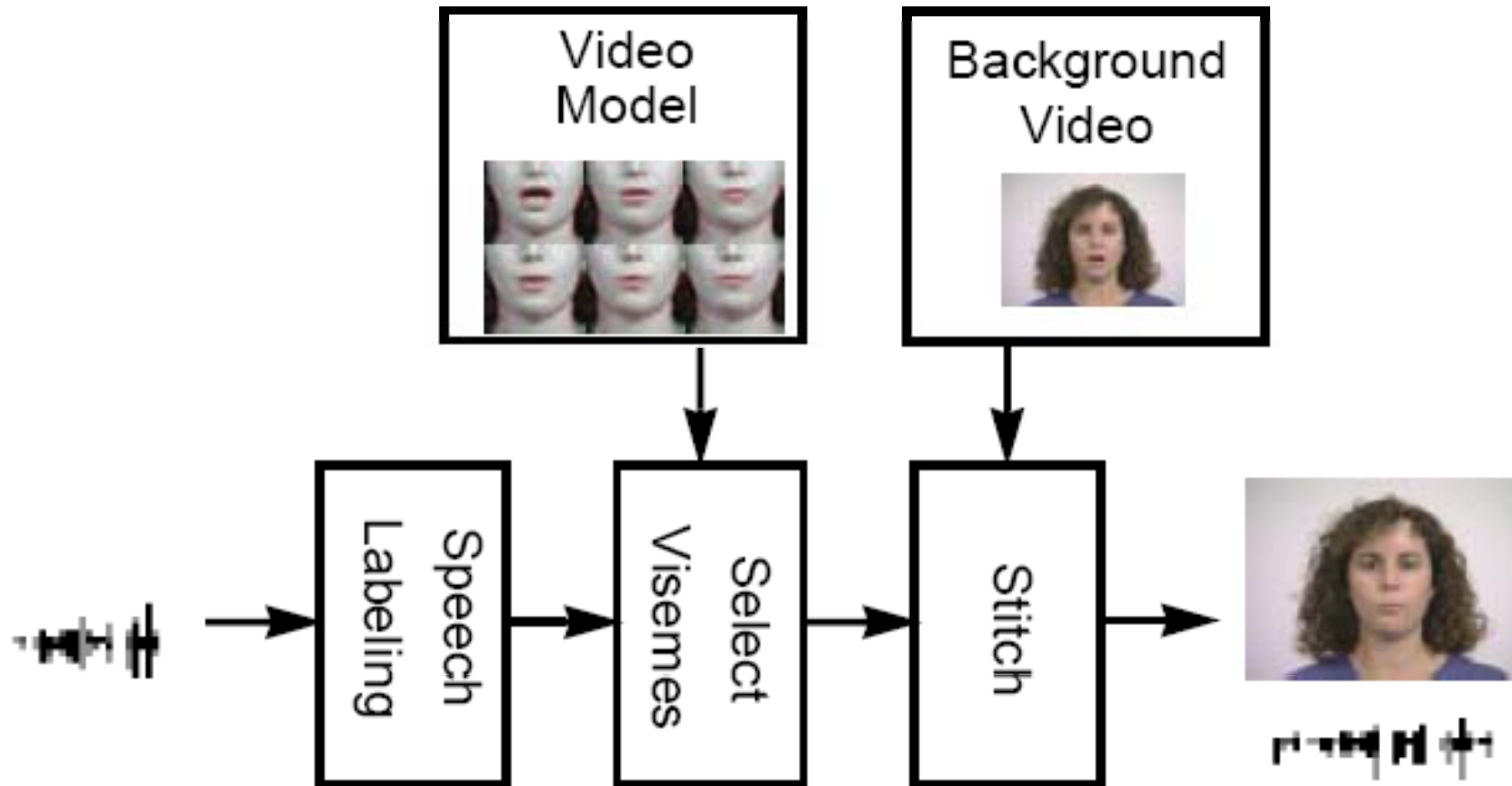


# Video rewrite (analysis)





# Video rewrite (synthesis)



# Results

---

- Video database
  - 2 minutes of JFK
    - Only half usable
    - Head rotation

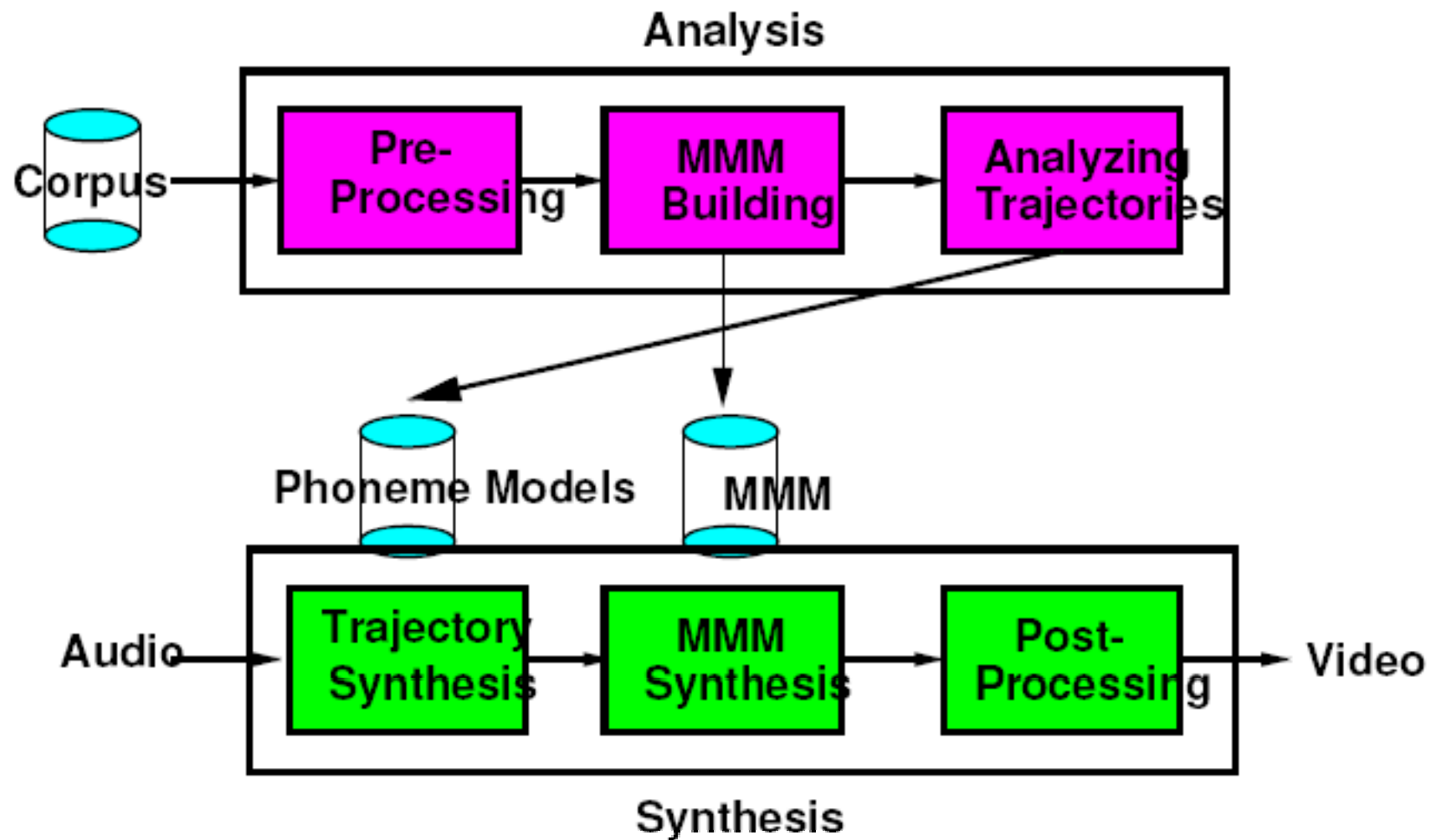


training video

Read my lips.

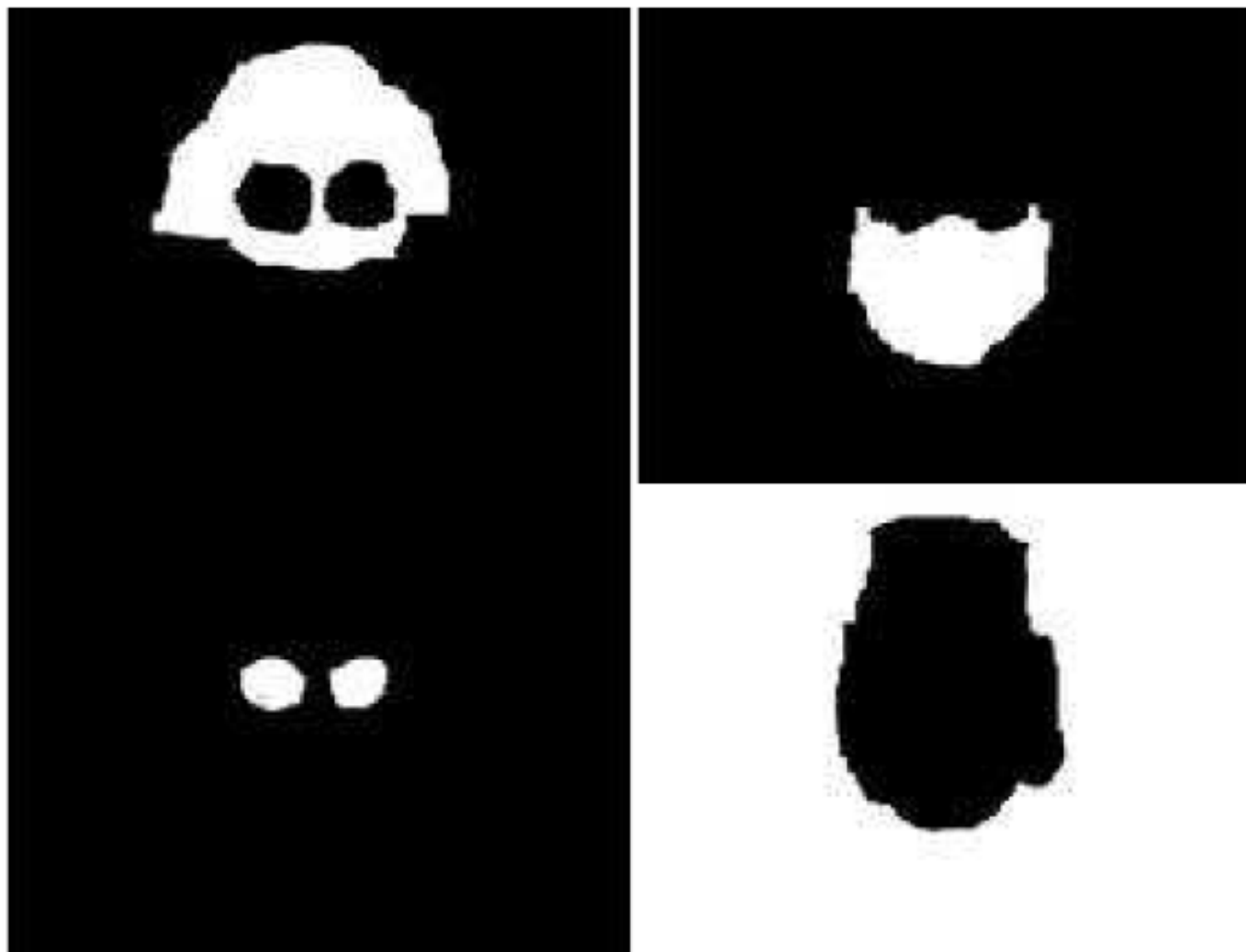
I never met Forest Gump.

# Morphable speech model



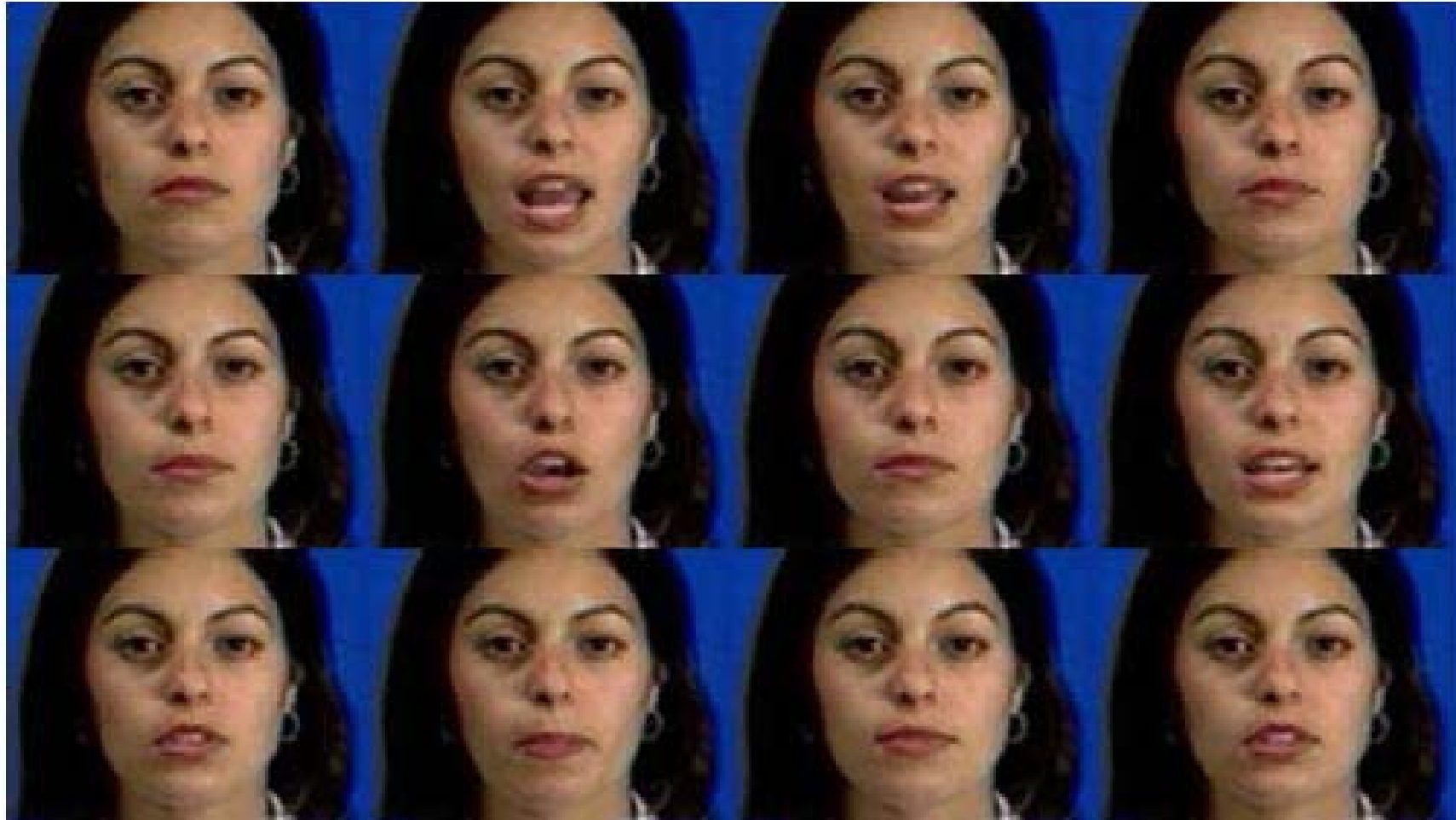
# Preprocessing

---



# Prototypes (PCA+k-mean clustering)

---

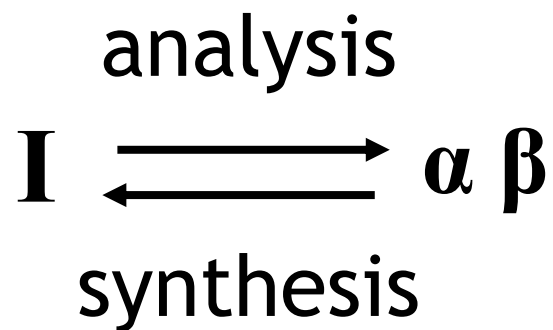


We find  $I_i$  and  $C_i$  for each prototype image.

# Morphable model

---

$$I^{morph}(\alpha, \beta) = \sum_{i=1}^N \beta_i \mathbf{W}(I_i, \mathbf{W}(\sum_{j=1}^N \alpha_j C_j - C_i, C_i))$$



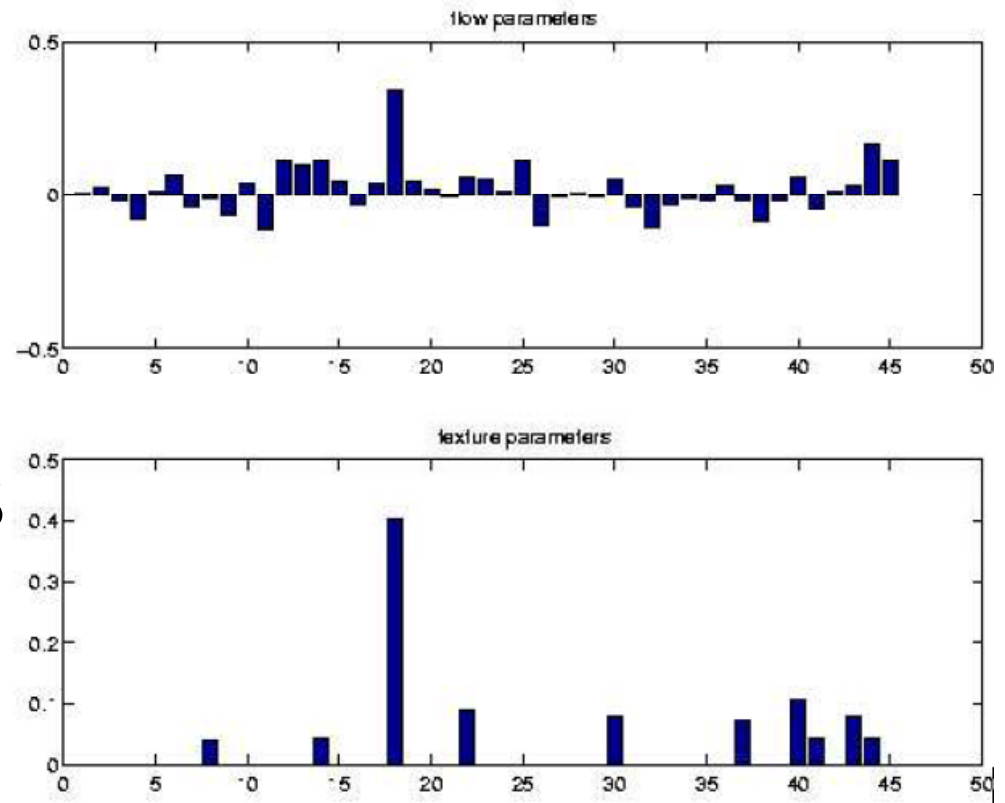
# Morphable model



analysis



synthesis

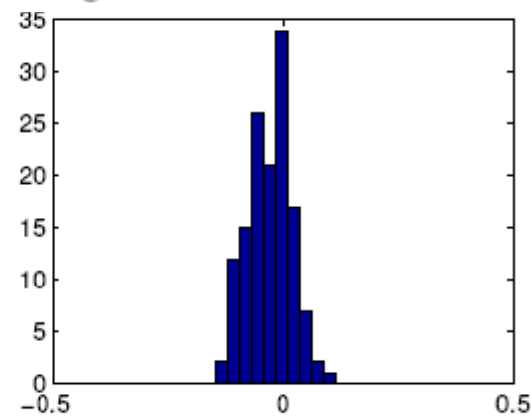
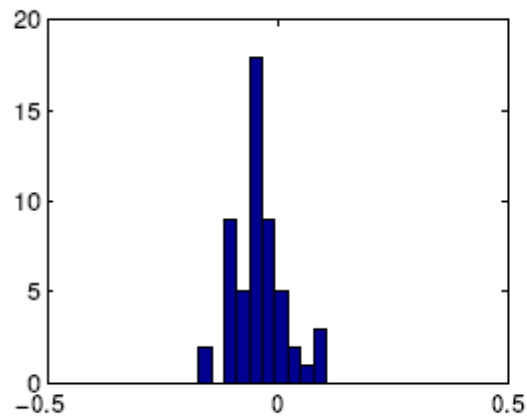


# Synthesis

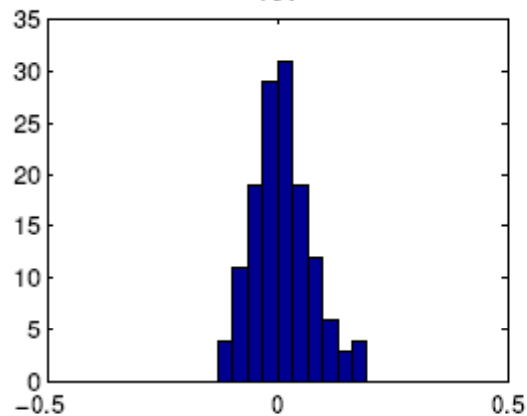
$$E = \underbrace{(y - \mu)^T D^T \Sigma^{-1} D (y - \mu)}_{\text{target term}} + \lambda \underbrace{y^T W^T W y}_{\text{smoothness}}$$

*target term*

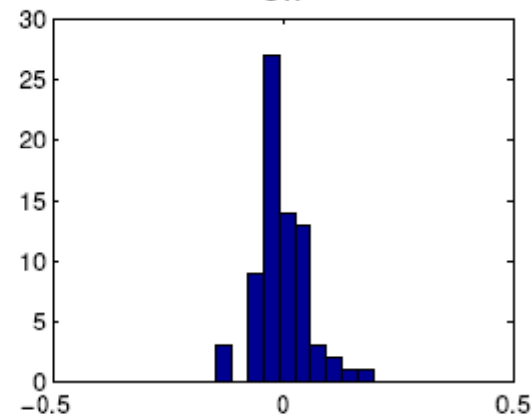
*smoothness*



AA



OW





# Results

---



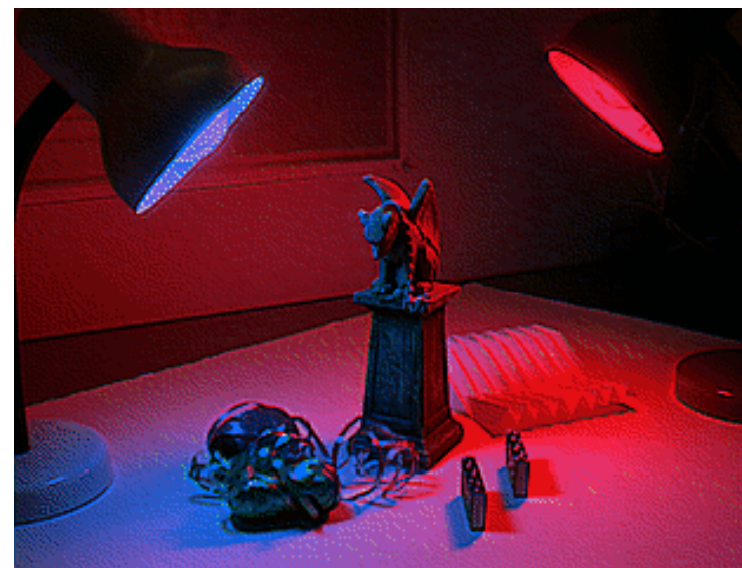
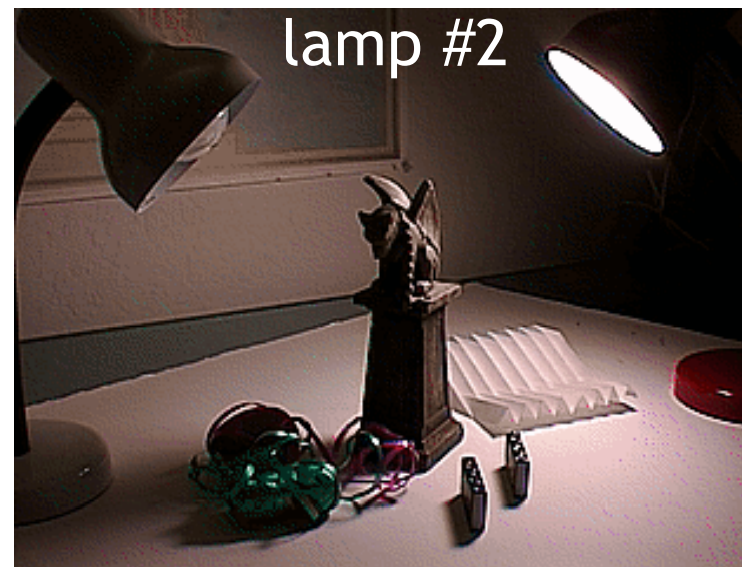
# Results

---



# Relighting faces

# Light is additive





# Light stage 1.0

---



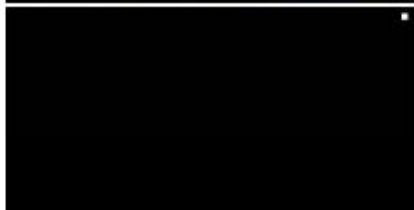
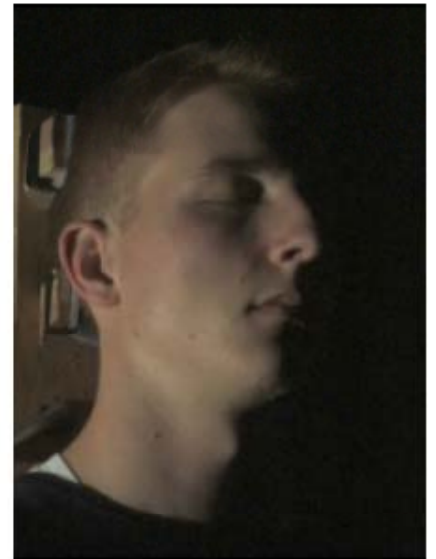
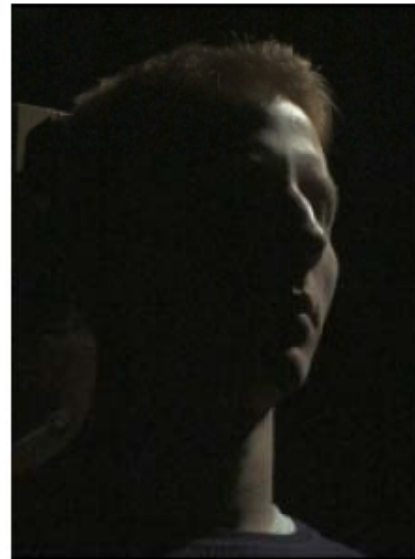
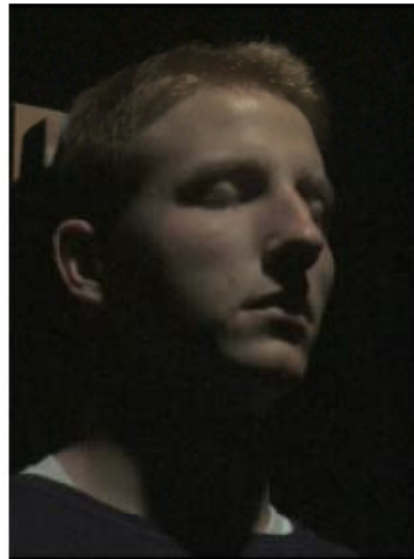
# Light stage 1.0



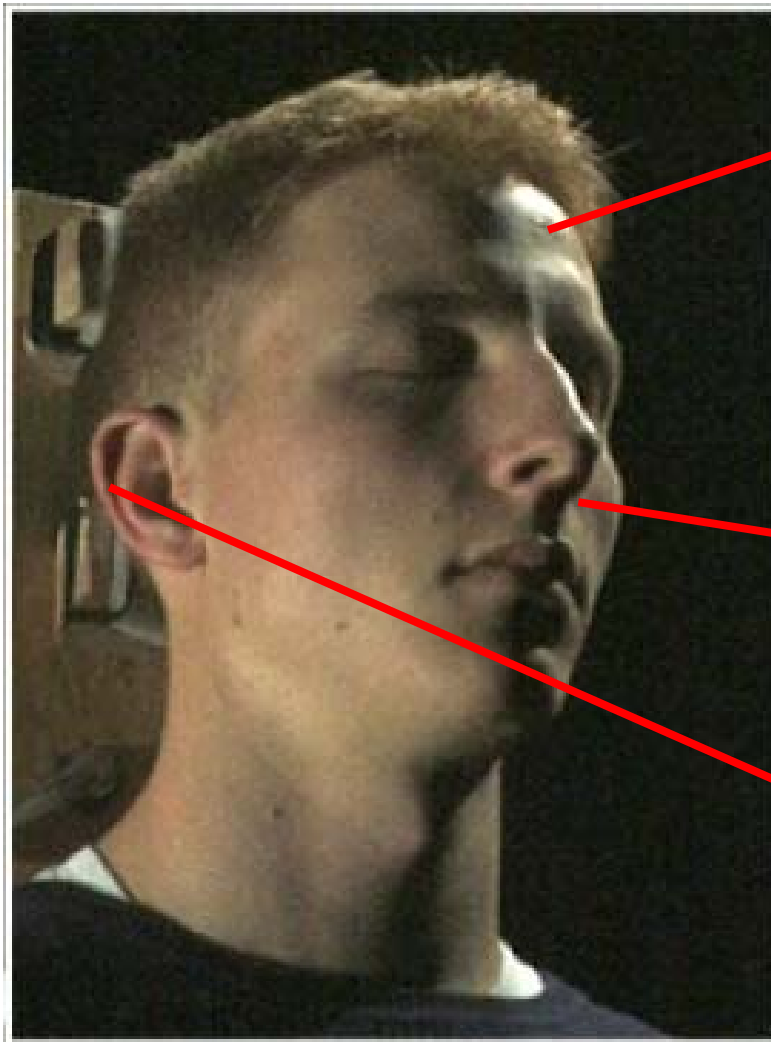


# Input images

---



# Reflectance function



occlusion

flare





# Relighting

---



normalized  
light map

×



reflectance  
function

=



lighting product

||



||<sub>1</sub>

=



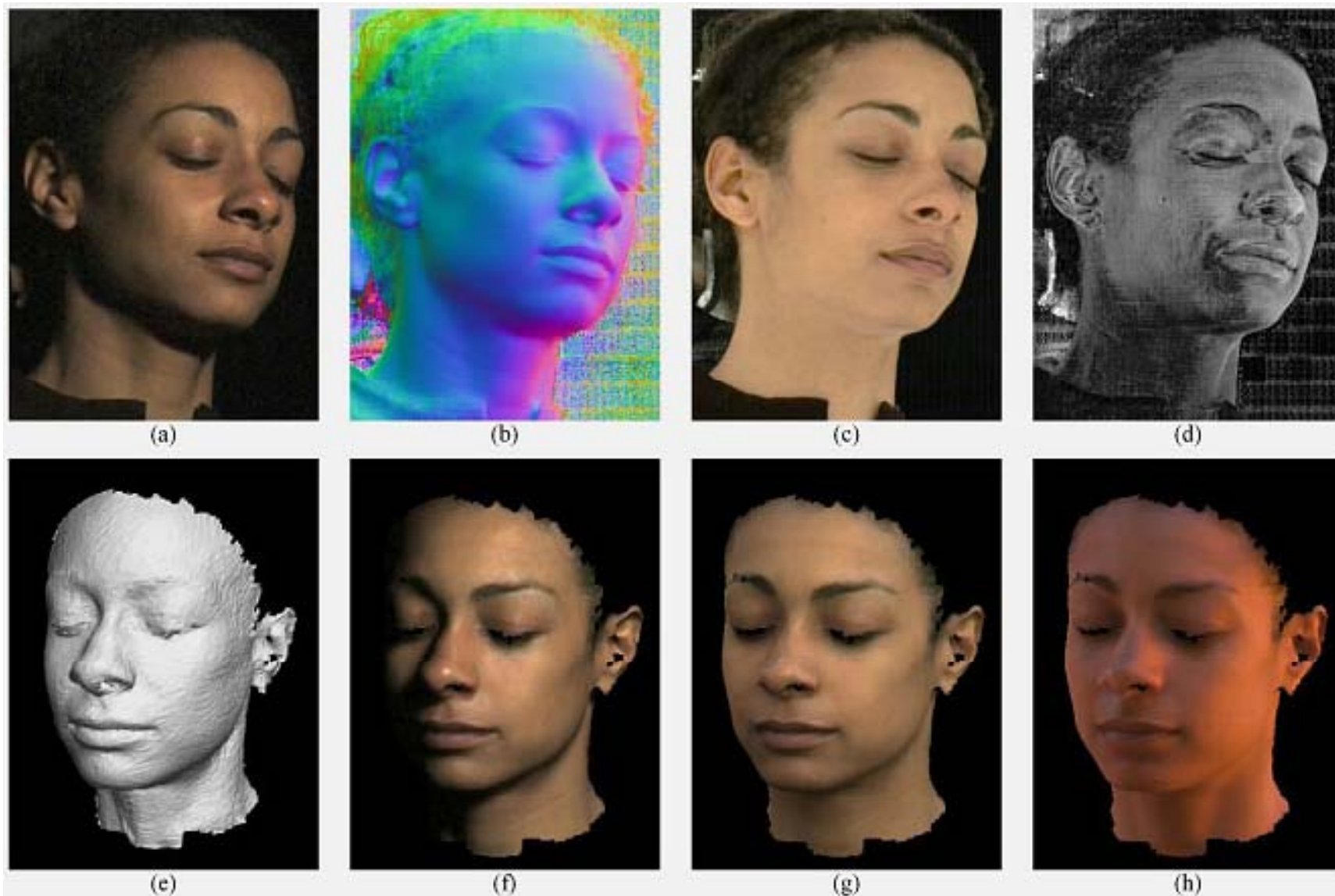
lighting product

rendered  
pixel

# Results

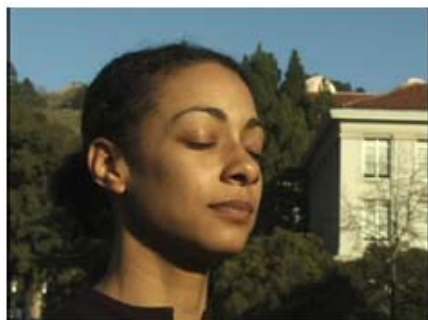


# Changing viewpoints



# Results

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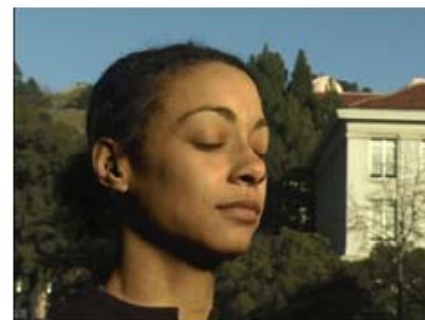
(a)



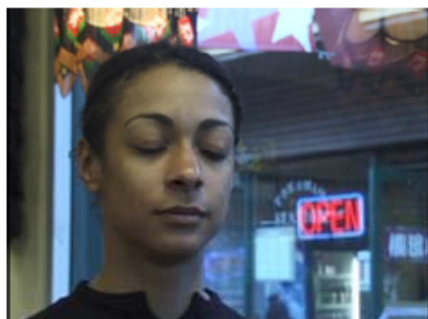
(c)



(e)



(g)



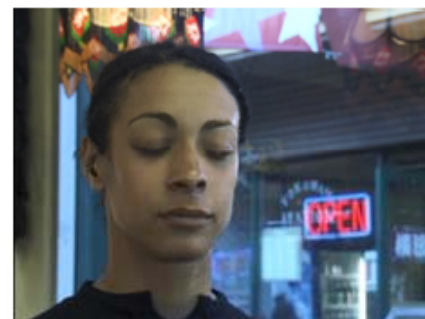
(b)



(d)



(f)



(h)



# 3D face applications: Spiderman 2



# Spiderman 2

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real

synthetic

# Spiderman 2

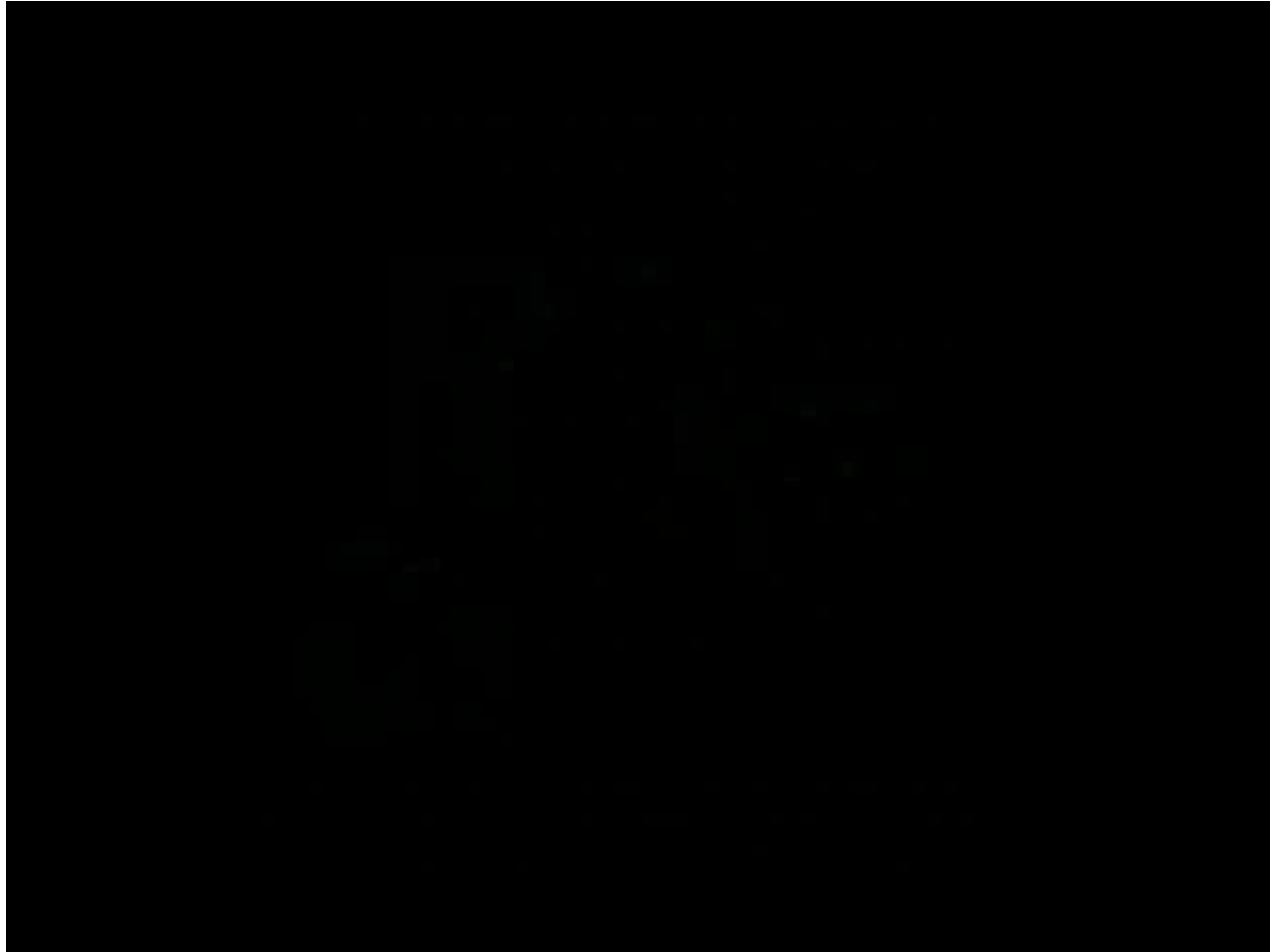
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video

# Light stage 3

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## Relighting Human Locomotion with Flowed Reflectance Fields

Per Einarsson Charles-Felix Chabert Andrew Jones Wan-Chun Ma <sup>1</sup>  
Bruce Lamond Tim Hawkins Mark Bolas <sup>2</sup> Sebastian Sylwan Paul Debevec

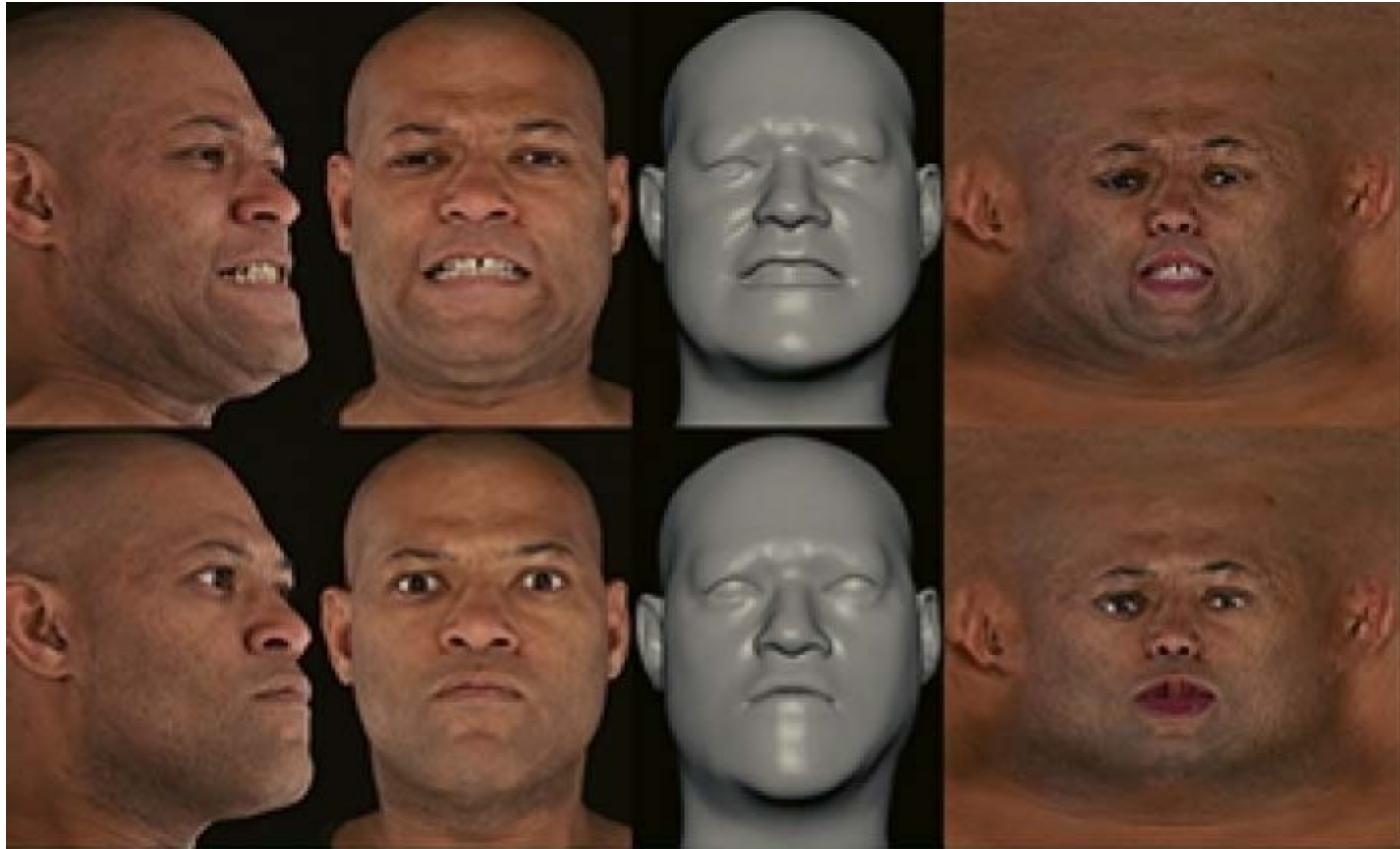
USC Centers for Creative Technologies

National Taiwan University <sup>1</sup>

USC School of Cinema-Television <sup>2</sup>

Eurographics Symposium on Rendering, June 2006

# Application: The Matrix Reloaded



# Application: The Matrix Reloaded

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