

# Introduction to the Theory of Computation 2024 — Midterm 2

## Solutions

**Problem 1 (30 pts).** Consider the CFG  $(V, \Sigma, R, S)$  with

$$V = \{S, B, J\} \text{ and } \Sigma = \{b, j\},$$

where the rule set  $R$  contains the following rules:

$$\begin{aligned} S &\rightarrow B \mid BJ \\ B &\rightarrow BB \mid b \mid \varepsilon \\ J &\rightarrow jJb \mid j \mid \varepsilon \end{aligned} \tag{1}$$

(a) (5 pts) Please provide leftmost derivations for the input strings

$$jjb \text{ and } bbbb$$

by using CFG (1). What you need to give is a sequence of derivations. No need to draw a tree.

(b) (5 pts) Is the string

$$j$$

derived ambiguously in CFG (1)? Please provide your reasons for determining ambiguity in CFG (1).

(c) (10 pts) Convert CFG (1) to CNF by the following steps:

(i) Add a new start state.

(ii) Remove  $X \rightarrow \varepsilon$  with the order

$$B \rightarrow \varepsilon, J \rightarrow \varepsilon, S \rightarrow \varepsilon,$$

for any variable  $X$  that is not the start state.

(iii) Handle  $X \rightarrow Y$ , for all variables  $X$  and  $Y$ . Please follow the order

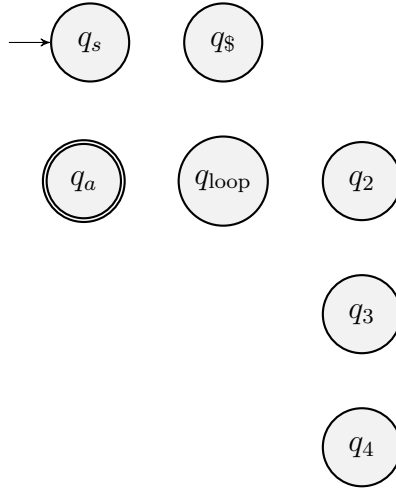
$$\begin{aligned} J &\rightarrow J, J \rightarrow B, J \rightarrow S, B \rightarrow J, B \rightarrow B, B \rightarrow S, S \rightarrow J, S \rightarrow B, S \rightarrow S, \\ S_0 &\rightarrow B, S_0 \rightarrow J, S_0 \rightarrow S. \end{aligned}$$

(iv) Convert  $X \rightarrow u_1 u_2 u_3$ , where  $k \geq 3$  and each  $u_i$  is a variable or terminal symbol.

(v) Replace any terminal  $u_i$  in the preceding rules with  $U_1 \rightarrow j$  and  $U_2 \rightarrow b$ .

Please ensure that all intermediate steps are clearly documented, showing how CFG (1) is transformed into CNF.

(d) (10 pts) Please convert CFG (1) to a PDA with the following draft



by the procedure outlined in Lemma 2.21 of the textbook (in our slides chap2\_PDA3.pdf). **Please note that we do not allow adding states.**

*Solution.*

(a) We show the leftmost derivations of those strings on the following.

(i)  $jjb$ .

$$S \rightarrow BJ \rightarrow \varepsilon J \rightarrow \varepsilon j J b \rightarrow \varepsilon j j b.$$

(ii)  $bbbb$ .

$$S \rightarrow B \rightarrow BB \rightarrow BBB \rightarrow BBBB \rightarrow bBBB \rightarrow bbBB \rightarrow bbbB \rightarrow bbbb.$$

(b) Since  $j$  can be derived by the following leftmost derivations

(i)

$$S \rightarrow BJ \rightarrow \varepsilon J \rightarrow \varepsilon j, \text{ and}$$

(ii)

$$S \rightarrow BJ \rightarrow BBJ \rightarrow \varepsilon BJ \rightarrow \varepsilon \varepsilon J \rightarrow \varepsilon \varepsilon j,$$

$j$  is derived ambiguously in CFG (1)

(c) • Add  $S_0 \rightarrow S$ .

$$S_0 \rightarrow S$$

$$S \rightarrow B \mid BJ$$

$$B \rightarrow BB \mid b \mid \varepsilon$$

$$J \rightarrow jJb \mid j \mid \varepsilon$$

• Remove  $B \rightarrow \varepsilon$

$$S_0 \rightarrow S$$

$$S \rightarrow B \mid BJ \mid J \mid \varepsilon$$

$$B \rightarrow BB \mid b \mid B$$

$$J \rightarrow jJb \mid j \mid \varepsilon$$

- Remove  $J \rightarrow \varepsilon$

$$\begin{aligned} S_0 &\rightarrow S \\ S &\rightarrow B \mid BJ \mid J \mid \varepsilon \\ B &\rightarrow BB \mid b \mid B \\ J &\rightarrow jJb \mid j \mid jb \end{aligned}$$

- Remove  $S \rightarrow \varepsilon$

$$\begin{aligned} S_0 &\rightarrow S \mid \varepsilon \\ S &\rightarrow B \mid BJ \mid J \\ B &\rightarrow BB \mid b \mid B \\ J &\rightarrow jJb \mid j \mid jb \end{aligned}$$

- Remove  $B \rightarrow B$

$$\begin{aligned} S_0 &\rightarrow S \mid \varepsilon \\ S &\rightarrow B \mid BJ \mid J \\ B &\rightarrow BB \mid b \\ J &\rightarrow jJb \mid j \mid jb \end{aligned}$$

- Remove  $S \rightarrow J$

$$\begin{aligned} S_0 &\rightarrow S \mid \varepsilon \\ S &\rightarrow B \mid BJ \mid jJb \mid j \mid jb \\ B &\rightarrow BB \mid b \\ J &\rightarrow jJb \mid j \mid jb \end{aligned}$$

- Remove  $S \rightarrow B$

$$\begin{aligned} S_0 &\rightarrow S \mid \varepsilon \\ S &\rightarrow BB \mid b \mid BJ \mid jJb \mid j \mid jb \\ B &\rightarrow BB \mid b \\ J &\rightarrow jJb \mid j \mid jb \end{aligned}$$

- Remove  $S_0 \rightarrow S$

$$\begin{aligned} S_0 &\rightarrow BB \mid b \mid BJ \mid jJb \mid j \mid jb \mid \varepsilon \\ S &\rightarrow BB \mid b \mid BJ \mid jJb \mid j \mid jb \\ B &\rightarrow BB \mid b \\ J &\rightarrow jJb \mid j \mid jb \end{aligned}$$

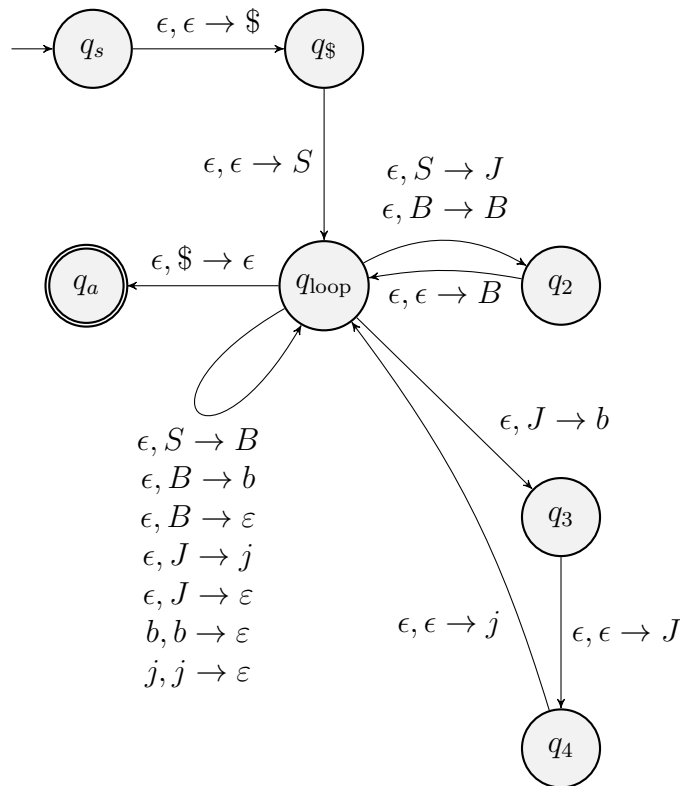
- Convert  $A \rightarrow u_1 u_2 \cdots u_k$ , where  $k \geq 3$ .

$$\begin{aligned} S_0 &\rightarrow BB \mid b \mid BJ \mid jA_1 \mid j \mid jb \mid \varepsilon \\ S &\rightarrow BB \mid b \mid BJ \mid jA_1 \mid j \mid jb \\ B &\rightarrow BB \mid b \\ J &\rightarrow jA_1 \mid j \mid jb \\ A_1 &\rightarrow Jb \end{aligned}$$

- Convert remaining rules.

$$\begin{aligned}
S_0 &\rightarrow BB \mid b \mid BJ \mid U_1A_1 \mid j \mid U_1U_2 \mid \varepsilon \\
S &\rightarrow BB \mid b \mid BJ \mid U_1A_1 \mid j \mid U_1U_2 \\
B &\rightarrow BB \mid b \\
J &\rightarrow U_1A_1 \mid j \mid U_1U_2 \\
A_1 &\rightarrow JU_2 \\
U_1 &\rightarrow j \\
U_2 &\rightarrow b
\end{aligned}$$

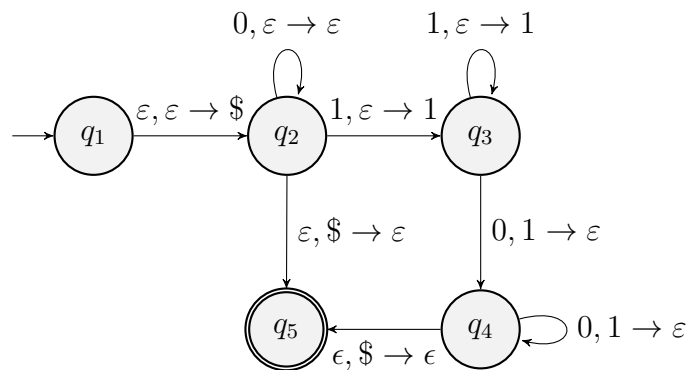
(d) Please see the following diagram.



**Problem 2 (10 pts).** Consider the following language

$$\{0^m 1^n 0^n \mid m \geq 0, n \geq 0\} \quad (2)$$

We have a 5-state PDA



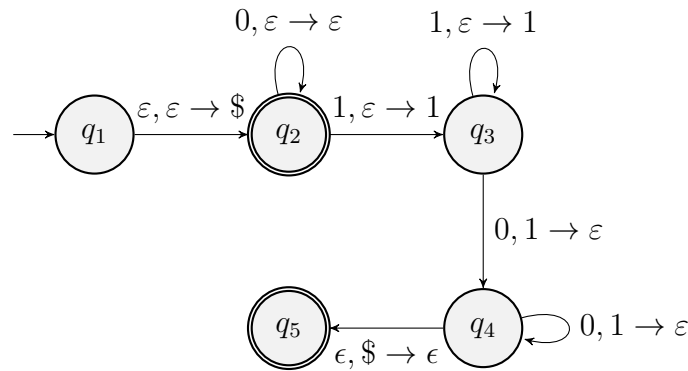
for the language (2) with  $\Sigma = \{0, 1\}$  and  $\Gamma = \{1, \$\}$ .

(a) (5 pts) Please complete the following table of  $\delta$  function.

	0			1			$\varepsilon$		
	1	\$	$\varepsilon$	1	\$	$\varepsilon$	1	\$	$\varepsilon$
$q_1$									
$q_2$									
$q_3$									
$q_4$									
$q_5$									

You do not need to write down  $\emptyset$  and can leave these place empty.

(b) (5 pts) If we modify the PDA to a 6-state DPDA



where the rejected state  $q_r$  is not shown in the diagram for the readability. Please show the table of  $\delta$  function. You do not need to write down  $\emptyset$  and can leave these place empty.

*Solution.*

(a) Please see the following table.

	0			1			$\varepsilon$		
	1	\$	$\varepsilon$	1	\$	$\varepsilon$	1	\$	$\varepsilon$
$q_1$									$\{(q_2, \$)\}$
$q_2$			$\{(q_2, \varepsilon)\}$			$\{(q_3, 1)\}$		$\{(q_5, \varepsilon)\}$	
$q_3$	$\{(q_4, \varepsilon)\}$					$\{(q_3, 1)\}$			
$q_4$	$\{(q_4, \varepsilon)\}$							$\{(q_5, \varepsilon)\}$	
$q_5$									

Empty slots have the value  $\emptyset$ .

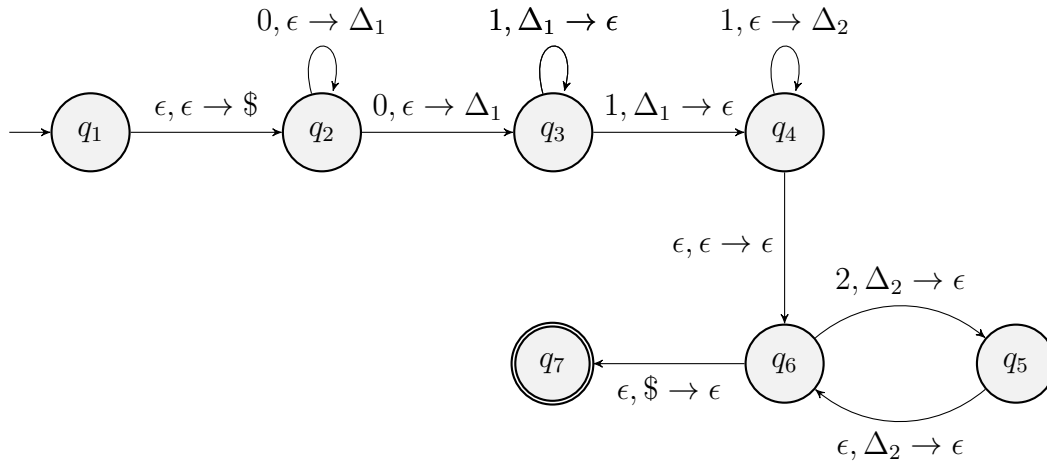
(b) The  $\delta$  function of this diagram is

	0			1			$\varepsilon$		
	1	\$	$\varepsilon$	1	\$	$\varepsilon$	1	\$	$\varepsilon$
$q_1$									$(q_2, \$)$
$q_2$			$(q_2, \varepsilon)$			$(q_3, 1)$			
$q_3$	$(q_4, \varepsilon)$	$(q_r, \varepsilon)$				$(q_3, 1)$			
$q_4$	$(q_4, \varepsilon)$			$(q_r, \varepsilon)$				$(q_5, \varepsilon)$	
$q_5$	$(q_r, \varepsilon)$	$(q_r, \varepsilon)$		$(q_r, \varepsilon)$	$(q_r, \varepsilon)$				
$q_r$	$(q_r, \varepsilon)$	$(q_r, \varepsilon)$		$(q_r, \varepsilon)$	$(q_r, \varepsilon)$				

Empty slots have the value  $\emptyset$ .

**Problem 3 (40 pts).** Please consider the following PDA  $P$  with

- $Q = \{q_1, q_2, q_3, q_4, q_5, q_6, q_7\}$  is the set of states.
- $\Sigma = \{0, 1, 2\}$  is the input alphabet.
- $\Gamma = \{\$, \Delta_1, \Delta_2\}$  is the stack alphabet.



- (5 pts) Please follow page 5 of our slides in chap2.PDA2.pdf to simulate the given PDA  $P$  on the input string **01112** by drawing the corresponding simulation trees. Then, determine whether the PDA  $P$  **accepts** this input string based on your simulation.
- (10 pts) What is the language recognized by  $P$ ? Please provide the details to explain your answer.
- (15 pts) Please identify and explain which of the following conditions mentioned in page 2 of “chap\_PDA4.pdf” is not satisfied by PDA  $P$ .
  - A single accept state.
  - Stack should be empty before accepting.
  - Each transition should either pushes or pops something from the stack, but not both at the same time.

Next, please convert PDA  $P$  to  $P'$  so that it satisfies all three conditions mentioned above. Please note that your  $\Sigma$ ,  $\Gamma$  and  $Q$  must be the same. You are allowed only to change  $\delta$  (i.e., the links between nodes). You need to provide reasons for your changes.

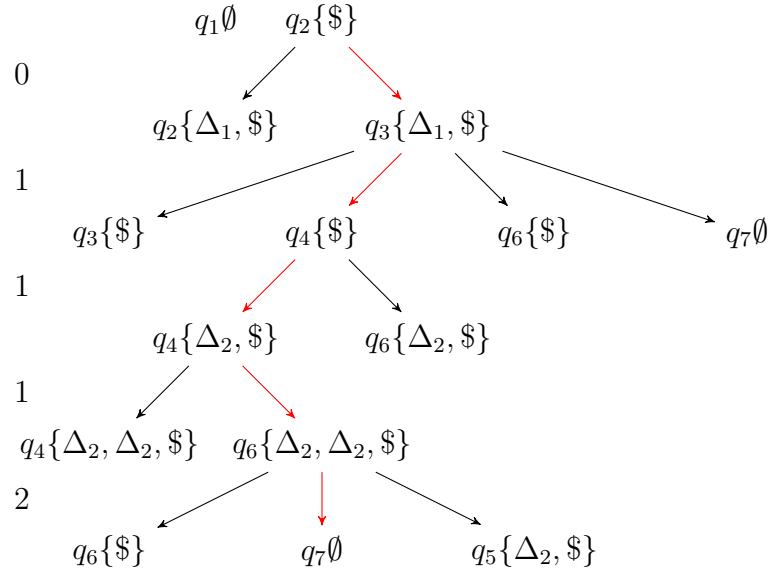
*Hint: See if you can remove one link and add another one so that the language is the same.*

Additionally, to confirm that your  $P'$  recognizes same language as in (b), you need to do (a) again with your  $P'$ . Please draw the corresponding simulation trees and determine whether the  $P'$  **accepts** the input string **01112**.

- (10 pts) Based on (c), convert  $P'$  to a CFG by using the procedure in Lemma 2.27 of the textbook. For simplicity, you only need to write each  $A_{pq} \rightarrow aA_{rs}b$  rule. The rules  $A_{pq} \rightarrow A_{pr}A_{rq}$  and  $A_{pp} \rightarrow \epsilon$  are not needed. In order to prepare for  $A_{pq} \rightarrow aA_{rs}b$  rules, please give table(s) for each stack alphabet  $t$  pushed/popped, similar to what we had in slides.

*Solution.*

(a) For input string **01112**



This PDA **accepts** input string **01112**.

- (b) We observe that states  $q_1$ ,  $q_2$ , and  $q_3$  push  $m \Delta_1$  into the stack while reading 0s and then pop  $m \Delta_1$  when reading 1's. Moreover, since states  $q_0$  and  $q_1$  cannot reach  $q_7$  without reading 0's, the language cannot include  $\epsilon$ . As a result, we can summarize that states  $q_1$ ,  $q_2$ , and  $q_3$  handle the following language:

$$\{0^m 1^m \mid m > 0\}.$$

For the other states, we observe that the loop between  $q_6$  and  $q_5$  pops  $2n \Delta_2$  from the stack while reading 2's. Therefore,  $q_4$  must push  $2n \Delta_2$  into the stack while reading 1's. Moreover, because  $q_4$  can reach  $q_7$  by  $\epsilon$ , the language includes  $\epsilon$ . As a result, we can summarize that the other states handle the following language

$$\{1^{2n} 2^n \mid n \geq 0\}.$$

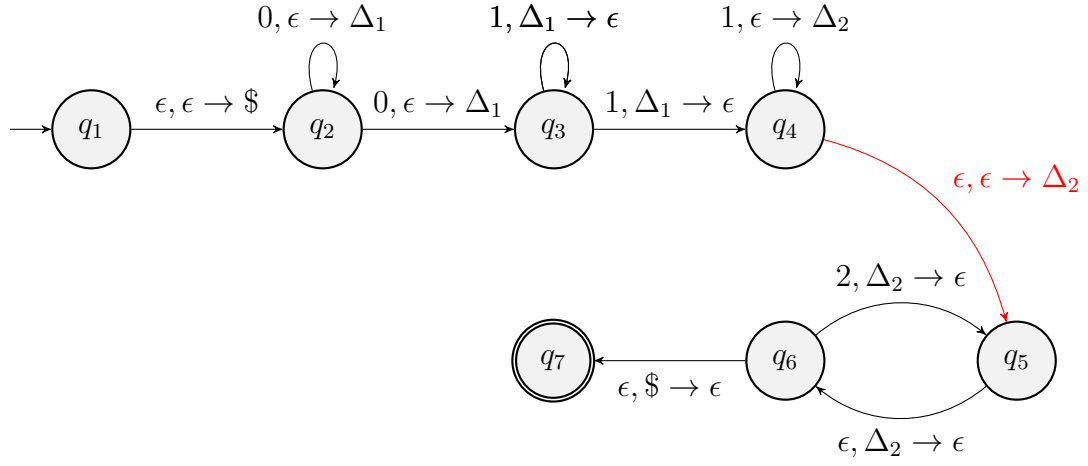
Since the first language will complete before handling the second language. Therefore, the PDA  $P$  recognizes the following language

$$\{0^m 1^{m+2n} 2^n \mid m > 0, n \geq 0\}.$$

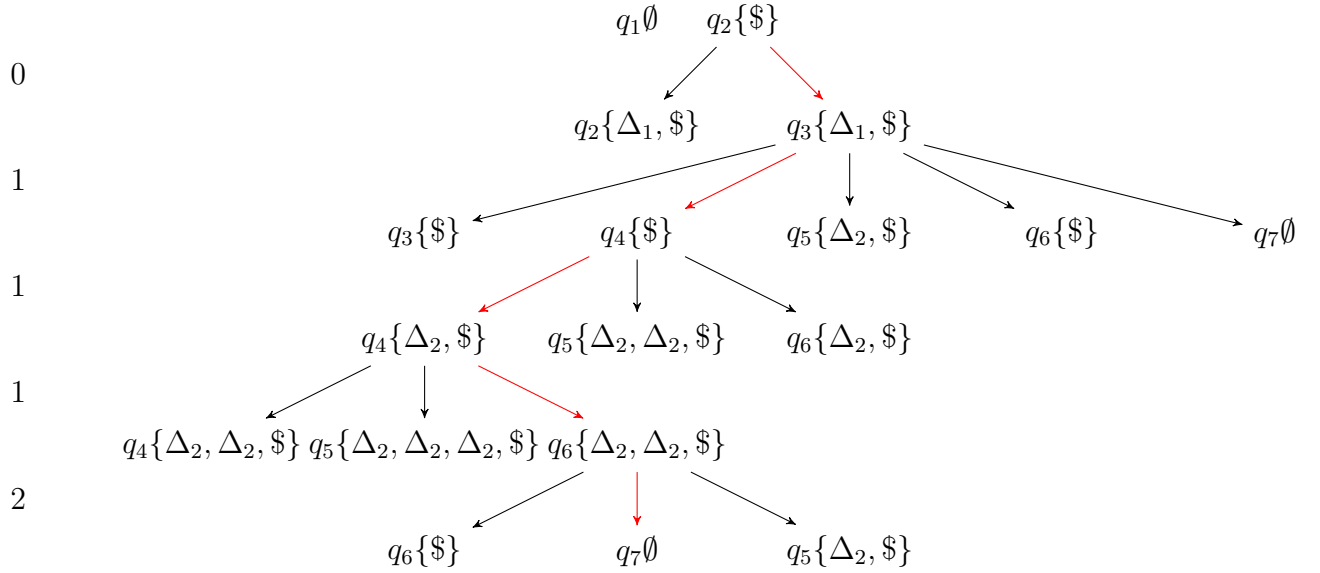
- (c) The PDA  $P$  has an  $\epsilon \rightarrow \epsilon$  transition from  $q_4$  to  $q_6$ , which violates the third needed condition. Thus, we need to handle the transition  $q_4 \rightarrow q_6$ . We know that in the original figure,  $q_4$ ,  $q_5$ ,  $q_6$  handle the following language

$$\{1^{2n} 2^n \mid n \geq 0\}.$$

Our strategy is to push  $2n \Delta_2$  into stack by 1's, and then pop up  $2n \Delta_2$  by the loop between  $q_6$  and  $q_5$  when reading 2's. To construct PDA  $P'$ , our new strategy is to push  $2n + 1 \Delta_2$  into stack and immediately pop up one  $\Delta_2$  via the path from  $q_5$  to  $q_6$ . This ensures that the stack contains exactly  $2n \Delta_2$  before reading 2's, allowing  $P'$  to pop up  $2n \Delta_2$  through same loop between  $q_6$  and  $q_5$ .



For input string **01112**

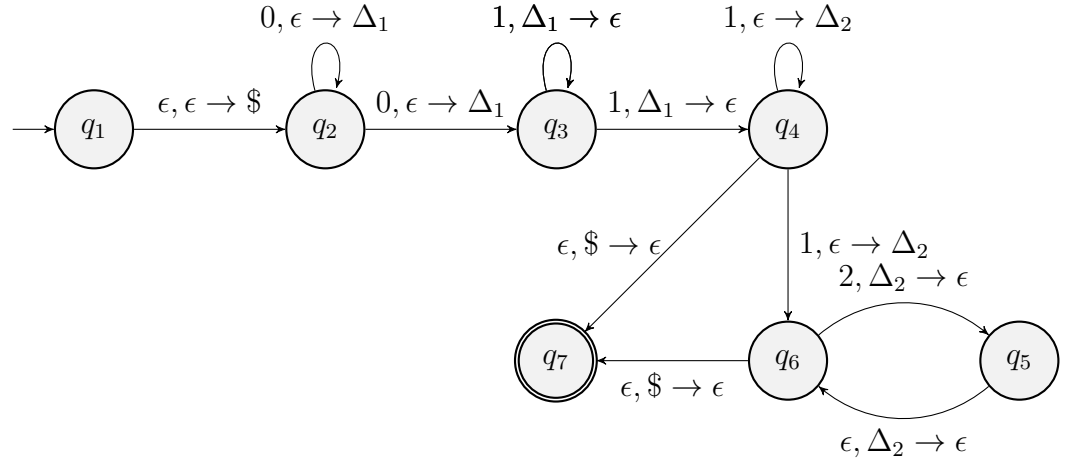


This PDA  $P'$  **accepts** input string **01112**.

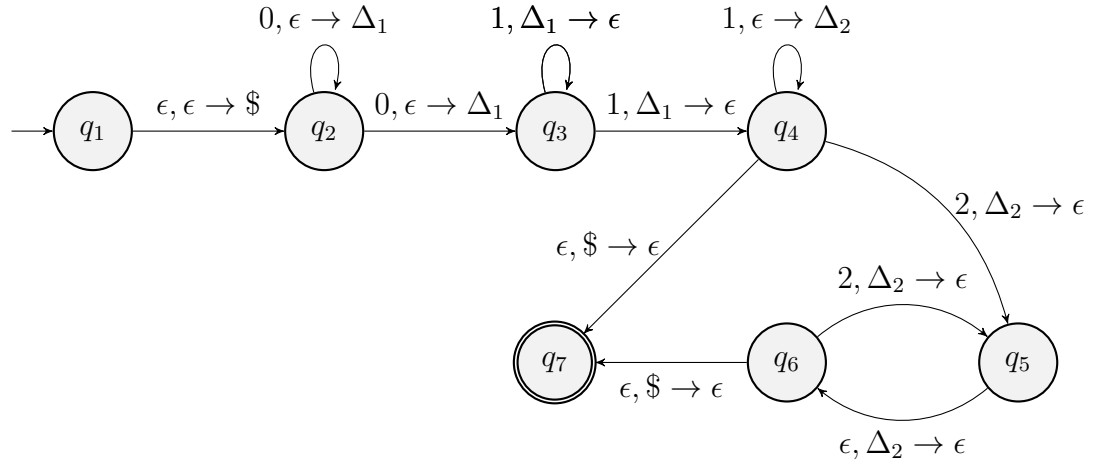
We also accept the following PDAs; however, we did not provide the reason and the corresponding simulation trees.

Other solution I: Please consider the following PDA.





Other solution II: Please consider the following PDA.



(d) The corresponding CFG is the following:

	$p$	$r$	$s$	$q$	$a$	$b$	rules
• $t = \Delta_1$ :	2	2	3	3	0	1	$A_{23} \rightarrow 0A_{23}1$
	2	2	3	4	0	1	$A_{24} \rightarrow 0A_{23}1$
	2	3	3	3	0	1	$A_{23} \rightarrow 0A_{33}1$
	2	3	3	4	0	1	$A_{24} \rightarrow 0A_{33}1$
	$p$	$r$	$s$	$q$	$a$	$b$	rules
• $t = \Delta_2$ :	4	4	5	6	1	$\epsilon$	$A_{46} \rightarrow 1A_{45}$
	4	4	6	5	1	2	$A_{45} \rightarrow 1A_{46}2$
	4	5	5	6	$\epsilon$	$\epsilon$	$A_{46} \rightarrow A_{55}$
	4	5	6	5	$\epsilon$	2	$A_{45} \rightarrow A_{56}2$
	$p$	$r$	$s$	$q$	$a$	$b$	rules
• $t = \$$ :	1	2	6	7	$\epsilon$	$\epsilon$	$A_{17} \rightarrow A_{26}$

For other solutions, the corresponding CFGs are on the following.

Other solution I: The CFG which converting PDA (cI) is

	$p$	$r$	$s$	$q$	$a$	$b$	rules
	2	2	3	3	0	1	$A_{23} \rightarrow 0A_{23}1$
• $t = \Delta_1$ :	2	2	3	4	0	1	$A_{24} \rightarrow 0A_{23}1$
	2	3	3	3	0	1	$A_{23} \rightarrow 0A_{33}1$
	2	3	3	4	0	1	$A_{24} \rightarrow 0A_{33}1$
	$p$	$r$	$s$	$q$	$a$	$b$	rules
	4	4	5	6	1	$\epsilon$	$A_{46} \rightarrow 1A_{45}$
• $t = \Delta_2$ :	4	4	6	5	1	2	$A_{45} \rightarrow 1A_{46}2$
	4	6	5	6	1	$\epsilon$	$A_{46} \rightarrow 1A_{65}$
	4	6	6	5	1	2	$A_{45} \rightarrow 1A_{66}2$
	$p$	$r$	$s$	$q$	$a$	$b$	rules
• $t = \$$ :	1	2	6	7	$\epsilon$	$\epsilon$	$A_{17} \rightarrow A_{26}$
	1	2	4	7	$\epsilon$	$\epsilon$	$A_{17} \rightarrow A_{24}$

Other solution II: The CFG which converting PDA (cII) is

	$p$	$r$	$s$	$q$	$a$	$b$	rules
	2	2	3	3	0	1	$A_{23} \rightarrow 0A_{23}1$
• $t = \Delta_1$ :	2	2	3	4	0	1	$A_{24} \rightarrow 0A_{23}1$
	2	3	3	3	0	1	$A_{23} \rightarrow 0A_{33}1$
	2	3	3	4	0	1	$A_{24} \rightarrow 0A_{33}1$
	$p$	$r$	$s$	$q$	$a$	$b$	rules
	4	4	4	5	1	2	$A_{45} \rightarrow 1A_{44}2$
• $t = \Delta_2$ :	4	4	5	6	1	$\epsilon$	$A_{46} \rightarrow 1A_{45}$
	4	4	6	5	1	2	$A_{45} \rightarrow 1A_{46}2$
	$p$	$r$	$s$	$q$	$a$	$b$	rules
• $t = \$$ :	1	2	4	7	$\epsilon$	$\epsilon$	$A_{17} \rightarrow A_{24}$
	1	2	6	7	$\epsilon$	$\epsilon$	$A_{17} \rightarrow A_{26}$

**Problem 4 (20 pts).** In this problem, you will design a TM for

mapping a **non-negative** binary number into an equivalent quaternary number.

Let  $\mathbf{x}$  be a string representing a binary number

$$\mathbf{x} = x_1x_2\cdots, \text{ where } x_i \in \{0, 1\}.$$

We have the following table to describe the relationship between different numerical systems.

Decimal	0	1	2	3	4	5	6	7
Binary	0000	0001	0010	0011	0100	0101	0110	0111
Quaternary	00	01	02	03	10	11	12	13

When doing the mapping, you can group every 2-bits in the binary number to make the mapping easier. For example, assume we have a number 213 in the decimal system, and the mapping can be completed by

$$\mathbf{x} = 11011000 = \underline{11} \underline{01} \underline{10} \underline{00} \rightarrow \underline{3} \underline{1} \underline{2} \underline{0} = 3120.$$

- (a) (15 pts) Now, let us constrain the input  $\mathbf{x}$  to have even length (i.e.,  $|\mathbf{x}| \bmod 2 = 0$ .) and define the output  $\mathbf{y}$  as

$$\mathbf{y} = y_1 y_2 \cdots, \text{ where } y_i \in \{0, 1, 2, 3\}.$$

The TM has the following initial configuration

$$\mathbf{x} \# \sqcup \cdots,$$

and the final configuration

$$\underbrace{\sqcup \cdots \sqcup}_{|\mathbf{x}|} \# \mathbf{y} \sqcup \cdots.$$

For example, if 11011000 is the input, we have

$$11011000 \# \sqcup \cdots$$

in the beginning. After we run the TM, the tape content should become

$$\underbrace{\sqcup \cdots \sqcup}_8 \# 3120 \sqcup \cdots.$$

To achieve this conversion, we sequentially process every two bits. For every  $x_i x_{i+1}$ , we calculate

$$2x_i + x_{i+1}$$

to get the corresponding  $\mathbf{y}$  components.

Please follow the steps to design your TM:

Step 1: Process the bit  $x_i$  and replace it with  $\sqcup$ .

Step 2: Move to the corresponding position of  $\mathbf{y}$  in the right side of  $\#$ , and store the value  $2 \times x_i$ .

Step 3: Move back to  $x_{i+1}$ . Process the bit  $x_{i+1}$  and replace it with  $\sqcup$ .

Step 4: Move to the corresponding position of  $\mathbf{y}$  in the right side of  $\#$ , and change the value from  $2x_i$  to  $2x_i + x_{i+1}$ .

Step 5: Move back to the current leftmost bit and repeat Step 1 until all bits in  $\mathbf{x}$  have been read.

Note that your TM should satisfy

- $\Sigma = \{\#, 0, 1\}$ ,
- $\Gamma = \{\#, 0, 1, 2, 3, \textcolor{red}{\sqcup}\}$ ,
- No more than 9 states (the rejected states are excluded), and
- We only consider moving the head right or left in the Turing machine.

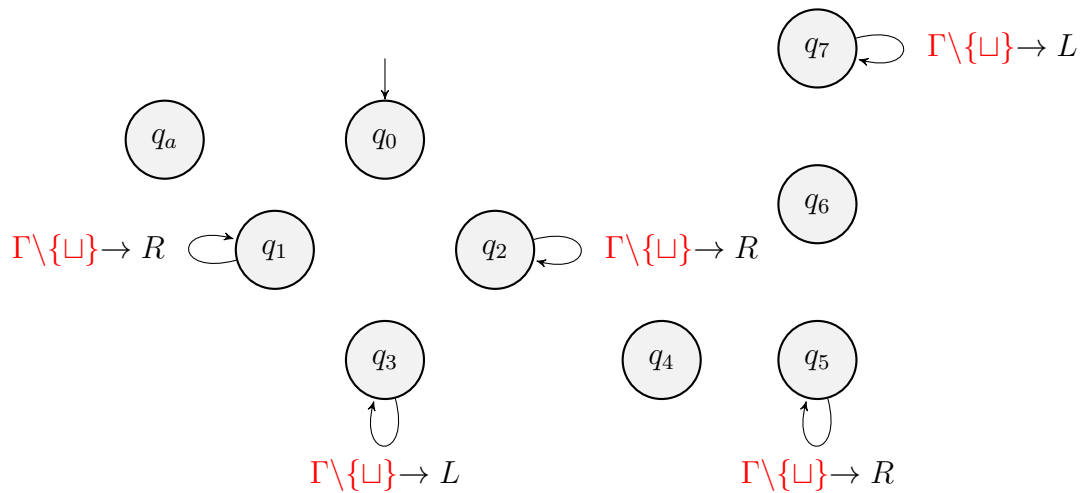
For the following links between two nodes

$$0 \rightarrow R, 1 \rightarrow R, 2 \rightarrow R, 3 \rightarrow R, \# \rightarrow R,$$

you are allowed to use

$$\textcolor{red}{\Gamma} \setminus \{\textcolor{red}{\sqcup}\} \rightarrow R$$

instead. We require you to complete the following diagram



All you need to do is add links to the states above. We allow  $\mathbf{x} = \varepsilon$ , so

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is accepted. Please draw the resultant diagram on the answer sheet and do not submit this page as your answer.

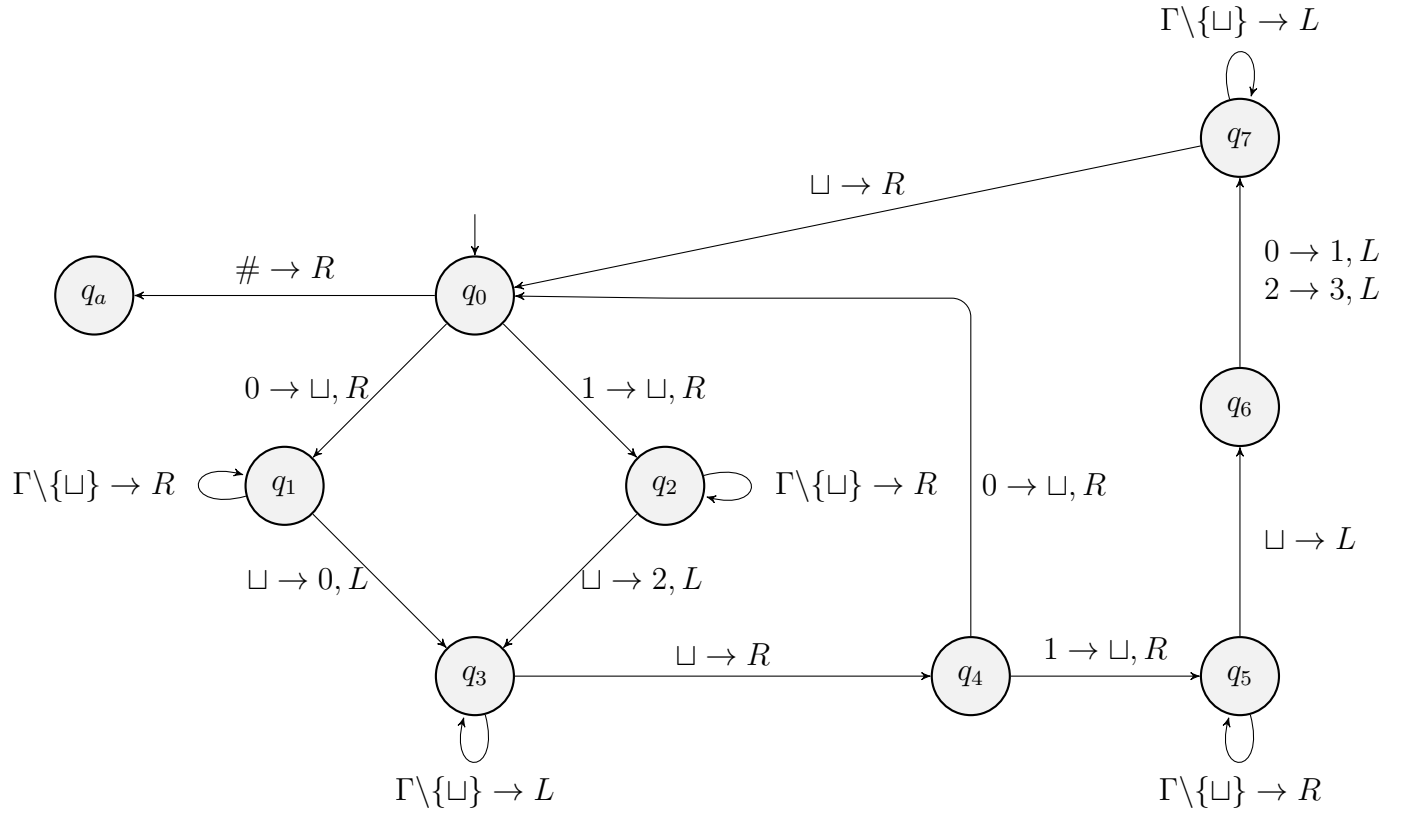
(b) (5 pts) Please simulate your TM in (a) on the string

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Note that you need to show the entire simulation until your Turing Machine stops.

*Solution.*

(a) Please see the following diagram:



The meaning of each states:

$\{q_0\}$ : Step 1.

$\{q_1, q_2\}$ : Step 2.

$\{q_3\}$ : Step 3. Move back to  $x_{i+1}$ .

$\{q_4\}$ : Step 3. Process the bit  $x_{i+1}$  and replace it with  $\sqcup$ .

$\{q_5, q_6\}$ : Step 4.

$\{q_7\}$ : Step 5.

(b) For the string “11#”, the simulation of the Turing machine is on the following.

$$\begin{aligned}
 & q_0 11 \# \sqcup \sqcup \Rightarrow \sqcup q_2 1 \# \sqcup \sqcup \Rightarrow \sqcup 1 q_2 \# \sqcup \sqcup \Rightarrow \sqcup 1 \# q_2 \sqcup \sqcup \Rightarrow \sqcup 1 q_3 \# 2 \sqcup \\
 & \Rightarrow \sqcup q_3 1 \# 2 \sqcup \Rightarrow q_3 \sqcup 1 \# 2 \sqcup \Rightarrow \sqcup q_4 1 \# 2 \sqcup \Rightarrow \sqcup \sqcup q_5 \# 2 \sqcup \Rightarrow \sqcup \sqcup \# q_5 2 \sqcup \\
 & \Rightarrow \sqcup \sqcup \# 2 q_5 \sqcup \Rightarrow \sqcup \sqcup \# q_6 2 \sqcup \Rightarrow \sqcup \sqcup q_7 \# 3 \sqcup \Rightarrow \sqcup q_7 \sqcup \# 3 \sqcup \Rightarrow \sqcup \sqcup q_0 \# 3 \sqcup \\
 & \Rightarrow \sqcup \sqcup \# q_a 3 \sqcup
 \end{aligned}$$