

# Some Basic Notations Of Set Theory

## References

There are some good books about set theory; we write them down. We wish the reader can get more.

1. **Set Theory and Related Topics by Seymour Lipschutz.**
2. **Set Theory by Charles C. Pinter.**
3. **Theory of sets by Kamke.**
4. **Naive set by Halmos.**

2.1 Prove Theorem 2.2. Hint.  $(a, b) = (c, d)$  means  $\{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\}$ . Now appeal to the definition of set equality.

**Proof:** ( $\Leftarrow$ ) It is trivial.

( $\Rightarrow$ ) Suppose that  $(a, b) = (c, d)$ , it means that  $\{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\}$ . It implies that

$$\{a\} \in \{\{c\}, \{c, d\}\} \text{ and } \{a, b\} \in \{\{c\}, \{c, d\}\}.$$

So, if  $a \neq c$ , then  $\{a\} = \{c, d\}$ . It implies that  $c \in \{a\}$  which is impossible. Hence,  $a = c$ . Similarly, we have  $b = d$ .

2.2 Let  $S$  be a relation and let  $D(S)$  be its domain. The relation  $S$  is said to be

- (i) reflexive if  $a \in D(S)$  implies  $(a, a) \in S$ ,
- (ii) symmetric if  $(a, b) \in S$  implies  $(b, a) \in S$ ,
- (iii) transitive if  $(a, b) \in S$  and  $(b, c) \in S$  implies  $(a, c) \in S$ .

A relation which is symmetric, reflexive, and transitive is called an equivalence relation. Determine which of these properties is possessed by  $S$ , if  $S$  is the set of all pairs of real numbers  $(x, y)$  such that

- (a)  $x \leq y$

**Proof:** Write  $S = \{(x, y) : x \leq y\}$ , then we check that (i) reflexive, (ii) symmetric, and (iii) transitive as follows. It is clear that  $D(S) = R$ .

- (i) Since  $x \leq x$ ,  $(x, x) \in S$ . That is,  $S$  is reflexive.
- (ii) If  $(x, y) \in S$ , i.e.,  $x \leq y$ , then  $y \leq x$ . So,  $(y, x) \in S$ . That is,  $S$  is symmetric.
- (iii) If  $(x, y) \in S$  and  $(y, z) \in S$ , i.e.,  $x \leq y$  and  $y \leq z$ , then  $x \leq z$ . So,  $(x, z) \in S$ . That is,  $S$  is transitive.

(b)  $x < y$

**Proof:** Write  $S = \{(x, y) : x < y\}$ , then we check that (i) reflexive, (ii) symmetric, and (iii) transitive as follows. It is clear that  $D(S) = R$ .

(i) It is clear that for any real  $x$ , we cannot have  $x < x$ . So,  $S$  is not reflexive.

(ii) It is clear that for any real  $x$ , and  $y$ , we cannot have  $x < y$  and  $y < x$  at the same time. So,  $S$  is not symmetric.

(iii) If  $(x, y) \in S$  and  $(y, z) \in S$ , then  $x < y$  and  $y < z$ . So,  $x < z$  which implies  $(x, z) \in S$ . That is,  $S$  is transitive.

(c)  $x < |y|$

**Proof:** Write  $S = \{(x, y) : x < |y|\}$ , then we check that (i) reflexive, (ii) symmetric, and (iii) transitive as follows. It is clear that  $D(S) = R$ .

(i) Since it is impossible for  $0 < |0|$ ,  $S$  is not reflexive.

(ii) Since  $(-1, 2) \in S$  but  $(2, -1) \notin S$ ,  $S$  is not symmetric.

(iii) Since  $(0, -1) \in S$  and  $(-1, 0) \in S$ , but  $(0, 0) \notin S$ ,  $S$  is not transitive.

(d)  $x^2 + y^2 = 1$

**Proof:** Write  $S = \{(x, y) : x^2 + y^2 = 1\}$ , then we check that (i) reflexive, (ii) symmetric, and (iii) transitive as follows. It is clear that  $D(S) = [-1, 1]$ , an closed interval with endpoints,  $-1$  and  $1$ .

(i) Since  $1 \in D(S)$ , and it is impossible for  $(1, 1) \in S$  by  $1^2 + 1^2 \neq 1$ ,  $S$  is not reflexive.

(ii) If  $(x, y) \in S$ , then  $x^2 + y^2 = 1$ . So,  $(y, x) \in S$ . That is,  $S$  is symmetric.

(iii) Since  $(1, 0) \in S$  and  $(0, 1) \in S$ , but  $(1, 1) \notin S$ ,  $S$  is not transitive.

(e)  $x^2 + y^2 < 0$

**Proof:** Write  $S = \{(x, y) : x^2 + y^2 < 0\} = \phi$ , then  $S$  automatically satisfies (i) reflexive, (ii) symmetric, and (iii) transitive.

(f)  $x^2 + x = y^2 + y$

**Proof:** Write  $S = \{(x, y) : x^2 + x = y^2 + y\} = \{(x, y) : (x - y)(x + y - 1) = 0\}$ , then we check that (i) reflexive, (ii) symmetric, and (iii) transitive as follows. It is clear that  $D(S) = R$ .

- (i) If  $x \in R$ , it is clear that  $(x, x) \in S$ . So,  $S$  is reflexive.
- (ii) If  $(x, y) \in S$ , it is clear that  $(y, x) \in S$ . So,  $S$  is symmetric.
- (iii) If  $(x, y) \in S$  and  $(y, z) \in S$ , it is clear that  $(x, z) \in S$ . So,  $S$  is transitive.

2.3 The following functions  $F$  and  $G$  are defined for all real  $x$  by the equations given. In each case where the composite function  $G \circ F$  can be formed, give the domain of  $G \circ F$  and a formula (or formulas) for  $(G \circ F)(x)$ .

(a)  $F(x) = 1 - x$ ,  $G(x) = x^2 + 2x$

**Proof:** Write

$$G \circ F(x) = G[F(x)] = G[1 - x] = (1 - x)^2 + 2(1 - x) = x^2 - 4x + 3.$$

It is clear that the domain of  $G \circ F(x)$  is  $R$ .

(b)  $F(x) = x + 5$ ,  $G(x) = |x|/x$  if  $x \neq 0$ ,  $G(0) = 0$ .

**Proof:** Write

$$G \circ F(x) = G[F(x)] = \begin{cases} G(x + 5) = \frac{|x+5|}{x+5} & \text{if } x \neq -5. \\ 0 & \text{if } x = -5. \end{cases}$$

It is clear that the domain of  $G \circ F(x)$  is  $R$ .

(c)  $F(x) = \begin{cases} 2x, & \text{if } 0 \leq x \leq 1 \\ 1, & \text{otherwise,} \end{cases} \quad G(x) = \begin{cases} x^2, & \text{if } 0 \leq x \leq 1 \\ 0, & \text{otherwise.} \end{cases}$

**Proof:** Write

$$G \circ F(x) = G[F(x)] = \begin{cases} 4x^2 & \text{if } x \in [0, 1/2] \\ 0 & \text{if } x \in (1/2, 1] \\ 1 & \text{if } x \in R - [0, 1] \end{cases}.$$

It is clear that the domain of  $G \circ F(x)$  is  $R$ .

Find  $F(x)$  if  $G(x)$  and  $G[F(x)]$  are given as follows:

(d)  $G(x) = x^3$ ,  $G[F(x)] = x^3 - 3x^2 + 3x - 1$ .

**Proof:** With help of  $(x - 1)^3 = x^3 - 3x^2 + 3x - 1$ , it is easy to know that  $F(x) = 1 - x$ . In addition, there is not other function  $H(x)$  such that  $G[H(x)] = x^3 - 3x^2 + 3x - 1$  since  $G(x) = x^3$  is 1-1.

(e)  $G(x) = 3 + x + x^2$ ,  $G[F(x)] = x^2 - 3x + 5$ .

**Proof:** Write  $G(x) = (x + \frac{1}{2})^2 + \frac{11}{4}$ , then

$$G[F(x)] = \left(F(x) + \frac{1}{2}\right)^2 + \frac{11}{4} = x^2 - 3x + 5$$

which implies that

$$(2F(x) + 1)^2 = (2x - 3)^2$$

which implies that

$$F(x) = x - 2 \text{ or } -x + 1.$$

2.4 Given three functions  $F, G, H$ , what restrictions must be placed on their domains so that the following four composite functions can be defined?

$$G \circ F, H \circ G, H \circ (G \circ F), (H \circ G) \circ F.$$

**Proof:** It is clear for answers,

$$R(F) \subseteq D(G) \text{ and } R(G) \subseteq D(H).$$

Assuming that  $H \circ (G \circ F)$  and  $(H \circ G) \circ F$  can be defined, prove that associative law:

$$H \circ (G \circ F) = (H \circ G) \circ F.$$

**Proof:** Given any  $x \in D(F)$ , then

$$\begin{aligned} ((H \circ G) \circ F)(x) &= (H \circ G)(F(x)) \\ &= H(G(F(x))) \\ &= H((G \circ F)(x)) \\ &= (H \circ (G \circ F))(x). \end{aligned}$$

So,  $H \circ (G \circ F) = (H \circ G) \circ F$ .

2.5 Prove the following set-theoretic identities for union and intersection:

$$(a) A \cup (B \cup C) = (A \cup B) \cup C, A \cap (B \cap C) = (A \cap B) \cap C.$$

**Proof:** For the part,  $A \cup (B \cup C) = (A \cup B) \cup C$  : Given  $x \in A \cup (B \cup C)$ , we have  $x \in A$  or  $x \in B \cup C$ . That is,  $x \in A$  or  $x \in B$  or  $x \in C$ . Hence,  $x \in A \cup B$  or  $x \in C$ . It implies  $x \in (A \cup B) \cup C$ . Similarly, if  $x \in (A \cup B) \cup C$ , then  $x \in A \cup (B \cup C)$ . Therefore,  $A \cup (B \cup C) = (A \cup B) \cup C$ .

For the part,  $A \cap (B \cap C) = (A \cap B) \cap C$  : Given  $x \in A \cap (B \cap C)$ , we have  $x \in A$  and  $x \in B \cap C$ . That is,  $x \in A$  and  $x \in B$  and  $x \in C$ . Hence,  $x \in A \cap B$  and  $x \in C$ . It implies  $x \in (A \cap B) \cap C$ . Similarly, if  $x \in (A \cap B) \cap C$ , then  $x \in A \cap (B \cap C)$ . Therefore,  $A \cap (B \cap C) = (A \cap B) \cap C$ .

$$(b) A \cap (B \cup C) = (A \cap B) \cup (A \cap C).$$

**Proof:** Given  $x \in A \cap (B \cup C)$ , then  $x \in A$  and  $x \in B \cup C$ . We consider two cases as follows.

If  $x \in B$ , then  $x \in A \cap B$ . So,  $x \in (A \cap B) \cup (A \cap C)$ .

If  $x \in C$ , then  $x \in A \cap C$ . So,  $x \in (A \cap B) \cup (A \cap C)$ .

So, we have shown that

$$A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C). \quad (*)$$

Conversely, given  $x \in (A \cap B) \cup (A \cap C)$ , then  $x \in A \cap B$  or  $x \in A \cap C$ . We consider two cases as follows.

If  $x \in A \cap B$ , then  $x \in A \cap (B \cup C)$ .

If  $x \in A \cap C$ , then  $x \in A \cap (B \cup C)$ .

So, we have shown that

$$(A \cap B) \cup (A \cap C) \subseteq A \cap (B \cup C). \quad (**)$$

By (\*) and (\*\*), we have proved it.

$$(c) (A \cup B) \cap (A \cup C) = A \cup (B \cap C)$$

**Proof:** Given  $x \in (A \cup B) \cap (A \cup C)$ , then  $x \in A \cup B$  and  $x \in A \cup C$ . We consider two cases as follows.

If  $x \in A$ , then  $x \in A \cup (B \cap C)$ .

If  $x \notin A$ , then  $x \in B$  and  $x \in C$ . So,  $x \in B \cap C$ . It implies that  $x \in A \cup (B \cap C)$ .

Therefore, we have shown that

$$(A \cup B) \cap (A \cup C) \subseteq A \cup (B \cap C). \quad (*)$$

Conversely, if  $x \in A \cup (B \cap C)$ , then  $x \in A$  or  $x \in B \cap C$ . We consider two cases as follows.

If  $x \in A$ , then  $x \in (A \cup B) \cap (A \cup C)$ .

If  $x \in B \cap C$ , then  $x \in A \cup B$  and  $x \in A \cup C$ . So,  $x \in (A \cup B) \cap (A \cup C)$ .

Therefore, we have shown that

$$A \cup (B \cap C) \subseteq (A \cup B) \cap (A \cup C). \quad (*)$$

By (\*) and (\*\*), we have proved it.

$$(d) (A \cup B) \cap (B \cup C) \cap (C \cup A) = (A \cap B) \cup (A \cap C) \cup (B \cap C)$$

**Proof:** Given  $x \in (A \cup B) \cap (B \cup C) \cap (C \cup A)$ , then

$$x \in A \cup B \text{ and } x \in B \cup C \text{ and } x \in C \cup A. \quad (*)$$

We consider the cases to show  $x \in (A \cap B) \cup (A \cap C) \cup (B \cap C)$  as follows.

For the case ( $x \in A$ ):

If  $x \in B$ , then  $x \in A \cap B$ .

If  $x \notin B$ , then by (\*),  $x \in C$ . So,  $x \in A \cap C$ .

Hence, in this case, we have proved that  $x \in (A \cap B) \cup (A \cap C) \cup (B \cap C)$ .

For the case ( $x \notin A$ ):

If  $x \in B$ , then by (\*),  $x \in C$ . So,  $x \in B \cap C$ .

If  $x \notin B$ , then by (\*), it is impossible.

Hence, in this case, we have proved that  $x \in (A \cap B) \cup (A \cap C) \cup (B \cap C)$ .

From above,

$$(A \cup B) \cap (B \cup C) \cap (C \cup A) \subseteq (A \cap B) \cup (A \cap C) \cup (B \cap C)$$

Similarly, we also have

$$(A \cap B) \cup (A \cap C) \cup (B \cap C) \subseteq (A \cup B) \cap (B \cup C) \cap (C \cup A).$$

So, we have proved it.

**Remark:** There is another proof, we write it as a reference.

**Proof:** Consider

$$\begin{aligned} & (A \cup B) \cap (B \cup C) \cap (C \cup A) \\ &= [(A \cup B) \cap (B \cup C)] \cap (C \cup A) \\ &= [B \cup (A \cap C)] \cap (C \cup A) \\ &= [B \cap (C \cup A)] \cup [(A \cap C) \cap (C \cup A)] \\ &= [(B \cap C) \cup (B \cap A)] \cup (A \cap C) \\ &= (A \cap B) \cup (A \cap C) \cup (B \cap C). \end{aligned}$$

$$(e) A \cap (B - C) = (A \cap B) - (A \cap C)$$

**Proof:** Given  $x \in A \cap (B - C)$ , then  $x \in A$  and  $x \in B - C$ . So,  $x \in A$  and  $x \in B$  and  $x \notin C$ . So,  $x \in A \cap B$  and  $x \notin C$ . Hence,

$$x \in (A \cap B) - C \subseteq (A \cap B) - (A \cap C). \quad (*)$$

Conversely, given  $x \in (A \cap B) - (A \cap C)$ , then  $x \in A \cap B$  and  $x \notin A \cap C$ . So,  $x \in A$  and  $x \in B$  and  $x \notin C$ . So,  $x \in A$  and  $x \in B - C$ . Hence,

$$x \in A \cap (B - C) \quad (**)$$

By (\*) and (\*\*), we have proved it.

$$(f) (A - C) \cap (B - C) = (A \cap B) - C$$

**Proof:** Given  $x \in (A - C) \cap (B - C)$ , then  $x \in A - C$  and  $x \in B - C$ . So,  $x \in A$  and  $x \in B$  and  $x \notin C$ . So,  $x \in (A \cap B) - C$ . Hence,

$$(A - C) \cap (B - C) \subseteq (A \cap B) - C. \quad (*)$$

Conversely, given  $x \in (A \cap B) - C$ , then  $x \in A$  and  $x \in B$  and  $x \notin C$ . Hence,  $x \in A - C$  and  $x \in B - C$ . Hence,

$$(A \cap B) - C \subseteq (A - C) \cap (B - C). \quad (**)$$

By (\*) and (\*\*), we have proved it.

$$(g) (A - B) \cup B = A \text{ if, and only if, } B \subseteq A$$

**Proof:** ( $\Rightarrow$ ) Suppose that  $(A - B) \cup B = A$ , then it is clear that  $B \subseteq A$ .

( $\Leftarrow$ ) Suppose that  $B \subseteq A$ , then given  $x \in A$ , we consider two cases.

If  $x \in B$ , then  $x \in (A - B) \cup B$ .

If  $x \notin B$ , then  $x \in A - B$ . Hence,  $x \in (A - B) \cup B$ .

From above, we have

$$A \subseteq (A - B) \cup B.$$

In addition, it is obviously  $(A - B) \cup B \subseteq A$  since  $A - B \subseteq A$  and  $B \subseteq A$ .

**2.6** Let  $f : S \rightarrow T$  be a function. If  $A$  and  $B$  are arbitrary subsets of  $S$ , prove that

$$f(A \cup B) = f(A) \cup f(B) \text{ and } f(A \cap B) \subseteq f(A) \cap f(B).$$

Generalize to arbitrary unions and intersections.

**Proof:** First, we prove  $f(A \cup B) = f(A) \cup f(B)$  as follows. Let  $y \in f(A \cup B)$ , then  $y = f(a)$  or  $y = f(b)$ , where  $a \in A$  and  $b \in B$ . Hence,  $y \in f(A) \cup f(B)$ . That is,

$$f(A \cup B) \subseteq f(A) \cup f(B).$$

Conversely, if  $y \in f(A) \cup f(B)$ , then  $y = f(a)$  or  $y = f(b)$ , where  $a \in A$  and  $b \in B$ . Hence,  $y \in f(A \cup B)$ . That is,

$$f(A) \cup f(B) \subseteq f(A \cup B).$$

So, we have proved that  $f(A \cup B) = f(A) \cup f(B)$ .

For the part  $f(A \cap B) \subseteq f(A) \cap f(B)$ : Let  $y \in f(A \cap B)$ , then  $y = f(x)$ , where  $x \in A \cap B$ . Hence,  $y \in f(A)$  and  $y \in f(B)$ . That is,  $f(A \cap B) \subseteq f(A) \cap f(B)$ .

For arbitrary unions and intersections, we have the following facts, and the proof is easy from above. So, we omit the detail.

$$f(\cup_{i \in I} A_i) = \cup_{i \in I} f(A_i), \text{ where } I \text{ is an index set.}$$

And

$$f(\cap_{i \in I} A_i) \subseteq \cap_{i \in I} f(A_i), \text{ where } I \text{ is an index set.}$$

**Remark:** We should note why the equality does **NOT** hold for the case of intersection. for example, consider  $A = \{1, 2\}$  and  $B = \{1, 3\}$ , where  $f(1) = 1$  and  $f(2) = 2$  and  $f(3) = 2$ .

$$f(A \cap B) = f(\{1\}) = \{1\} \subseteq \{1, 2\} \subseteq f(\{1, 2\}) \cap f(\{1, 3\}) = f(A) \cap f(B).$$

**2.7** Let  $f : S \rightarrow T$  be a function. If  $Y \subseteq T$ , we denote by  $f^{-1}(Y)$  the largest subset of  $S$  which  $f$  maps into  $Y$ . That is,

$$f^{-1}(Y) = \{x : x \in S \text{ and } f(x) \in Y\}.$$

The set  $f^{-1}(Y)$  is called the inverse image of  $Y$  under  $f$ . Prove that the following for arbitrary subsets  $X$  of  $S$  and  $Y$  of  $T$ .

$$(a) \quad X \subseteq f^{-1}[f(X)]$$



**Proof:** Given  $x \in X$ , then  $f(x) \in f(X)$ . Hence,  $x \in f^{-1}[f(X)]$  by definition of the inverse image of  $f(X)$  under  $f$ . So,  $X \subseteq f^{-1}[f(X)]$ .

**Remark:** The equality may not hold, for example, let  $f(x) = x^2$  on  $R$ , and let  $X = [0, \infty)$ , we have

$$f^{-1}[f(X)] = f^{-1}[[0, \infty)] = R.$$

$$(b) \quad f(f^{-1}(Y)) \subseteq Y$$

**Proof:** Given  $y \in f(f^{-1}(Y))$ , then there exists a point  $x \in f^{-1}(Y)$  such that  $f(x) = y$ . Since  $x \in f^{-1}(Y)$ , we know that  $f(x) \in Y$ . Hence,  $y \in Y$ . So,  $f(f^{-1}(Y)) \subseteq Y$

**Remark:** The equality may not hold, for example, let  $f(x) = x^2$  on  $R$ , and let  $Y = R$ , we have

$$f(f^{-1}(Y)) = f(R) = [0, \infty) \subseteq R.$$

$$(c) \quad f^{-1}[Y_1 \cup Y_2] = f^{-1}(Y_1) \cup f^{-1}(Y_2)$$

**Proof:** Given  $x \in f^{-1}[Y_1 \cup Y_2]$ , then  $f(x) \in Y_1 \cup Y_2$ . We consider two cases as follows.

If  $f(x) \in Y_1$ , then  $x \in f^{-1}(Y_1)$ . So,  $x \in f^{-1}(Y_1) \cup f^{-1}(Y_2)$ .

If  $f(x) \notin Y_1$ , i.e.,  $f(x) \in Y_2$ , then  $x \in f^{-1}(Y_2)$ . So,  $x \in f^{-1}(Y_1) \cup f^{-1}(Y_2)$ .

From above, we have proved that

$$f^{-1}[Y_1 \cup Y_2] \subseteq f^{-1}(Y_1) \cup f^{-1}(Y_2). \quad (*)$$

Conversely, since  $f^{-1}(Y_1) \subseteq f^{-1}[Y_1 \cup Y_2]$  and  $f^{-1}(Y_2) \subseteq f^{-1}[Y_1 \cup Y_2]$ , we have

$$f^{-1}(Y_1) \cup f^{-1}(Y_2) \subseteq f^{-1}[Y_1 \cup Y_2]. \quad (**)$$

From (\*) and (\*\*), we have proved it.

$$(d) \quad f^{-1}[Y_1 \cap Y_2] = f^{-1}(Y_1) \cap f^{-1}(Y_2)$$

**Proof:** Given  $x \in f^{-1}(Y_1) \cap f^{-1}(Y_2)$ , then  $f(x) \in Y_1$  and  $f(x) \in Y_2$ . So,  $f(x) \in Y_1 \cap Y_2$ . Hence,  $x \in f^{-1}[Y_1 \cap Y_2]$ . That is, we have proved that

$$f^{-1}(Y_1) \cap f^{-1}(Y_2) \subseteq f^{-1}[Y_1 \cap Y_2]. \quad (*)$$

Conversely, since  $f^{-1}[Y_1 \cap Y_2] \subseteq f^{-1}(Y_1)$  and  $f^{-1}[Y_1 \cap Y_2] \subseteq f^{-1}(Y_2)$ , we have

$$f^{-1}[Y_1 \cap Y_2] \subseteq f^{-1}(Y_1) \cap f^{-1}(Y_2). \quad (**)$$

From (\*) and (\*\*), we have proved it.

$$(e) \quad f^{-1}(T - Y) = S - f^{-1}(Y)$$

**Proof:** Given  $x \in f^{-1}(T - Y)$ , then  $f(x) \in T - Y$ . So,  $f(x) \notin Y$ . We want to show that  $x \in S - f^{-1}(Y)$ . Suppose **NOT**, then  $x \in f^{-1}(Y)$  which implies that  $f(x) \in Y$ . That is impossible. Hence,  $x \in S - f^{-1}(Y)$ . So, we have

$$f^{-1}(T - Y) \subseteq S - f^{-1}(Y). \quad (*)$$

Conversely, given  $x \in S - f^{-1}(Y)$ , then  $x \notin f^{-1}(Y)$ . So,  $f(x) \notin Y$ . That is,  $f(x) \in T - Y$ . Hence,  $x \in f^{-1}(T - Y)$ . So, we have

$$S - f^{-1}(Y) \subseteq f^{-1}(T - Y). \quad (**)$$

From (\*) and (\*\*), we have proved it.

(f) Generalize (c) and (d) to arbitrary unions and intersections.

**Proof:** We give the statement without proof since it is the same as (c) and (d). In general, we have

$$f^{-1}(\cup_{i \in I} A_i) = \cup_{i \in I} f^{-1}(A_i).$$

and

$$f^{-1}(\cap_{i \in I} A_i) = \cap_{i \in I} f^{-1}(A_i).$$

**Remark:** From above sayings and **Exercise 2.6**, we found that the inverse image  $f^{-1}$  and the operations of sets, such as intersection and union, can be exchanged. However, for a function, we only have the exchange of  $f$  and the operation of union. The reader also see the **Exercise 2.9** to get more.

2.8 Refer to Exercise 2.7. Prove that  $f[f^{-1}(Y)] = Y$  for every subset  $Y$  of  $T$  if, and only if,  $T = f(S)$ .

**Proof:** ( $\Rightarrow$ ) It is clear that  $f(S) \subseteq T$ . In order to show the equality, it suffices to show that  $T \subseteq f(S)$ . Consider  $f^{-1}(T) \subseteq S$ , then we have

$$f(f^{-1}(T)) \subseteq f(S).$$

By hypothesis, we get  $T \subseteq f(S)$ .

( $\Leftarrow$ ) Suppose **NOT**, i.e.,  $f[f^{-1}(Y)]$  is a proper subset of  $Y$  for some  $Y \subseteq T$  by **Exercise 2.7 (b)**. Hence, there is a  $y \in Y$  such that  $y \notin f[f^{-1}(Y)]$ . Since  $Y \subseteq f(S) = T$ ,  $f(x) = y$  for some  $x \in S$ . It implies that  $x \in f^{-1}(Y)$ . So,  $f(x) \in f[f^{-1}(Y)]$  which is impossible by the choice of  $y$ . Hence,  $f[f^{-1}(Y)] = Y$  for every subset  $Y$  of  $T$ .

**2.9** Let  $f : S \rightarrow T$  be a function. Prove that the following statements are equivalent.

- (a)  $f$  is one-to-one on  $S$ .
- (b)  $f(A \cap B) = f(A) \cap f(B)$  for all subsets  $A, B$  of  $S$ .
- (c)  $f^{-1}[f(A)] = A$  for every subset  $A$  of  $S$ .
- (d) For all disjoint subsets  $A$  and  $B$  of  $S$ , the image  $f(A)$  and  $f(B)$  are disjoint.
- (e) For all subsets  $A$  and  $B$  of  $S$  with  $B \subseteq A$ , we have

$$f(A - B) = f(A) - f(B).$$

**Proof:** (a)  $\Rightarrow$  (b) : Suppose that  $f$  is 1-1 on  $S$ . By **Exercise 2.6**, we have proved that  $f(A \cap B) \subseteq f(A) \cap f(B)$  for all  $A, B$  of  $S$ . In order to show the equality, it suffices to show that  $f(A) \cap f(B) \subseteq f(A \cap B)$ .

Given  $y \in f(A) \cap f(B)$ , then  $y = f(a)$  and  $y = f(b)$  where  $a \in A$  and  $b \in B$ . Since  $f$  is 1-1, we have  $a = b$ . That is,  $y \in f(A \cap B)$ . So,  $f(A) \cap f(B) \subseteq f(A \cap B)$ .

(b)  $\Rightarrow$  (c) : Suppose that  $f(A \cap B) = f(A) \cap f(B)$  for all subsets  $A, B$  of  $S$ . If  $A \neq f^{-1}[f(A)]$  for some  $A$  of  $S$ , then by **Exercise 2.7 (a)**, there is an element  $a \notin A$  and  $a \in f^{-1}[f(A)]$ . Consider

$$\phi = f(A \cap \{a\}) = f(A) \cap f(\{a\}) \text{ by (b)} \quad (*)$$

Since  $a \in f^{-1}[f(A)]$ , we have  $f(a) \in f(A)$  which contradicts to (\*). Hence, no such  $a$  exists. That is,  $f^{-1}[f(A)] = A$  for every subset  $A$  of  $S$ .

(c)  $\Rightarrow$  (d) : Suppose that  $f^{-1}[f(A)] = A$  for every subset  $A$  of  $S$ . If  $A \cap B = \phi$ , then Consider

$$\begin{aligned} \phi &= A \cap B \\ &= f^{-1}[f(A)] \cap f^{-1}[f(B)] \\ &= f^{-1}(f(A) \cap f(B)) \text{ by Exercise 2.7 (d)} \end{aligned}$$

which implies that  $f(A) \cap f(B) = \phi$ .

(d)  $\Rightarrow$  (e) : Suppose that for all disjoint subsets  $A$  and  $B$  of  $S$ , the image  $f(A)$  and  $f(B)$  are disjoint. If  $B \subseteq A$ , then since  $(A - B) \cap B = \phi$ , we have

$$f(A - B) \cap f(B) = \phi$$

which implies that

$$f(A - B) \subseteq f(A) - f(B). \quad (**)$$

Conversely, we consider if  $y \in f(A) - f(B)$ , then  $y = f(x)$ , where  $x \in A$  and  $x \notin B$ . It implies that  $x \in A - B$ . So,  $y = f(x) \in f(A - B)$ . That is,

$$f(A) - f(B) \subseteq f(A - B). \quad (***)$$

By (\*\*) and (\*\*\*), we have proved it.

(d)  $\Rightarrow$  (a) : Suppose that  $f(A - B) = f(A) - f(B)$  for all subsets  $A$  and  $B$  of  $S$  with  $B \subseteq A$ . If  $f(a) = f(b)$ , consider  $A = \{a, b\}$  and  $B = \{b\}$ , we have

$$f(A - B) = \phi$$

which implies that  $A = B$ . That is,  $a = b$ . So,  $f$  is 1-1.

2.10 Prove that if  $A \sim B$  and  $B \sim C$ , then  $A \sim C$ .

**Proof:** Since  $A \sim B$  and  $B \sim C$ , then there exists bijection  $f$  and  $g$  such that

$$f : A \rightarrow B \text{ and } g : B \rightarrow C.$$

So, if we consider  $g \circ f : A \rightarrow C$ , then  $A \sim C$  since  $g \circ f$  is bijection.

2.11 If  $\{1, 2, \dots, n\} \sim \{1, 2, \dots, m\}$ , prove that  $m = n$ .

**Proof:** Since  $\{1, 2, \dots, n\} \sim \{1, 2, \dots, m\}$ , there exists a bijection function

$$f : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, m\}.$$

Since  $f$  is 1-1, then  $n \leq m$ . Conversely, consider  $f^{-1}$  is 1-1 to get  $m \leq n$ . So,  $m = n$ .

2.12 If  $S$  is an infinite set, prove that  $S$  contains a countably infinite subset. Hint. Choose an element  $a_1$  in  $S$  and consider  $S - \{a_1\}$ .

**Proof:** Since  $S$  is an infinite set, then choose  $a_1$  in  $S$  and thus  $S - \{a_1\}$  is still infinite. From this, we have  $S - \{a_1, \dots, a_n\}$  is infinite. So, we finally have

$$\{a_1, \dots, a_n, \dots\} (\subseteq S)$$

which is countably infinite subset.

2.13 Prove that every infinite set  $S$  contains a proper subset similar to  $S$ .

**Proof:** By Exercise 2.12, we write  $S = \tilde{S} \cup \{x_1, \dots, x_n, \dots\}$ , where  $\tilde{S} \cap \{x_1, \dots, x_n, \dots\} = \phi$  and try to show

$$\tilde{S} \cup \{x_2, \dots, x_n, \dots\} \sim S$$

as follows. Define

$$f : \tilde{S} \cup \{x_2, \dots, x_n, \dots\} \rightarrow S = \tilde{S} \cup \{x_1, \dots, x_n, \dots\}$$

by

$$f(x) = \begin{cases} x & \text{if } x \in \tilde{S} \\ x_i & \text{if } x = x_{i+1} \end{cases} .$$

Then it is clear that  $f$  is 1-1 and onto. So, we have proved that every infinite set  $S$  contains a proper subset similar to  $S$ .

**Remark:** In the proof, we may choose the map

$$f : \tilde{S} \cup \{x_{N+1}, \dots, x_n, \dots\} \rightarrow S = \tilde{S} \cup \{x_1, \dots, x_n, \dots\}$$

by

$$f(x) = \begin{cases} x & \text{if } x \in \tilde{S} \\ x_i & \text{if } x = x_{i+N} \end{cases} .$$

2.14 If  $A$  is a countable set and  $B$  an uncountable set, prove that  $B - A$  is similar to  $B$ .

**Proof:** In order to show it, we consider some cases as follows. (i)  $B \cap A = \phi$  (ii)  $B \cap A$  is a finite set, and (iii)  $B \cap A$  is an infinite set.

For case (i),  $B - A = B$ . So,  $B - A$  is similar to  $B$ .

For case (ii), it follows from the **Remark in Exercise 2.13**.

For case (iii), note that  $B \cap A$  is countable, and let  $C = B - A$ , we have  $B = C \cup (B \cap A)$ . We want to show that

$$(B - A) \sim B \Leftrightarrow C \sim C \cup (B \cap A) .$$

By **Exercise 2.12**, we write  $C = \tilde{C} \cup D$ , where  $D$  is countably infinite and  $\tilde{C} \cap D = \phi$ . Hence,

$$\begin{aligned} C \sim C \cup (B \cap A) &\Leftrightarrow (\tilde{C} \cup D) \sim [\tilde{C} \cup (D \cup (B \cap A))] \\ &\Leftrightarrow (\tilde{C} \cup D) \sim (\tilde{C} \cup D') \end{aligned}$$

where  $D' = D \cup (B \cap A)$  which is countably infinite. Since  $(\tilde{C} \cup D) \sim (\tilde{C} \cup D')$  is clear, we have proved it.

**2.15** A real number is called **algebraic** if it is a root of an algebraic equation  $f(x) = 0$ , where  $f(x) = a_0 + a_1x + \dots + a_nx^n$  is a polynomial with integer coefficients. Prove that the set of all polynomials with integer coefficients is countable and deduce that the set of algebraic numbers is also countable.

**Proof:** Given a positive integer  $N (\geq 2)$ , there are only finitely many equations with

$$n + \sum_{k=1}^n |a_k| = N, \text{ where } a_k \in Z. \quad (*)$$

Let  $S_N = \{f : f(x) = a_0 + a_1x + \dots + a_nx^n \text{ satisfies } (*)\}$ , then  $S_N$  is a finite set. Hence,  $\cup_{n=2}^{\infty} S_n$  is countable. Clearly, the set of all polynomials with integer coefficients is a subset of  $\cup_{n=2}^{\infty} S_n$ . So, the set of all polynomials with integer coefficients is countable. In addition, a polynomial of degree  $k$  has at most  $k$  roots. Hence, the set of algebraic numbers is also countable.

**2.16** Let  $S$  be a finite set consisting of  $n$  elements and let  $T$  be the collection of all subsets of  $S$ . Show that  $T$  is a finite set and find the number of elements in  $T$ .

**Proof:** Write  $S = \{x_1, \dots, x_n\}$ , then  $T$  = the collection of all subsets of  $S$ . Clearly,  $T$  is a finite set with  $2^n$  elements.

**2.17** Let  $R$  denote the set of real numbers and let  $S$  denote the set of all real-valued functions whose domain in  $R$ . Show that  $S$  and  $R$  are not **equinumerous**. Hint. Assume  $S \sim R$  and let  $f$  be a one-to-one function such that  $f(R) = S$ . If  $a \in R$ , let  $g_a = f(a)$  be the real-valued function in  $S$  which corresponds to real number  $a$ . Now define  $h$  by the equation  $h(x) = 1 + g_x(x)$  if  $x \in R$ , and show that  $h \notin S$ .

**Proof:** Assume  $S \sim R$  and let  $f$  be a one-to-one function such that  $f(R) = S$ . If  $a \in R$ , let  $g_a = f^{-1}(a)$  be the real-valued function in  $S$  which corresponds to real number  $a$ . Define  $h$  by the equation  $h(x) = 1 + g_x(x)$  if  $x \in R$ , then

$$h = f^{-1}(h) = g_h$$

which implies that

$$h(h) := 1 + g_h(h) = g_h(h)$$

which is impossible. So,  $S$  and  $R$  are not **equinumerous**.

**Remark:** There is a similar exercise, we write it as a reference. The cardinal number of  $C[a, b]$  is  $2^{\aleph_0}$ , where  $\aleph_0 = \#(N)$ .

**Proof:** First,  $\#(R) = 2^{\aleph_0} \leq \#(C[a, b])$  by considering constant function. Second, we consider the map

$$f : C[a, b] \rightarrow P(Q \times Q), \text{ the power set of } Q \times Q$$

by

$$f(\varphi) = \{(x, y) \in Q \times Q : x \in [a, b] \text{ and } y \leq \varphi(x)\}.$$

Clearly,  $f$  is 1-1. It implies that  $\#(C[a, b]) \leq \#(P(Q \times Q)) = 2^{\aleph_0}$ .

So, we have proved that  $\#(C[a, b]) = 2^{\aleph_0}$ .

**Note:** For notations, the reader can see the textbook, in **Chapter 4, pp 102**. Also, see the book, **Set Theory and Related Topics by Seymour Lipschutz, Chapter 9, pp 157-174. (Chinese Version)**

2.18 Let  $S$  be the collection of all sequences whose terms are the integers 0 and 1. Show that  $S$  is uncountable.

**Proof:** Let  $E$  be a countable subset of  $S$ , and let  $E$  consists of the sequences  $s_1, \dots, s_n, \dots$ . We construct a sequence  $s$  as follows. If the  $n$ th digit in  $s_n$  is 1, we let the  $n$ th digit of  $s$  be 0, and vice versa. Then the sequence  $s$  differs from every member of  $E$  in at least one place; hence  $s \notin E$ . But clearly  $s \in S$ , so that  $E$  is a proper subset of  $S$ .

We have shown that every countable subset of  $S$  is a proper subset of  $S$ . It follows that  $S$  is uncountable (for otherwise  $S$  would be a proper subset of  $S$ , which is absurd).

**Remark:** In this exercise, we have proved that  $R$ , the set of real numbers, is uncountable. It can be regarded as the **Exercise 1.22** for  $k = 2$ . (**Binary System**).

2.19 Show that the following sets are countable:

(a) the set of circles in the complex plane having the rational radii and centers with rational coordinates.

**Proof:** Write the set of circles in the complex plane having the rational radii and centers with rational coordinates,  $\{C(x_n; q_n) : x_n \in \mathbb{Q} \times \mathbb{Q} \text{ and } q_n \in \mathbb{Q}\} := S$ . Clearly,  $S$  is countable.

(b) any collection of disjoint intervals of positive length.

**Proof:** Write the collection of disjoint intervals of positive length,  $\{I : I \text{ is an interval of positive length}\} := S$ . Use the reason in **Exercise 2.21**, we have proved that  $S$  is countable.

**2.20** Let  $f$  be a real-valued function defined for every  $x$  in the interval  $0 \leq x \leq 1$ . Suppose there is a positive number  $M$  having the following property: for every choice of a finite number of points  $x_1, x_2, \dots, x_n$  in the interval  $0 \leq x \leq 1$ , the sum

$$|f(x_1) + \dots + f(x_n)| \leq M.$$

Let  $S$  be the set of those  $x$  in  $0 \leq x \leq 1$  for which  $f(x) \neq 0$ . Prove that  $S$  is countable.

**Proof:** Let  $S_n = \{x \in [0, 1] : |f(x)| \geq 1/n\}$ , then  $S_n$  is a finite set by hypothesis. In addition,  $S = \cup_{n=1}^{\infty} S_n$ . So,  $S$  is countable.

2.21 Find the fallacy in the following "proof" that the set of all intervals of positive length is countable.

Let  $\{x_1, x_2, \dots\}$  denote the countable set of rational numbers and let  $I$  be any interval of positive length. Then  $I$  contains infinitely many rational points  $x_n$ , but among these there will be one with **smallest index**  $n$ . Define a function  $F$  by means of the equation  $F(I) = n$  if  $x_n$  is the rational number with smallest index in the interval  $I$ . This function establishes a one-to-one correspondence between the set of all intervals and a subset of the positive integers. Hence, the set of all intervals is countable.

**Proof:** Note that  $F$  is not a one-to-one correspondence between the set of all intervals and a subset of the positive integers. So, this is not a proof. In fact, the set of all intervals of positive length is uncountable.

**Remark:** Compare with **Exercise 2.19**, and the set of all intervals of positive length is uncountable is clear by considering  $\{(0, x) : 0 < x < 1\}$ .



2.22 Let  $S$  denote the collection of all subsets of a given set  $T$ . Let  $f : S \rightarrow R$  be a real-valued function defined on  $S$ . The function  $f$  is called **additive** if  $f(A \cup B) = f(A) + f(B)$  whenever  $A$  and  $B$  are disjoint subsets of  $T$ . If  $f$  is additive, prove that for any two subsets  $A$  and  $B$  we have

$$f(A \cup B) = f(A) + f(B - A)$$

and

$$f(A \cup B) = f(A) + f(B) - f(A \cap B).$$

**Proof:** Since  $A \cap (B - A) = \phi$  and  $A \cup B = A \cup (B - A)$ , we have

$$f(A \cup B) = f(A \cup (B - A)) = f(A) + f(B - A). \quad (*)$$

In addition, since  $(B - A) \cap (A \cap B) = \phi$  and  $B = (B - A) \cup (A \cap B)$ , we have

$$f(B) = f((B - A) \cup (A \cap B)) = f(B - A) + f(A \cap B)$$

which implies that

$$f(B - A) = f(B) - f(A \cap B) \quad (**)$$

By (\*) and (\*\*), we have proved that

$$f(A \cup B) = f(A) + f(B) - f(A \cap B).$$

2.23 Refer to Exercise 2.22. Assume  $f$  is additive and assume also that the following relations hold for two particular subsets  $A$  and  $B$  of  $T$ :

$$f(A \cup B) = f(A') + f(B') - f(A')f(B')$$

and

$$f(A \cap B) = f(A)f(B)$$

and

$$f(A) + f(B) \neq f(T),$$

where  $A' = T - A$ ,  $B' = T - B$ . Prove that these relations determine  $f(T)$ , and compute the value of  $f(T)$ .

**Proof:** Write

$$f(T) = f(A) + f(A') = f(B) + f(B'),$$

then

$$\begin{aligned} [f(T)]^2 &= [f(A) + f(A')][f(B) + f(B')] \\ &= f(A)f(B) + f(A)f(B') + f(A')f(B) + f(A')f(B') \\ &= f(A)f(B) + f(A)[f(T) - f(B)] + [f(T) - f(A)]f(B) + f(A')f(B') \\ &= [f(A) + f(B)]f(T) - f(A)f(B) + f(A')f(B') \\ &= [f(A) + f(B)]f(T) - f(A)f(B) + f(A') + f(B') - f(A \cup B) \\ &= [f(A) + f(B)]f(T) - f(A)f(B) + [f(T) - f(A)] + [f(T) - f(B)] \\ &\quad - [f(A) + f(B) - f(A \cap B)] \\ &= [f(A) + f(B) + 2]f(T) - f(A)f(B) - 2[f(A) + f(B)] + f(A \cap B) \\ &= [f(A) + f(B) + 2]f(T) - 2[f(A) + f(B)] \end{aligned}$$

which implies that

$$[f(T)]^2 - [f(A) + f(B) + 2]f(T) + 2[f(A) + f(B)] = 0$$

which implies that

$$x^2 - (a + 2)x + 2a = 0 \Rightarrow (x - a)(x - 2) = 0$$

where  $a = f(A) + f(B)$ . So,  $x = 2$  since  $x \neq a$  by  $f(A) + f(B) \neq f(T)$ .