Improving Sequence Generation by GAN

Hung-yi Lee



#### Outline

Improving Supervised Seq-to-seq Model

- RL (human feedback)
- GAN (discriminator feedback)

Unsupervised Seq-to-seq Model

- Summarization
- Translation

**Text Style Transfer** 

#### Review: Chat-bot

 Sequence-to-sequence learning A:  $\Delta \Delta \Delta$ output Training data: sentence A: 000 Encoder Generator B: XXX A:  $\Delta \Delta \Delta$ history Input information sentence A: 000 B: XXX



**Hierarchical Encoder** 

#### **Review:** Generator



#### **Review: Training Generator**



#### Review: Maximum Likelihood

Training data:  $(h, \hat{x})$ 



h: input sentence and history/context  $\hat{x}$ : correct response (word sequence)  $\hat{x}_t$ : t-th word,  $\hat{x}_{1:t}$ : first t words of  $\hat{x}$  $C_t = -\log P_{\theta}(\hat{x}_t | \hat{x}_{1:t-1}, h)$  $C = -\sum log P(\hat{x}_t | \hat{x}_{1:t-1}, h)$  $= -logP(\hat{x}_1|h)P(\hat{x}_2|\hat{x}_1,h)$  $\cdots P(\hat{x}_T | \hat{x}_{1 \cdot T-1}, h)$  $\dots = -logP(\hat{x}|h)$ 

Maximizing the likelihood of generating  $\hat{x}$  given h

### Outline

Jiwei Li, Will Monroe, Alan Ritter, Michel Galley, Jianfeng Gao, Dan Jurafsky, "Deep Reinforcement Learning for Dialogue Generation ", EMNLP 2016

#### Improving Supervised Seq-to-seq Model

- RL (human feedback)
- GAN (discriminator feedback)

Unsupervised Seq-to-seq Model

- Summarization
- Translation

**Text Style Transfer** 

#### Introduction

https://image.freepik.com/free-vector/varietyof-human-avatars\_23-2147506285.jpg http://www.freepik.com/free-vector/varietyof-human-avatars\_766615.htm

• Machine obtains feedback from user



Chat-bot learns to maximize the *expected reward*

#### Maximizing Expected Reward



#### Maximizing Expected Reward



Policy Gradient  

$$\frac{dlog(f(x))}{dx} = \frac{1}{f(x)} \frac{df(x)}{dx}$$

$$\bar{R}_{\theta} = \sum_{h} P(h) \sum_{x} R(h, x) P_{\theta}(x|h) \approx \frac{1}{N} \sum_{i=1}^{N} R(h^{i}, x^{i})$$

$$\overline{ZR}_{\theta} = \sum_{h} P(h) \sum_{x} R(h, x) \overline{VP}_{\theta}(x|h) \approx \frac{1}{N} \sum_{i=1}^{N} R(h^{i}, x^{i}) \overline{VlogP}_{\theta}(x|h)$$

$$= \sum_{h} P(h) \sum_{x} R(h, x) P_{\theta}(x|h) \frac{\overline{VP}_{\theta}(x|h)}{P_{\theta}(x|h)}$$
Sampling  

$$= \sum_{h} P(h) \sum_{x} R(h, x) P_{\theta}(x|h) \overline{VlogP}_{\theta}(x|h)$$

$$= E_{h \sim P(h), x \sim P_{\theta}(x|h)} [R(h, x) \overline{VlogP}_{\theta}(x|h)]$$

#### Policy Gradient

Gradient Ascent

$$\theta^{new} \leftarrow \theta^{old} + \eta \nabla \bar{R}_{\theta^{old}}$$
$$\nabla \bar{R}_{\theta} \approx \frac{1}{N} \sum_{i=1}^{N} R(h^{i}, x^{i}) \nabla log P_{\theta}(x^{i} | h^{i})$$

 $R(h^{i}, x^{i}) \text{ is positive}$   $After updating \ \theta, P_{\theta}(x^{i}|h^{i}) \text{ will increase}$   $R(h^{i}, x^{i}) \text{ is negative}$   $After updating \ \theta, P_{\theta}(x^{i}|h^{i}) \text{ will decrease}$ 

Imple	ementation	$\begin{array}{c} \leftarrow \text{Encoder} \rightarrow & \text{Genera} \\ \text{tor} \rightarrow & x^{i} \\ \leftarrow & \text{Human} \rightarrow & R(h^{i}, x^{i}) \end{array}$
	Maximum Likelihood	Reinforcement Learning
Objective Function	$\frac{1}{N} \sum_{i=1}^{N} log P_{\theta}(\hat{x}^{i}   h^{i})$	$\frac{1}{N}\sum_{i=1}^{N} R(h^{i}, x^{i}) log P_{\theta}(x^{i} h^{i})$
Gradient	$\frac{1}{N} \sum_{i=1}^{N} \nabla log P_{\theta}(\hat{x}^{i}   h^{i})$	$\frac{1}{N}\sum_{i=1}^{N} R(h^{i}, x^{i}) \nabla log P_{\theta}(x^{i} h^{i})$
Training Data	$\{(h^1, \hat{x}^1), \dots, (h^N, \hat{x}^N)\}$ $R(h^i, \hat{x}^i) = 1$	$\{(h^1, x^1),, (h^N, x^N)\}$ Sampling as training data weighted by $R(h^i, x^i)$



## Add a Baseline If $R(h^i, x^i)$ is always positive $\frac{1}{N} \sum_{i=1}^{N} R(h^i, x^i) \log \nabla P_{\theta}(x^i | h^i)$



Add a Baseline  
If 
$$R(h^i, x^i)$$
 is always positive  

$$\frac{1}{N} \sum_{i=1}^{N} R(h^i, x^i) \log \nabla P_{\theta}(x^i | h^i) \longrightarrow \frac{1}{N} \sum_{i=1}^{N} (R(h^i, x^i) - b) \log \nabla P_{\theta}(x^i | h^i)$$



There are several ways to obtain the baseline b.

## Alpha GO style training !

Let two agents talk to each other













🚴 l thou

I though you were 12.

What make you think so?



Using a pre-defined evaluation function to compute R(h,x)

#### Example Reward

 The final reward R(h,x) is the weighted sum of three terms r<sub>1</sub>(h,x), r<sub>2</sub>(h,x) and r<sub>3</sub>(h,x)



#### Example Results

Baseline mutual information model (Li et al. 2015)	Proposed reinforcement learning model

### Outline

Improving Supervised Seq-to-seq Model

- RL (human feedback)
- GAN (discriminator feedback)

Unsupervised Seq-to-seq Model

- Summarization
- Translation

Text Style Transfer

http://www.nipic.com/show/3/83/3936650kd7476069.html

#### Basic Idea – Chat-bot



## Algorithm – Chat-bot

- Initialize generator Gen and discriminator Dis
- In each iteration:
  - Sample real history h and sentence x from database

Training data:

h

Х

A: 000

B: XXX

A:  $\Delta \Delta \Delta$ 

- Sample real history h' from database, and generate sentences  $\tilde{x}$  by Gen(h')
- Update Dis to increase Dis(h, x) and decrease  $Dis(h', \tilde{x})$





#### Alternatives



- Gumbel-softmax
  - Matt J. Kusner, José Miguel Hernández-Lobato, "GANS for Sequences of Discrete Elements with the Gumbel-softmax Distribution", arXiv 2016
- MaliGAN
  - Tong Che, Yanran Li, Ruixiang Zhang, R Devon Hjelm, Wenjie Li, Yangqiu Song, Yoshua Bengio, "Maximum-Likelihood Augmented Discrete Generative Adversarial Networks", arXiv 2017

#### • SeqGAN

- Lantao Yu, Weinan Zhang, Jun Wang, Yong Yu, "SeqGAN: Sequence Generative Adversarial Nets with Policy Gradient", AAAI 2017
- Jiwei Li, Will Monroe, Tianlin Shi, Sébastien Jean, Alan Ritter, Dan Jurafsky, "Adversarial Learning for Neural Dialogue Generation", arXiv 2017

### Reinforcement Learning?



- Consider the output of discriminator as reward
  - Update generator to increase discriminator = to get maximum reward

$$\nabla \bar{R}_{\theta} \approx \frac{1}{N} \sum_{i=1}^{N} \frac{p(h^{i}, x^{i})}{D(h^{i}, x^{i})} - b) \nabla log P_{\theta}(x^{i} | h^{i})$$
  
Discriminator Score

- Different from typical RL
  - The discriminator would update

g-step New Objective: discriminator  $\theta^t$  $\frac{1}{N} \sum D(h^{i}, x^{i}) log P_{\theta}(x^{i}|h^{i})$  $(h^1, x^1) \quad D(h^1, x^1)$  $\theta^{t+1} \leftarrow \theta^t + \eta \nabla \bar{R}_{\theta^t}$  $(h^2, x^2) \quad D(h^2, x^2)$  $\sum D(h^i, x^i) \nabla log P_{\theta^t}(x^i | h^i)$  $\overline{N}$  $(h^N, x^N) \quad D(h^N, x^N)$ d-step discriminator  $\boldsymbol{\chi}$ hfake real

感謝 段逸林 同學提供實驗結果

#### Example Results

input | I love you.

input | Do you like machine learning?

input | I thought I have met you before.

in	put   Let's go to	the party.
	Human Evaluation	-
MLE	52.6%	input How do you feel about the president?
SeqGAN	56.9%	
ESGAN	60.9%	

## Tips: Reward for Every Generation Step $\nabla \overline{R}_{\theta} \approx \frac{1}{N} \sum_{i=1}^{N} (D(h^{i}, x^{i}) - b) \nabla log P_{\theta}(x^{i} | h^{i})$

 $h^{i} = \text{``What is your name?''} \quad D(h^{i}, x^{i}) - b \text{ is negative}$   $x^{i} = \text{``I don't know''} \qquad Update \ \theta \text{ to decrease } \log P_{\theta}(x^{i}|h^{i})$   $log P_{\theta}(x^{i}|h^{i}) = log P(x_{1}^{i}|h^{i}) + log P(x_{2}^{i}|h^{i}, x_{1}^{i}) + log P(x_{3}^{i}|h^{i}, x_{1:2}^{i})$   $P("I"|h^{i}) = ?$ 

 $h^{i} = \text{``What is your name?''} \quad D(h^{i}, x^{i}) - b \text{ is positive}$   $x^{i} = \text{``I am John''} \qquad \text{Update } \theta \text{ to increase } \log P_{\theta}(x^{i}|h^{i})$   $log P_{\theta}(x^{i}|h^{i}) = log P(x_{1}^{i}|h^{i}) + log P(x_{2}^{i}|h^{i}, x_{1}^{i}) + log P(x_{3}^{i}|h^{i}, x_{1:2}^{i})$ 

 $P("I"|h^i)$ 

## Tips: Reward for Every Generation $log P_{\theta}(x^{i}|h^{i}) = log P(x_{1}^{i}|h^{i}) + log P(x_{2}^{i}|h^{i}, x_{1}^{i}) + log P(x_{3}^{i}|h^{i}, x_{1:2}^{i})$ $P("I"|h^i)$ $P("don't"|h^i, "I")$ $P("know"|h^i, "I don't")$ $\nabla \bar{R}_{\theta} \approx \frac{1}{N} \sum_{i=1}^{N} (\underline{D(h^{i}, x^{i}) - b}) \nabla log P_{\theta}(x^{i} | h^{i})$ $\nabla \bar{R}_{\theta} \approx \frac{1}{N} \sum_{i=1}^{N} \sum_{i=1}^{T} (Q(h^{i}, x_{1:t}^{i}) - b) \nabla log P_{\theta}(x_{t}^{i} | h^{i}, x_{1:t-1}^{i})$ i=1 t=

Method 1. Monte Carlo (MC) Search Method 2. Discriminator For Partially Decoded Sequences

#### Tips: Monte Carlo Search

• How to estimate  $Q(h^i, x_{1:t}^i)$ ?

$$Q("What is your name?","I")$$
  
 $h^i$   $x_1^i$ 

A roll-out generator for sampling is needed

$$x^{A} = I \text{ am John} \qquad D(h^{i}, x^{A}) = 1.0$$

$$x^{B} = I \text{ am happy} \qquad D(h^{i}, x^{B}) = 0.1$$

$$x^{C} = I \text{ don't know} \qquad D(h^{i}, x^{C}) = 0.1$$

$$x^{D} = I \text{ am superman} \qquad D(h^{i}, x^{D}) = 0.8$$
avg

# Tips: Rewarding Partially Decoded Sequences

- Training a discriminator that is able to assign rewards to both fully and partially decoded sequences
  - Break generated sequences into partial sequences

h="What is your name?", x="I am john" h="What is your name?", x="I am"

h="What is your name?", x="I"

h="What is your name?", x="I don't"

h="What is your name?", x="I"



### Tips: Adding Good Examples

- The training of generative model is unstable
  - This reward is used to promote or discourage the generator's own generated sequences.
  - Usually It knows that the generated results are bad, but does not know what results are good.

Training Data for SeqGAN: $\{(h^1, x^1), \dots, (h^N, x^N)\}$ <br/>
 Obtained by sampling<br/>
 weighted by  $D(h^i, x^i)$ Adding more Data: $\{(h^1, \hat{x}^1), \dots, (h^N, \hat{x}^N)\}$ <br/>
 Real data

Consider  $D(h^i, \hat{x}^i) = 1$ 

#### Tips: RankGAN

Kevin Lin, Dianqi Li, Xiaodong He, Zhengyou Zhang, Ming-Ting Sun, "Adversarial Ranking for Language Generation", NIPS 2017



#### Image caption generation:

Method	BLEU-2	BLEU-3	BLEU-4	Method	Human score
MLE	0.781	0.624	0.589	SeqGAN	3.44
SeqGAN	0.815	0.636	0.587	RankGAN	4.61
RankGAN	<b>0.845</b>	<b>0.668</b>	<b>0.614</b>	Human-written	<b>6.42</b>

#### More Applications

- Supervised machine translation
  - Lijun Wu, Yingce Xia, Li Zhao, Fei Tian, Tao Qin, Jianhuang Lai, Tie-Yan Liu, "Adversarial Neural Machine Translation", arXiv 2017
  - Zhen Yang, Wei Chen, Feng Wang, Bo Xu, "Improving Neural Machine Translation with Conditional Sequence Generative Adversarial Nets", arXiv 2017
- Supervised abstractive summarization
  - Linqing Liu, Yao Lu, Min Yang, Qiang Qu, Jia Zhu, Hongyan Li, "Generative Adversarial Network for Abstractive Text Summarization", AAAI 2018
- Image/video caption generation
  - Rakshith Shetty, Marcus Rohrbach, Lisa Anne Hendricks, Mario Fritz, Bernt Schiele, "Speaking the Same Language: Matching Machine to Human Captions by Adversarial Training", ICCV 2017
  - Xiaodan Liang, Zhiting Hu, Hao Zhang, Chuang Gan, Eric P. Xing, "Recurrent Topic-Transition GAN for Visual Paragraph Generation", arXiv 2017

#### Outline

Improving Supervised Seq-to-seq Model

- RL (human feedback)
- GAN (discriminator feedback)

Unsupervised Seq-to-seq Model

- Summarization
- Translation

Text Style Transfer

### Summarization

#### **Extractive Summaries**

[Lee, et al., Interspeech 12][Lee, et al., ICASSP 13][Shiang, et al., Interspeech 13]

Audio File to be summarized



- Select the most informative segments to form a compact version
- Machine does not write summaries in its own words

#### Abstractive Summarization

• Now machine can do **abstractive summary** (write summaries in its own words)



#### Abstractive Summarization

• Input: transcriptions of audio, output: summary



## Unsupervised Abstractive Summarization

- **Document**:澳大利亞今天與13個國家簽署了反興奮劑雙 邊協議,旨在加強體育競賽之外的藥品檢查並共享研究成 果.....
- Summary:
  - Human:澳大利亞與13國簽署反興奮劑協議
  - Unsupervised:澳大利亞加強體育競賽之外的藥品檢查
- **Document**:中華民國奧林匹克委員會今天接到一九九二年 冬季奧運會邀請函,由於主席張豐緒目前正在中南美洲進 行友好訪問,因此尚未決定是否派隊赴賽.....

#### • Summary:

- Human:一九九二年冬季奧運會函邀我參加
- Unsupervised:奥委會接獲冬季奧運會邀請函

## Unsupervised Abstractive Summarization

- **Document**:據此間媒體27日報道,印度尼西亞蘇門答臘島 的兩個省近日來連降暴雨,洪水泛濫導致塌方,到26日為止 至少已有60人喪生,100多人失蹤 .....
- *Summary*:
  - Human:印尼水災造成60人死亡
  - Unsupervised:印尼門洪水泛濫導致塌雨
- **Document**:安徽省合肥市最近為領導幹部下基層做了新規 定:一律輕車簡從,不準搞迎來送往、不準搞層層陪同.....
- Summary:
  - Human:合肥規定領導幹部下基層活動從簡
  - Unsupervised:合肥領導幹部下基層做搞迎來送往規定: 一律簡

#### More Applications

Unsupervised video summarization



Behrooz Mahasseni, Michael Lam and Sinisa Todorovic, "Unsupervised Video Summarization with Adversarial LSTM Networks", CVPR, 2017

### Outline of Part II

Improving Supervised Seq-to-seq Model

- RL (human feedback)
- GAN (discriminator feedback)

Unsupervised Seq-to-seq Model

- Summarization
- Translation

Text Style Transfer

## Unsupervised Translation

- Alexis Conneau, Guillaume Lample, Marc'Aurelio Ranzato, Ludovic Denoyer, Hervé Jégou, Word Translation Without Parallel Data, submitted to ICRL 2018
- Guillaume Lample, Ludovic Denoyer, Marc'Aurelio Ranzato, "Unsupervised Machine Translation Using Monolingual Corpora Only", submitted to ICRL 2018



#### Approaches





#### **Experimental Results**



### Outline of Part II

Improving Supervised Seq-to-seq Model

- RL (human feedback)
- GAN (discriminator feedback)

Unsupervised Seq-to-seq Model

- Summarization
- Translation

**Text Style Transfer** 

#### Example: Personalized Chat-bot

- General chat-bots generate plain responses
- Human talks in different styles and sentiments to different people in different conditions.
- We want the response of chat-bot is controllable.
  - Therefore, chat-bot can be personalized in the future
- We only focus on generate positive response below.

Input: How was your day today?

**Optimistic Chat-bot** 





It is wonderful today.

Assumption: We have a sentiment classifier. Given a sentence x, we can evaluate how positive it is, SC(x).

#### Approaches

#### **Type 1. System Modification**



#### **Type 2. Output Transformation**





• 1. Persona-Based Model





• 1. Persona-Based Model





• 1. Persona-Based Model



Testing

Response: I love you, too.

Response: I am not ready to start a relationship.







#### Cycle GAN

 Negative sentence to positive sentence: it's a crappy day  $\rightarrow$  it's a great day i wish you could be here  $\rightarrow$  you could be here it's not a good idea  $\rightarrow$  it's good idea i miss you  $\rightarrow$  i love you i don't love you  $\rightarrow$  i love you 20 i can't do that  $\rightarrow$  i can do that i feel so sad  $\rightarrow$  i happy it's a bad day  $\rightarrow$  it's a good day it's a dummy day  $\rightarrow$  it's a great day sorry for doing such a horrible thing  $\rightarrow$  thanks for doing a great thing my doggy is sick  $\rightarrow$  my doggy is my doggy my little doggy is sick  $\rightarrow$  my little doggy is my little doggy

#### Experimental Results

	Coherence	Sentiment	Grammar
Seq2seq(baseline)	0.548	0.161	0.999
Persona-based	0.235	0.705	0.746
Reinforcement L.	0.346	0.698	0.925
Plug and Play	0.150	0.483	0.430
Transformation Net	0.020	0.492	0.387
CycleGAN	0.435	0.627	0.912

- Chat-bots were changed (these two method were able to make complicated changes to the output sentences).
- RL model perform better than persona-based models in two out of the three metric, except *sentiment* score
- For persona-based, *sentiment* is high but *coherence* and *grammar* are low.

#### Experimental Results

	Coherence	Sentiment	Grammar
Seq2seq(baseline)	0.548	0.161	0.999
Persona-based	0.235	0.705	0.746
Reinforcement L.	0.346	0.698	0.925
Plug and Play	0.150	0.483	0.430
Transformation Net	0.020	0.492	0.387
CycleGAN	0.435	0.627	0.912

- These three models modify the responses after they are generated.
- Among the three models, cycle GAN is the best in terms of *coherence, sentiment* and *grammar*.

### Example 1

- Input: yeah, i'm just less interested in the how-to and more in the what and why of the things we build
- Seq2seq: it's not a good thing .
- Persona: I'm interested in this .
- RL: it's a good one
- Plug and play: it's not a wrong thing .
- Cycle GAN: it's a good thing .

### Example 2

- Input: always learning and self improving is a huge turn on for me so believe me i understand
- Seq2seq: i'm not sure if i can see you in a relationship
- Persona: and you're gonna have a word with your mother .
- RL: i love you so much
- Plug and play: i'm not sure if i can see you a a special
- Cycle GAN: i'm sure if i can see you in a relationship.

## Concluding Remarks

Improving Supervised Seq-to-seq Model

- RL (human feedback)
- GAN (discriminator feedback)

Unsupervised Seq-to-seq Model

- Summarization
- Translation

Text Style Transfer