# Gated RNN & Sequence Generation Hung-yi Lee 李宏毅

### Outline

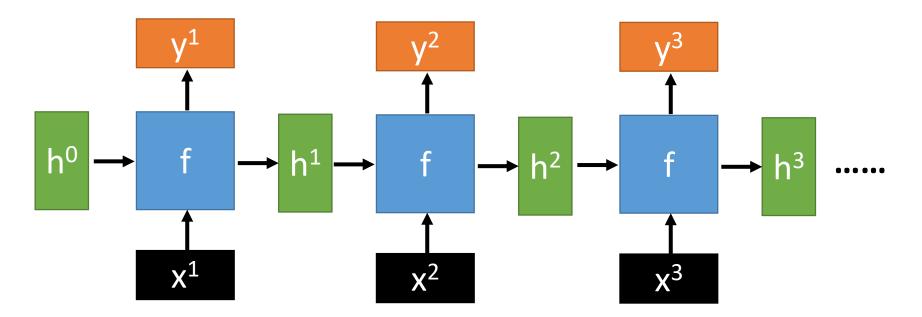
- RNN with Gated Mechanism
- Sequence Generation
- Conditional Sequence Generation
- Tips for Generation

# RNN with Gated Mechanism

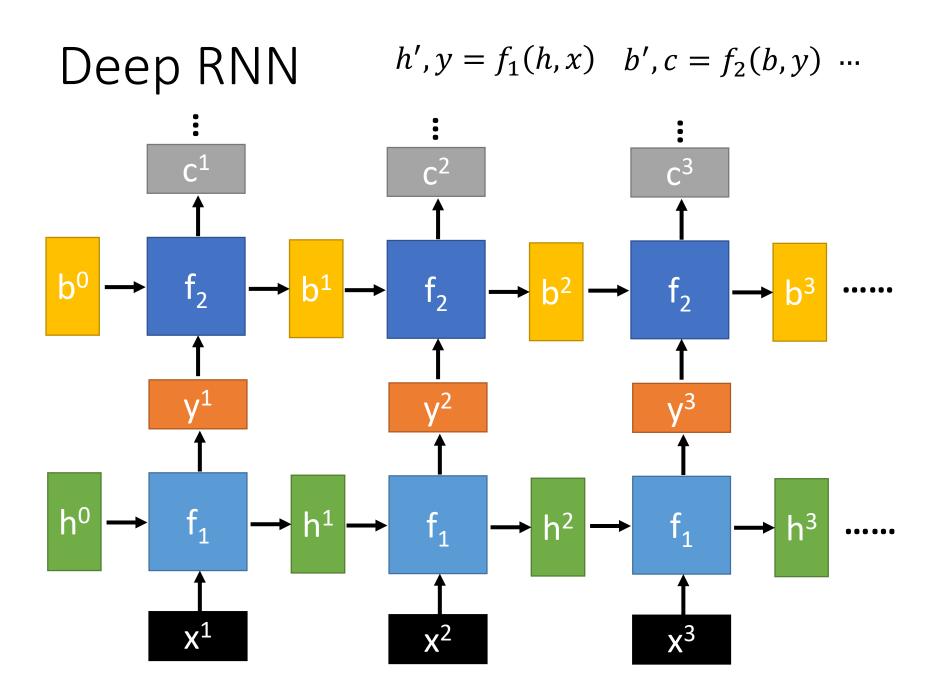
#### Recurrent Neural Network

• Given function f: h', y = f(h, x)

h and h' are vectors with the same dimension

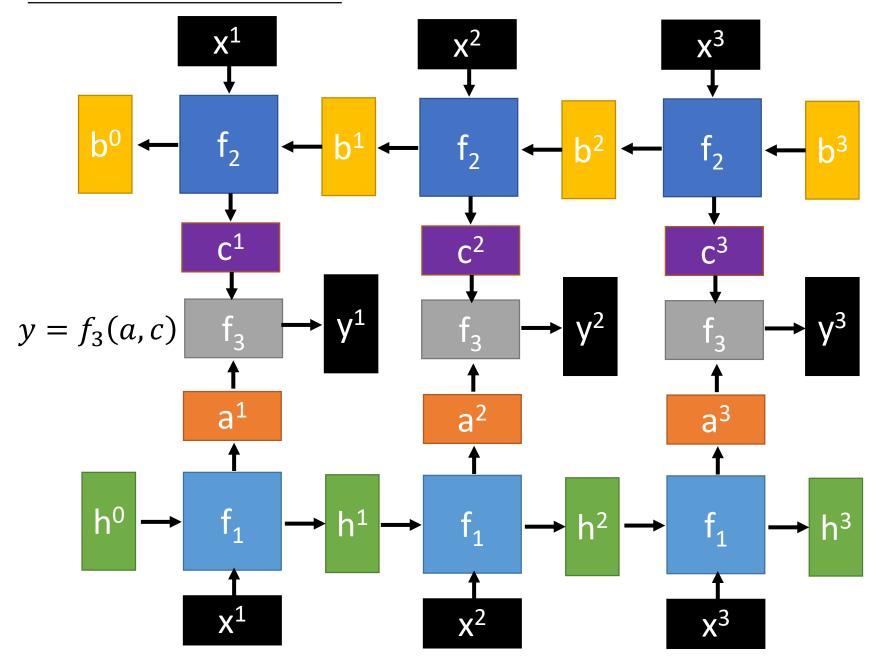


No matter how long the input/output sequence is, we only need one function f



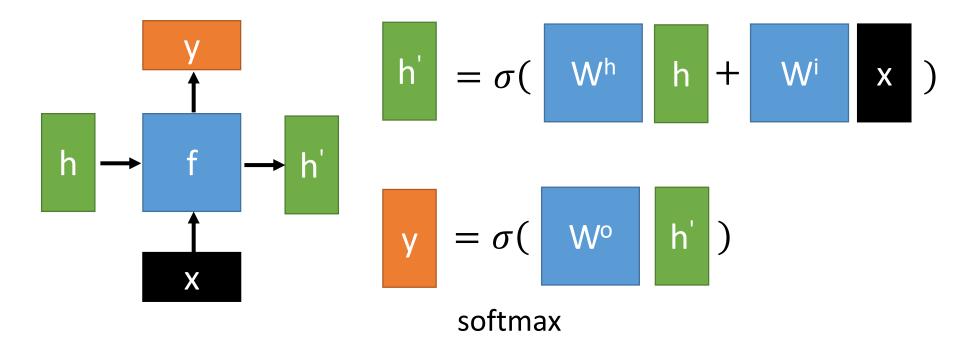
#### **Bidirectional RNN**

 $h', a = f_1(h, x)$   $b', c = f_2(b, x)$ 

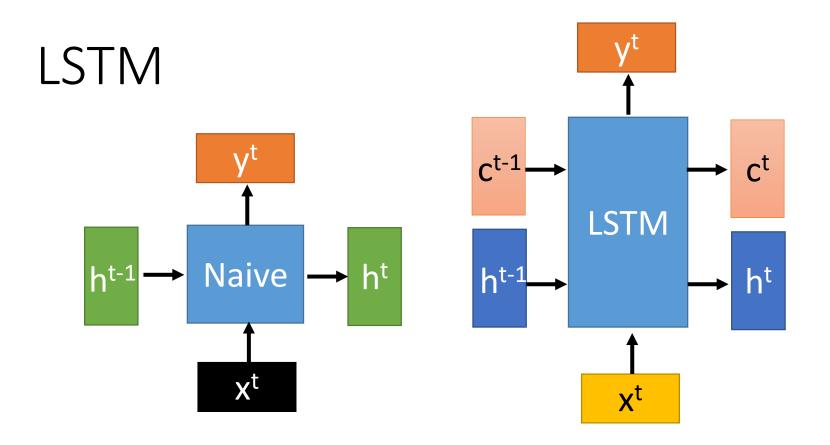


#### Naïve RNN

• Given function f: h', y = f(h, x)

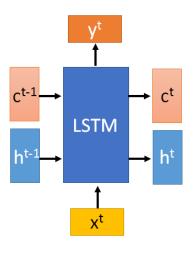


Ignore bias here

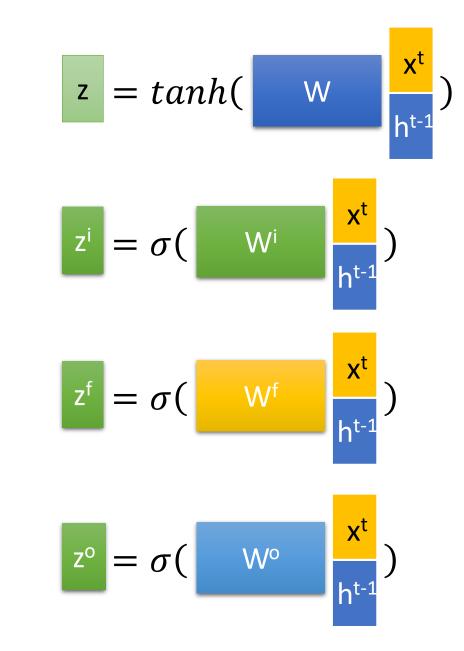


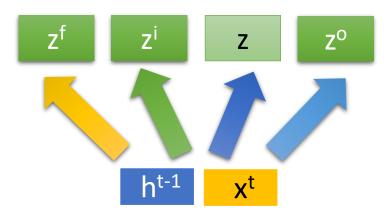
c changes slowly c<sup>t</sup> is c<sup>t-1</sup> added by something

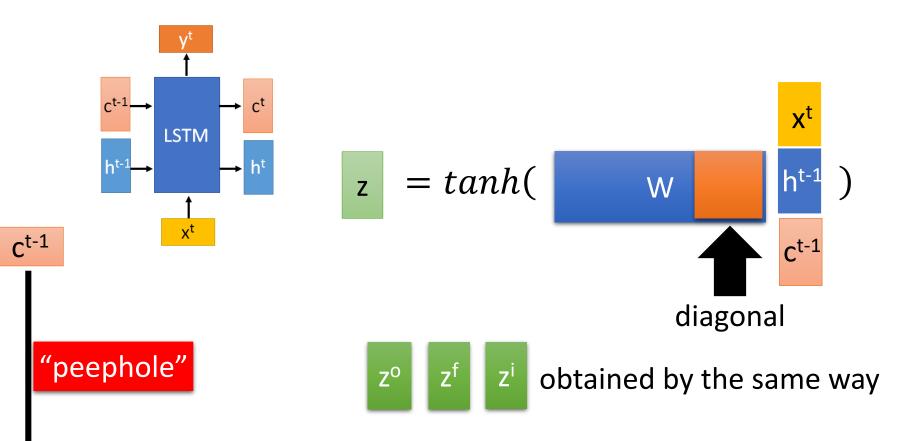
h changes faster h<sup>t</sup> and h<sup>t-1</sup> can be very different

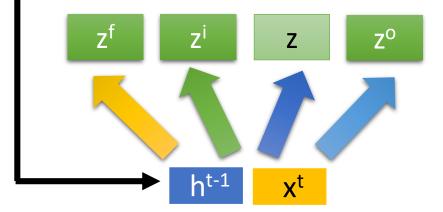


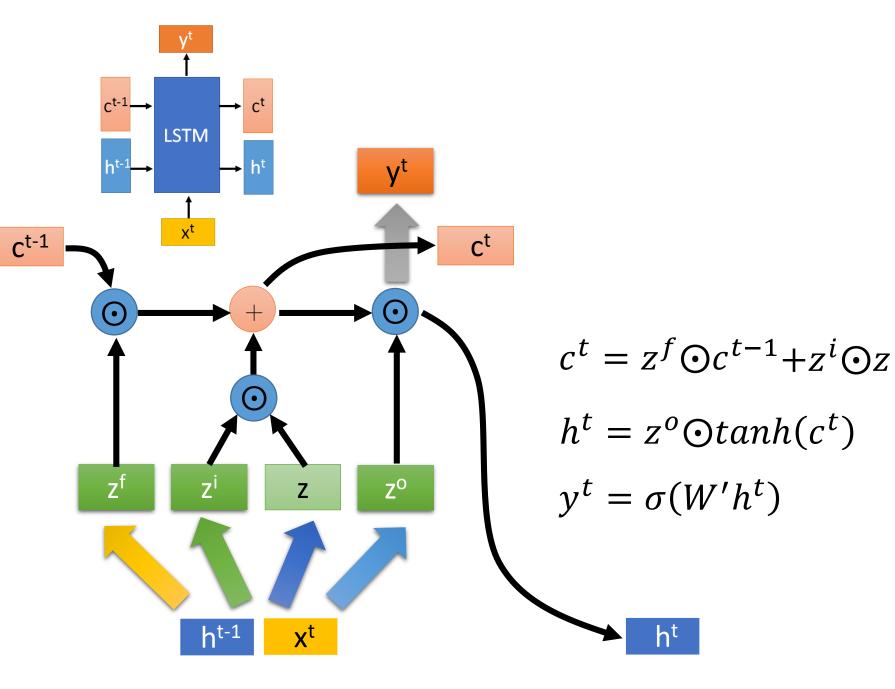
c<sup>t-1</sup>

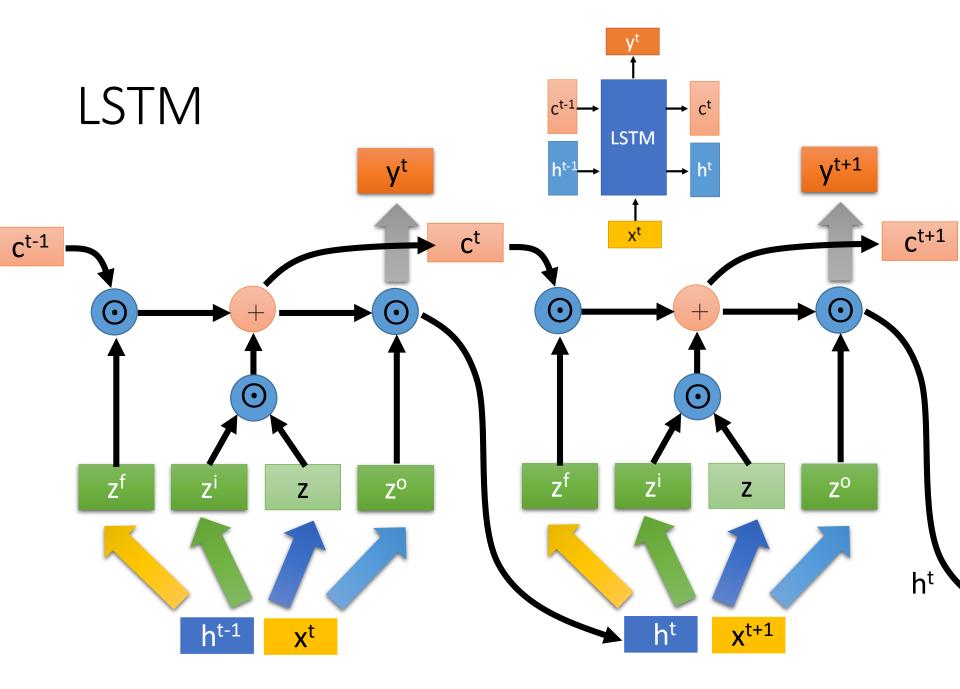




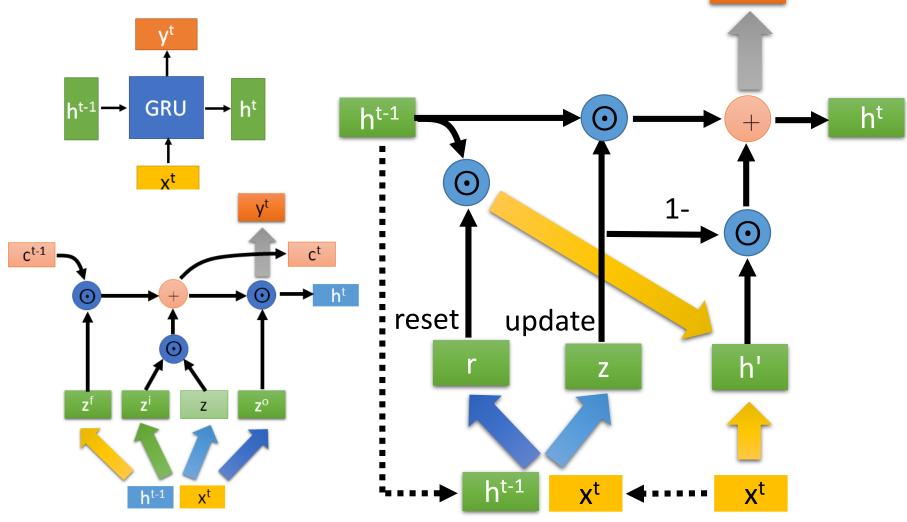




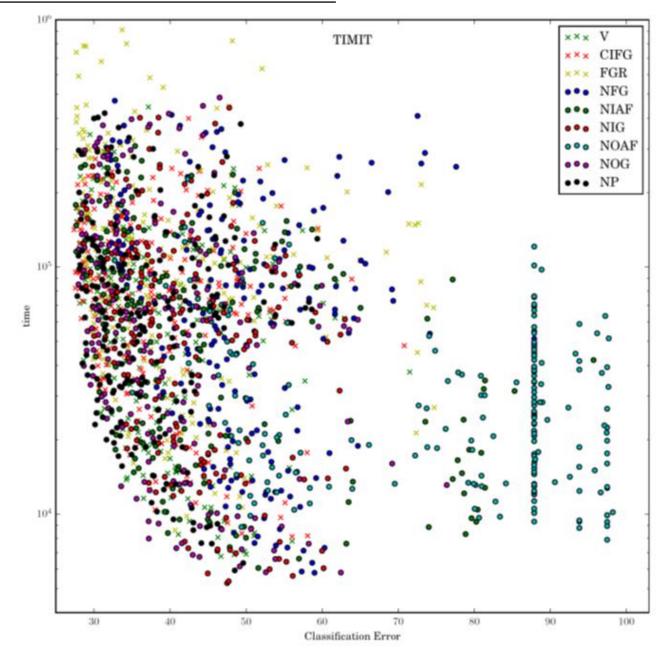




# GRU $h^t = z \odot h^{t-1} + (1-z) \odot h'$ y<sup>t</sup>



#### LSTM: A Search Space Odyssey



#### LSTM: A Search Space Odyssey

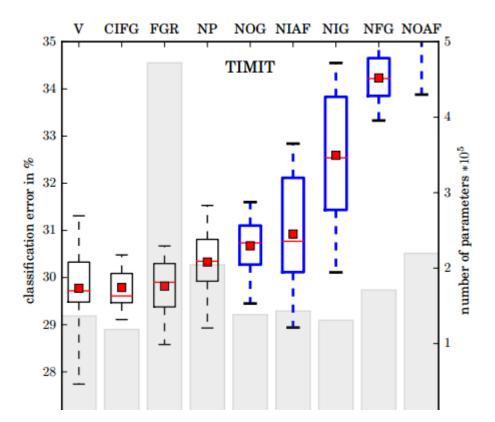
- 1. No Input Gate (NIG)
- 2. No Forget Gate (NFG)
- 3. No Output Gate (NOG)
- 4. No Input Activation Function (NIAF)
- 5. No Output Activation Function (NOAF)
- 6. No Peepholes (NP)
- 7. Coupled Input and Forget Gate (CIFG)
- 8. Full Gate Recurrence (FGR)

#### Standard LSTM works well

Simply LSTM: coupling input and forget gate, removing peephole

Forget gate is critical for performance

Output gate activation function is critical



#### An Empirical Exploration of Recurrent Network

**Architectures** 

Arch.	Arith.	XML	PTB
Tanh	0.29493	0.32050	0.08782
LSTM	0.89228	0.42470	0.08912
LSTM-f	0.29292	0.23356	0.08808
LSTM-i	0.75109	0.41371	0.08662
LSTM-o	0.86747	0.42117	0.08933
LSTM-b	0.90163	0.44434	0.08952
GRU	0.89565	0.45963	0.09069
MUT1	0.92135	0.47483	0.08968
MUT2	0.89735	0.47324	0.09036
MUT3	0.90728	0.46478	0.09161

LSTM-f/i/o: removing forget/input/output gates LSTM-b: large bias

Importance: forget > input > output Large bias for forget gate is helpful

#### An Empirical Exploration of Recurrent Network Architectures

$$z = \operatorname{sigm}(W_{xz}x_t + b_z)$$

$$r = \operatorname{sigm}(W_{xr}x_t + W_{hr}h_t + b_r)$$

$$h_{t+1} = \operatorname{tanh}(W_{hh}(r \odot h_t) + \operatorname{tanh}(x_t) + b_h) \odot z$$

$$+ h_t \odot (1 - z)$$

#### MUT2:

$$z = \operatorname{sigm}(W_{xz}x_t + W_{hz}h_t + b_z)$$
  

$$r = \operatorname{sigm}(x_t + W_{hr}h_t + b_r)$$
  

$$h_{t+1} = \operatorname{tanh}(W_{hh}(r \odot h_t) + W_{xh}x_t + b_h) \odot z$$
  

$$+ h_t \odot (1 - z)$$

MUT3:

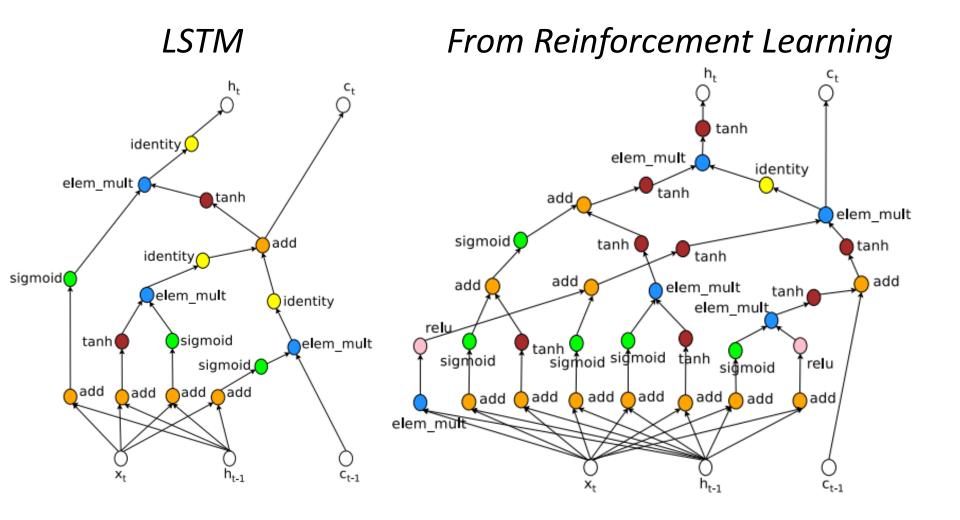
$$z = \operatorname{sigm}(W_{xz}x_t + W_{hz} \tanh(h_t) + b_z)$$
  

$$r = \operatorname{sigm}(W_{xr}x_t + W_{hr}h_t + b_r)$$
  

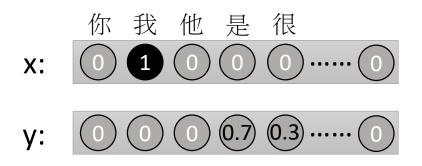
$$h_{t+1} = \tanh(W_{hh}(r \odot h_t) + W_{xh}x_t + b_h) \odot z$$
  

$$+ h_t \odot (1 - z)$$

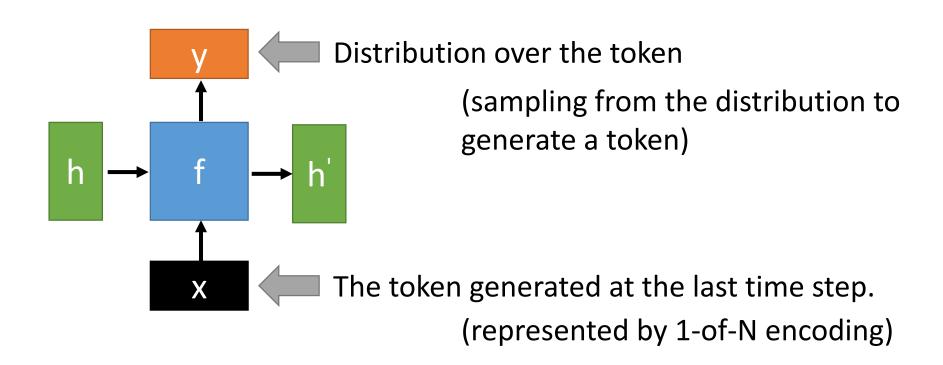
#### Neural Architecture Search with Reinforcement Learning



Sequence Generation

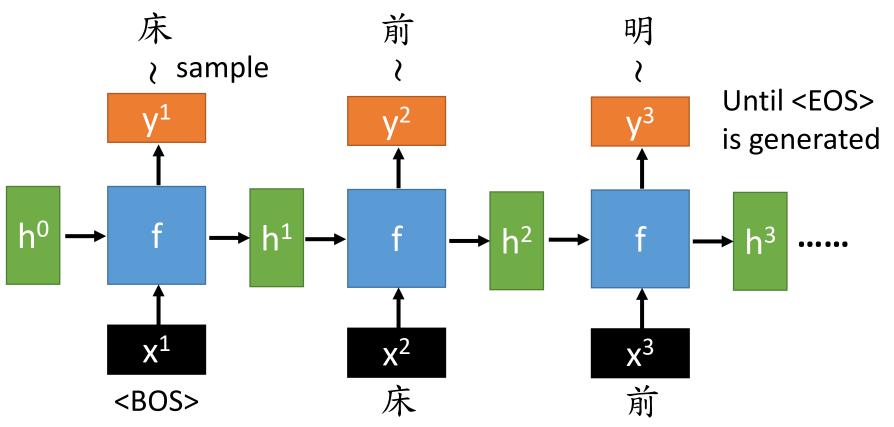


- Sentences are composed of characters/words
- Generating a character/word at each time by RNN



y<sup>1</sup>: P(w|<BOS>) y<sup>2</sup>: P(w|<BOS>,床) y<sup>3</sup>: P(w|<BOS>,床,前)

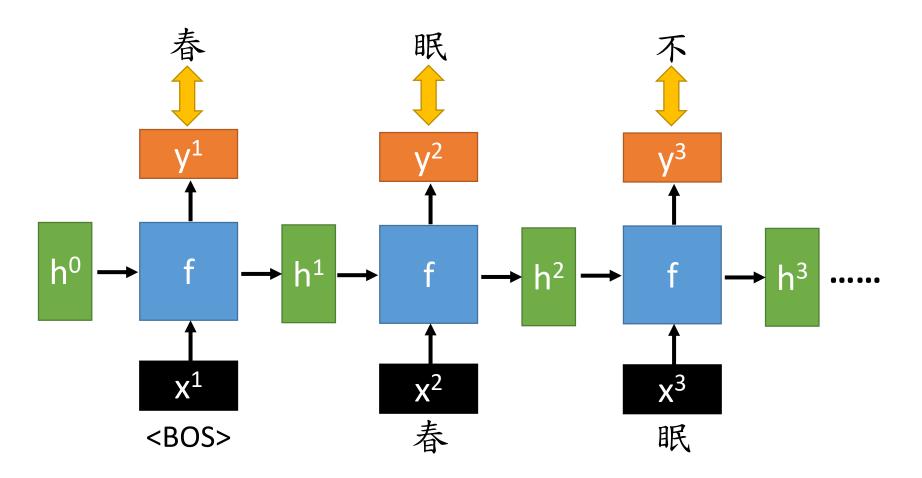
- Sentences are composed of characters/words
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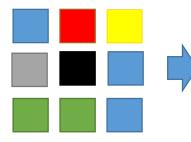




• Training

Training data: 春眠不覺曉





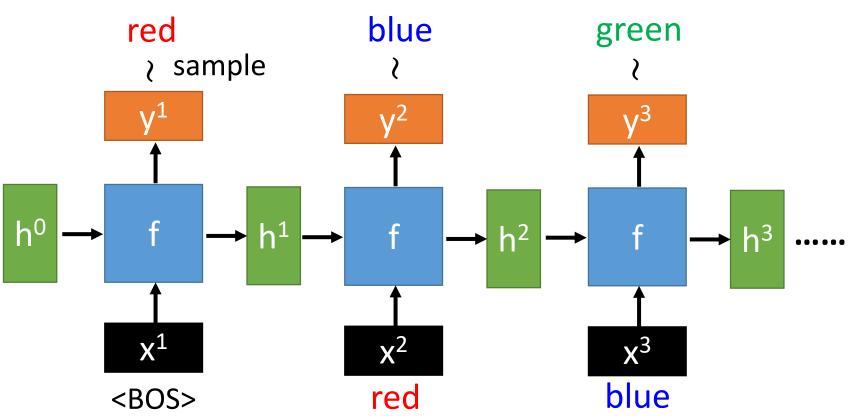
Consider as a sentence

blue red yellow gray .....

Train a RNN based on the

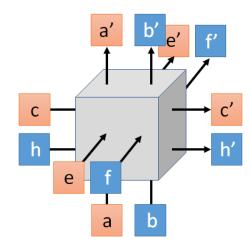
"sentences"

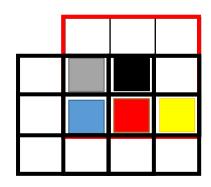
- Images are composed of pixels
- Generating a pixel at each time by RNN

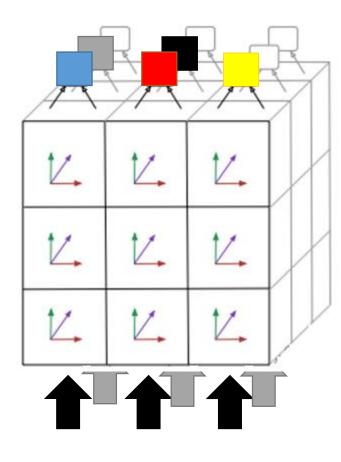


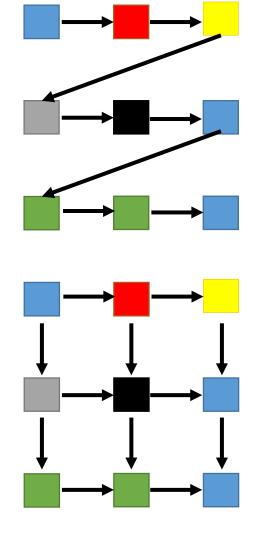
3 x 3 images

• Images are composed of pixels









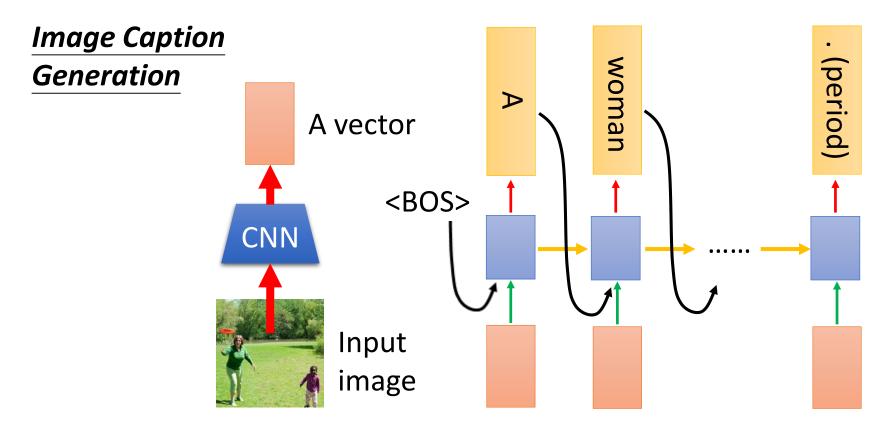
Conditional Sequence Generation

- We don't want to simply generate some random sentences.
- Generate sentences based on conditions:

#### **Caption Generation**

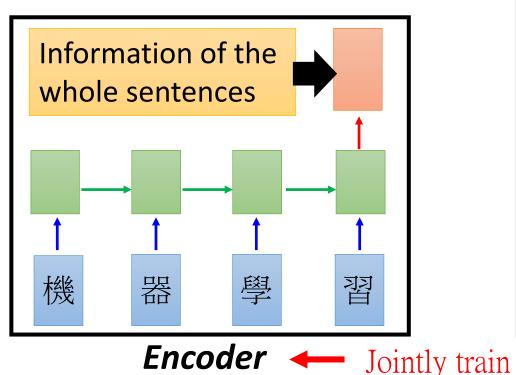


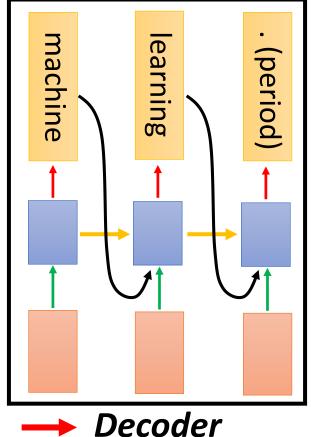
 Represent the input condition as a vector, and consider the vector as the input of RNN generator



Sequence-tosequence learning

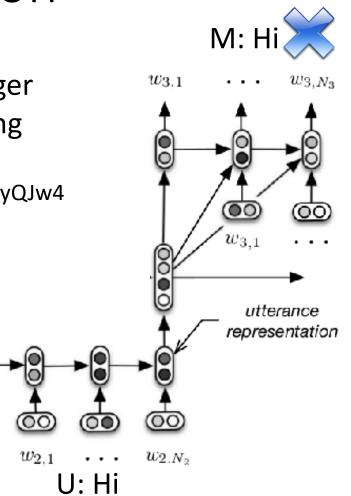
- Represent the input condition as a vector, and consider the vector as the input of RNN generator
- E.g. Machine translation / Chat-bot





M: Hello U: Hi M: Hi Need to consider longer context during chatting

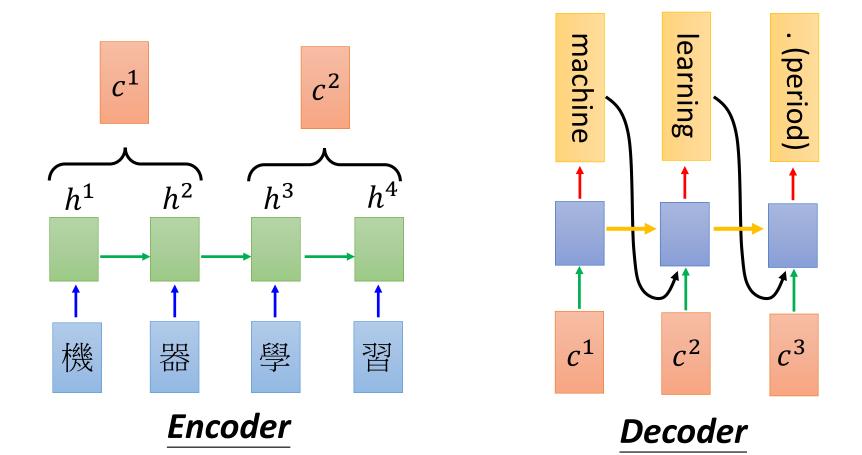
https://www.youtube.com/watch?v=e2MpOmyQJw4



#### M: Hello

Serban, Iulian V., Alessandro Sordoni, Yoshua Bengio, Aaron Courville, and Joelle Pineau, 2015 "Building End-To-End Dialogue Systems Using Generative Hierarchical Neural Network Models.

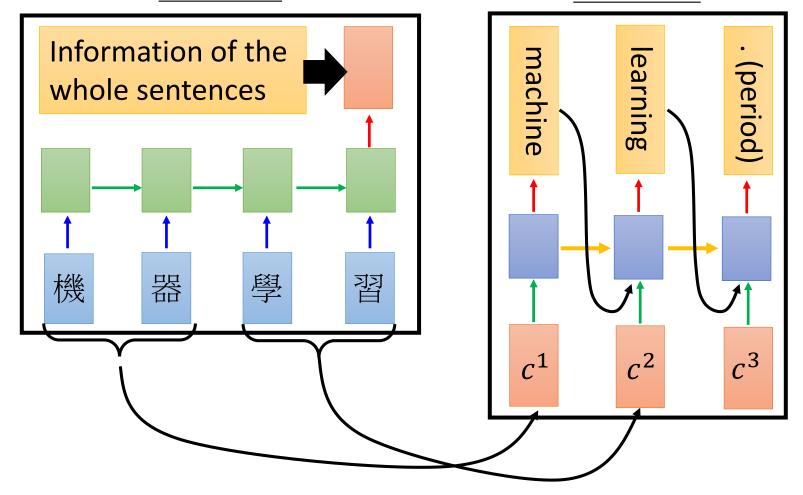
## Dynamic Conditional Generation



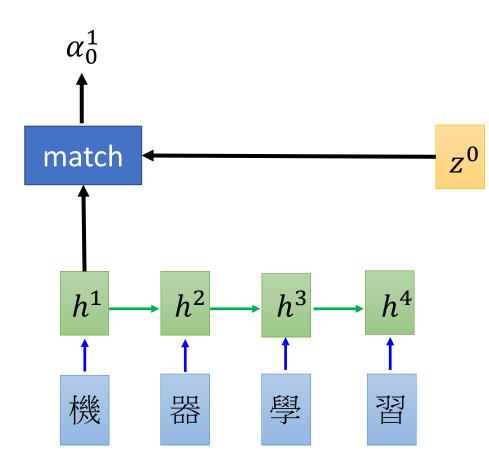
#### **Dynamic Conditional Generation**

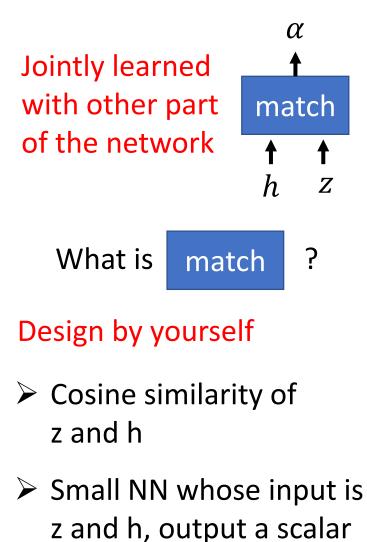
#### Encoder

#### Decoder

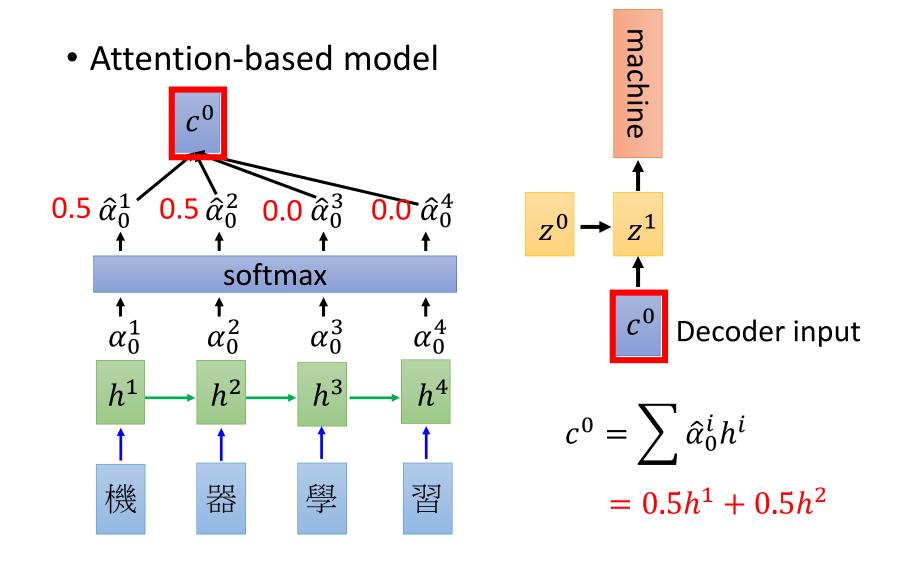


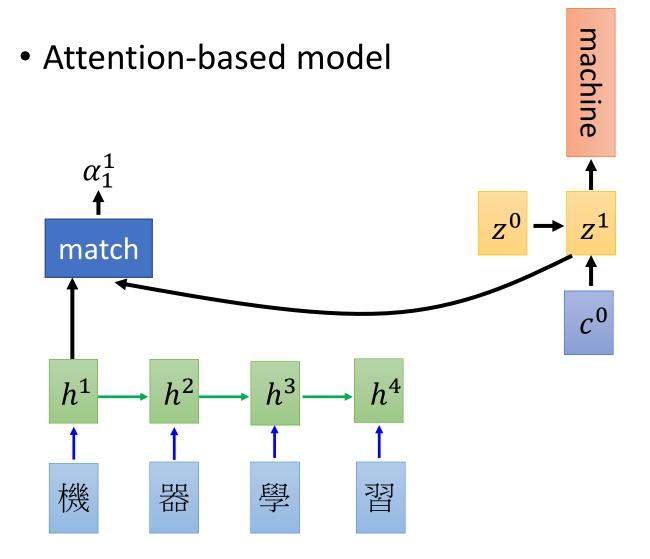
Attention-based model

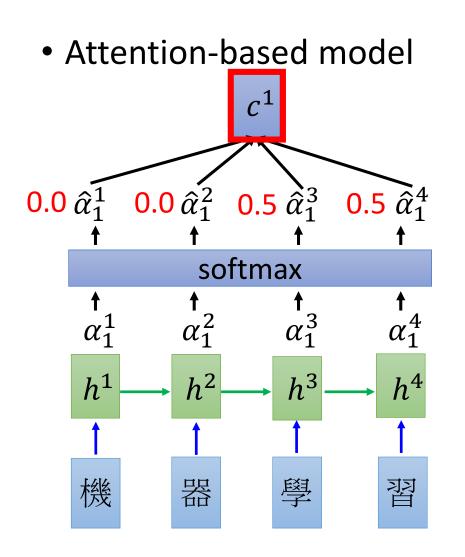


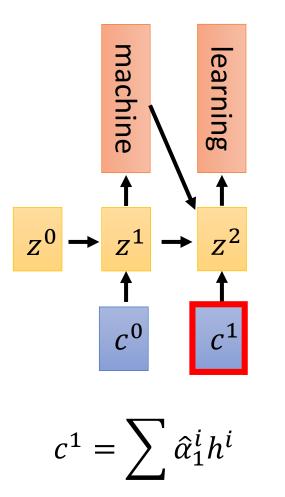


$$\succ \alpha = h^T W z$$

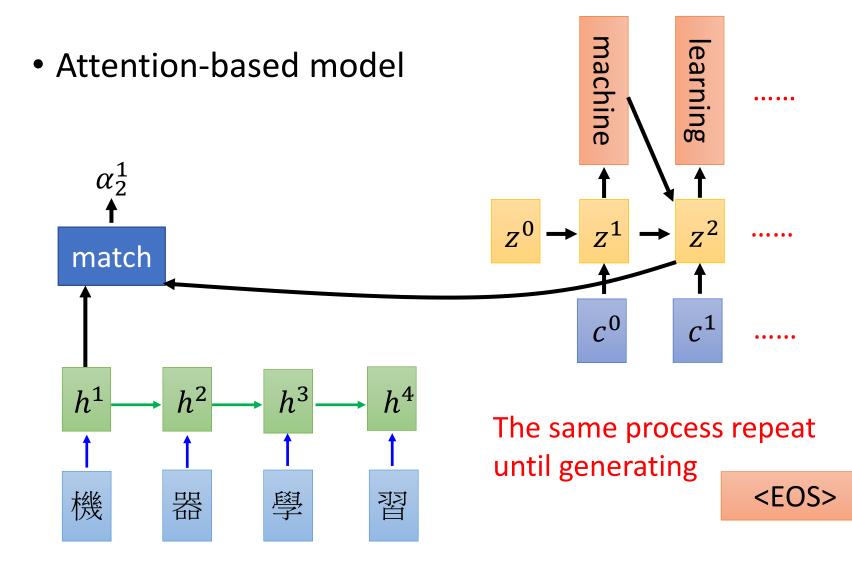




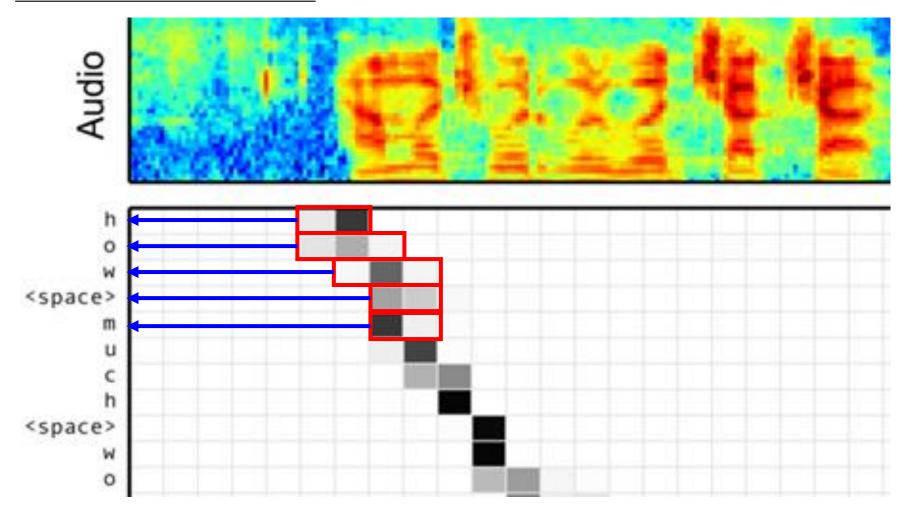




 $= 0.5h^3 + 0.5h^4$ 



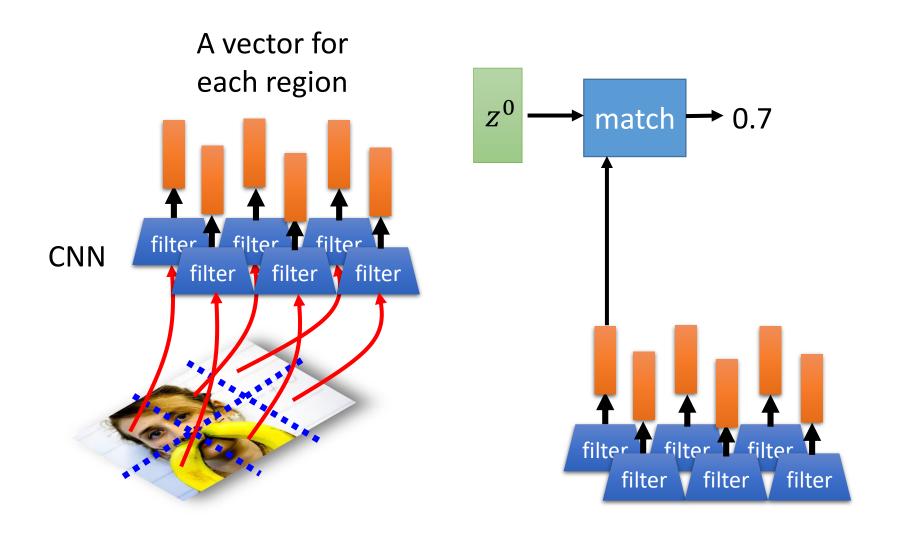
### Speech Recognition



Model	Clean WER	Noisy WER
CLDNN-HMM [22]	8.0	8.9
LAS	14.1	16.5
LAS + LM Rescoring	10.3	12.0

William Chan, Navdeep Jaitly, Quoc V. Le, Oriol Vinyals, "Listen, Attend and Spell", ICASSP, 2016

### Image Caption Generation



#### Image Caption Generation Word 1 A vector for each region $z^0$ $z^1$ filter T filter T filter T weighted CNN filter 🕴 filter 🋉 filter sum 0.1 0.7 0.1 0.1 0.0 0.0 filter T filter T filter T filter filter filter

#### Image Caption Generation W<mark>ord</mark> 2 W<mark>ord</mark> 1 A vector for each region $z^2$ $z^0$ $z^1$ weighted filter T filter T filter T CNN sum filter **†** filter **†** filter 0.0 0.8 0.2 0.0 0.0 0.0 filter T filter T filter T filter filter filter

### Image Caption Generation



A woman is throwing a <u>frisbee</u> in a park.



A  $\underline{dog}$  is standing on a hardwood floor.



A <u>stop</u> sign is on a road with a mountain in the background.



A little <u>girl</u> sitting on a bed with a teddy bear.



A group of <u>people</u> sitting on a boat in the water.



A giraffe standing in a forest with trees in the background.

Kelvin Xu, Jimmy Ba, Ryan Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard Zemel, Yoshua Bengio, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML, 2015

### Image Caption Generation



A large white bird standing in a forest.



A woman holding a <u>clock</u> in her hand.



A man wearing a hat and a hat on a <u>skateboard</u>.



A person is standing on a beach with a <u>surfboard.</u>

A woman is sitting at a table with a large pizza.



A man is talking on his cell phone while another man watches.

Kelvin Xu, Jimmy Ba, Ryan Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard Zemel, Yoshua Bengio, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML, 2015



# *Ref:* A man and a woman ride a motorcycle A man and a woman are talking on the road

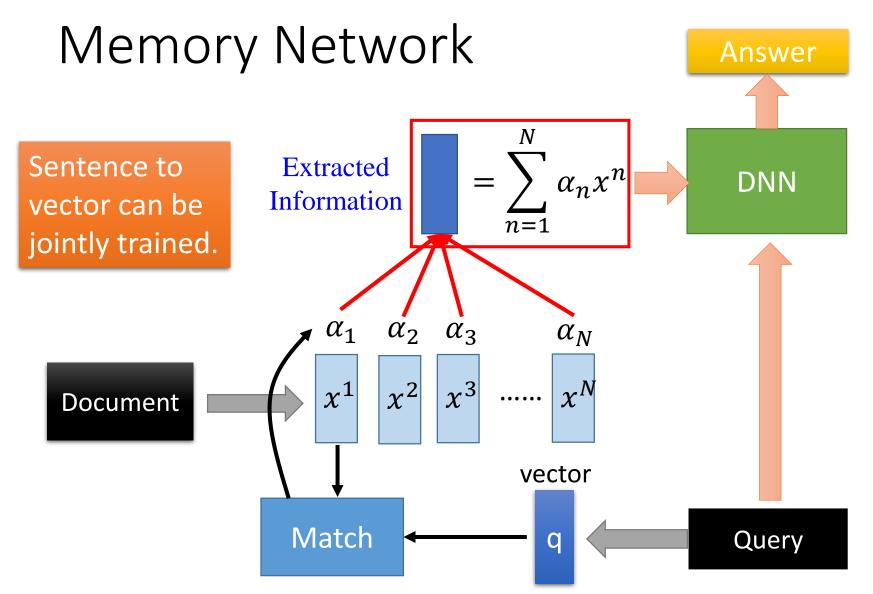


# *Ref:* A woman is frying food **Someone** is **frying** a **fish** in a **pot**

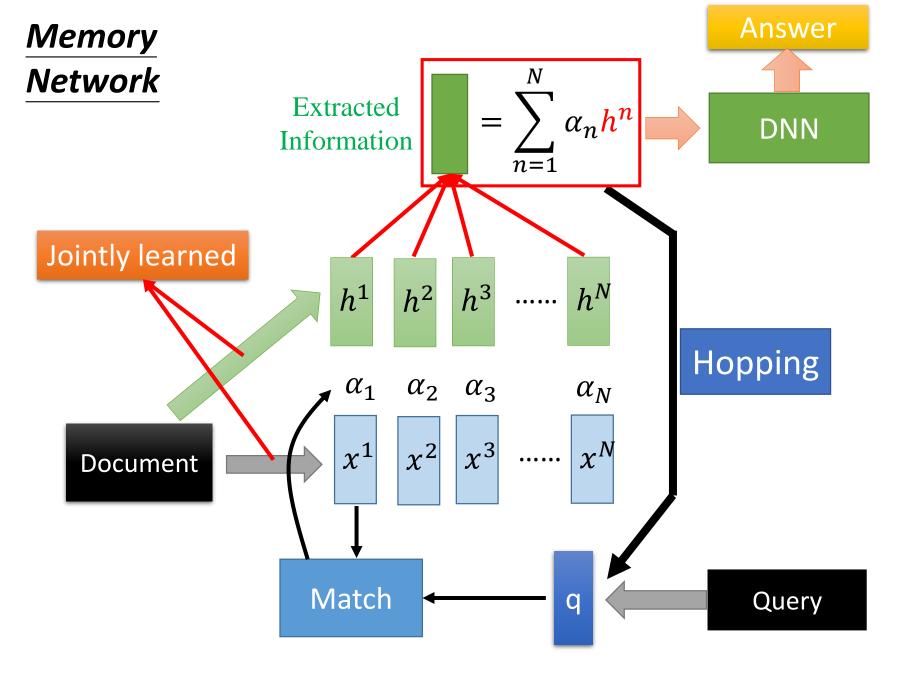
Li Yao, Atousa Torabi, Kyunghyun Cho, Nicolas Ballas, Christopher Pal, Hugo Larochelle, Aaron Courville, "Describing Videos by Exploiting Temporal Structure", ICCV, 2015

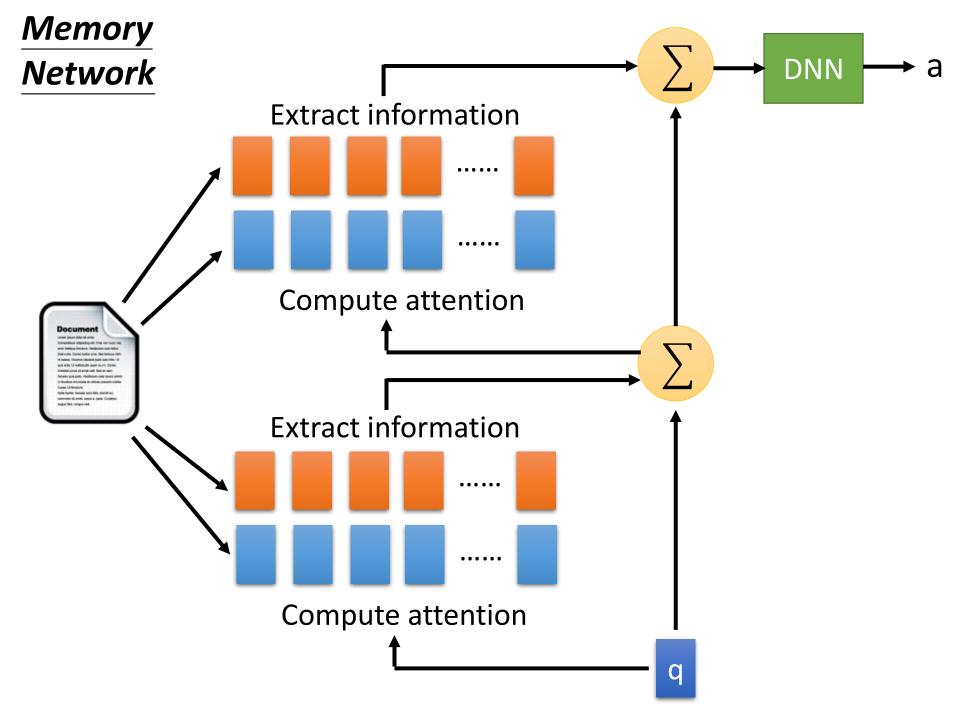
## **Question Answering**

- Given a document and a query, output an answer
- bAbl: the answer is a word
  - https://research.fb.com/downloads/babi/
- SQuAD: the answer is a sequence of words (in the input document)
  - https://rajpurkar.github.io/SQuAD-explorer/
- MS MARCO: the answer is a sequence of words
  - http://www.msmarco.org
- MovieQA: Multiple choice question (output a number)
  - http://movieqa.cs.toronto.edu/home/



Sainbayar Sukhbaatar, Arthur Szlam, Jason Weston, Rob Fergus, "End-To-End Memory Networks", NIPS, 2015





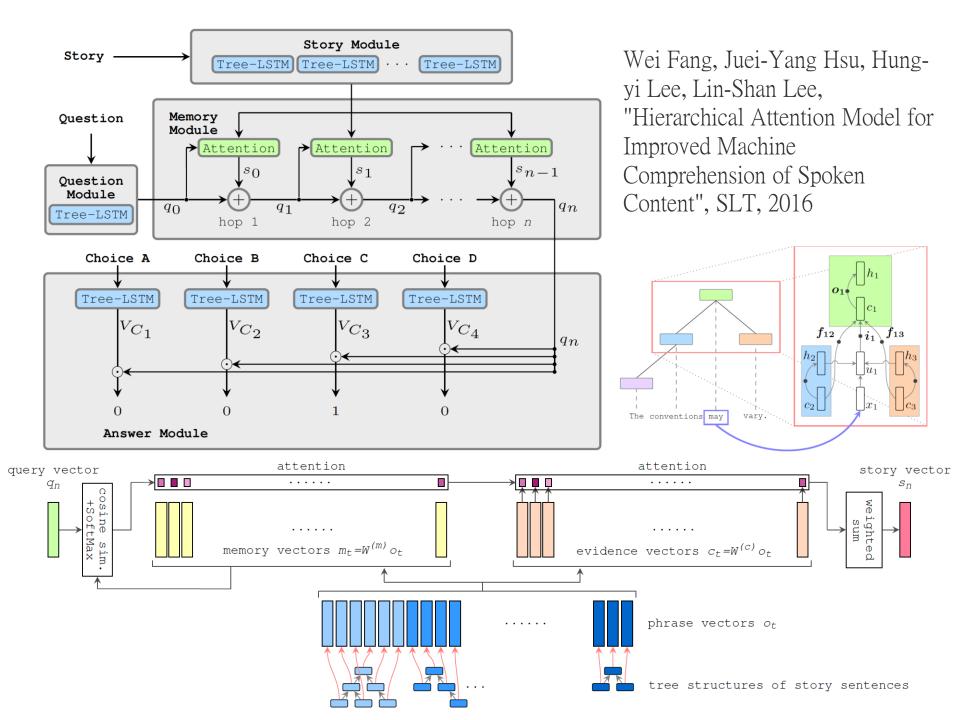
## Reading Comprehension

• End-To-End Memory Networks. S. Sukhbaatar, A. Szlam, J. Weston, R. Fergus. NIPS, 2015.

The position of reading head:

Story (16: basic induction)	Support	Hop 1	Hop 2	Hop 3
Brian is a frog.	yes	0.00	0.98	0.00
Lily is gray.	-	0.07	0.00	0.00
Brian is yellow.	yes	0.07	0.00	1.00
Julius is green.	-	0.06	0.00	0.00
Greg is a frog.	yes	0.76	0.02	0.00
What color is Greg? Answer: yellow Prediction: yellow				

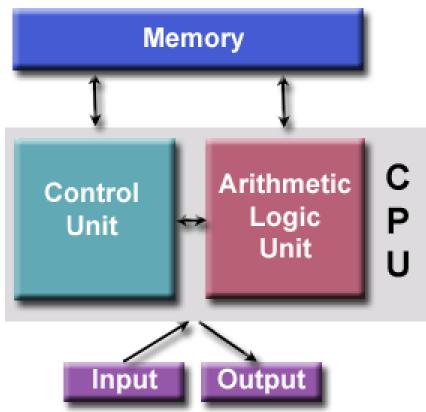
Keras has example: https://github.com/fchollet/keras/blob/master/examples/ba bi\_memnn.py



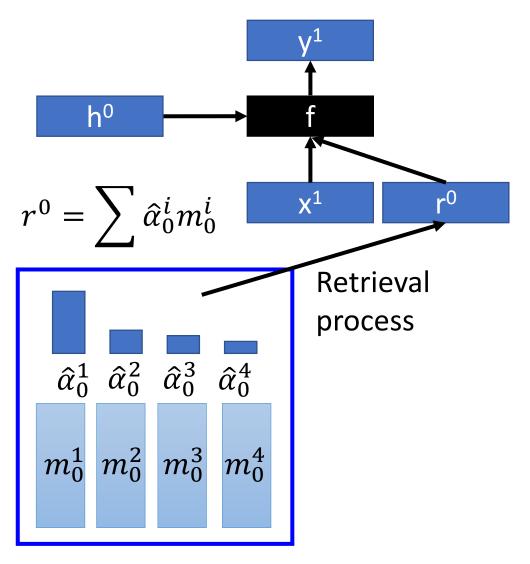
von Neumann architecture

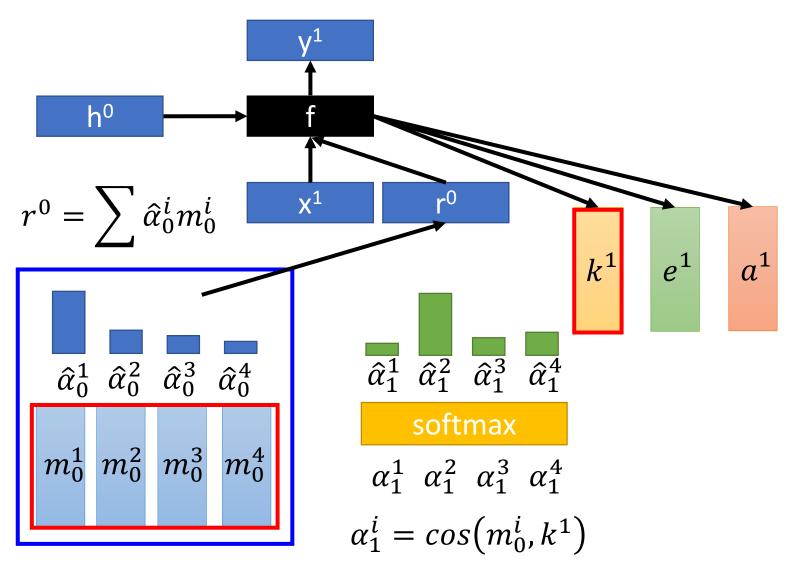
Neural Turing Machine not only read from memory

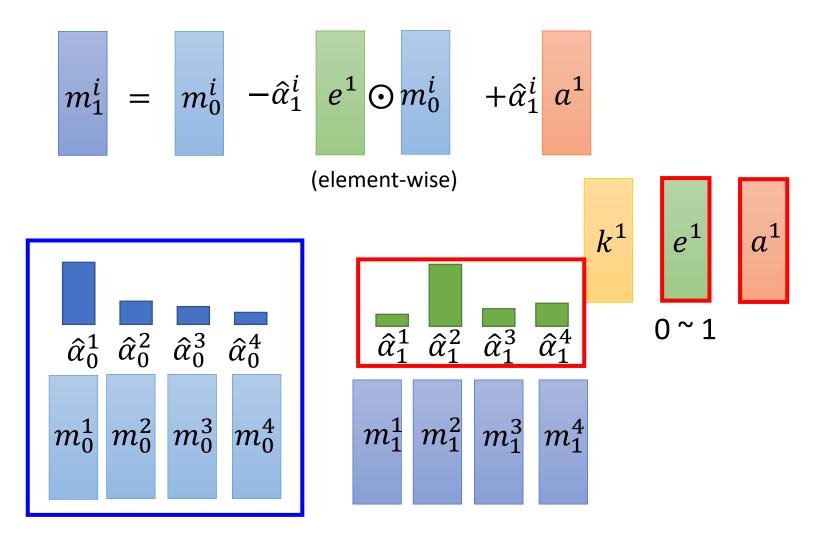
Also modify the memory through attention

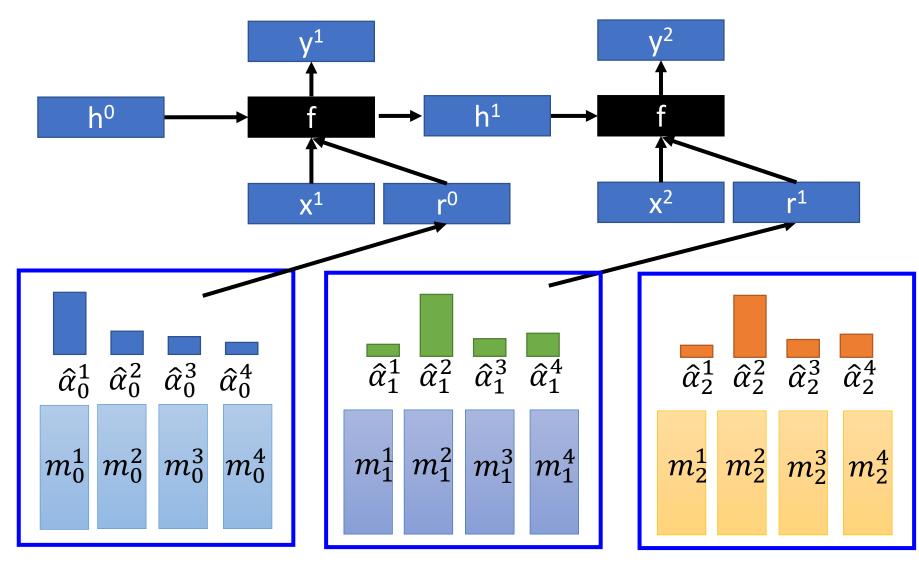


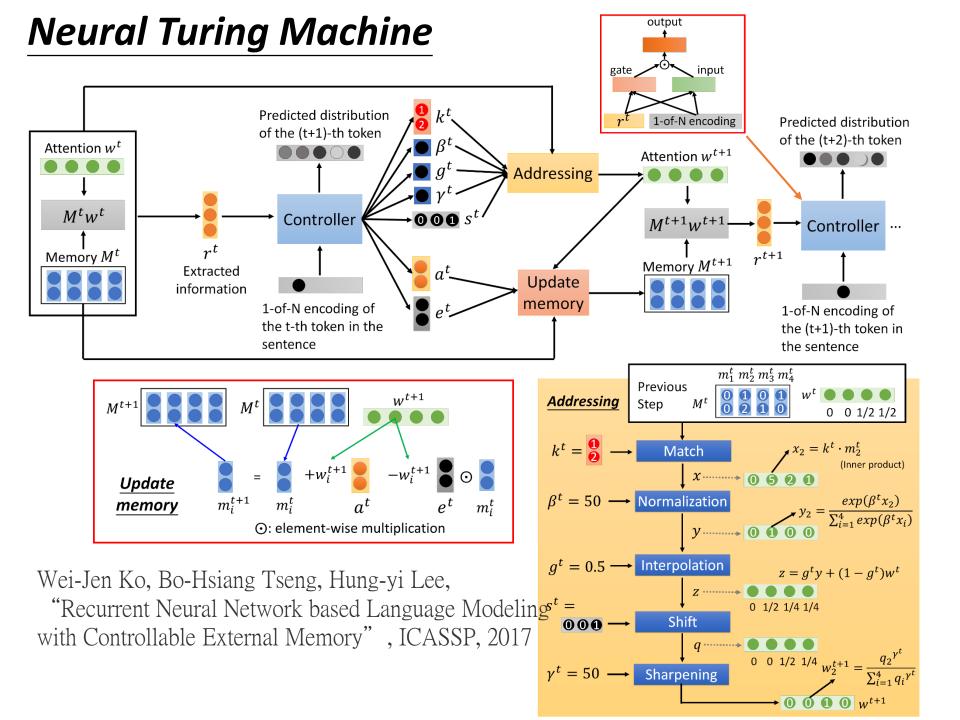
https://www.quora.com/How-does-the-Von-Neumann-architectureprovide-flexibility-for-program-development



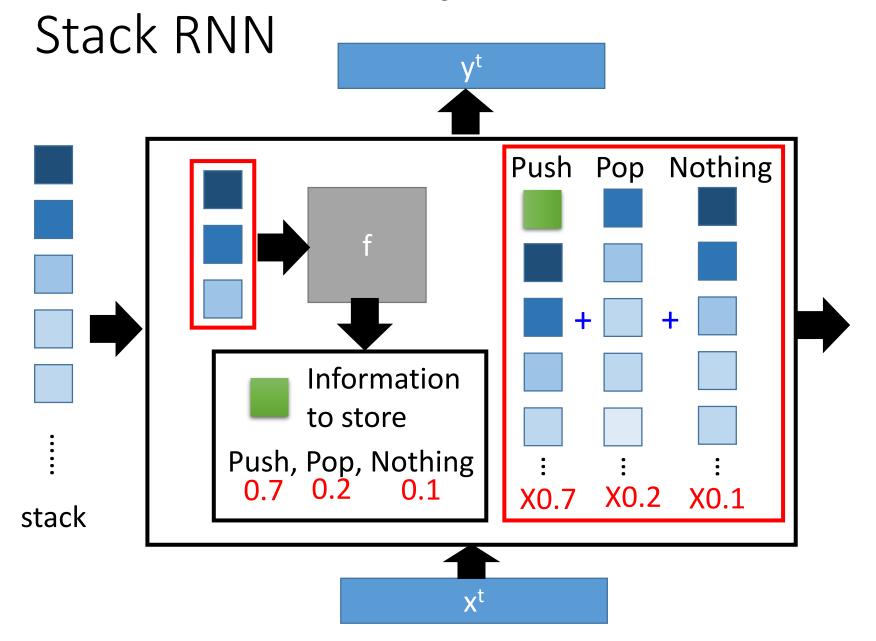




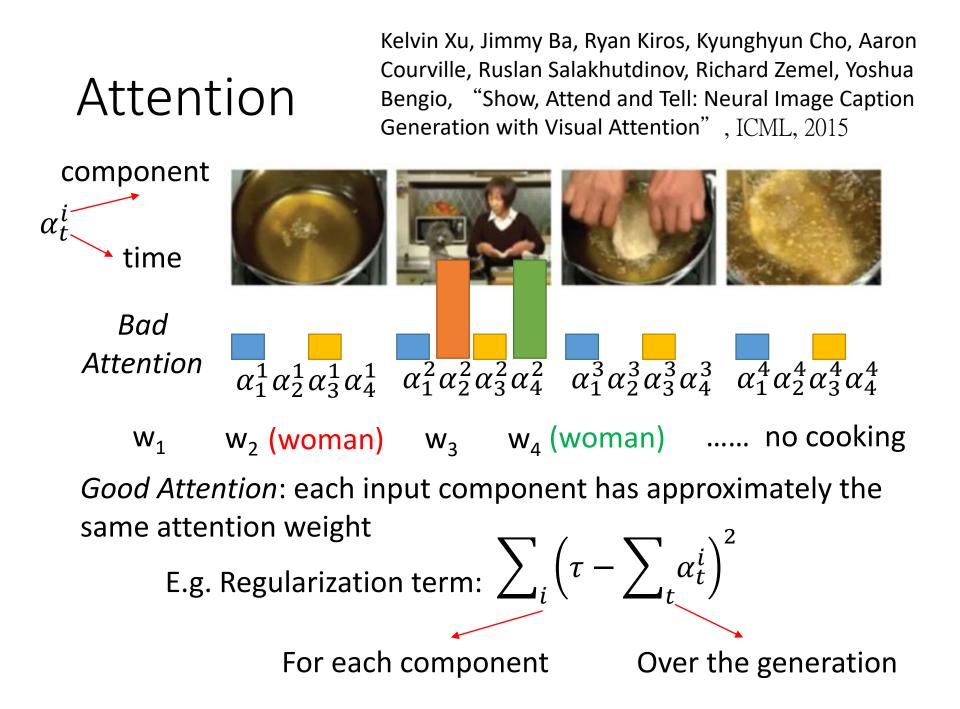




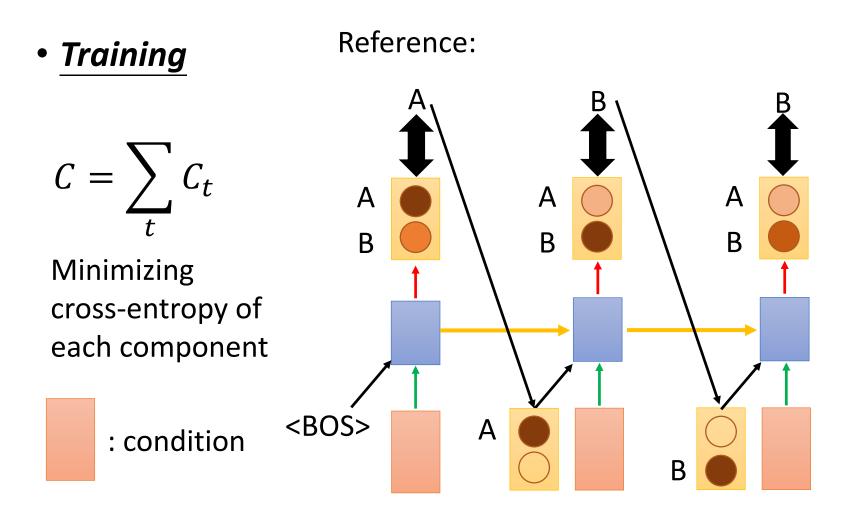
Armand Joulin, Tomas Mikolov, Inferring Algorithmic Patterns with Stack-Augmented Recurrent Nets, arXiv Pre-Print, 2015



# Tips for Generation



### Mismatch between Train and Test



### Mismatch between Train and Test

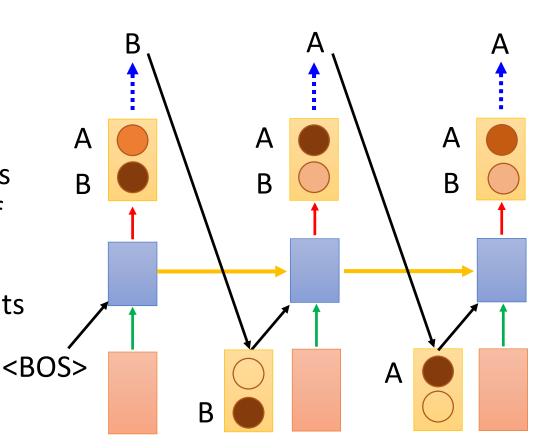
Generation

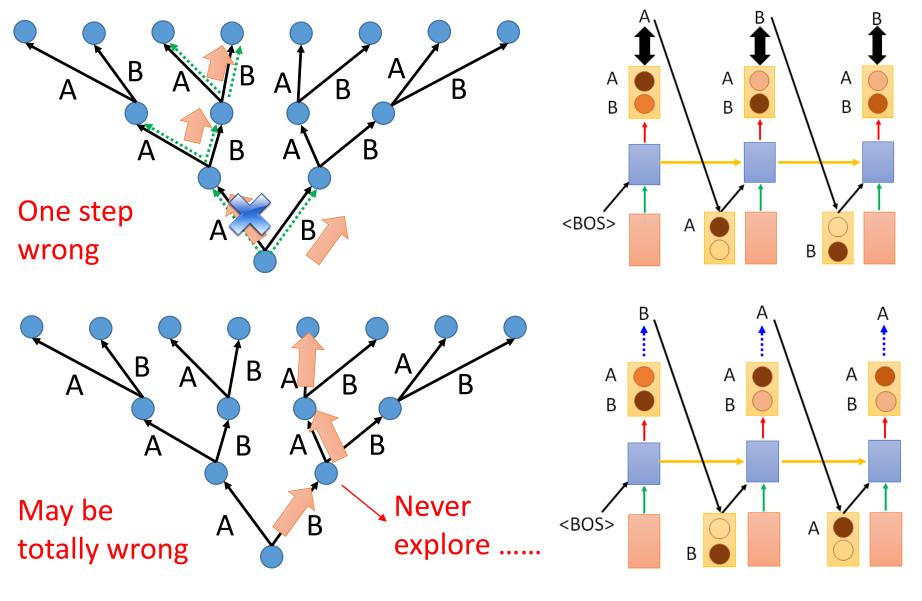
We do not know the reference

Testing: The inputs are the outputs of the last time step.

Training: The inputs are reference.

**Exposure Bias** 





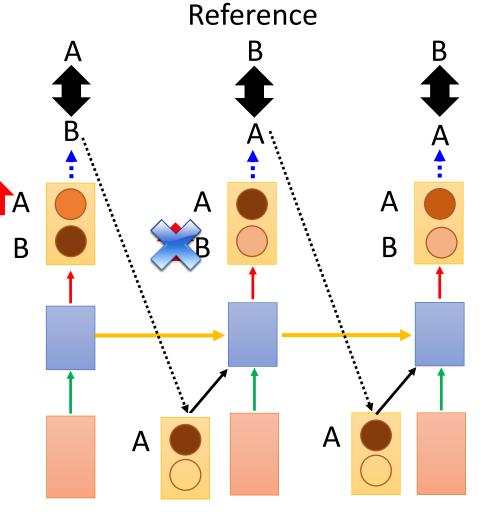
一步錯,步步錯

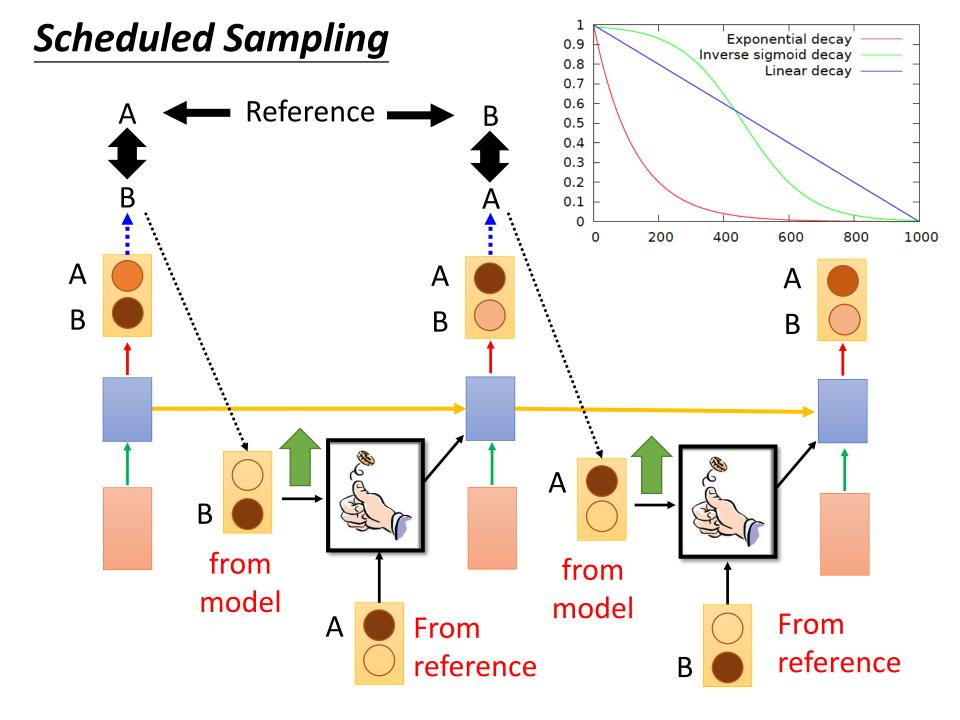
## Modifying Training Process?

When we try to decrease the loss for both steps 1 and 2 .....

Training is matched to testing.

In practice, it is hard to train in this way.





# Scheduled Sampling

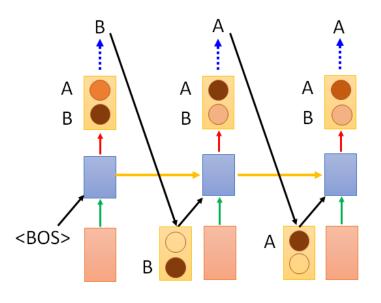
Caption generation on MSCOCO

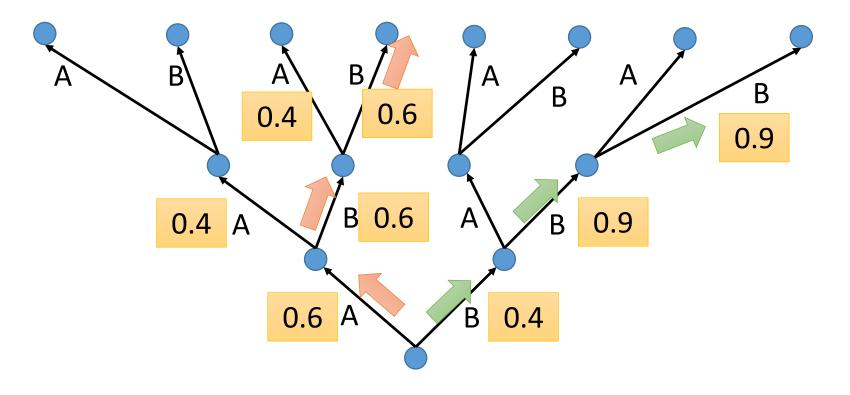
	BLEU-4	METEOR	CIDER
Always from reference	28.8	24.2	89.5
Always from model	11.2	15.7	49.7
Scheduled Sampling	30.6	24.3	92.1

Samy Bengio, Oriol Vinyals, Navdeep Jaitly, Noam Shazeer, Scheduled Sampling for Sequence Prediction with Recurrent Neural Networks, arXiv preprint, 2015

### Beam Search

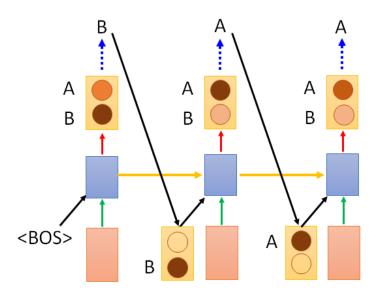
The green path has higher score. Not possible to check all the paths

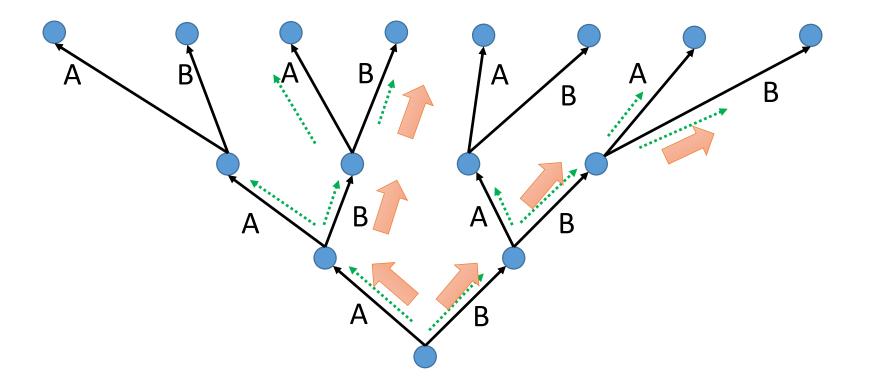




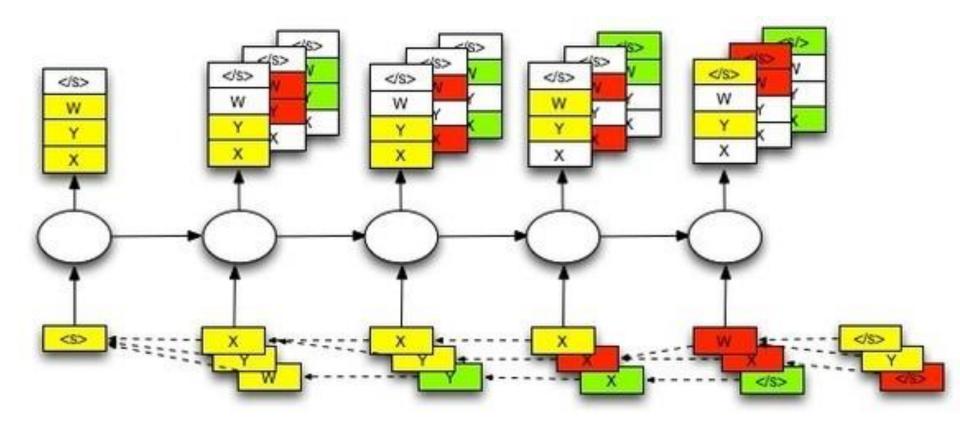
### Beam Search

Keep several best path at each step Beam size = 2



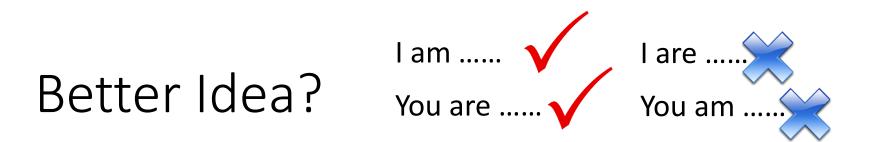


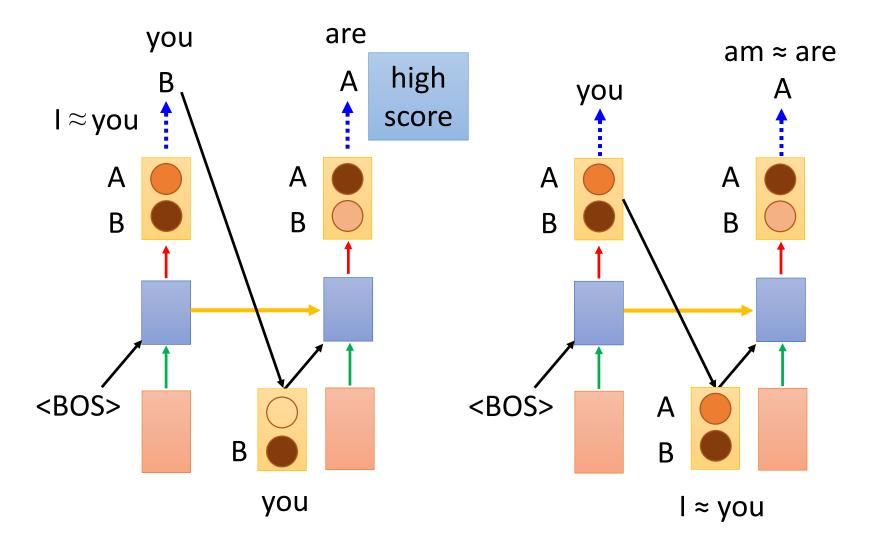
### Beam Search



The size of beam is 3 in this example.

https://github.com/tensorflow/tensorflow/issues/654#issuecomment-169009989

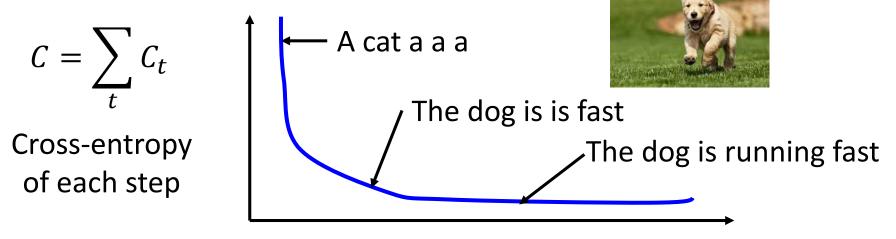




# Object level v.s. Component level

 Minimizing the error defined on component level is not equivalent to improving the generated objects

Ref: The dog is running fast



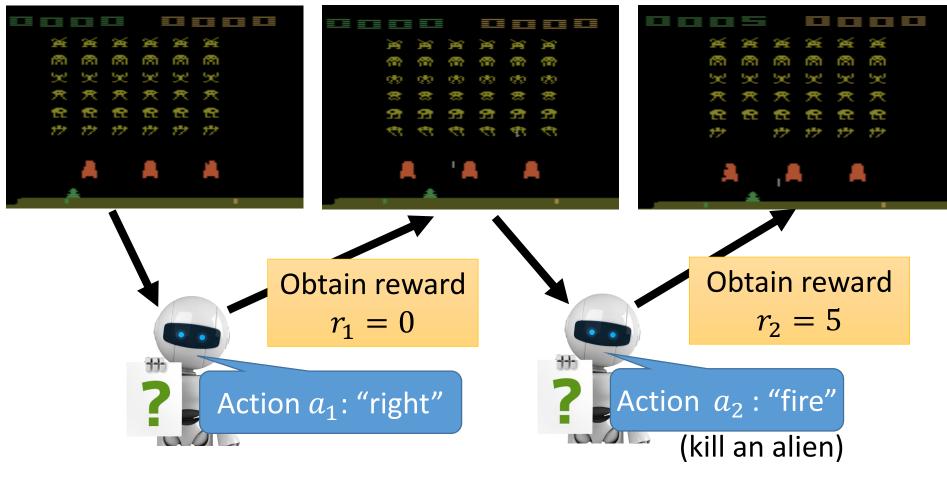
Optimize object-level criterion instead of component-level crossentropy. object-level criterion:  $R(y, \hat{y})$  Gradient Descent? y: generated utterance,  $\hat{y}$ : ground truth

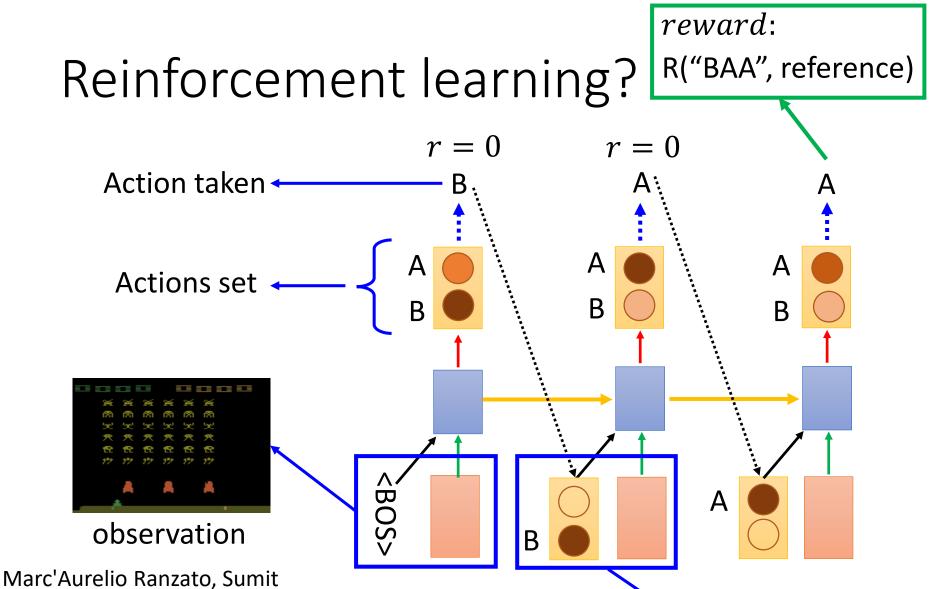
### Reinforcement learning?

# Start with observation *s*<sub>1</sub>

#### Observation $s_2$

#### Observation $s_3$





Chopra, Michael Auli, Wojciech Zaremba, "Sequence Level Training with Recurrent Neural Networks", ICLR, 2016

The action we take influence the observation in the next step

## Concluding Remarks

- RNN with Gated Mechanism
- Sequence Generation
- Conditional Sequence Generation
- Tips for Generation