## Lesson 8. Reducibility

CSIE 3110 - Formal Languages and Automata Theory

Tony Tan
Department of Computer Science and Information Engineering
College of Electrical Engineering and Computer Science
National Taiwan University

## Table of contents

1. Reductions
2. Some variants of the halting problem
3. Some undecidable problems concerning CFL

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## Recall

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This technique is called reductions.

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Suppose we are given two problems (languages) $K$ and $L$.

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Intuitively, this reduction means problem $L$ is more "general" than problem $K$. That is, problem $L$ is "harder" than problem $K$.

So, if problem $K$ is undecidable, then problem $L$ is undecidable too.

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- Find an algorithm for $L$.
- Find a language $K$ that is known to be undecidable.
- Show how to reduce $K$ to $L$


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Two types of reductions: Mapping reductions and Turing reductions.

## Computable functions

Let $F: \Sigma^{*} \rightarrow \Sigma^{*}$ be a function from $\Sigma^{*}$ to $\Sigma^{*}$.
(Def.) A Turing machine $\mathcal{M}$ computes the function $F$, if $\mathcal{M}$ is a 2-tape Turing machine that accepts every word $w \in \Sigma^{*}$ and when it halts, the content of its second tape is $F(w)$.

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Note that for $\mathcal{M}$ to compute $F$, the content of the first tape can be anything when it halts. The main point is that when $\mathcal{M}$ halts, the content of the second tape is $F(w)$.
(Def.) A function $F: \Sigma^{*} \rightarrow \Sigma^{*}$ is computable, if there is a Turing machine that computes it.

## Computable functions by multi-tape Turing machines

The Turing machine $\mathcal{M}$ that computes $F$ can be any multi-tape Turing machine with a designated output tape that contains the output string.


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The Turing machine $\mathcal{M}$ that computes $F$ can be any multi-tape Turing machine with a designated output tape that contains the output string.

(Note) Any function that can be computed by a multi-tape Turing machine can also be computed by a 2-tape Turing machine.

## Mapping reductions

(Def.) A language $L_{1}$ is mapping reducible to another language $L_{2}$, denoted by:

$$
L_{1} \leqslant m \quad L_{2}
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if there is a computable function $F$ such that for every $w \in \Sigma^{*}$ :

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Intuitively $L_{1} \leqslant_{m} L_{2}$ means " $L_{2}$ is (computationally) more general than $L_{1}$ ".

It also means that a Turing machine that decides $L_{2}$ can be used to decide $L_{1}$.

## Turing reductions

(Def.) A language $L_{1}$ is Turing reducible to another language $L_{2}$, denoted by:

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L_{1} \leqslant T \quad L_{2}
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if there is a Turing machine $\mathcal{M}_{2}$ that decides $L_{2}$, then there is a Turing machine $\mathcal{M}_{1}$ that decides $L_{1}$ using $\mathcal{M}_{2}$ as a "subroutine."

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(Def.) We call $\mathcal{M}_{1}$ a Turing machine with oracle access to $L_{2}$.

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$\Rightarrow$ Very important!


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- $w \in L_{1}$ if and only if $v \in L_{2} . \quad \Rightarrow$ Very important!
- Inside the algorithm we do not assume/use anything about $L_{2}$.
- View it this way: If $L_{2}$ is decidable by, say, $\mathcal{M}_{2}$, then in the algorithm we can only use $\mathcal{M}_{2}$ once(!).
The answer provided by $\mathcal{M}_{2}$ must also be the answer to whether $w \in L_{1}$.

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- Inside the algorithm the Turing machine $\mathcal{M}_{2}$ can be called multiple times.
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Note that:

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\lfloor\mathcal{M}\rfloor \in \text { HALT }_{0} \quad \text { if and only if } \quad\lfloor\mathcal{M}\rfloor \$\lfloor\mathcal{M}\rfloor \in \text { HALT }
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On input \lfloor\mathcal{M}\rfloor:
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- $L_{3}:=\{\lfloor\mathcal{M}\rfloor \mid \mathcal{M}$ accepts the word 1101$\}$.
- $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$.


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- $L_{5}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})$ is a regular language $\}$.


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## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable

We show that HALT $\leqslant_{m} \bar{L}_{0}$, where $\bar{L}_{0}$ is the complement of $L_{0}$.

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On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

On input $u$ :

- Run $\mathcal{M}$ on $w$.
- If $\mathcal{M}$ accepts $w$, ACCEPT.
- If $\mathcal{M}$ rejects $w$, REJECT.
(Note: ACCEPT and REJECT above are inside $\mathcal{K}_{\mathcal{M}, w}$.)
- Output $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor$.


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- Output $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor$.

If $\lfloor\mathcal{M}\rfloor \$ w \in$ HALT,

## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable

We show that HALT $\leqslant_{m} \bar{L}_{0}$, where $\bar{L}_{0}$ is the complement of $L_{0}$.
On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

On input $u$ :

- Run $\mathcal{M}$ on $w$.
- If $\mathcal{M}$ accepts $w$, ACCEPT.
- If $\mathcal{M}$ rejects $w$, REJECT.
(Note: ACCEPT and REJECT above are inside $\mathcal{K}_{\mathcal{M}, w}$.)
- Output $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor$.

If $\lfloor\mathcal{M}\rfloor \$ w \in$ HALT, then $L\left(\mathcal{K}_{\mathcal{M}, w}\right)=\Sigma^{*}$, so, $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in \bar{L}_{0}$.

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Thus,

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\lfloor\mathcal{M}\rfloor \$ w \in \text { HALT } \quad \text { if and only if } \quad\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in \bar{L}_{0}
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- Output $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor$.

If $\lfloor\mathcal{M}\rfloor \$ w \in$ HALT, then $L\left(\mathcal{K}_{\mathcal{M}, w}\right)=\Sigma^{*}$, so, $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in \bar{L}_{0}$.
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Thus,

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\lfloor\mathcal{M}\rfloor \$ w \in \text { HALT } \quad \text { if and only if } \quad\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in \bar{L}_{0}
$$

So, HALT $\leqslant m \bar{L}_{0}$.

## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable - illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

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## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable - illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

$$
\begin{aligned}
& \text { On input } u \text { : } \\
& \text { - Run } \mathcal{M} \text { on } w . \\
& \text { - If } \mathcal{M} \text { accepts } w \text {, ACCEPT. } \\
& \text { - If } \mathcal{M} \text { rejects } w \text {, REJECT. }
\end{aligned}
$$

Add the following: (where $w=a_{1} a_{2} \cdots a_{n}$ )


## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable - illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

```
On input u:
- Run }\mathcal{M}\mathrm{ on w.
- If }\mathcal{M}\mathrm{ accepts }w\mathrm{ , ACCEPT.
- If }\mathcal{M}\mathrm{ rejects w, REJECT.
```

Add the following: (where $w=a_{1} a_{2} \cdots a_{n}$ )


- Make $p_{0}$ the initial state of $\mathcal{K}_{\mathcal{M}, w}$.
- The accept state of $\mathcal{K}_{\mathcal{M}, w}$ is the accept state of $\mathcal{M}$.
- The reject state of $\mathcal{K}_{\mathcal{M}, w}$ is the reject state of $\mathcal{M}$.


## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable - illustration

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& \text { - If } \mathcal{M} \text { rejects } w \text {, REJECT. }
\end{aligned}
$$

Add the following: (where $w=a_{1} a_{2} \cdots a_{n}$ )


Rewrite the content of the tape to be $w$.

## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable - illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

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> - Run $\mathcal{M}$ on $w$.
> - If $\mathcal{M}$ accepts $w$, ACCEPT.
> - If $\mathcal{M}$ rejects $w$, REJECT.

Add the following: (where $w=a_{1} a_{2} \cdots a_{n}$ )

"Erase" the remaining of the input $v$ when $|v|>|w|$.

## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable - illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

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> On input $u$ :
> - Run $\mathcal{M}$ on $w$.
> - If $\mathcal{M}$ accepts $w$, ACCEPT.
> - If $\mathcal{M}$ rejects $w$, REJECT.

Add the following: (where $w=a_{1} a_{2} \cdots a_{n}$ )


Move the head back to the beginning of the tape.

## Proof that $L_{0}:=\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\emptyset\}$ is undecidable - illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

```
On input u:
- Run M on w.
- If }\mathcal{M}\mathrm{ accepts }w\mathrm{ , ACCEPT.
- If }\mathcal{M}\mathrm{ rejects w, REJECT.
```

Add the following: (where $w=a_{1} a_{2} \cdots a_{n}$ )


When the head reaches the left-end marker $\triangleleft$, it moves right.
It enters the state $q_{0}$ of $\mathcal{M}$ (i.e., to run $\mathcal{M}$ on $w$ ).

## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable

Let $\mathcal{A}$ be a TM that decides the language $\left\{a^{n} b^{n} \mid n \geqslant 0\right\}$.

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On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

On input $u$ :

- Run $\mathcal{A}$ on $u$. (to check if $u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\}$.)
- If $\mathcal{A}$ rejects $u$, REJECT.
- If $\mathcal{A}$ accepts $u$ :
* Run $\mathcal{M}$ on $w$.
* If $\mathcal{M}$ accepts $w$, ACCEPT.
* If $\mathcal{M}$ rejects $w$, REJECT.
- Output $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor$.


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If $\lfloor\mathcal{M}\rfloor \$ w \in$ HALT, then $L\left(\mathcal{K}_{\mathcal{M}, w}\right)=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}$, so, $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in L_{4}$.
If $\lfloor\mathcal{M}\rfloor \$ w \notin \mathrm{HALT}$, then $L\left(\mathcal{K}_{\mathcal{M}, w}\right)=\emptyset$, so, $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \notin L_{4}$.
Thus,

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\lfloor\mathcal{M}\rfloor \$ w \in \text { HALT } \quad \text { if and only if } \quad\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in L_{4}
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- If \mathcal{A accepts }u\mathrm{ :}
    * Run }\mathcal{M}\mathrm{ on w.
    * If }\mathcal{M}\mathrm{ accepts }w\mathrm{ , ACCEPT.
    * If }\mathcal{M}\mathrm{ rejects w, REJECT.
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- Run $\mathcal{A}$ on $u$. (to check if $u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\}$.)
- Output $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor$.

If $\lfloor\mathcal{M}\rfloor \$ w \in$ HALT, then $L\left(\mathcal{K}_{\mathcal{M}, w}\right)=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}$, so, $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in L_{4}$.
If $\lfloor\mathcal{M}\rfloor \$ w \notin \mathrm{HALT}$, then $L\left(\mathcal{K}_{\mathcal{M}, w}\right)=\emptyset$, so, $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \notin L_{4}$.
Thus,

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\lfloor\mathcal{M}\rfloor \$ w \in \text { HALT } \quad \text { if and only if } \quad\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in L_{4}
$$

So, HALT $\leqslant_{m} L_{4}$.

## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable - Illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

```
On input u:
- Run }\mathcal{A}\mathrm{ on }u\mathrm{ .
- If \mathcal{A rejects }u\mathrm{ , REJECT.}
- If \mathcal{A accepts }u\mathrm{ :}
    * Run }\mathcal{M}\mathrm{ on w.
    * If }\mathcal{M}\mathrm{ accepts w, ACCEPT.
    * If }\mathcal{M}\mathrm{ rejects w, REJECT.
```

(to check if $u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\}$.)

## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable - Illustration

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- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

$$
\begin{aligned}
& \text { On input } u \text { : } \\
& \text { - Run } \mathcal{A} \text { on } u \text {. } \\
& \text { - If } \mathcal{A} \text { rejects } u \text {, REJECT. } \\
& \text { - If } \mathcal{A} \text { accepts } u \text { : } \\
& \text { * Run } \mathcal{M} \text { on } w . \\
& \text { * If } \mathcal{M} \text { accepts } w, \text { ACCEPT. } \\
& \text { * If } \mathcal{M} \text { rejects } w \text {, REJECT. }
\end{aligned}
$$

$$
-\operatorname{Run} \mathcal{A} \text { on } u . \quad \text { (to check if } u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\} . \text { ) }
$$

$\mathcal{K}_{\mathcal{M}, w}$ :


## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable - Illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M}, w}$ :

> On input $u$ : - Run $\mathcal{A}$ on $u$. - If $\mathcal{A}$ rejects $u$, REJECT. - If $\mathcal{A}$ accepts $u$ : * Run $\mathcal{M}$ on $w$. * If $\mathcal{M}$ accepts $w$, ACCEPT. * If $\mathcal{M}$ rejects $w$, REJECT.

- Run $\mathcal{A}$ on $u . \quad$ (to check if $u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\}$. )
$\mathcal{K}_{\mathcal{M}, w}$ :


Turing machine $\mathcal{B}$ writes $w$ on the tape and enters $q_{0}^{\mathcal{M}}$ (to run $\mathcal{M}$ on $w$ ).

## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable - Illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

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$$
\begin{aligned}
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& \text { - If } \mathcal{A} \text { rejects } u \text {, REJECT. } \\
& \text { - If } \mathcal{A} \text { accepts } u \text { : } \\
& \text { * Run } \mathcal{M} \text { on } w . \\
& \text { * If } \mathcal{M} \text { accepts } w, \text { ACCEPT. } \\
& \text { * If } \mathcal{M} \text { rejects } w \text {, REJECT. }
\end{aligned}
$$

$$
-\operatorname{Run} \mathcal{A} \text { on } u . \quad \text { (to check if } u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\} . \text { ) }
$$

$\mathcal{K}_{\mathcal{M}, w}$ :

$q_{0}^{\mathcal{A}}$ is the initial state.

## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable - Illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

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$$
\begin{aligned}
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& \text { - If } \mathcal{A} \text { rejects } u \text {, REJECT. } \\
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& \text { * Run } \mathcal{M} \text { on } w . \\
& \text { * If } \mathcal{M} \text { accepts } w, \text { ACCEPT. } \\
& \text { * If } \mathcal{M} \text { rejects } w \text {, REJECT. }
\end{aligned}
$$

$$
-\operatorname{Run} \mathcal{A} \text { on } u . \quad \text { (to check if } u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\} . \text { ) }
$$

$\mathcal{K}_{\mathcal{M}, w}:$

$q_{\text {acc }}^{\mathcal{M}}$ is the accept state.

## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable - Illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

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$$
\begin{aligned}
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& \text { - Run } \mathcal{A} \text { on } u \text {. } \\
& \text { - If } \mathcal{A} \text { rejects } u \text {, REJECT. } \\
& \text { - If } \mathcal{A} \text { accepts } u \text { : } \\
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-\operatorname{Run} \mathcal{A} \text { on } u . \quad \text { (to check if } u \in\left\{a^{n} b^{n} \mid n \geqslant 0\right\} . \text { ) }
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$\mathcal{K}_{\mathcal{M}, w}:$

$q_{\mathrm{rej}}^{\mathcal{M}}$ is the reject state

## Proof that $L_{4}:=\left\{\lfloor\mathcal{M}\rfloor \mid L(\mathcal{M})=\left\{a^{n} b^{n} \mid n \geqslant 0\right\}\right\}$ is undecidable - Illustration

On input $\lfloor\mathcal{M}\rfloor \$ w$ :

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$$
\begin{aligned}
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$\mathcal{K}_{\mathcal{M}, w}$ :


Add a transition so that from $q_{\mathrm{rej}}^{\mathcal{A}}$ the TM enters $q_{\mathrm{rej}}^{\mathcal{M}}$.

## Rice's theorem

The proof can be generalized to the so called Rice's theorem.

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(Def.) Let $P$ be a set of descriptions of Turing machines.
$P$ is a property, if for every Turing machines $\mathcal{M}_{1}$ and $\mathcal{M}_{2}$, if:

$$
L\left(\mathcal{M}_{1}\right)=L\left(\mathcal{M}_{2}\right)
$$

then:

$$
\text { either }\left\lfloor\mathcal{M}_{1}\right\rfloor,\left\lfloor\mathcal{M}_{2}\right\rfloor \in P \quad \text { or } \quad\left\lfloor\mathcal{M}_{1}\right\rfloor,\left\lfloor\mathcal{M}_{2}\right\rfloor \notin P
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The criteria for $\lfloor\mathcal{M}\rfloor$ to be in $P$ depends on the language $L(\mathcal{M})$, and not on the string $\lfloor\mathcal{M}\rfloor$ itself.
(Def.) A property $P$ is called a trivial property, if:
either $\quad P=\emptyset \quad$ or $\quad P$ contains all the descriptions of Turing machines

## Rice's theorem - continued

Theorem 8.6 (Rice's theorem)
For a property $P$, if $P$ is not a trivial property, then $P$ is undecidable.

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Let $\mathcal{A}$ be a Turing machine where $\lfloor\mathcal{A}\rfloor \in P$.
Such $\mathcal{A}$ exists since $P$ is not trivial.

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We show that HALT $\leqslant_{m} P$.

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```
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- Output $\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor$.

By similar reasoning as the proof of the undecidability of $L_{4}$ :
$\mathcal{M} \$ w \in$ HALT $\quad$ if and only if $\quad\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in P$

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\mathcal{M} \$ w \in \text { HALT } \quad \text { if and only if } \quad\left\lfloor\mathcal{K}_{\mathcal{M}, w}\right\rfloor \in P
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Thus, we have proved Rice's theorem for the case where $P$ does not contain $\lfloor\mathcal{M}\rfloor$ where $L(\mathcal{M})=\emptyset$

## The proof of Rice's theorem - continued

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So we can pick a Turing machine $\mathcal{A}$ where $\lfloor\mathcal{A}\rfloor \in \bar{P}$.

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The previous case already establishes HALT $\leqslant_{m} \bar{P}$.

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The previous case already establishes HALT $\leqslant_{m} \bar{P}$.
This means $\bar{P}$ is undecidable, and hence, $P$ is also undecidable.

## Table of contents

## 1. Reductions

2. Some variants of the halting problem
3. Some undecidable problems concerning CFL

## CFL intersection

## CFL-Intersection

Input: Two CFG $\mathcal{G}_{1}=\left\langle\Sigma, V_{1}, R_{1}, S_{1}\right\rangle$ and $\mathcal{G}_{2}=\left\langle\Sigma, V_{2}, R_{2}, S_{2}\right\rangle$, where $\Sigma=\{0,1\}$.
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- The variables can be encoded as $\langle i\rangle$, where $i$ is an integer (written in binary) between 0 and $n-1$.
- A rule, say, $S \rightarrow 0 \times 11$ is encoded as $\langle 0\rangle \rightarrow 0\langle 3\rangle 11$. (Assuming that $S$ is represented as 0 and $X$ as 3 ).


## The problem/language CFL-Intersection is undecidable

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We will show that HALT $\leqslant_{m}$ CFL-Intersection.

We assume that HALT contains only $\lfloor\mathcal{M}\rfloor \$ w$ where $\mathcal{M}$ is a 1-tape Turing machine and $\mathcal{M}$ accepts $w$.

## Some observations

Let $\mathcal{M}$ be a Turing machine.

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- Add some states, so that for every word $w$ accepted by $\mathcal{M}$, the run has odd length:

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After adding those states, the following holds for every word $w$ :

- If $\mathcal{M}$ accepts $w$, then the run is finite and has odd length.
- If $\mathcal{M}$ does not $w$, then the run is infinite.


## Some observations - continued

Recall that the states of a Turing machines $\mathcal{M}$ are represented as numbers written in binary form. Thus, the run (1) can be viewed as a string over the alphabet $\{\vdash, 0,1, \check{\sqcup},[]$,$\} , where we write [i]$ to represent the state in the configuration.

## The reduction HALT $\leqslant_{m}$ CFL-Intersection

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On input $\lfloor\mathcal{M}\rfloor \$ w$, construct $\mathcal{G}_{1}$ and $\mathcal{G}_{2}$ such that:

- If $\mathcal{M} \$ w \in \mathrm{HALT}$, then $L\left(\mathcal{G}_{1}\right) \cap L\left(\mathcal{G}_{2}\right)$ contains exactly one word:

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- If $\mathcal{M} \$ w \notin$ HALT, then $L\left(\mathcal{G}_{1}\right) \cap L\left(\mathcal{G}_{2}\right)=\emptyset$.
(Def.) We call the string: $C_{0} \vdash C_{1}^{r} \vdash C_{2} \vdash C_{3}^{r} \vdash \cdots \vdash C_{n}^{r}$ the reverse representation of the run: $C_{0} \vdash C_{1} \vdash C_{2} \vdash C_{3} \vdash \cdots \vdash C_{n}$.


## The construction of $\mathcal{G}_{1}$ and $\mathcal{G}_{2}$

A string $u_{0} \vdash u_{1} \vdash u_{2} \vdash u_{3} \vdash \cdots \vdash u_{n}$ is the reverse representation of the run of $\mathcal{M}$ on $w$, if:

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(b) $u_{0}$ is the initial configuration of $\mathcal{M}$ on $w$.

## The construction of $\mathcal{G}_{1}$ and $\mathcal{G}_{2}$

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(a) $n$ is an odd number, i.e., the symbol $\vdash$ appears even number of times.
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(c) $u_{i-1} \vdash u_{i}^{r}$, for each odd $i$ in between 1 and $n$.

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There is an algorithm where on input $\lfloor\mathcal{M}\rfloor$, it constructs a CFG $\mathcal{G}_{1}$ such that $\mathcal{G}_{1}$ generates the strings that satisfies conditions (a), (b) and (c).

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(See Note 8 for the details.)

## The reduction HALT $\leqslant_{m}$ CFL-Intersection

On input $\lfloor\mathcal{M}\rfloor \$ w$, do the following.

- Add some new states to $\mathcal{M}$ so that: $\mathcal{M}$ accepts $w$ iff the run of $\mathcal{M}$ on $w$ is finite and has odd length.
- Construct $\mathcal{G}_{1}$ that generates words satisfying conditions (a), (b) and (c).
- Construct $\mathcal{G}_{2}$ that generates words satisfying conditions (d) and (e).
- Output $\left\lfloor\mathcal{G}_{1}\right\rfloor \$\left\lfloor\mathcal{G}_{2}\right\rfloor$.


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Thus,

$$
\lfloor\mathcal{M}\rfloor \$ w \in \text { HALT } \quad \text { if and only if } \quad L\left(\mathcal{G}_{1}\right) \cap L\left(\mathcal{G}_{2}\right) \neq \emptyset
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Hence, CFL-Intersection is undecidable.

## CFL universality

## CFL-Universality

Input: $\quad$ A CFG $\mathcal{G}=\langle\Sigma, V, R, S\rangle$ where $\Sigma=\{0,1\}$.
Task: Output True, if $L(\mathcal{G})=\Sigma^{*}$. Otherwise, output False.

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Similar to CFL-Intersection, the problem CFL-Universality can be viewed as language.

## Theorem 8.9

The problem CFL-Universality is undecidable.

## Proof that CFL-Universality is undecidable

The proof is similar to Theorem 8.8.

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We describe an algorithm that does the following.
On input $\lfloor\mathcal{M}\rfloor \$ w$ :

- Construct a CFG $\mathcal{G}$ such that:
$\mathcal{G}$ generates all strings that are not(!) the run of $\mathcal{M}$ on $w$.


## Proof that CFL-Universality is undecidable

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If $\lfloor\mathcal{M}\rfloor \$ w \notin$ HALT, then $L(\mathcal{G})=\Sigma^{*}$.
If $\lfloor\mathcal{M}\rfloor \$ w \in$ HALT, then $L(\mathcal{G}) \neq \Sigma^{*}$.

## Proof that CFL-Universality is undecidable

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On input $\lfloor\mathcal{M}\rfloor \$ w$ :

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If $\lfloor\mathcal{M}\rfloor \$ w \notin$ HALT, then $L(\mathcal{G})=\Sigma^{*}$.
If $\lfloor\mathcal{M}\rfloor \$ w \in$ HALT, then $L(\mathcal{G}) \neq \Sigma^{*}$.
Thus,

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\lfloor\mathcal{M}\rfloor \$ w \in \text { HALT } \quad \text { if and only if } \quad L(\mathcal{G}) \neq \Sigma^{*}
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## The construction of the CFG $\mathcal{G}$

A word $u_{0} \vdash u_{1} \vdash u_{2} \vdash u_{3} \cdots \vdash u_{n}$ is not the reverse representation of the run $\mathcal{M}$ on $w$, if at least one of the following holds.

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(C1) The symbol $\vdash$ appears even number of times.

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(C2) $u_{0}$ is not the initial configuration.

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(C1) The symbol $\vdash$ appears even number of times.
(C2) $u_{0}$ is not the initial configuration.
(C3) For some $0 \leqslant i \leqslant n$, the string $u_{i}$ is not a configuration.
It does not contain a state or the states appear at least twice or the brackets [ and ] do not appear "properly" or inside the bracket [ and ] is not a state of $\mathcal{M}$.

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It does not contain a state or the states appear at least twice or the brackets [ and ] do not appear "properly" or inside the bracket [ and ] is not a state of $\mathcal{M}$.
(C4) For some $0 \leqslant i \leqslant n-1$, the string $u_{i} \vdash u_{i}$ is not according to the transitions of $\mathcal{M}$.
(C5) For some $o \leqslant i \leqslant n-1$, the string $u_{i}$ is not the reverse of $u_{i+1}$ (disregarding the state symbol and the symbols next to the state in both $u_{i}$ and $u_{i+1}$ ).

## The construction of the CFG $\mathcal{G}$

A word $u_{0} \vdash u_{1} \vdash u_{2} \vdash u_{3} \cdots \vdash u_{n}$ is not the reverse representation of the run $\mathcal{M}$ on $w$, if at least one of the following holds.
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It does not contain a state or the states appear at least twice or the brackets [ and ] do not appear "properly" or inside the bracket [ and ] is not a state of $\mathcal{M}$.
(C4) For some $0 \leqslant i \leqslant n-1$, the string $u_{i} \vdash u_{i}$ is not according to the transitions of $\mathcal{M}$.
(C5) For some $o \leqslant i \leqslant n-1$, the string $u_{i}$ is not the reverse of $u_{i+1}$ (disregarding the state symbol and the symbols next to the state in both $u_{i}$ and $\left.u_{i+1}\right)$.
(C6) The last string $u_{n}$ does not contain $q_{\text {acc }}$.

## The construction of the CFG $\mathcal{G}$ - continued

We can construct one CFG $\mathcal{G}_{i}$ that generates all the strings that satisfy one condition (Ci), where $1 \leqslant i \leqslant 6$.

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It is useful to recall that CFL are closed union.

## The construction of the CFG $\mathcal{G}$ - continued

We can construct one CFG $\mathcal{G}_{i}$ that generates all the strings that satisfy one condition (Ci), where $1 \leqslant i \leqslant 6$.

It is useful to recall that CFL are closed union.

The final CFG $\mathcal{G}$ generates $L\left(\mathcal{G}_{1}\right) \cup \cdots \cup L\left(\mathcal{G}_{6}\right)$.

## The reduction HALT $\leqslant \tau$ CFL-Universality

The following algorithm assumes that there is an algorithm for checking whether $L(\mathcal{G})=\Sigma^{*}$.

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On input $\lfloor\mathcal{M}\rfloor \$ w$, do the following.

## The reduction HALT $\leqslant_{T}$ CFL-Universality

The following algorithm assumes that there is an algorithm for checking whether $L(\mathcal{G})=\Sigma^{*}$.

On input $\lfloor\mathcal{M}\rfloor \$ w$, do the following.

- Construct the CFG $\mathcal{G}$ that generates words where at least one of (C1)-(C6) holds.


## The reduction HALT $\leqslant_{T}$ CFL-Universality

The following algorithm assumes that there is an algorithm for checking whether $L(\mathcal{G})=\Sigma^{*}$.

On input $\lfloor\mathcal{M}\rfloor \$ w$, do the following.

- Construct the CFG $\mathcal{G}$ that generates words where at least one of (C1)-(C6) holds.
- If $L(\mathcal{G})=\Sigma^{*}$, then REJECT. If $L(\mathcal{G}) \neq \Sigma^{*}$, then ACCEPT.


## The reduction HALT $\leqslant_{T}$ CFL-Universality

The following algorithm assumes that there is an algorithm for checking whether $L(\mathcal{G})=\Sigma^{*}$.

On input $\lfloor\mathcal{M}\rfloor \$ w$, do the following.

- Construct the CFG $\mathcal{G}$ that generates words where at least one of (C1)-(C6) holds.
- If $L(\mathcal{G})=\Sigma^{*}$, then REJECT. If $L(\mathcal{G}) \neq \Sigma^{*}$, then ACCEPT.

The algorithm is correct due to:

$$
\lfloor\mathcal{M}\rfloor \$ w \in \text { HALT } \quad \text { if and only if } \quad L(\mathcal{G}) \neq \Sigma^{*}
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## To conclude:

CFL-Intersection and CFL-Universality are both undecidable.

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CFL-Intersection and CFL-Universality are both undecidable.

Consider the following problem.

## CFL-Subset

Input: Two CFG $\mathcal{G}_{1}=\left\langle\Sigma, V_{1}, R_{1}, S_{1}\right\rangle$ and $\mathcal{G}_{2}=\left\langle\Sigma, V_{2}, R_{2}, S_{2}\right\rangle$, where $\Sigma=\{0,1\}$.
Task: Output True, if $L\left(\mathcal{G}_{1}\right) \subseteq L\left(\mathcal{G}_{2}\right)$. Otherwise, output False.

## To conclude:

CFL-Intersection and CFL-Universality are both undecidable.

Consider the following problem.

## CFL-Subset

Input: Two CFG $\mathcal{G}_{1}=\left\langle\Sigma, V_{1}, R_{1}, S_{1}\right\rangle$ and $\mathcal{G}_{2}=\left\langle\Sigma, V_{2}, R_{2}, S_{2}\right\rangle$, where $\Sigma=\{0,1\}$.
Task: Output True, if $L\left(\mathcal{G}_{1}\right) \subseteq L\left(\mathcal{G}_{2}\right)$. Otherwise, output False.

The following is a direct consequence of the undecidability of CFL-Universality.

## Corollary 8.10

The problem CFL-Subset is undecidable.

## End of Lesson 8

