Lesson 8. Reducibility

CSIE 3110 - Formal Languages and Automata Theory

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2. Some variants of the halting problem

3. Some undecidable problems concerning CFL

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2. Some variants of the halting problem

3. Some undecidable problems concerning CFL

- $\mathsf{HALT} \hspace{.1 in} := \hspace{.1 in} \{ \lfloor \mathcal{M} \rfloor \$ w \hspace{.1 in} | \hspace{.1 in} \mathcal{M} \hspace{.1 in} \mathsf{accepts} \hspace{.1 in} w \hspace{.1 in} \mathsf{where} \hspace{.1 in} w \in \{0,1\}^* \}.$
- $\mathsf{HALT}_0 \quad := \quad \{ \lfloor \mathcal{M} \rfloor \ \mid \ \mathcal{M} \text{ accepts } \lfloor \mathcal{M} \rfloor \}.$
- $\mathsf{HALT}_0' \quad := \quad \{ \lfloor \mathcal{M} \rfloor \ \mid \ \mathcal{M} \text{ does not accept } \lfloor \mathcal{M} \rfloor \}.$

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HALT is undecidable because it is a more "general" language than $HALT_0$.

This technique is called *reductions*.

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So, if problem K is undecidable, then problem L is undecidable too.

L







• Find an algorithm for L.





The focus of this lesson



Two types of reductions: *Mapping* reductions and *Turing* reductions.

Computable functions

Let $F:\Sigma^*\to\Sigma^*$ be a function from Σ^* to $\Sigma^*.$

(Def.) A Turing machine \mathcal{M} computes the function F, if \mathcal{M} is a 2-tape Turing machine that accepts every word $w \in \Sigma^*$ and when it halts, the content of its second tape is F(w).

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(Def.) A function $F : \Sigma^* \to \Sigma^*$ is *computable*, if there is a Turing machine that computes it.

Computable functions by multi-tape Turing machines

The Turing machine M that computes F can be any multi-tape Turing machine with a designated output tape that contains the output string.



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(Note) Any function that can be computed by a multi-tape Turing machine can also be computed by a 2-tape Turing machine.

(Def.) A language L_1 is mapping reducible to another language L_2 , denoted by:

$L_1 \leq M L_2$

if there is a computable function F such that for every $w \in \Sigma^*$:

 $w \in L_1$ if and only if $F(w) \in L_2$

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Intuitively $L_1 \leq_m L_2$ means " L_2 is (computationally) more general than L_1 ".

It also means that a Turing machine that decides L_2 can be used to decide L_1 .

Turing reductions

(Def.) A language L_1 is *Turing reducible* to another language L_2 , denoted by:

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if there is a Turing machine M_2 that decides L_2 , then there is a Turing machine M_1 that decides L_1 using M_2 as a "subroutine."

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(Def.) We call \mathcal{M}_1 a Turing machine with oracle access to L_2 .

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- $w \in L_1$ if and only if $v \in L_2$. \Rightarrow Very important!
- Inside the algorithm we do not assume/use anything about L_2 .
- View it this way: If L_2 is decidable by, say, M_2 , then in the algorithm we can only use M_2 once(!).

The answer provided by \mathcal{M}_2 must also be the answer to whether $w \in L_1$.

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- Inside the algorithm the Turing machine \mathcal{M}_2 can be called multiple times.
- The (multiple) answers provided by \mathcal{M}_2 can be used to decided whether $w \in L_1$.

Example of a mapping reduction

 $HALT_0 \leq_m HALT$ via the following reduction:

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Note that:

 $\lfloor \mathcal{M} \rfloor \in \mathsf{HALT}_0$ if and only if $\lfloor \mathcal{M} \rfloor \$ \lfloor \mathcal{M} \rfloor \in \mathsf{HALT}$

 $\mathsf{HALT}_0' \leqslant_{\mathsf{T}} \mathsf{HALT}_0$ via the following reduction:

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We assume that there is Turing machine \mathcal{A} that decides HALT₀.

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On input [M]:
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- If the answer from ${\cal A}$ is "accept", the algorithm "rejects".
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(Important) The following is NOT true.

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- $L_2 := \{ \lfloor \mathcal{M} \rfloor \mid \mathcal{M} \text{ accepts the empty word } \varepsilon \}$

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- $L_5 := \{ \lfloor \mathcal{M} \rfloor \mid L(\mathcal{M}) \text{ is a regular language} \}.$

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$$L_4 := \{ \lfloor \mathcal{M} \rfloor \mid L(\mathcal{M}) = \{ a^n b^n \mid n \ge 0 \} \}.$$

Proof that $L_0 := \{ \lfloor \mathcal{M} \rfloor \mid L(\mathcal{M}) = \emptyset \}$ is undecidable

We show that HALT $\leq_m \overline{L}_0$, where \overline{L}_0 is the complement of L_0 .

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On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input u:

- Run \mathcal{M} on w.

– If \mathcal{M} accepts w, ACCEPT.

– If \mathcal{M} rejects w, REJECT.

(Note: ACCEPT and REJECT above are inside $\mathcal{K}_{\mathcal{M},w}$.)

• Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

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• Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

If $\lfloor \mathcal{M} \rfloor$ $w \in HALT$, then $L(\mathcal{K}_{\mathcal{M},w}) = \Sigma^*$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in \overline{L}_0$.

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If $\lfloor \mathcal{M} \rfloor \$ w \in \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \Sigma^*$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in \overline{L}_0$. If $\lfloor \mathcal{M} \rfloor \$ w \notin \mathsf{HALT}$,

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• Output
$$[\mathcal{K}_{\mathcal{M},w}]$$
.

If $\lfloor \mathcal{M} \rfloor \$ w \in \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \Sigma^*$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in \overline{L}_0$. If $\lfloor \mathcal{M} \rfloor \$ w \notin \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \emptyset$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \notin \overline{L}_0$.

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If $\lfloor \mathcal{M} \rfloor \$ w \notin \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \emptyset$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \notin \overline{L}_0$.
Thus.

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If
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, then $L(\mathcal{K}_{\mathcal{M},w}) = \Sigma^*$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in \overline{L}_0$.
If $\lfloor \mathcal{M} \rfloor \$ w \notin \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \emptyset$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \notin \overline{L}_0$.
Thus.

$$[\mathcal{M}]$$
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So, HALT $\leq_m \overline{L}_0$.

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Add the following: (where $w = a_1 a_2 \cdots a_n$)



- Make p_0 the initial state of $\mathcal{K}_{\mathcal{M},w}$.
- The accept state of $\mathcal{K}_{\mathcal{M},w}$ is the accept state of \mathcal{M} .
- The reject state of $\mathcal{K}_{\mathcal{M},w}$ is the reject state of \mathcal{M} .

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- Run \mathcal{M} on w.
- If \mathcal{M} accepts w, ACCEPT.
- If \mathcal{M} rejects w, REJECT.

Add the following: (where $w = a_1 a_2 \cdots a_n$)



Rewrite the content of the tape to be w.

On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input u:

- Run \mathcal{M} on w.
- If \mathcal{M} accepts w, ACCEPT.
- If \mathcal{M} rejects w, REJECT.

Add the following: (where $w = a_1 a_2 \cdots a_n$)



"Erase" the remaining of the input v when |v| > |w|.

On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input u:

- Run \mathcal{M} on w.
- If \mathcal{M} accepts w, ACCEPT.
- If \mathcal{M} rejects w, REJECT.

Add the following: (where $w = a_1 a_2 \cdots a_n$)



Move the head back to the beginning of the tape.

On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- Run \mathcal{M} on w.
- If \mathcal{M} accepts w, ACCEPT.
- If \mathcal{M} rejects w, REJECT.

Add the following: (where $w = a_1 a_2 \cdots a_n$)



When the head reaches the left-end marker <, it moves right.

It enters the state q_0 of \mathcal{M} (i.e., to run \mathcal{M} on w).

Let \mathcal{A} be a TM that decides the language $\{a^n b^n | n \ge 0\}$.

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We show that $HALT \leq_m L_4$.

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On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- $-\operatorname{Run} \mathcal{A}$ on u.
- If \mathcal{A} rejects u, REJECT.
- If \mathcal{A} accepts u:
 - $* \ \mathsf{Run} \ \mathcal{M} \ \mathsf{on} \ w.$
 - * If \mathcal{M} accepts w, ACCEPT.
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We show that HALT $\leq_m L_4$.

On input $\lfloor \mathcal{M} \rfloor$ \$w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- Run \mathcal{A} on u.
- If A rejects u, REJECT.
- If \mathcal{A} accepts u:
 - $* \mathsf{Run} \ \mathcal{M} \ \mathsf{on} \ w.$
 - * If \mathcal{M} accepts w, ACCEPT.
 - * If \mathcal{M} rejects w, REJECT.

• Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

If $\lfloor \mathcal{M} \rfloor$ $w \in HALT$, then $L(\mathcal{K}_{\mathcal{M},w}) = \{a^n b^n | n \ge 0\}$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in L_4$.

Let \mathcal{A} be a TM that decides the language $\{a^n b^n | n \ge 0\}$.

We show that HALT $\leq_m L_4$.

On input $\lfloor \mathcal{M} \rfloor$ \$w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- Run \mathcal{A} on u.
- If A rejects u, REJECT.
- If \mathcal{A} accepts u:
 - $* \mathsf{Run} \ \mathcal{M} \ \mathsf{on} \ w.$
 - * If \mathcal{M} accepts w, ACCEPT.
 - * If \mathcal{M} rejects w, REJECT.

• Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

If $\lfloor \mathcal{M} \rfloor w \in HALT$, then $L(\mathcal{K}_{\mathcal{M},w}) = \{a^n b^n | n \ge 0\}$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in L_4$. If $\lfloor \mathcal{M} \rfloor w \notin HALT$,

Let \mathcal{A} be a TM that decides the language $\{a^n b^n | n \ge 0\}$.

We show that HALT $\leq_m L_4$.

On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- Run \mathcal{A} on u.
- If \mathcal{A} rejects u, REJECT.
- If \mathcal{A} accepts u:
 - $* \ \mathsf{Run} \ \mathcal{M} \ \mathsf{on} \ w.$
 - * If \mathcal{M} accepts w, ACCEPT.
 - * If \mathcal{M} rejects w, REJECT.

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If $\lfloor \mathcal{M} \rfloor \$ w \in \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \{a^n b^n | n \ge 0\}$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in L_4$. If $\lfloor \mathcal{M} \rfloor \$ w \notin \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \emptyset$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \notin L_4$.

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– If \mathcal{A} rejects u, REJECT.

– If \mathcal{A} accepts u:

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* If \mathcal{M} accepts w, ACCEPT.

* If \mathcal{M} rejects w, REJECT.

• Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

If $\lfloor \mathcal{M} \rfloor \$ w \in \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \{a^n b^n | n \ge 0\}$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in L_4$. If $\lfloor \mathcal{M} \rfloor \$ w \notin \mathsf{HALT}$, then $L(\mathcal{K}_{\mathcal{M},w}) = \emptyset$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \notin L_4$. Thus,

 $[\mathcal{M}]$ $w \in HALT$ if and only if $[\mathcal{K}_{\mathcal{M},w}] \in L_4$

Let \mathcal{A} be a TM that decides the language $\{a^n b^n | n \ge 0\}$.

We show that HALT $\leq_m L_4$.

On input [M]\$w:
Construct the following Turing machine denoted by K_{M,w}:
On input u:

Run A on u.
(to che
If A rejects u, REJECT.
If A accepts u:

* Run M on w.

* If \mathcal{M} accepts w, ACCEPT.

* If \mathcal{M} rejects w, REJECT.

• Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

If $\lfloor \mathcal{M} \rfloor$ w \in HALT, then $L(\mathcal{K}_{\mathcal{M},w}) = \{a^n b^n | n \ge 0\}$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \in L_4$. If $\lfloor \mathcal{M} \rfloor$ w \notin HALT, then $L(\mathcal{K}_{\mathcal{M},w}) = \emptyset$, so, $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor \notin L_4$. Thus,

 $[\mathcal{M}]$ $w \in HALT$ if and only if $[\mathcal{K}_{\mathcal{M},w}] \in L_4$

So, HALT $\leq_m L_4$.

On input $\lfloor \mathcal{M} \rfloor$ \$w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- $-\operatorname{Run} \mathcal{A}$ on u.
- If A rejects u, REJECT.
- If \mathcal{A} accepts u:
 - $* \operatorname{Run} \mathcal{M}$ on w.
 - * If \mathcal{M} accepts w, ACCEPT.
 - * If \mathcal{M} rejects w, REJECT.

On input $\lfloor \mathcal{M} \rfloor$ \$*w*:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- $-\operatorname{Run} \mathcal{A}$ on u.
- If A rejects u, REJECT.

– If \mathcal{A} accepts u:

 $* \operatorname{Run} \mathcal{M}$ on w.

- * If \mathcal{M} accepts w, ACCEPT.
- * If \mathcal{M} rejects w, REJECT.



On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- Run \mathcal{A} on u.
- If A rejects u, REJECT.

- If \mathcal{A} accepts u:

 $* \operatorname{Run} \mathcal{M}$ on w.

- * If \mathcal{M} accepts w, ACCEPT.
- * If \mathcal{M} rejects w, REJECT.

(to check if $u \in \{a^n b^n | n \ge 0\}$.)



Turing machine \mathcal{B} writes w on the tape and enters $q_0^{\mathcal{M}}$ (to run \mathcal{M} on w).

On input $\lfloor \mathcal{M} \rfloor$ \$w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- $-\operatorname{Run} \mathcal{A}$ on u.
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– If \mathcal{A} accepts u:

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- * If \mathcal{M} accepts w, ACCEPT.
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(to check if $u \in \{a^n b^n | n \ge 0\}$.)



 $q_0^{\mathcal{A}}$ is the initial state.

On input $\lfloor \mathcal{M} \rfloor$ \$*w*:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- $-\operatorname{Run} \mathcal{A}$ on u.
- If A rejects u, REJECT.

- If \mathcal{A} accepts u:

 $* \operatorname{Run} \mathcal{M}$ on w.

- * If \mathcal{M} accepts w, ACCEPT.
- * If \mathcal{M} rejects w, REJECT.

(to check if $u \in \{a^n b^n | n \ge 0\}$.)



 $q_{\rm acc}^{\mathcal{M}}$ is the accept state.

On input $\lfloor \mathcal{M} \rfloor$ \$w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- $-\operatorname{Run} \mathcal{A}$ on u.
- If A rejects u, REJECT.

- If \mathcal{A} accepts u:

 $* \operatorname{Run} \mathcal{M}$ on w.

- * If \mathcal{M} accepts w, ACCEPT.
- * If \mathcal{M} rejects w, REJECT.

(to check if $u \in \{a^n b^n | n \ge 0\}$.)



 $q_{\rm rej}^{\mathcal{M}}$ is the reject state

On input $\lfloor \mathcal{M} \rfloor$ w:

• Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$:

On input *u*:

- Run \mathcal{A} on u.
- If A rejects u, REJECT.

– If \mathcal{A} accepts u:

 $* \operatorname{Run} \mathcal{M}$ on w.

- * If \mathcal{M} accepts w, ACCEPT.
- * If \mathcal{M} rejects w, REJECT.

(to check if $u \in \{a^n b^n | n \ge 0\}$.)



Add a transition so that from $q_{rej}^{\mathcal{A}}$ the TM enters $q_{rej}^{\mathcal{M}}$.

The proof can be generalized to the so called *Rice's theorem*.

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(Def.) Let *P* be a set of descriptions of Turing machines. *P* is a *property*, if for every Turing machines M_1 and M_2 , if:

 $L(\mathcal{M}_1) = L(\mathcal{M}_2)$

then:

either $\lfloor \mathcal{M}_1 \rfloor, \lfloor \mathcal{M}_2 \rfloor \in P$ or $\lfloor \mathcal{M}_1 \rfloor, \lfloor \mathcal{M}_2 \rfloor \notin P$

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The criteria for $\lfloor \mathcal{M} \rfloor$ to be in P depends on the language $L(\mathcal{M})$, and not on the string $\lfloor \mathcal{M} \rfloor$ itself.

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The criteria for $\lfloor \mathcal{M} \rfloor$ to be in P depends on the language $L(\mathcal{M})$, and *not* on the string $\lfloor \mathcal{M} \rfloor$ itself.

(Def.) A property *P* is called a *trivial* property, if: either $P = \emptyset$ or *P* contains all the descriptions of Turing machines

Theorem 8.6 (Rice's theorem)

For a property P, if P is not a trivial property, then P is undecidable.

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First, we consider the case where *P* does not contain $\lfloor \mathcal{M} \rfloor$ where $L(\mathcal{M}) = \emptyset$.

Theorem 8.6 (Rice's theorem) For a property P, if P is not a trivial property, then P is undecidable.

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Let \mathcal{A} be a Turing machine where $\lfloor \mathcal{A} \rfloor \in \mathcal{P}$.
Rice's theorem - continued

Theorem 8.6 (Rice's theorem) For a property P, if P is not a trivial property, then P is undecidable.

(Proof) Let P be a non-trivial property.

First, we consider the case where *P* does not contain $\lfloor \mathcal{M} \rfloor$ where $L(\mathcal{M}) = \emptyset$.

Let \mathcal{A} be a Turing machine where $\lfloor \mathcal{A} \rfloor \in \mathcal{P}$. Such \mathcal{A} exists since \mathcal{P} is not trivial.

We show that $HALT \leq_m P$.

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 - On input $\lfloor \mathcal{M} \rfloor$ \$w:
 - \bullet Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}:$
 - On input u:
 - Run \mathcal{A} on u.

(to check if $u \in L(\mathcal{A})$.)

- If \mathcal{A} accepts u:
 - $* \ \mathsf{Run} \ \mathcal{M} \ \mathsf{on} \ w.$

– If A rejects u, REJECT.

- * If \mathcal{M} accepts w, ACCEPT.
- * If \mathcal{M} rejects w, REJECT.
- Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

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(to check if $u \in L(\mathcal{A})$.)

– If \mathcal{A} accepts u:

 $* \operatorname{Run} \mathcal{M}$ on w.

– If A rejects u, REJECT.

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– If A rejects u, REJECT.

- * If \mathcal{M} accepts w, ACCEPT.
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- Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

We show that HALT $\leq_m P$. On input $\lfloor \mathcal{M} \rfloor$ ^{\$w:} • Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$: On input u: - Run \mathcal{A} on u. (to check if $u \in L(\mathcal{A})$.) - If \mathcal{A} rejects u, REJECT. - If \mathcal{A} accepts u: * Run \mathcal{M} on w. * If \mathcal{M} accepts w, ACCEPT. * If \mathcal{M} rejects w, REJECT. • Output $\lfloor \mathcal{K}_{\mathcal{M},w} \rfloor$.

By similar reasoning as the proof of the undecidability of L_4 :

 \mathcal{M} $w \in HALT$ if and only if $|\mathcal{K}_{\mathcal{M},w}| \in P$

We show that HALT $\leq_m P$. On input $[\mathcal{M}]$ \$w: • Construct the following Turing machine denoted by $\mathcal{K}_{\mathcal{M},w}$: On input u: - Run \mathcal{A} on u. (to check if $u \in L(\mathcal{A})$.) - If \mathcal{A} rejects u, REJECT. - If \mathcal{A} accepts u: * Run \mathcal{M} on w. * If \mathcal{M} accepts w, ACCEPT. * If \mathcal{M} rejects w, REJECT. • Output $[\mathcal{K}_{\mathcal{M},w}]$.

By similar reasoning as the proof of the undecidability of L_4 :

 \mathcal{M} $w \in HALT$ if and only if $[\mathcal{K}_{\mathcal{M},w}] \in P$

Thus, we have proved Rice's theorem for the case where P does not contain $\lfloor M \rfloor$ where $L(M) = \emptyset$

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Now \overline{P} does not contain $\lfloor \mathcal{M} \rfloor$ where $L(\mathcal{M}) = \emptyset$.

Now we consider the case where *P* contains $|\mathcal{M}|$ where $L(\mathcal{M}) = \emptyset$.

Consider the complement of *P*, denoted by \overline{P} . Now \overline{P} does not contain $|\mathcal{M}|$ where $L(\mathcal{M}) = \emptyset$.

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The previous case already establishes HALT $\leq_m \overline{P}$. This means \overline{P} is undecidable, and hence, P is also undecidable.

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CFL-Intersection

This problem can be viewed as a language:

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\mathsf{CFL-Intersection} := \{ \lfloor \mathcal{G}_1 \rfloor \$ \lfloor \mathcal{G}_2 \rfloor \mid L(\mathcal{G}_1) \cap L(\mathcal{G}_2) \neq \emptyset \}
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where $|\mathcal{G}|$ denotes the encoding of \mathcal{G} as a string over some fixed alphabet.

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The variables can be encoded as ⟨i⟩, where i is an integer (written in binary) between 0 and n − 1.

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- The variables can be encoded as ⟨i⟩, where i is an integer (written in binary) between 0 and n − 1.
- A rule, say, S → 0X11 is encoded as (0) → 0(3)11. (Assuming that S is represented as 0 and X as 3).

The problem/language CFL-Intersection is undecidable

Theorem 8.8

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We will show that HALT \leq_m CFL-Intersection.

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Theorem 8.8 *The problem* CFL-Intersection *is undecidable.*

We will show that HALT \leq_m CFL-Intersection.

We assume that HALT contains only $\lfloor \mathcal{M} \rfloor$ ^{\$w} where \mathcal{M} is a 1-tape Turing machine and \mathcal{M} accepts w.

Let $\ensuremath{\mathcal{M}}$ be a Turing machine.

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• Add a "new" state q_{loop} such that instead of entering the q_{rej} , M enters q_{loop} and loops forever.

Let $\ensuremath{\mathcal{M}}$ be a Turing machine.

- Add a "new" state q_{loop} such that instead of entering the q_{rej} , \mathcal{M} enters q_{loop} and loops forever.
- Add some states, so that for every word *w* accepted by *M*, the run has odd length:

 $C_0 \vdash C_1 \vdash C_2 \vdash C_3 \vdash \cdots \vdash C_n$

where *n* is odd.

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where *n* is odd.

After adding those states, the following holds for every word w:

• If \mathcal{M} accepts w, then the run is finite and has odd length.

Let ${\mathcal M}$ be a Turing machine.

- Add a "new" state q_{loop} such that instead of entering the q_{rej} , \mathcal{M} enters q_{loop} and loops forever.
- Add some states, so that for every word w accepted by M, the run has odd length:

 $C_0 \vdash C_1 \vdash C_2 \vdash C_3 \vdash \cdots \vdash C_n$

where *n* is odd.

After adding those states, the following holds for every word w:

- If \mathcal{M} accepts w, then the run is finite and has odd length.
- If \mathcal{M} does not w, then the run is infinite.

Some observations – continued

Recall that the states of a Turing machines \mathcal{M} are represented as numbers written in binary form. Thus, the run (1) can be viewed as a string over the alphabet $\{\vdash, 0, 1, \tilde{\sqcup}, [,]\}$, where we write [i] to represent the state in the configuration.

On input $\lfloor \mathcal{M} \rfloor$ ^{\$w}, construct \mathcal{G}_1 and \mathcal{G}_2 such that:

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• If \mathcal{M} \$ $w \in HALT$, then $L(\mathcal{G}_1) \cap L(\mathcal{G}_2)$ contains exactly one word:

 $C_0 \vdash C_1^r \vdash C_2 \vdash C_3^r \vdash \cdots \vdash C_n^r$

where C_i^r denotes the reverse of C_i and

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• If \mathcal{M} \$ $w \notin$ HALT, then $L(\mathcal{G}_1) \cap L(\mathcal{G}_2) = \emptyset$.

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• If \mathcal{M} \$ $w \notin$ HALT, then $L(\mathcal{G}_1) \cap L(\mathcal{G}_2) = \emptyset$.

(Def.) We call the string: $C_0 \vdash C_1^r \vdash C_2 \vdash C_3^r \vdash \cdots \vdash C_n^r$ the reverse representation of the run: $C_0 \vdash C_1 \vdash C_2 \vdash C_3 \vdash \cdots \vdash C_n$.

The construction of \mathcal{G}_1 and \mathcal{G}_2

A string $u_0 \vdash u_1 \vdash u_2 \vdash u_3 \vdash \cdots \vdash u_n$ is the reverse representation of the run of \mathcal{M} on w, if:
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There is an algorithm where on input $\lfloor \mathcal{M} \rfloor$, it constructs a CFG \mathcal{G}_1 such that \mathcal{G}_1 generates the strings that satisfies conditions (a), (b) and (c).

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(See Note 8 for the details.)

On input $\lfloor \mathcal{M} \rfloor$ \$*w*, do the following.

- Add some new states to *M* so that:
 M accepts *w* iff the run of *M* on *w* is finite and has odd length.
- Construct \mathcal{G}_1 that generates words satisfying conditions (a), (b) and (c).
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\lfloor \mathcal{M} \rfloor w \in HALT if and only if L(\mathcal{G}_1) \cap L(\mathcal{G}_2) \neq \emptyset
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Hence, CFL-Intersection is undecidable.

CFL universality

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Input:	A CFG $\mathcal{G} = \langle \Sigma, V, R, S \rangle$ where $\Sigma = \{0, 1\}$.
Task:	Output True, if $L(\mathcal{G}) = \Sigma^*$. Otherwise, output False

CFL universality

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Similar to CFL-Intersection, the problem CFL-Universality can be viewed as language.

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Similar to CFL-Intersection, the problem CFL-Universality can be viewed as language.

Theorem 8.9 *The problem* CFL-Universality *is undecidable.*

The proof is similar to Theorem 8.8.

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We describe an algorithm that does the following.

On input $\lfloor \mathcal{M} \rfloor$ w:

- Construct a CFG ${\mathcal G}$ such that:
 - \mathcal{G} generates all strings that are not(!) the run of \mathcal{M} on w.

The proof is similar to Theorem 8.8.

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The construction of the CFG ${\cal G}$

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A word $u_0 \vdash u_1 \vdash u_2 \vdash u_3 \cdots \vdash u_n$ is not the reverse representation of the run \mathcal{M} on w, if at least one of the following holds.

(C1) The symbol \vdash appears even number of times.

The construction of the CFG ${\mathcal G}$

A word $u_0 \vdash u_1 \vdash u_2 \vdash u_3 \cdots \vdash u_n$ is not the reverse representation of the run \mathcal{M} on w, if at least one of the following holds.

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(C2) u_0 is not the initial configuration.

The construction of the CFG ${\cal G}$

- (C1) The symbol \vdash appears even number of times.
- (C2) u_0 is not the initial configuration.
- (C3) For some 0 ≤ i ≤ n, the string u_i is not a configuration. It does not contain a state or the states appear at least twice or the brackets [and] do not appear "properly" or inside the bracket [and] is not a state of M.

The construction of the CFG ${\cal G}$

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- (C4) For some $0 \le i \le n-1$, the string $u_i \vdash u_i$ is not according to the transitions of \mathcal{M} .
- (C5) For some $o \le i \le n-1$, the string u_i is not the reverse of u_{i+1} (disregarding the state symbol and the symbols next to the state in both u_i and u_{i+1}).

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- (C6) The last string u_n does not contain $q_{\rm acc}$.

The construction of the CFG $\mathcal G$ – continued

We can construct one CFG G_i that generates all the strings that satisfy one condition (Ci), where $1 \le i \le 6$.

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It is useful to recall that CFL are closed union.

The final CFG \mathcal{G} generates $L(\mathcal{G}_1) \cup \cdots \cup L(\mathcal{G}_6)$.

The following algorithm assumes that there is an algorithm for checking whether $L(\mathcal{G}) = \Sigma^*$.

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The following algorithm assumes that there is an algorithm for checking whether $L(\mathcal{G}) = \Sigma^*$.

On input $\lfloor \mathcal{M} \rfloor$ \$*w*, do the following.

- Construct the CFG *G* that generates words where at least one of (C1)–(C6) holds.
- If $L(\mathcal{G}) = \Sigma^*$, then REJECT. If $L(\mathcal{G}) \neq \Sigma^*$, then ACCEPT.

The following algorithm assumes that there is an algorithm for checking whether $L(\mathcal{G}) = \Sigma^*$.

On input $\lfloor \mathcal{M} \rfloor$ \$*w*, do the following.

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- If $L(\mathcal{G}) = \Sigma^*$, then REJECT. If $L(\mathcal{G}) \neq \Sigma^*$, then ACCEPT.

The algorithm is correct due to:

 $\lfloor \mathcal{M} \rfloor$ $w \in HALT$ if and only if $L(\mathcal{G}) \neq \Sigma^*$

To conclude:

CFL-Intersection and CFL-Universality are both undecidable.
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CFL-Intersection and CFL-Universality are both undecidable.

Consider the following problem.

CFL-Subset		
Input: Task:	Two CFG $\mathcal{G}_1 = \langle \Sigma, V_1, R_1, S_1 \rangle$ and $\mathcal{G}_2 = \langle \Sigma, V_2, R_2, S_2 \rangle$, where $\Sigma = \{0, 1\}$. Output True, if $L(\mathcal{G}_1) \subseteq L(\mathcal{G}_2)$. Otherwise, output False.	

To conclude:

CFL-Intersection and CFL-Universality are both undecidable.

Consider the following problem.

CFL-Subset		
Input:	Two CFG $\mathcal{G}_1 = \langle \Sigma, V_1, R_1, S_1 \rangle$ and $\mathcal{G}_2 = \langle \Sigma, V_2, R_2, S_2 \rangle$, where $\Sigma = \{0, 1\}$.	
Task:	Output True, if $L(\mathcal{G}_1) \subseteq L(\mathcal{G}_2)$. Otherwise, output False.	

The following is a direct consequence of the undecidability of CFL-Universality.

Corollary 8.10 *The problem* CFL-Subset *is undecidable.*

End of Lesson 8