#### Lesson 4. Push-down automata

CSIE 3110 - Formal Languages and Automata Theory

Tony Tan
Department of Computer Science and Information Engineering
College of Electrical Engineering and Computer Science
National Taiwan University

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In PDA the stack can only store symbols.

A PDA works as follows.

- It moves from one state to another state (like an NFA).
- The move depends on the input symbol it currently reads and the symbol on top of the stack.
- During the move, it can pop the top symbol in the stack and push some other symbol into it.

#### Push-down automata (PDA) - The formal definition

(Def.) A push-down automaton (PDA) is a system  $\mathcal{A} = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$ , where each of the component is as follows.

- Σ is a finite alphabet, called the *input* alphabet, whose elements are called *input symbols*.
- Γ is a finite alphabet, called the *stack* alphabet, whose elements are called *stack symbols*.
- Q is a finite set of states, q<sub>0</sub> ∈ Q is the initial state. and F ⊆ Q is the set
  of accepting states.
- $\delta$  is the set of transitions of the form:  $(p, x, pop(y)) \rightarrow (q, push(z))$  where  $x \in \Sigma \cup \{\varepsilon\}$  and  $y, z \in \Gamma \cup \{\varepsilon\}$ .

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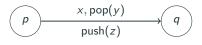
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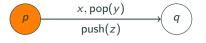
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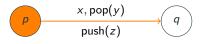
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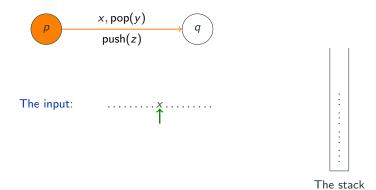
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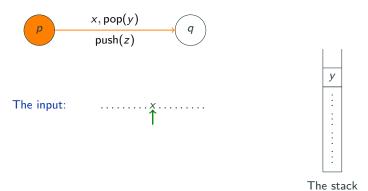
(Note) The stack can only contain stack symbols.

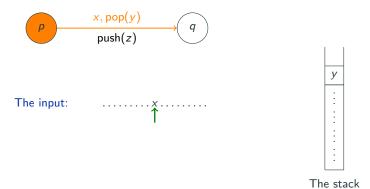


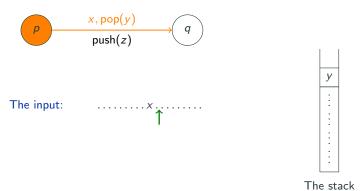


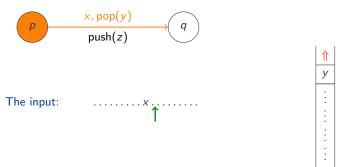




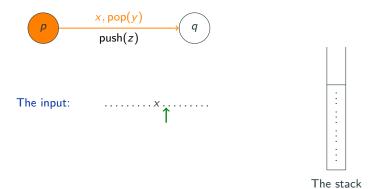


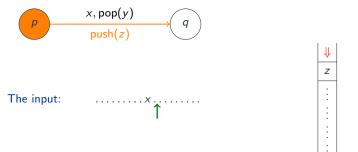




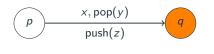


The stack





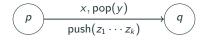
The stack



The input:  $\dots \times x$ 

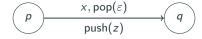


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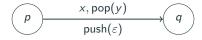
The PDA "pushes" a string onto its stack:

- Read the symbol x.
- Pop y (if possible) from the stack.
- Push  $z_1$  into the stack, then  $z_2$ , then  $z_3$  and so on until  $z_k$ .
- Move into state q.



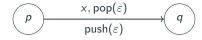
When  $y = \varepsilon$ , the PDA does not check the content the stack.

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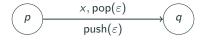
When  $z = \varepsilon$ , the PDA does not push anything to the stack.

- Read the symbol x.
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When  $y = z = \varepsilon$ , the PDA does not do anything to the stack.

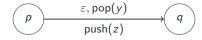
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- Read the symbol x.
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(Note) We can view NFA as a special case of PDA where the transitions are all of the form:  $(p, x, pop(\varepsilon)) \rightarrow (q, push(\varepsilon))$ .



When  $x = \varepsilon$ , the PDA does not read any input symbol.

- Pop y (if possible) from the stack.
- Push z into the stack.
- Move into state q.

Suppose  $A = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$  is a PDA.

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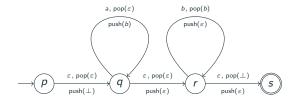
See the formal definition of accepting run for PDA in the note.

The language accepted by a PDA A is denoted by L(A):

$$L(A) := \{ w \in \Sigma^* \mid \text{there is an accepting run of } A \text{ on } w \}$$

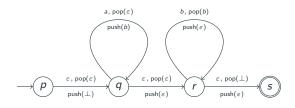
# An example: A PDA $\mathcal{A}$ for $L = \{a^n b^n | n \ge 0\}$

$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



# An example: A PDA $\mathcal{A}$ for $L = \{a^n b^n | n \geqslant 0\}$

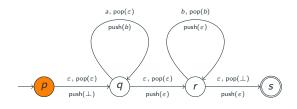
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Input word:

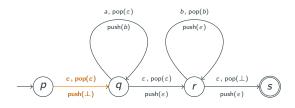


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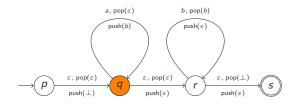


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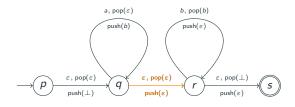
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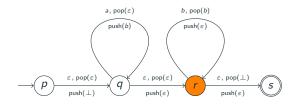
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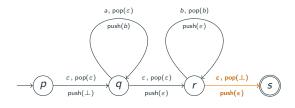


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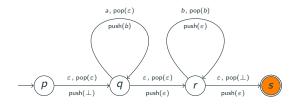
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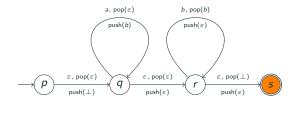


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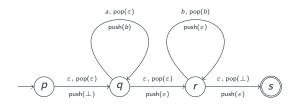


Input word:

This is an accepting run of A! So,  $\varepsilon \in L(A)$ .

The stack

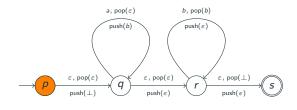
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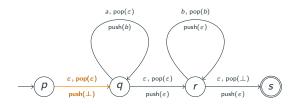


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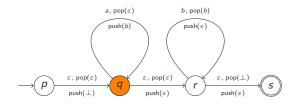
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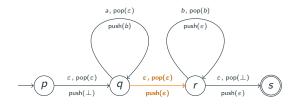


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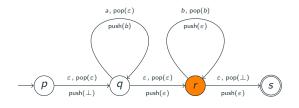


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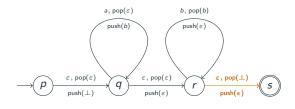


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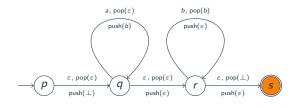


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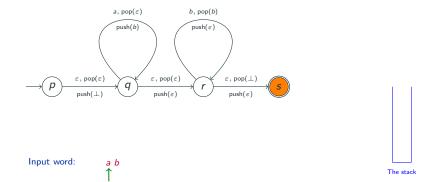
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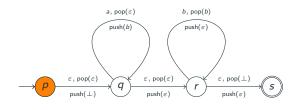


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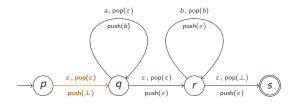
This is not an accepting run of  $\mathcal{A}$ , because the PDA does not finish reading the input word.

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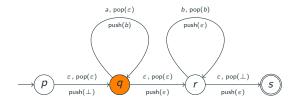


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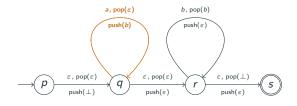


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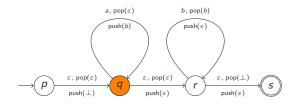


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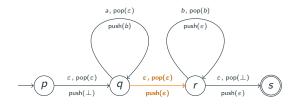


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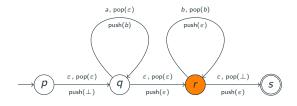
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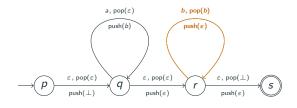


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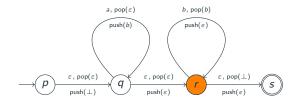


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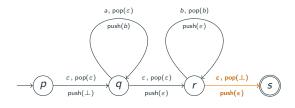


Input word:

ab ↑



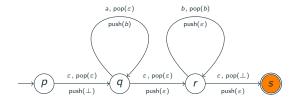
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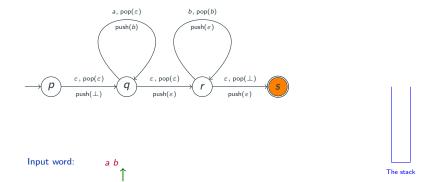


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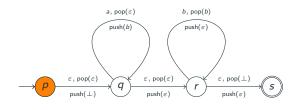
The stack

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This is an accepting run of A, because the PDA finishes reading the input word and ends in an accepting state.

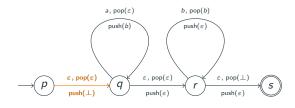
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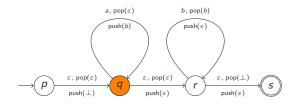
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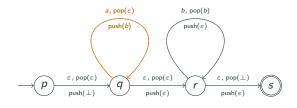
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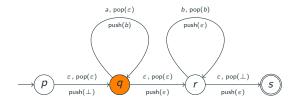
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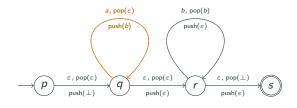
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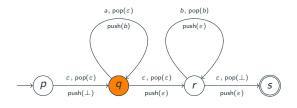
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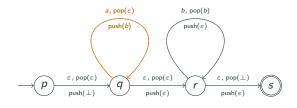
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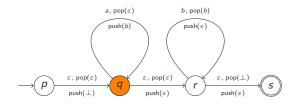


Input word:

a a a b b b



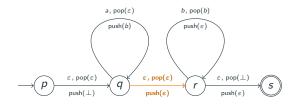
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Input word: a a a b b b

b b b b ⊥

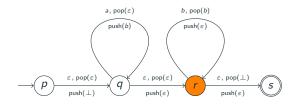
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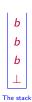
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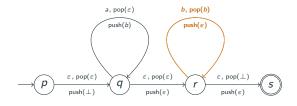
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$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

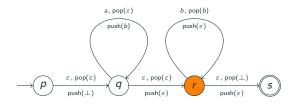


Input word: a a a b b b



i ne sta

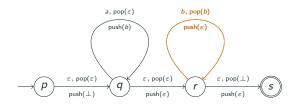
$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



Input word:



$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

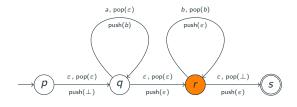


Input word:





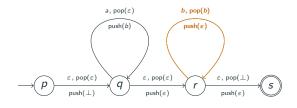
$$\Sigma = \{a, b\}$$
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Input word:



$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

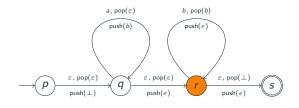


Input word:





$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

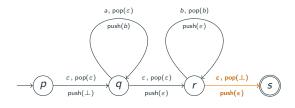


Input word:





$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

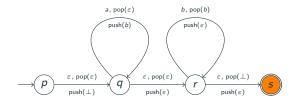


Input word:





$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



Input word:

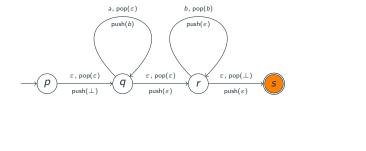
aaabbb



aaabbb

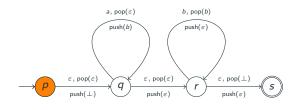
$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

Input word:



This is an accepting run of A, because the PDA finishes reading the input word and ends in an accepting state.

$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



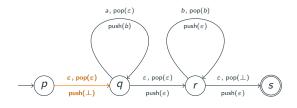
Input word:

a a a b b b a b

1



$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



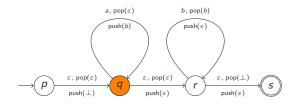
Input word:

a a a b b b a b

1



$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

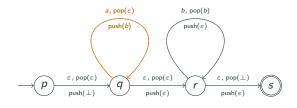


Input word:





$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

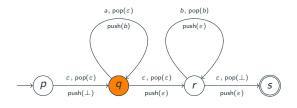


Input word:





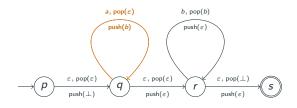
$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



Input word:



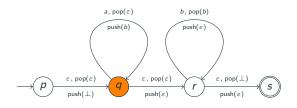
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Input word:



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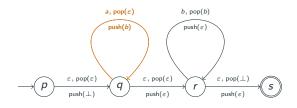


Input word:

aaabbbab



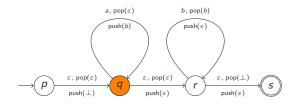
$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



Input word:



$$\Sigma = \{a, b\}$$
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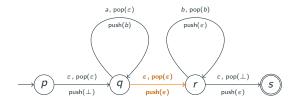


Input word:

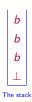
aaabbbab



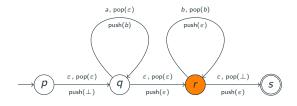
$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



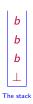
Input word: a a a b b b a b



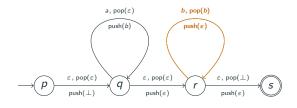
$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



Input word: a a a b b b a b

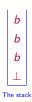


$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

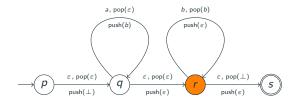


Input word: a a a b l

aaabbbab ↑



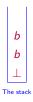
$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



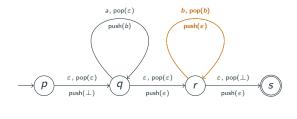
Input word:

aaabbbab ↑



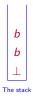


$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

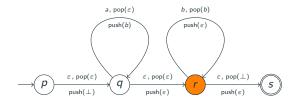


Input word:

aaabbbab ↑



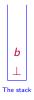
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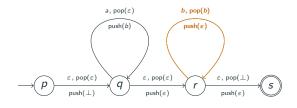
Input word:

aaabbbab





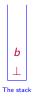
$$\Sigma = \{a, b\}$$
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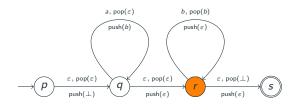
Input word:

aaabbbab ↑

This is not an accepting run of  $\mathcal{A}$ .



$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

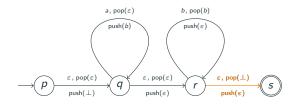


Input word:

aaabbbab



$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .

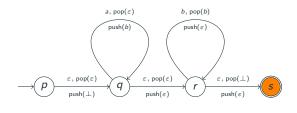


Input word:

aaabbbab



$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



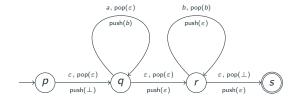
Input word:

aaabbbab



This is not an accepting run of A.

$$\Sigma = \{a, b\}$$
 and  $\Gamma = \{a, b, \bot\}$ .



Note:  $w \in L(A)$  if and only if w is of the form  $a^n b^n$ .

#### The equivalence between CFG and PDA

#### Theorem 4.1

- For every CFG  $\mathcal{G}$ , there is a PDA  $\mathcal{A}$  such that  $L(\mathcal{A}) = L(\mathcal{G})$ .
- For every PDA A, there is a CFG G such that L(A) = L(G).

#### **Table of contents**

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2. Converting CFG to PDA

3. Converting PDA to CFO

#### From CFG to PDA

Theorem (The first item of Theorem 4.1)

For every CFG  $\mathcal{G}$ , there is a PDA  $\mathcal{A}$  such that  $L(\mathcal{A}) = L(\mathcal{G})$ .

#### From CFG to PDA

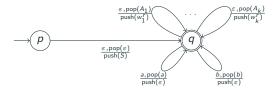
Theorem (The first item of Theorem 4.1)

For every CFG  $\mathcal{G}$ , there is a PDA  $\mathcal{A}$  such that  $L(\mathcal{A}) = L(\mathcal{G})$ .

The idea is to use a PDA to "simulate" the derivations of the words generated by the CFG.

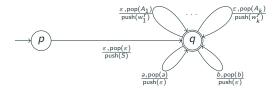
Let  $\mathcal{G} = \langle \Sigma, V, R, S \rangle$  where  $\Sigma = \{a, b\}$  and  $R = \{A_1 \rightarrow w_1, \dots, A_k \rightarrow w_k\}$ .

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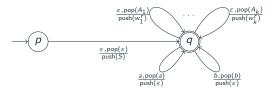
Let  $\mathcal{G} = \langle \Sigma, V, R, S \rangle$  where  $\Sigma = \{a, b\}$  and  $R = \{A_1 \rightarrow w_1, \dots, A_k \rightarrow w_k\}$ .

Consider the following PDA with  $\Sigma = \{a, b\}$  and  $\Gamma = V \cup \Sigma$ :



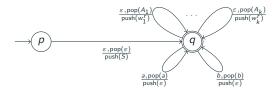
• The stack contains the strings to derive.

Let  $\mathcal{G} = \langle \Sigma, V, R, S \rangle$  where  $\Sigma = \{a, b\}$  and  $R = \{A_1 \rightarrow w_1, \dots, A_k \rightarrow w_k\}$ .



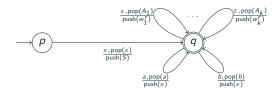
- The stack contains the strings to derive.
- The transition  $(q, \varepsilon, pop(A_i)) \rightarrow (q, push(w_i^r))$  simulates the rule  $A_i \rightarrow w_i$ .

Let  $\mathcal{G} = \langle \Sigma, V, R, S \rangle$  where  $\Sigma = \{a, b\}$  and  $R = \{A_1 \rightarrow w_1, \dots, A_k \rightarrow w_k\}$ .



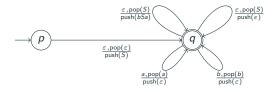
- The stack contains the strings to derive.
- The transition  $(q, \varepsilon, pop(A_i)) \to (q, push(w_i^r))$  simulates the rule  $A_i \to w_i$ .
- The transitions  $(q, a, \mathsf{pop}(\varepsilon)) \to (q, \mathsf{push}(\varepsilon))$  and  $(q, b, \mathsf{pop}(\varepsilon)) \to (q, \mathsf{push}(\varepsilon))$  enforce the input word to be the same as the terminals that are already derived.

Let  $\mathcal{G} = \langle \Sigma, V, R, S \rangle$  where  $\Sigma = \{a, b\}$  and  $R = \{A_1 \rightarrow w_1, \dots, A_k \rightarrow w_k\}$ .



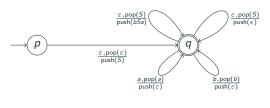
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- The transitions  $(q, a, \mathsf{pop}(\varepsilon)) \to (q, \mathsf{push}(\varepsilon))$  and  $(q, b, \mathsf{pop}(\varepsilon)) \to (q, \mathsf{push}(\varepsilon))$  enforce the input word to be the same as the terminals that are already derived.
- The transition (p, ε, pop(ε)) → (q, push(S)) enforces the words are derived only from the start variable S.

Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .



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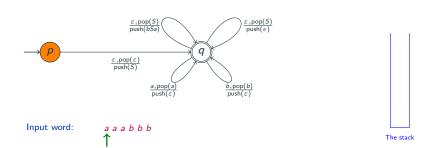
The PDA is:



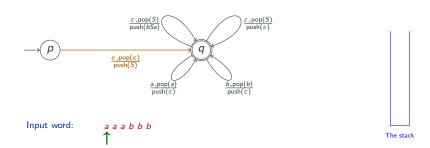
Input word: a a a b b b

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Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

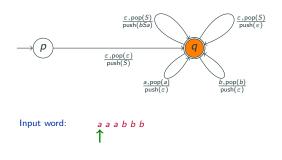


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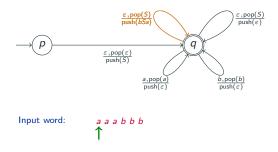
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#### The PDA is:



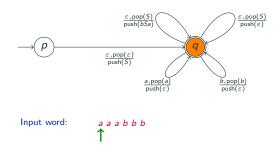
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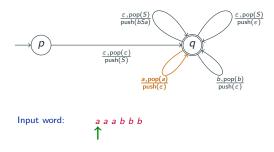
The PDA is:



a S b

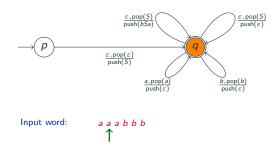
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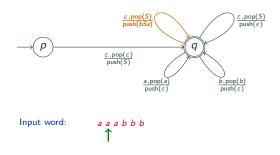


a S b

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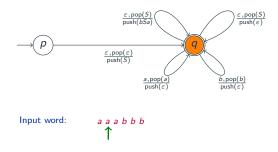


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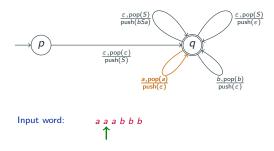
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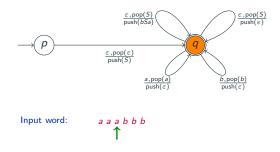
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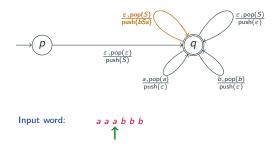
#### The PDA is:



*S b b* 

Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

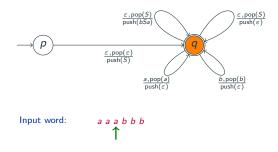
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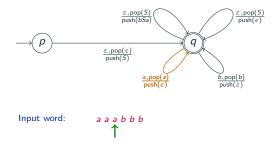
#### The PDA is:



a | S | b | b | b |

Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

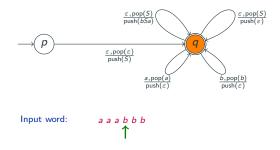
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a | S | b | b | b |

Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

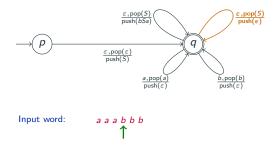
#### The PDA is:



*S b b* 

Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

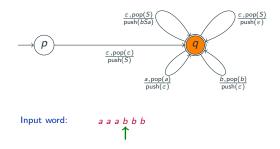
The PDA is:



5 b b

Let  $\mathcal{G}$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

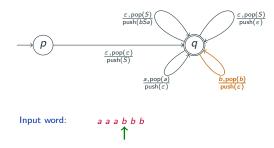
#### The PDA is:



*b b* 

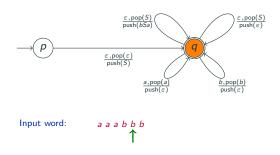
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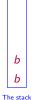
The PDA is:



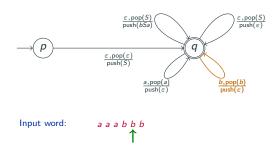
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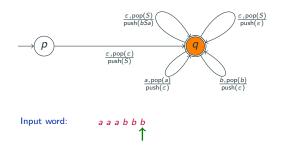




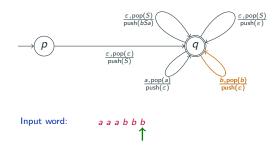
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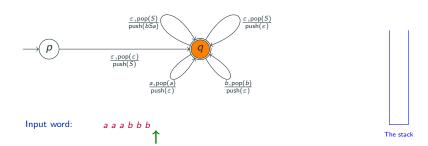
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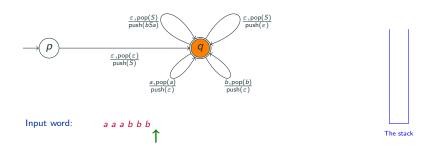


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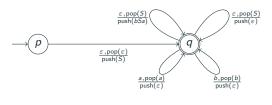
#### The PDA is:



So, the word aaabbb is accepted by the PDA.

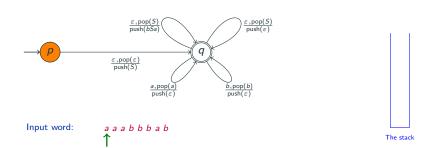
Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

The PDA is:

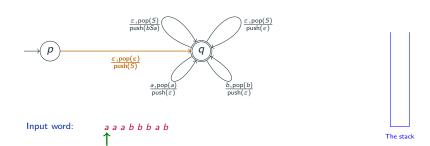


Input word: aaabbbab

Let  $\mathcal G$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

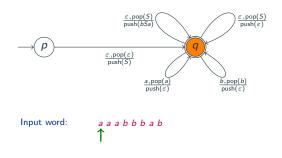


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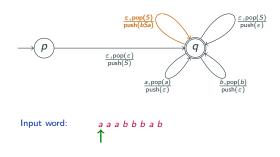
#### The PDA is:



S

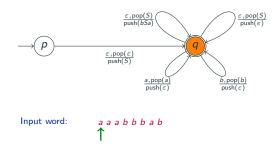
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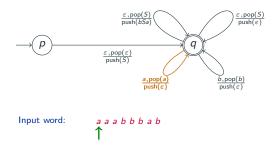
The PDA is:



a S b

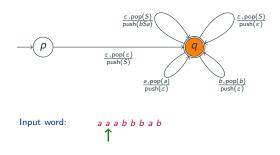
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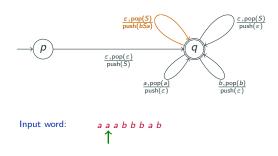
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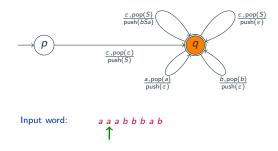
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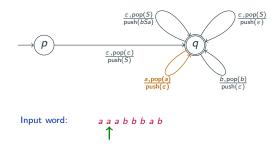
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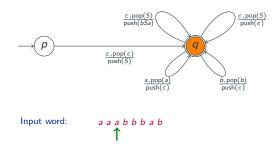
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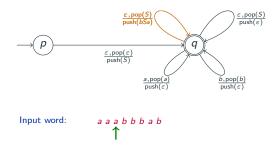
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*S b b* 

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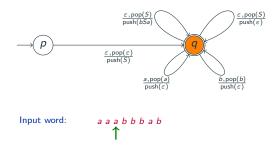
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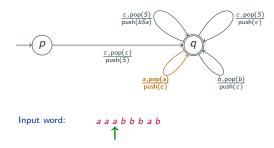
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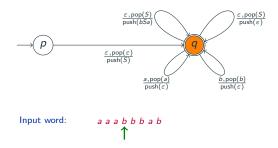
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a S b b

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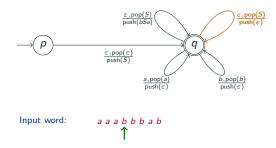
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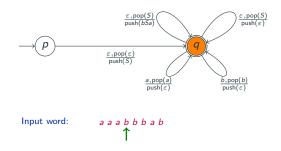
The PDA is:



5 b b

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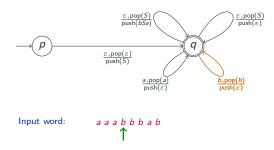
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The PDA is:

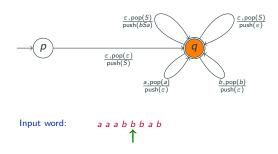


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The stack

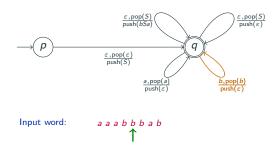
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Let  $\mathcal{G}$  be the CFG with rules:  $S \to aSb \mid \varepsilon$ .

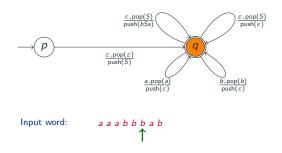




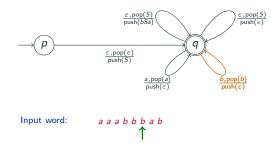
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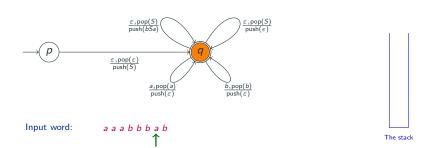
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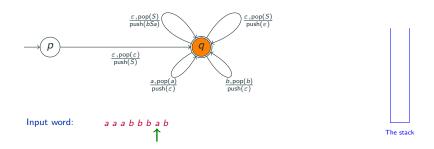


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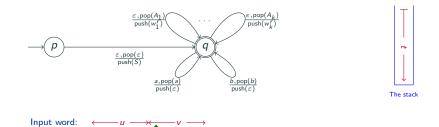


The word aaabbbab is not accepted by the PDA, because the PDA cannot finish reading it.

Let  $\mathcal{G} = \langle \Sigma, V, R, S \rangle$  where  $\Sigma = \{a, b\}$  and  $R = \{A_1 \rightarrow w_1, \dots, A_k \rightarrow w_k\}$ .

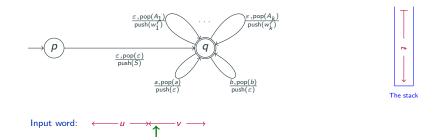
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Consider the following PDA with  $\Sigma = \{a, b\}$  and  $\Gamma = V \cup \Sigma \cup \{\bot\}$ :



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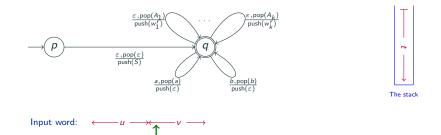
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(Claim.)  $t \Rightarrow^* v$  if and only if  $(q, t) \vdash^*_v (q, \varepsilon)$ .

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Consider the following PDA with  $\Sigma = \{a, b\}$  and  $\Gamma = V \cup \Sigma \cup \{\bot\}$ :



(Claim.)  $t \Rightarrow^* v$  if and only if  $(q, t) \vdash_v^* (q, \varepsilon)$ .

In particular, when t = S and  $u = \varepsilon$ , the following holds:  $S \Rightarrow^* v$  if and only if  $(q, S) \vdash^*_v (q, \varepsilon)$ .

#### **Table of contents**

1. Push-down automata

2. Converting CFG to PDA

3. Converting PDA to CFG  $\,$ 

#### From PDA to CFG

Theorem (The second item of Theorem 4.1)

For every PDA  $\mathcal{A}$ , there is a CFG  $\mathcal{G}$  such that  $L(\mathcal{A}) = L(\mathcal{G})$ .

#### From PDA to CFG

Theorem (The second item of Theorem 4.1)

For every PDA A, there is a CFG G such that L(A) = L(G).

The idea is to use variables in CFG to "simulate" the runs of the PDA.

Let  $\mathcal{A} = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$  be a PDA.

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Let  $\mathcal{A} = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$  be a PDA.

Without loss of generality, we can assume the following.

• It has only one final state, say  $q_f$ . That is,  $F = \{q_f\}$ .

Let  $\mathcal{A} = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$  be a PDA.

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- The stack is empty before accepting an input word.

Let  $\mathcal{A} = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$  be a PDA.

- It has only one final state, say  $q_f$ . That is,  $F = \{q_f\}$ .
- The stack is empty before accepting an input word. More precisely, on every word w, if  $\mathcal A$  accepts w, there is an accepting run of  $\mathcal A$  on w from the initial configuration  $(q_0, \varepsilon)$  to a final configuration  $(q_f, \varepsilon)$  where the content of the stack is empty.

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- In each transition, A either pushes a symbol into the stack or pops one from the stack, but it cannot do both.

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- In each transition, A either pushes a symbol into the stack or pops one from the stack, but it cannot do both.
   More precisely, every transition can only be of the forms:

$$(p, x, \mathsf{pop}(y)) \rightarrow (q, \mathsf{push}(\varepsilon))$$
  
 $(p, x, \mathsf{pop}(\varepsilon)) \rightarrow (q, \mathsf{push}(z))$ 

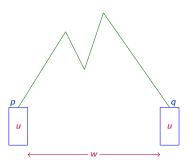
#### Proof of Theorem 4.1: From PDA to CFG - continued

Let 
$$\mathcal{A} = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$$
 be a PDA.

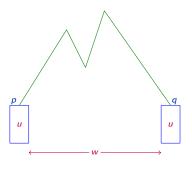
Consider the following CFG  $\mathcal{G} = \langle \Sigma, V, R, S \rangle$ :

- $V = \{A_{p,q} \mid p, q \in Q\}.$
- $A_{q_0,q_f}$  is the start variable.
- The set of rules R will be defined later.

# The intuitive meaning of the variable $A_{p,q}$



## The intuitive meaning of the variable $A_{p,q}$



Then,  $A_{p,q} \Rightarrow^* w$ .

For every transition:

$$(p,a,\mathsf{pop}(arepsilon)) o (r,\mathsf{push}(z)) \quad \mathsf{and} \quad (s,b,\mathsf{pop}(z)) o (q,\mathsf{push}(arepsilon))$$

$$A_{p,q} \rightarrow a A_{r,s} b$$



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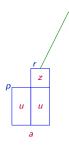
$$A_{p,q} \rightarrow a A_{r,s} b$$



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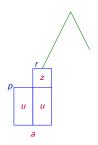
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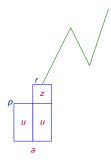
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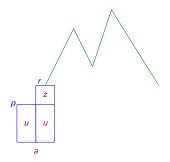
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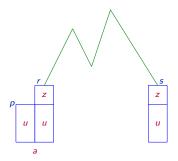
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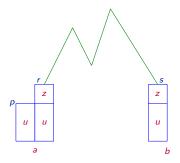
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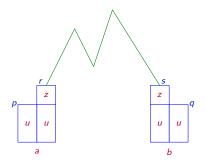
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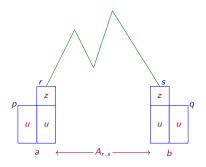
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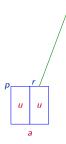
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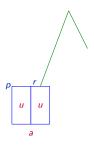
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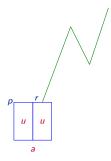
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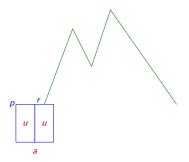
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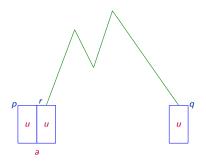
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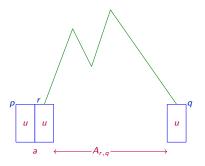
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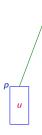
$$(p, a, pop(\varepsilon)) \rightarrow (r, push(\varepsilon))$$

$$A_{p,q} \rightarrow a A_{r,q}$$

$$A_{p,q} \rightarrow A_{p,r} A_{r,q}$$



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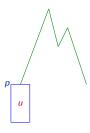
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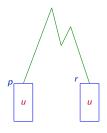
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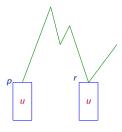
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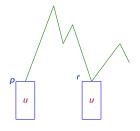
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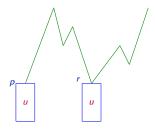
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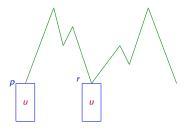
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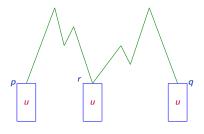
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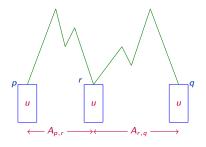


$$A_{p,q} \rightarrow A_{p,r} A_{r,q}$$



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$$A_{p,p}$$
  $\rightarrow$   $\varepsilon$ 



For every  $p \in Q$ , the following rule is in R:

$$A_{p,p} \rightarrow \varepsilon$$

For a PDA  $\mathcal{A}=\langle \Sigma,\Gamma,Q,q_0,F,\delta \rangle$ , its equivalent CFG is:

For a PDA  $\mathcal{A} = \langle \Sigma, \Gamma, Q, q_0, F, \delta \rangle$ , its equivalent CFG is:

 $\mathcal{G} = \langle \Sigma, V, R, S \rangle \text{, where } V = \{A_{p,q} \mid p,q \in Q\} \text{ and } A_{q_0,q_f} \text{ is the start variable}.$ 

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The rules in R are as follows.

- For every transition  $(p, a, pop(\varepsilon)) \rightarrow (r, push(z))$  and  $(s, b, pop(z)) \rightarrow (q, push(\varepsilon))$ , the rule  $A_{p,q} \rightarrow a A_{r,s} b$  is in R.
- For every transition  $(p, a, pop(\varepsilon)) \rightarrow (r, push(\varepsilon))$ , the rule  $A_{p,q} \rightarrow a A_{r,q}$  is in R.
- For every  $r \in Q$ , the rule  $A_{p,q} \rightarrow A_{p,r} A_{r,q}$  is in R.
- For every  $p \in Q$ , the rule  $A_{p,p} \to \varepsilon$  is in R.

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- For every  $r \in Q$ , the rule  $A_{p,q} \rightarrow A_{p,r} A_{r,q}$  is in R.
- For every  $p \in Q$ , the rule  $A_{p,p} \to \varepsilon$  is in R.

#### Claim

$$L(A) = L(G)$$
.

#### From PDA to CFG

Theorem (The second item of Theorem 4.1)

For every PDA  $\mathcal{A}$ , there is a CFG  $\mathcal{G}$  such that  $L(\mathcal{A}) = L(\mathcal{G})$ .

## To conclude:

CFG and PDA express exactly the same class of languages.

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#### Theorem 4.1

- For every CFG  $\mathcal{G}$ , there is a PDA  $\mathcal{A}$  such that  $L(\mathcal{A}) = L(\mathcal{G})$ .
- For every PDA A, there is a CFG G such that L(A) = L(G).

# End of Lesson 4