## Lesson 2. Regular expressions

CSIE 3110 - Formal Languages and Automata Theory

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2. The equivalence between regular expressions and NFA

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## Regular expressions

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Regular expressions (over $\Sigma$ ) are expressions built inductively as follows.

- $\emptyset$ is a regular expression.
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- If $e_{1}, e_{2}$ are regular expressions, so are $\left(e_{1} \cdot e_{2}\right)$ and $\left(e_{1} \cup e_{2}\right)$.
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Regular expression is usually abbreviated as regex.

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Similarly, we can say that a regular expression $e$ is a finite representation of the language $L(e)$.

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- $L\left((a \cup b)^{*} a\right)=\left(L(a \cup b)^{*} \cdot L(a)=\{a, b\}^{*} \cdot\{a\}\right.$. That is, the language $\{w \mid w$ is a word that ends with $a\}$.


## The main theorem in this lesson

## Theorem 2.1

Regular expressions define precisely the class of regular languages.
More formally:

- For every regular expression e over $\Sigma, L(e)$ is a regular language, i.e., there is an NFA $\mathcal{A}$ such that $L(\mathcal{A})=L(e)$.
- For every NFA $\mathcal{A}$, there is a regular expression e such that $L(e)=L(\mathcal{A})$.


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For the induction step, suppose $e$ is either of the form $\alpha \cdot \beta, \alpha \cup \beta$ or $\alpha^{*}$.

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By the induction hypothesis, there are NFA $\mathcal{A}_{1}$ and $\mathcal{A}_{2}$ that accept the languages $L(\alpha)$ and $L(\beta)$, respectively.

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Since regular languages are closed under concatenation, union and Kleene star, (See Remark 1.4 and Theorem 1.8 in Lesson 1), there are NFAs for all the languages $L(\alpha \cdot \beta), L(\alpha \cup \beta)$ and $L\left(\alpha^{*}\right)$.

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Recall that:

- $L(\alpha \cdot \beta)=L(\alpha) \cdot L(\beta)$.
- $L(\alpha \cup \beta)=L(\alpha) \cup L(\beta)$.
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We now prove the second item:

## Theorem (The second item of Theorem 2.1)

- For every NFA $\mathcal{A}$, there is a regular expression e such that $L(e)=L(\mathcal{A})$.
(Proof) Let $\mathcal{A}=\left\langle\Sigma, Q, q_{0}, F, \delta\right\rangle$ be an NFA, where $Q=\{1, \ldots, n\}$.

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For every $1 \leqslant i, j \leqslant n$ and $0 \leqslant k \leqslant n$, define the language $L(i, j, k)$ :

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L(i, j, k):=\left\{\begin{array}{l|l}
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(Proof of Claim 1: By induction on $k$ )

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## (Proof of Claim 1: By induction on $k$ )

(Base case $k=0$ ) For every $1 \leqslant i, j \leqslant n$, we consider $L(i, j, 0)$ :

- If $i \neq j$ and there is no transition from $i$ to $j$ :


The language $L(i, j, 0)=\emptyset$, so the regex $e$ is $\emptyset$.

## Claim 1

For every $1 \leqslant i, j \leqslant n$ and $0 \leqslant k \leqslant n$, there is a regex e such that $L(e)=L(i, j, k)$.

## (Proof of Claim 1: By induction on $k$ )

(Base case $k=0$ ) For every $1 \leqslant i, j \leqslant n$, we consider $L(i, j, 0)$ :

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- If $i \neq j$ and there are some transitions from $i$ to $j$ :


The language $L(i, j, 0)=\left\{a_{1}, \ldots, a_{t}\right\}$, so the regex $e$ is $a_{1} \cup \cdots \cup a_{t}$.
(Base case $k=0$ - continued)
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- If $i=j$ and there is no transition from $i$ to $i$ :


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L(i, j, k+1)=L(i, j, k) \cup\left(L(i, k+1, k) \cdot L(k+1, k+1, k)^{*} \cdot L(k+1, j, k)\right)
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By induction hypothesis, there is regex for each of $L(i, j, k), L(i, k+1, k)$, $L(k+1, k+1, k)$, and $L(k+1, j, k)$.
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Thus, there is regex for $L(i, j, k+1)$.
(Finishing the proof of Claim 1)
The language $L(\mathcal{A})$ can be defined as:

$$
L(\mathcal{A})=\bigcup_{q_{f} \in F} L\left(q_{0}, q_{f}, n\right)
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## (Finishing the proof of Claim 1)

The language $L(\mathcal{A})$ can be defined as:

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L(\mathcal{A})=\bigcup_{q_{f} \in F} L\left(q_{0}, q_{f}, n\right)
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By Claim 1, there is a regex that defines each $L\left(q_{0}, q_{f}, n\right)$.

## (Finishing the proof of Claim 1)

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By Claim 1, there is a regex that defines each $L\left(q_{0}, q_{f}, n\right)$.
Taking the union over all $q_{f} \in F$, we have a regex for $L(\mathcal{A})$.

## To conclude:

## Corollary 2.2

Let $L$ be a language. The following are equivalent.

- L is accepted by a DFA.
- L is accepted by an NFA.
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Let $L$ be a language. The following are equivalent.

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- L is accepted by an NFA.
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One nice implication of this corollary is that languages defined by regular expression are also closed under intersection and complement.

This is despite the fact that we are not allowed to use intersection or negation in regular expressions.

## End of Lesson 2

