Lesson 2. Regular expressions

CSIE 3110 - Formal Languages and Automata Theory

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2. The equivalence between regular expressions and NFA

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Regular expressions

(Def.) Let Σ be an alphabet.

Regular expressions (over Σ) are expressions built inductively as follows.

- \emptyset is a regular expression.
- *a* is a regular expression, for every symbol $a \in \Sigma$.
- If e_1, e_2 are regular expressions, so are $(e_1 \cdot e_2)$ and $(e_1 \cup e_2)$.
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Regular expression is usually abbreviated as regex.

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Let $\Sigma = \{a, b\}$.

• $a \cap b$ is not a regular expression, because \cap is not allowed.

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- $a \cap b$ is not a regular expression, because \cap is not allowed.
- c is not a regular expression over Σ , because $c \notin \Sigma$.

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- *c* is not a regular expression over Σ , because $c \notin \Sigma$.
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- (NOT a) is not a regular expression.

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Boolean myprog(String w)
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 $L(myprog) := \{w \mid myprog \text{ outputs true on } w\}$

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Similarly, we can say that a regular expression e is a finite representation of the language L(e).

(Def.) A regular expression e over Σ defines the language L(e) over the same alphabet Σ as follows.

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- If e is of the form $(e_1)^*$, then $L(e) = L(e_1)^*$.

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- L((a ∪ b)*a) = (L(a ∪ b)* · L(a) = {a, b}* · {a}. That is, the language {w | w is a word that ends with a}.

The main theorem in this lesson

Theorem 2.1

Regular expressions define precisely the class of regular languages. More formally:

- For every regular expression e over Σ, L(e) is a regular language, i.e., there is an NFA A such that L(A) = L(e).
- For every NFA A, there is a regular expression e such that L(e) = L(A).

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Theorem (The first item of Theorem 2.1)

 For every regular expression e over Σ, L(e) is a regular language, i.e., there is an NFA A such that L(A) = L(e).

(Proof) By induction on the regex *e*. The base case is when *e* is either \emptyset or a symbol $a \in \Sigma$.

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$$\rightarrow q$$

• When e is a, for some symbol $a \in \Sigma$, then $L(e) = \{a\}$.

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For the induction step, suppose *e* is either of the form $\alpha \cdot \beta$, $\alpha \cup \beta$ or α^* .

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Since regular languages are closed under concatenation, union and Kleene star, (See Remark 1.4 and Theorem 1.8 in Lesson 1), there are NFAs for all the languages $L(\alpha \cdot \beta)$, $L(\alpha \cup \beta)$ and $L(\alpha^*)$.

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Recall that:

- $L(\alpha \cdot \beta) = L(\alpha) \cdot L(\beta)$.
- $L(\alpha \cup \beta) = L(\alpha) \cup L(\beta).$
- $L(\alpha^*) = L(\alpha)^*$.

Theorem (The second item of Theorem 2.1)

• For every NFA A, there is a regular expression e such that L(e) = L(A).

(Proof) Let $\mathcal{A} = \langle \Sigma, Q, q_0, F, \delta \rangle$ be an NFA, where $Q = \{1, \dots, n\}$.

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For every $1 \leq i, j \leq n$ and $0 \leq k \leq n$, define the language L(i, j, k):

$$L(i,j,k) := \begin{cases} w \in \Sigma^* \\ w \in \Sigma^* \end{cases} \text{ there is a run of } \mathcal{A} \text{ on } w \text{ from state } i \text{ to state } j \\ without \text{ passing any states } \geqslant k+1 \end{cases}$$

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For every $1 \leq i, j \leq n$ and $0 \leq k \leq n$, there is a regex e such that L(e) = L(i, j, k).

(Proof of Claim 1: By induction on k)

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(Proof of Claim 1: By induction on k)

(Base case k = 0) For every $1 \leq i, j \leq n$, we consider L(i, j, 0):

• If $i \neq j$ and there is no transition from *i* to *j*:

The language $L(i, j, 0) = \emptyset$, so the regex *e* is \emptyset .

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• If $i \neq j$ and there are some transitions from *i* to *j*:



The language $L(i, j, 0) = \{a_1, \ldots, a_t\}$, so the regex e is $a_1 \cup \cdots \cup a_t$.

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• If *i* = *j* and there is no transition from *i* to *i*:

$$\rightarrow$$
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The language $L(i, j, 0) = \{a_1, \ldots, a_t, \varepsilon\}$, so the regex *e* is $a_1 \cup \cdots \cup a_t \cup \emptyset^*$.

(Induction step – proof of Claim 1)

$$L(i,j,k+1) = L(i,j,k) \cup (L(i,k+1,k) \cdot L(k+1,k+1,k)^* \cdot L(k+1,j,k))$$

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By induction hypothesis, there is regex for each of L(i, j, k), L(i, k + 1, k), L(k + 1, k + 1, k), and L(k + 1, j, k).

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Thus, there is regex for L(i, j, k+1).

(Finishing the proof of Claim 1)

The language L(A) can be defined as:

$$L(\mathcal{A}) = \bigcup_{q_f \in F} L(q_0, q_f, n)$$

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$$L(\mathcal{A}) = \bigcup_{q_f \in F} L(q_0, q_f, n)$$

By Claim 1, there is a regex that defines each $L(q_0, q_f, n)$.

Taking the union over all $q_f \in F$, we have a regex for L(A).

To conclude:

Corollary 2.2

Let L be a language. The following are equivalent.

- L is accepted by a DFA.
- L is accepted by an NFA.
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One nice implication of this corollary is that languages defined by regular expression are also closed under intersection and complement.

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Let L be a language. The following are equivalent.

- L is accepted by a DFA.
- L is accepted by an NFA.
- L is defined by a regular expression.

One nice implication of this corollary is that languages defined by regular expression are also closed under intersection and complement.

This is despite the fact that we are not allowed to use intersection or negation in regular expressions.

End of Lesson 2