## Monte Carlo Algorithms ${ }^{\text {a }}$

- The randomized bipartite perfect matching algorithm is called a Monte Carlo algorithm in the sense that
- If the algorithm finds that a matching exists, it is always correct (no false positives).
- If the algorithm answers in the negative, then it may make an error (false negatives).

[^0]
## Monte Carlo Algorithms (concluded)

- The algorithm makes a false negative with probability $\leq 0.5$. $^{\text {a }}$
- Note this probability refers to ${ }^{\text {b }}$
prob[algorithm answers "no" $\mid G$ has a perfect matching] not
$\operatorname{prob}[G$ has a perfect matching $\mid$ algorithm answers "no"].
- This probability is not over the space of all graphs or determinants, but over the algorithm's own coin flips.
- It holds for any bipartite graph.

[^1]
## The Markov Inequality ${ }^{\text {a }}$

Lemma 61 Let $x$ be a random variable taking nonnegative integer values. Then for any $k>0$,

$$
\operatorname{prob}[x \geq k E[x]] \leq 1 / k
$$

- Let $p_{i}$ denote the probability that $x=i$.

$$
\begin{aligned}
E[x] & =\sum_{i} i p_{i} \\
& =\sum_{i<k E[x]} i p_{i}+\sum_{i \geq k E[x]} i p_{i} \\
& \geq k E[x] \times \operatorname{prob}[x \geq k E[x]] .
\end{aligned}
$$

[^2]
## Andrei Andreyevich Markov (1856-1922)

## An Application of Markov's Inequality

- Suppose algorithm $C$ runs in expected time $T(n)$ and always gives the right answer.
- Consider an algorithm that runs $C$ for time $k T(n)$ and rejects the input if $C$ does not stop within the time bound.
- By Markov's inequality, this new algorithm runs in time $k T(n)$ and gives the wrong answer with probability $\leq 1 / k$.


## An Application of Markov's Inequality (concluded)

- By running this algorithm $m$ times, we reduce the error probability to $\leq k^{-m}$. .
- Suppose, instead, we run the algorithm for the same running time $m k T(n)$ once and rejects the input if it does not stop within the time bound.
- By Markov's inequality, this new algorithm gives the wrong answer with probability $\leq 1 /(m k)$.
- This is much worse than the previous algorithm's error probability of $\leq k^{-m}$ for the same amount of time.

[^3]
## FSAT for $k$-SAT Formulas (p. 428)

- Let $\phi\left(x_{1}, x_{2}, \ldots, x_{n}\right)$ be a $k$-sAT formula.
- If $\phi$ is satisfiable, then return a satisfying truth assignment.
- Otherwise, return "no."
- We next propose a randomized algorithm for this problem.


## A Random Walk Algorithm for $\phi$ in CNF Form

1: Start with an arbitrary truth assignment $T$;
2: for $i=1,2, \ldots, r$ do
3: $\quad$ if $T \models \phi$ then
4: return " $\phi$ is satisfiable with $T$ ";
5: else
6: $\quad$ Let $c$ be an unsatisfied clause in $\phi$ under $T$; All of its literals are false under $T$.\}
7: $\quad$ Pick any $x$ of these literals at random;
8: $\quad$ Modify $T$ to make $x$ true;
9: end if
10: end for
11: return " $\phi$ is unsatisfiable";

## 3sAT vs. 2SAT Again

- Note that if $\phi$ is unsatisfiable, the algorithm will not refute it.
- The random walk algorithm needs expected exponential time for 3sat.
- In fact, it runs in expected $O\left((1.333 \cdots+\epsilon)^{n}\right)$ time with $r=3 n,{ }^{\text {a }}$ much better than $O\left(2^{n}\right) .{ }^{\text {b }}$
- We will show immediately that it works well for 2sat.
- The state of the art as of 2006 is expected $O\left(1.322^{n}\right)$ time for 3sat and expected $O\left(1.474^{n}\right)$ time for 4 SAT. $^{\text {c }}$

[^4]
## Random Walk Works for $2 \mathrm{SAT}^{\text {a }}$

Theorem 62 Suppose the random walk algorithm with $r=2 n^{2}$ is applied to any satisfiable 2SAT problem with $n$ variables. Then a satisfying truth assignment will be discovered with probability at least 0.5 .

- Let $\hat{T}$ be a truth assignment such that $\hat{T} \models \phi$.
- Assume our starting $T$ differs from $\hat{T}$ in $i$ values.
- Their Hamming distance is $i$.
- Recall $T$ is arbitrary.
- Let $t(i)$ denote the expected number of repetitions of the flipping step until a satisfying truth assignment is found.

[^5]
## The Proof

- It can be shown that $t(i)$ is finite.
- $t(0)=0$ because it means that $T=\hat{T}$ and hence $T \models \phi$.
- If $T \neq \hat{T}$ or any other satisfying truth assignment, then we need to flip the coin at least once.
- We flip a coin to pick among the 2 literals of a clause not satisfied by the present $T$.
- At least one of the 2 literals is true under $\hat{T}$ because $\hat{T}$ satisfies all clauses.
- So we have at least 0.5 chance of moving closer to $\hat{T}$.


## The Proof (continued)

- Thus

$$
t(i) \leq \frac{t(i-1)+t(i+1)}{2}+1
$$

for $0<i<n$.

- Inequality is used because, for example, $T$ may differ from $\hat{T}$ in both literals.
- It must also hold that

$$
t(n) \leq t(n-1)+1
$$

because at $i=n$, we can only decrease $i$.

## The Proof (continued)

- As we are only interested in upper bounds, we solve

$$
\begin{aligned}
x(0) & =0 \\
x(n) & =x(n-1)+1 \\
x(i) & =\frac{x(i-1)+x(i+1)}{2}+1, \quad 0<i<n
\end{aligned}
$$

- This is one-dimensional random walk with an absorbing barrier at $i=0$ and a reflecting barrier at $i=n$.


## The Proof (continued)

- Add the equations up to obtain

$$
\begin{aligned}
& x(1)+x(2)+\cdots+x(n) \\
= & \frac{x(0)+x(1)+2 x(2)+\cdots+2 x(n-2)+x(n-1)+x(n)}{2} \\
& +n+x(n-1) .
\end{aligned}
$$

- Simplify to yield

$$
\frac{x(1)+x(n)-x(n-1)}{2}=n \text {. }
$$

- As $x(n)-x(n-1)=1$, we have

$$
x(1)=2 n-1
$$

## The Proof (continued)

- Iteratively, we obtain

$$
\begin{aligned}
x(2) & =4 n-4 \\
& \vdots \\
x(i) & =2 i n-i^{2} .
\end{aligned}
$$

- The worst case happens when $i=n$, in which case

$$
x(n)=n^{2} .
$$

## The Proof (concluded)

- We therefore reach the conclusion that

$$
t(i) \leq x(i) \leq x(n)=n^{2}
$$

- So the expected number of steps is at most $n^{2}$.
- The algorithm picks $r=2 n^{2}$.
- This amounts to invoking the Markov inequality (p. 460) with $k=2$, with the consequence of having a probability of 0.5.
- The proof does not yield a polynomial bound for 3sAT. ${ }^{\text {a }}$

[^6]
## Boosting the Performance

- We can pick $r=2 m n^{2}$ to have an error probability of

$$
\leq \frac{1}{2 m}
$$

by Markov's inequality.

- Alternatively, with the same running time, we can run the " $r=2 n^{2}$ " algorithm $m$ times.
- The error probability is now reduced to

$$
\leq 2^{-m}
$$

## Primality Tests

- PRIMES asks if a number $N$ is a prime.
- The classic algorithm tests if $k \mid N$ for $k=2,3, \ldots, \sqrt{N}$.
- But it runs in $\Omega\left(2^{\left(\log _{2} N\right) / 2}\right)$ steps.


## Primality Tests (concluded)

- Suppose $N=P Q$ is a product of 2 distinct primes.
- The probability of success of the density attack (p. 409) is

$$
\approx \frac{2}{\sqrt{N}}
$$

when $P \approx Q$.

- This probability is exponentially small in terms of the input length $\log _{2} N$.


## The Fermat Test for Primality

Fermat's "little" theorem on p. 412 suggests the following primality test for any given number $N$ :
1: Pick a number $a$ randomly from $\{1,2, \ldots, N-1\}$;
2: if $a^{N-1} \neq 1 \bmod N$ then
3: return " $N$ is composite";
4: else
5: return " $N$ is a prime";
6: end if

## The Fermat Test for Primality (concluded)

- Unfortunately, there are composite numbers called Carmichael numbers that will pass the Fermat test for all $a \in\{1,2, \ldots, N-1\}$. ${ }^{\text {a }}$
- The Fermat test will return " $N$ is a prime" for all Carmichael numbers $N$.
- There are infinitely many Carmichael numbers. ${ }^{\text {b }}$
- In fact, the number of Carmichael numbers less than $N$ exceeds $N^{2 / 7}$ for $N$ large enough.
${ }^{\text {a }}$ Carmichael (1910).
${ }^{\mathrm{b}}$ Alford, Granville, and Pomerance (1992).


## Square Roots Modulo a Prime

- Equation $x^{2}=a \bmod p$ has at most two (distinct) roots by Lemma 57 (p. 417).
- The roots are called square roots.
- Numbers $a$ with square roots and $\operatorname{gcd}(a, p)=1$ are called quadratic residues.
* They are

$$
1^{2} \bmod p, 2^{2} \bmod p, \ldots,(p-1)^{2} \bmod p
$$

- We shall show that a number either has two roots or has none, and testing which one is true is trivial. ${ }^{\text {a }}$

[^7]
## Euler's Test

Lemma 63 (Euler) Let $p$ be an odd prime and $a \neq 0 \bmod p$.

1. If

$$
a^{(p-1) / 2}=1 \bmod p,
$$

then $x^{2}=a \bmod p$ has two roots.
2. If

$$
a^{(p-1) / 2} \neq 1 \bmod p,
$$

then

$$
a^{(p-1) / 2}=-1 \bmod p
$$

and $x^{2}=a \bmod p$ has no roots.

## The Proof (continued)

- Let $r$ be a primitive root of $p$.
- By Fermat's "little" theorem,

$$
r^{(p-1) / 2}
$$

is a square root of 1 .

- So

$$
r^{(p-1) / 2}=1 \text { or }-1 \bmod p .
$$

- But as $r$ is a primitive root, $r^{(p-1) / 2} \neq 1 \bmod p$.
- Hence

$$
r^{(p-1) / 2}=-1 \bmod p
$$

## The Proof (continued)

- Let $a=r^{k} \bmod p$ for some $k$.
- Then

$$
1=a^{(p-1) / 2}=r^{k(p-1) / 2}=\left[r^{(p-1) / 2}\right]^{k}=(-1)^{k} \bmod p
$$

- So $k$ must be even.
- Suppose $a=r^{2 j}$ for some $1 \leq j \leq(p-1) / 2$.
- Then $a^{(p-1) / 2}=r^{j(p-1)}=1 \bmod p$, and $a$ 's two distinct roots are $r^{j},-r^{j}\left(=r^{j+(p-1) / 2} \bmod p\right)$.
- If $r^{j}=-r^{j} \bmod p$, then $2 r^{j}=0 \bmod p$, which implies $r^{j}=0 \bmod p$, a contradiction.


## The Proof (continued)

- As $1 \leq j \leq(p-1) / 2$, there are $(p-1) / 2$ such $a$ 's.
- Each such $a$ has 2 distinct square roots.
- The square roots of all the $a$ 's are distinct.
- The square roots of different $a$ 's must be different.
- Hence the set of square roots is $\{1,2, \ldots, p-1\}$.
- As a result, $a=r^{2 j}, 1 \leq j \leq(p-1) / 2$, exhaust all the quadratic residues.


## The Proof (concluded)

- If $a=r^{2 j+1}$, then it has no roots because all the square roots have been taken.
- Now,

$$
a^{(p-1) / 2}=\left[r^{(p-1) / 2}\right]^{2 j+1}=(-1)^{2 j+1}=-1 \bmod p
$$

The Legendre Symbol ${ }^{\text {a }}$ and Quadratic Residuacity Test

- By Lemma 63 (p. 480) $a^{(p-1) / 2} \bmod p= \pm 1$ for $a \neq 0 \bmod p$.
- For odd prime $p$, define the Legendre symbol $(a \mid p)$ as
$(a \mid p)= \begin{cases}0 & \text { if } p \mid a, \\ 1 & \text { if } a \text { is a quadratic residue modulo } p, \\ -1 & \text { if } a \text { is a quadratic nonresidue modulo } p .\end{cases}$
- Euler's test implies $a^{(p-1) / 2}=(a \mid p) \bmod p$ for any odd prime $p$ and any integer $a$.
- Note that $(a b \mid p)=(a \mid p)(b \mid p)$.

[^8]
## Gauss's Lemma

Lemma 64 (Gauss) Let $p$ and $q$ be two odd primes. Then $(q \mid p)=(-1)^{m}$, where $m$ is the number of residues in $R=\{i q \bmod p: 1 \leq i \leq(p-1) / 2\}$ that are greater than $(p-1) / 2$.

- All residues in $R$ are distinct.
- If $i q=j q \bmod p$, then $p \mid(j-i) q$ or $p \mid q$.
- No two elements of $R$ add up to $p$.
- If $i q+j q=0 \bmod p$, then $p \mid(i+j)$ or $p \mid q$.
- But neither is possible.


## The Proof (continued)

- Consider the set $R^{\prime}$ of residues that result from $R$ if we replace each of the $m$ elements $a \in R$ such that $a>(p-1) / 2$ by $p-a$.
- This is equivalent to performing $-a \bmod p$.
- All residues in $R^{\prime}$ are now at most $(p-1) / 2$.
- In fact, $R^{\prime}=\{1,2, \ldots,(p-1) / 2\}$ (see illustration next page).
- Otherwise, two elements of $R$ would add up to $p$, which has been shown to be impossible.


$$
p=7 \text { and } q=5
$$

## The Proof (concluded)

- Alternatively, $R^{\prime}=\{ \pm i q \bmod p: 1 \leq i \leq(p-1) / 2\}$, where exactly $m$ of the elements have the minus sign.
- Take the product of all elements in the two representations of $R^{\prime}$.
- So

$$
[(p-1) / 2]!=(-1)^{m} q^{(p-1) / 2}[(p-1) / 2]!\bmod p .
$$

- Because $\operatorname{gcd}([(p-1) / 2]!, p)=1$, the above implies

$$
1=(-1)^{m} q^{(p-1) / 2} \bmod p .
$$

## Legendre's Law of Quadratic Reciprocity ${ }^{\text {a }}$

- Let $p$ and $q$ be two odd primes.
- The next result says their Legendre symbols are distinct if and only if both numbers are $3 \bmod 4$.

Lemma 65 (Legendre (1785), Gauss)

$$
(p \mid q)(q \mid p)=(-1)^{\frac{p-1}{2} \frac{q-1}{2}} .
$$

[^9]
## The Proof (continued)

- Sum the elements of $R^{\prime}$ in the previous proof in $\bmod 2$.
- On one hand, this is just $\sum_{i=1}^{(p-1) / 2} i \bmod 2$.
- On the other hand, the sum equals

$$
\begin{aligned}
& \sum_{i=1}^{(p-1) / 2}\left(q i-p\left\lfloor\frac{q i}{p}\right\rfloor\right)+m p \bmod 2 \\
= & \left(q \sum_{i=1}^{(p-1) / 2} i-p \sum_{i=1}^{(p-1) / 2}\left\lfloor\frac{q i}{p}\right\rfloor\right)+m p \bmod 2 .
\end{aligned}
$$

- Signs are irrelevant under mod2.
- $m$ is as in Lemma 64 (p. 486).


## The Proof (continued)

- Ignore odd multipliers to make the sum equal

$$
\left(\sum_{i=1}^{(p-1) / 2} i-\sum_{i=1}^{(p-1) / 2}\left\lfloor\frac{q i}{p}\right\rfloor\right)+m \bmod 2 .
$$

- Equate the above with $\sum_{i=1}^{(p-1) / 2} i \bmod 2$ to obtain

$$
m=\sum_{i=1}^{(p-1) / 2}\left\lfloor\frac{q i}{p}\right\rfloor \bmod 2 .
$$

## The Proof (concluded)

- $\sum_{i=1}^{(p-1) / 2}\left\lfloor\frac{q i}{p}\right\rfloor$ is the number of integral points under the line

$$
y=(q / p) x
$$

for $1 \leq x \leq(p-1) / 2$.

- Gauss's lemma (p. 486) says $(q \mid p)=(-1)^{m}$.
- Repeat the proof with $p$ and $q$ reversed.
- So $(p \mid q)=(-1)^{m^{\prime}}$, where $m^{\prime}$ is the number of integral points above the line $y=(q / p) x$ for $1 \leq y \leq(q-1) / 2$.
- As a result, $(p \mid q)(q \mid p)=(-1)^{m+m^{\prime}}$.
- But $m+m^{\prime}$ is the total number of integral points in the $\frac{p-1}{2} \times \frac{q-1}{2}$ rectangle, which is $\frac{p-1}{2} \frac{q-1}{2}$.

Eisenstein's Rectangle


$$
p=11 \text { and } q=7
$$

## The Jacobi Symbol ${ }^{\text {a }}$

- The Legendre symbol only works for odd prime moduli.
- The Jacobi symbol $(a \mid m)$ extends it to cases where $m$ is not prime.
- Let $m=p_{1} p_{2} \cdots p_{k}$ be the prime factorization of $m$.
- When $m>1$ is odd and $\operatorname{gcd}(a, m)=1$, then

$$
(a \mid m)=\prod_{i=1}^{k}\left(a \mid p_{i}\right)
$$

- Note that the Jacobi symbol equals $\pm 1$.
- It reduces to the Legendre symbol when $m$ is a prime.
- Define $(a \mid 1)=1$.
${ }^{\text {a }}$ Carl Jacobi (1804-1851).


## Properties of the Jacobi Symbol

The Jacobi symbol has the following properties, for arguments for which it is defined.

1. $(a b \mid m)=(a \mid m)(b \mid m)$.
2. $\left(a \mid m_{1} m_{2}\right)=\left(a \mid m_{1}\right)\left(a \mid m_{2}\right)$.
3. If $a=b \bmod m$, then $(a \mid m)=(b \mid m)$.
4. $(-1 \mid m)=(-1)^{(m-1) / 2}$ (by Lemma 64 on p. 486).
5. $(2 \mid m)=(-1)^{\left(m^{2}-1\right) / 8}$. ${ }^{\text {a }}$
6. If $a$ and $m$ are both odd, then

$$
(a \mid m)(m \mid a)=(-1)^{(a-1)(m-1) / 4}
$$

[^10]
## Properties of the Jacobi Symbol (concluded)

- These properties allow us to calculate the Jacobi symbol without factorization.
- This situation is similar to the Euclidean algorithm.
- Note also that $(a \mid m)=1 /(a \mid m)$ because $(a \mid m)= \pm 1$. ${ }^{\text {a }}$
${ }^{\text {a }}$ Contributed by Mr. Huang, Kuan-Lin (B96902079, R00922018) on December 6, 2011.


## Calculation of (2200|999)

$$
\begin{aligned}
(202 \mid 999) & =(2 \mid 999)(101 \mid 999) \\
& =(-1)^{\left(999^{2}-1\right) / 8}(101 \mid 999) \\
& =(-1)^{124750}(101 \mid 999)=(101 \mid 999) \\
& =(-1)^{(100)(998) / 4}(999 \mid 101)=(-1)^{24950}(999 \mid 101) \\
& =(999 \mid 101)=(90 \mid 101)=(-1)^{\left(101^{2}-1\right) / 8}(45 \mid 101) \\
& =(-1)^{1275}(45 \mid 101)=-(45 \mid 101) \\
& =-(-1)^{(44)(100) / 4}(101 \mid 45)=-(101 \mid 45)=-(11 \mid 45) \\
& =-(-1)^{(10)(44) / 4}(45 \mid 11)=-(45 \mid 11) \\
& =-(1 \mid 11)=-1 .
\end{aligned}
$$

## A Result Generalizing Proposition 10.3 in the Textbook

Theorem 66 The group of set $\Phi(n)$ under multiplication $\bmod n$ has a primitive root if and only if $n$ is either 1, 2, 4, $p^{k}$, or $2 p^{k}$ for some nonnegative integer $k$ and and odd prime $p$.

This result is essential in the proof of the next lemma.

## The Jacobi Symbol and Primality Test ${ }^{\text {a }}$

Lemma 67 If $(M \mid N)=M^{(N-1) / 2} \bmod N$ for all $M \in \Phi(N)$, then $N$ is a prime. (Assume $N$ is odd.)

- Assume $N=m p$, where $p$ is an odd prime, $\operatorname{gcd}(m, p)=1$, and $m>1$ (not necessarily prime).
- Let $r \in \Phi(p)$ such that $(r \mid p)=-1$.
- The Chinese remainder theorem says that there is an $M \in \Phi(N)$ such that

$$
\begin{aligned}
M & =r \bmod p \\
M & =1 \bmod m
\end{aligned}
$$

[^11]
## The Proof (continued)

- By the hypothesis,

$$
M^{(N-1) / 2}=(M \mid N)=(M \mid p)(M \mid m)=-1 \bmod N .
$$

- Hence

$$
M^{(N-1) / 2}=-1 \bmod m
$$

- But because $M=1 \bmod m$,

$$
M^{(N-1) / 2}=1 \bmod m,
$$

a contradiction.

## The Proof (continued)

- Second, assume that $N=p^{a}$, where $p$ is an odd prime and $a \geq 2$.
- By Theorem 66 (p. 499), there exists a primitive root $r$ modulo $p^{a}$.
- From the assumption,

$$
M^{N-1}=\left[M^{(N-1) / 2}\right]^{2}=(M \mid N)^{2}=1 \bmod N
$$

for all $M \in \Phi(N)$.

## The Proof (continued)

- As $r \in \Phi(N)$ (prove it), we have

$$
r^{N-1}=1 \bmod N .
$$

- As $r$ 's exponent modulo $N=p^{a}$ is $\phi(N)=p^{a-1}(p-1)$,

$$
p^{a-1}(p-1) \mid N-1,
$$

which implies that $p \mid N-1$.

- But this is impossible given that $p \mid N$.


## The Proof (continued)

- Third, assume that $N=m p^{a}$, where $p$ is an odd prime, $\operatorname{gcd}(m, p)=1, m>1$ (not necessarily prime), and $a$ is even.
- The proof mimics that of the second case.
- By Theorem 66 (p. 499), there exists a primitive root $r$ modulo $p^{a}$.
- From the assumption,

$$
M^{N-1}=\left[M^{(N-1) / 2}\right]^{2}=(M \mid N)^{2}=1 \bmod N
$$

for all $M \in \Phi(N)$.

## The Proof (continued)

- In particular,

$$
\begin{equation*}
M^{N-1}=1 \bmod p^{a} \tag{7}
\end{equation*}
$$

for all $M \in \Phi(N)$.

- The Chinese remainder theorem says that there is an $M \in \Phi(N)$ such that

$$
\begin{aligned}
M & =r \bmod p^{a}, \\
M & =1 \bmod m .
\end{aligned}
$$

- Because $M=r \bmod p^{a}$ and Eq. (7),

$$
r^{N-1}=1 \bmod p^{a} .
$$

## The Proof (concluded)

- As $r$ 's exponent modulo $N=p^{a}$ is $\phi(N)=p^{a-1}(p-1)$,

$$
p^{a-1}(p-1) \mid N-1,
$$

which implies that $p \mid N-1$.

- But this is impossible given that $p \mid N$.


## The Number of Witnesses to Compositeness

 Theorem 68 (Solovay and Strassen (1977)) If $N$ is an odd composite, then $(M \mid N)=M^{(N-1) / 2} \bmod N$ for at most half of $M \in \Phi(N)$.- By Lemma 67 (p. 500) there is at least one $a \in \Phi(N)$ such that $(a \mid N) \neq a^{(N-1) / 2} \bmod N$.
- Let $B=\left\{b_{1}, b_{2}, \ldots, b_{k}\right\} \subseteq \Phi(N)$ be the set of all distinct residues such that $\left(b_{i} \mid N\right)=b_{i}^{(N-1) / 2} \bmod N$.
- Let $a B=\left\{a b_{i} \bmod N: i=1,2, \ldots, k\right\}$.


## The Proof (concluded)

- $|a B|=k$.
- $a b_{i}=a b_{j} \bmod N$ implies $N \mid a\left(b_{i}-b_{j}\right)$, which is impossible because $\operatorname{gcd}(a, N)=1$ and $N>\left|b_{i}-b_{j}\right|$.
- $a B \cap B=\emptyset$ because

$$
\left(a b_{i}\right)^{(N-1) / 2}=a^{(N-1) / 2} b_{i}^{(N-1) / 2} \neq(a \mid N)\left(b_{i} \mid N\right)=\left(a b_{i} \mid N\right) .
$$

- Combining the above two results, we know

$$
\frac{|B|}{\phi(N)} \leq \frac{|B|}{|B \cup a B|}=0.5 .
$$

1: if $N$ is even but $N \neq 2$ then
2: return " $N$ is composite";
3: else if $N=2$ then
4: return " $N$ is a prime";
5: end if
6: Pick $M \in\{2,3, \ldots, N-1\}$ randomly;
7: if $\operatorname{gcd}(M, N)>1$ then
8: return " $N$ is composite";
9: else
10: $\quad$ if $(M \mid N) \neq M^{(N-1) / 2} \bmod N$ then
11: return " $N$ is composite";
12: else
13: return " $N$ is a prime";
14: end if
15: end if

## Analysis

- The algorithm certainly runs in polynomial time.
- There are no false positives (for COMPOSITENESS).
- When the algorithm says the number is composite, it is always correct.
- The probability of a false negative is at most one half.
- If the input is composite, then the probability that the algorithm says the number is a prime is $\leq 0.5$.
- So it is a Monte Carlo algorithm for Compositeness.

The Improved Density Attack for Compositeness


## Randomized Complexity Classes; RP

- Let $N$ be a polynomial-time precise NTM that runs in time $p(n)$ and has 2 nondeterministic choices at each step.
- $N$ is a polynomial Monte Carlo Turing machine for a language $L$ if the following conditions hold:
- If $x \in L$, then at least half of the $2^{p(n)}$ computation paths of $N$ on $x$ halt with "yes" where $n=|x|$.
- If $x \notin L$, then all computation paths halt with "no."
- The class of all languages with polynomial Monte Carlo TMs is denoted RP (randomized polynomial time). ${ }^{a}$

[^12]
## Comments on RP

- Nondeterministic steps can be seen as fair coin flips.
- There are no false positive answers.
- The probability of false negatives, $1-\epsilon$, is at most 0.5 .
- But any constant between 0 and 1 can replace 0.5 .
- By repeating the algorithm $k=\left\lceil-\frac{1}{\log _{2} 1-\epsilon}\right\rceil$ times, the probability of false negatives becomes $(1-\epsilon)^{k} \leq 0.5$.
- In fact, $\epsilon$ can be arbitrarily close to 0 as long as it is of the order $1 / q(n)$ for some polynomial $q(n)$.
$--\frac{1}{\log _{2} 1-\epsilon}=O\left(\frac{1}{\epsilon}\right)=O(q(n))$.


## Where RP Fits

- $\mathrm{P} \subseteq \mathrm{RP} \subseteq \mathrm{NP}$.
- A deterministic TM is like a Monte Carlo TM except that all the coin flips are ignored.
- A Monte Carlo TM is an NTM with extra demands on the number of accepting paths.
- COMPOSITENESS $\in$ RP; PRIMES $\in \operatorname{coRP} ;$ PRIMES $\in R P .{ }^{a}$
- In fact, PRIMES $\in$ P. ${ }^{\text {b }}$
- $R P \cup$ coRP is an alternative "plausible" notion of efficient computation.

[^13]
## ZPP ${ }^{\text {a }}$ (Zero Probabilistic Polynomial)

- The class ZPP is defined as RP $\cap$ coRP.
- A language in ZPP has two Monte Carlo algorithms, one with no false positives and the other with no false negatives.
- If we repeatedly run both Monte Carlo algorithms, eventually one definite answer will come (unlike RP).
- A positive answer from the one without false positives.
- A negative answer from the one without false negatives.

[^14]
## The ZPP Algorithm (Las Vegas)

1: \{Suppose $L \in \mathrm{ZPP}$.\}
2: $\left\{N_{1}\right.$ has no false positives, and $N_{2}$ has no false negatives.\}
3: while true do
4: if $N_{1}(x)=$ "yes" then
5: return "yes";
6: end if
7: if $N_{2}(x)=$ "no" then
8: return "no";
9: end if
10: end while

## ZPP (concluded)

- The expected running time for the correct answer to emerge is polynomial.
- The probability that a run of the 2 algorithms does not generate a definite answer is 0.5 (why?).
- Let $p(n)$ be the running time of each run of the while-loop.
- The expected running time for a definite answer is

$$
\sum_{i=1}^{\infty} 0.5^{i} i p(n)=2 p(n)
$$

- Essentially, ZPP is the class of problems that can be solved without errors in expected polynomial time.


[^0]:    ${ }^{a}$ Metropolis and Ulam (1949).

[^1]:    ${ }^{\text {a }}$ Equivalently, among the coin flip sequences, at most half of them lead to the wrong answer.
    ${ }^{\text {b }}$ In general, prob[algorithm answers "no" | input is a "yes" instance].

[^2]:    a Andrei Andreyevich Markov (1856-1922).

[^3]:    ${ }^{\text {a }}$ With the same input. Thanks to a question on December 7, 2010.

[^4]:    ${ }^{\text {a }}$ Use this setting per run of the algorithm.
    ${ }^{\text {b }}$ Schöning (1999).
    ${ }^{\text {c }}$ Kwama and Tamaki (2004); Rolf (2006).

[^5]:    ${ }^{\text {a Papadimitriou (1991). }}$

[^6]:    ${ }^{\text {a }}$ Contributed by Mr. Cheng-Yu Lee (R95922035) on November 8, 2006.

[^7]:    ${ }^{\text {a }}$ No efficient deterministic root-finding algorithms are known yet.

[^8]:    ${ }^{\text {a }}$ Andrien-Marie Legendre (1752-1833).

[^9]:    ${ }^{\text {a }}$ First stated by Euler in 1751. Legendre (1785) did not give a correct proof. Gauss proved the theorem when he was 19 . He gave at least 6 different proofs during his life. The 152nd proof appeared in 1963.

[^10]:    ${ }^{a}$ By Lemma 64 (p. 486) and some parity arguments.

[^11]:    ${ }^{\text {a }}$ Mr. Clement Hsiao (R88526067) pointed out that the textbook's proof for Lemma 11.8 is incorrect while he was a senior in January 1999.

[^12]:    ${ }^{\text {a Adleman }}$ and Manders (1977).

[^13]:    ${ }^{\text {a Adleman }}$ and Huang (1987).
    ${ }^{\text {b }}$ Agrawal, Kayal, and Saxena (2002).

[^14]:    ${ }^{\text {a }}$ Gill (1977).

