Legendre's Law of Quadratic Reciprocity^a

- Let p and q be two odd primes.
- The next result says their Legendre symbols are distinct if and only if both numbers are 3 mod 4.

Lemma 65 (Legendre (1785), Gauss)

$$(p|q)(q|p) = (-1)^{\frac{p-1}{2}\frac{q-1}{2}}$$

^aFirst stated by Euler in 1751. Legendre (1785) did not give a correct proof. Gauss proved the theorem when he was 19. He gave at least 6 different proofs during his life. The 152nd proof appeared in 1963.

- Sum the elements of R' in the previous proof in mod 2.
- On one hand, this is just $\sum_{i=1}^{(p-1)/2} i \mod 2$.
- On the other hand, the sum equals

$$\sum_{i=1}^{(p-1)/2} \left(qi - p \left\lfloor \frac{iq}{p} \right\rfloor \right) + mp \mod 2$$
$$= \left(q \sum_{i=1}^{(p-1)/2} i - p \sum_{i=1}^{(p-1)/2} \left\lfloor \frac{iq}{p} \right\rfloor \right) + mp \mod 2.$$

- Signs are irrelevant under mod2.

-m is as in Lemma 64 (p. 486).

• Ignore odd multipliers to make the sum equal

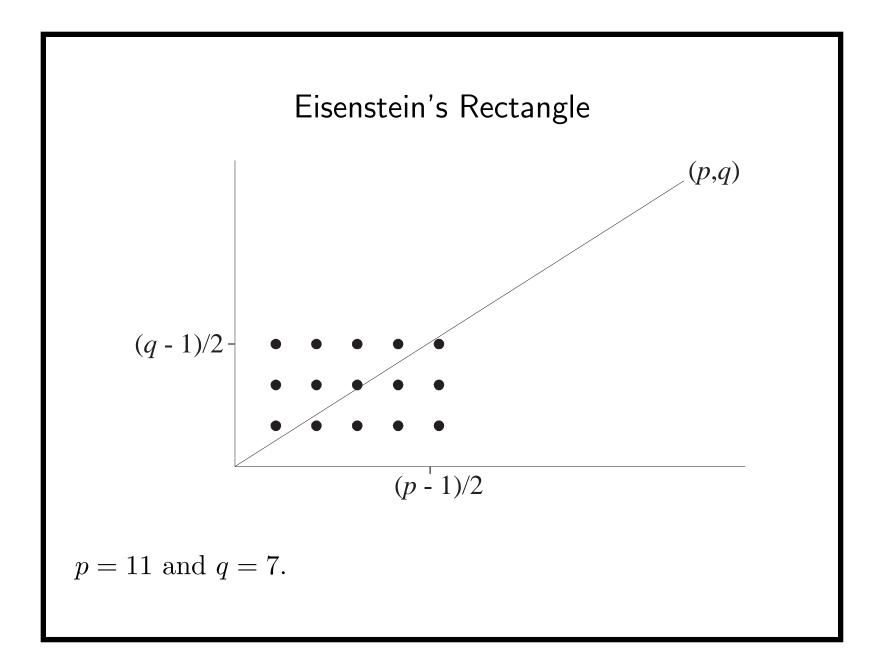
$$\left(\sum_{i=1}^{(p-1)/2} i - \sum_{i=1}^{(p-1)/2} \left\lfloor \frac{iq}{p} \right\rfloor\right) + m \mod 2.$$

• Equate the above with $\sum_{i=1}^{(p-1)/2} i \mod 2$ to obtain

$$m = \sum_{i=1}^{(p-1)/2} \left\lfloor \frac{iq}{p} \right\rfloor \mod 2.$$

The Proof (concluded)

- $\sum_{i=1}^{(p-1)/2} \lfloor \frac{iq}{p} \rfloor$ is the number of integral points under the line y = (q/p) x for $1 \le x \le (p-1)/2$.
- Gauss's lemma (p. 486) says $(q|p) = (-1)^m$.
- Repeat the proof with p and q reversed.
- So $(p|q) = (-1)^{m'}$, where m' is the number of integral points above the line y = (q/p) x for $1 \le y \le (q-1)/2$.
- As a result, $(p|q)(q|p) = (-1)^{m+m'}$.
- But m + m' is the total number of integral points in the $\frac{p-1}{2} \times \frac{q-1}{2}$ rectangle, which is $\frac{p-1}{2} \frac{q-1}{2}$.



The Jacobi Symbol^a

- The Legendre symbol only works for odd *prime* moduli.
- The **Jacobi symbol** $(a \mid m)$ extends it to cases where m is not prime.
- Let $m = p_1 p_2 \cdots p_k$ be the prime factorization of m.
- When m > 1 is odd and gcd(a, m) = 1, then

$$(a|m) = \prod_{i=1}^{k} (a | p_i).$$

– Note that the Jacobi symbol equals ± 1 .

- It reduces to the Legendre symbol when m is a prime.

• Define $(a \mid 1) = 1$.

^aCarl Jacobi (1804–1851).

Properties of the Jacobi Symbol

The Jacobi symbol has the following properties, for arguments for which it is defined.

1.
$$(ab | m) = (a | m)(b | m).$$

2.
$$(a \mid m_1 m_2) = (a \mid m_1)(a \mid m_2).$$

3. If
$$a = b \mod m$$
, then $(a \mid m) = (b \mid m)$.

4.
$$(-1 | m) = (-1)^{(m-1)/2}$$
 (by Lemma 64 on p. 486).

5.
$$(2 \mid m) = (-1)^{(m^2 - 1)/8}$$
.^a

6. If a and m are both odd, then

$$(a \mid m)(m \mid a) = (-1)^{(a-1)(m-1)/4}.$$

^aBy Lemma 64 (p. 486) and some parity arguments.

Calculation of (2200|999)

Similar to the Euclidean algorithm and does *not* require factorization.

$$(202|999) = (-1)^{(999^2 - 1)/8} (101|999)$$

= $(-1)^{124750} (101|999) = (101|999)$
= $(-1)^{(100)(998)/4} (999|101) = (-1)^{24950} (999|101)$
= $(999|101) = (90|101) = (-1)^{(101^2 - 1)/8} (45|101)$
= $(-1)^{1275} (45|101) = -(45|101)$
= $-(-1)^{(44)(100)/4} (101|45) = -(101|45) = -(11|45)$
= $-(-1)^{(10)(44)/4} (45|11) = -(45|11)$
= $-(1|11) = -1.$

A Result Generalizing Proposition 10.3 in the Textbook

Theorem 66 The group of set $\Phi(n)$ under multiplication mod n has a primitive root if and only if n is either 1, 2, 4, p^k , or $2p^k$ for some nonnegative integer k and and odd prime p.

This result is essential in the proof of the next lemma.

The Jacobi Symbol and Primality Test $^{\rm a}$

Lemma 67 If $(M|N) = M^{(N-1)/2} \mod N$ for all $M \in \Phi(N)$, then N is prime. (Assume N is odd.)

- Assume N = mp, where p is an odd prime, gcd(m, p) = 1, and m > 1 (not necessarily prime).
- Let $r \in \Phi(p)$ such that (r | p) = -1.
- The Chinese remainder theorem says that there is an $M \in \Phi(N)$ such that

 $M = r \mod p,$ $M = 1 \mod m.$

^aMr. Clement Hsiao (R88526067) pointed out that the textbook's proof for Lemma 11.8 is incorrect while he was a senior in January 1999.

• By the hypothesis,

$$M^{(N-1)/2} = (M \mid N) = (M \mid p)(M \mid m) = -1 \mod N.$$

• Hence

$$M^{(N-1)/2} = -1 \mod m.$$

• But because $M = 1 \mod m$,

$$M^{(N-1)/2} = 1 \bmod m,$$

a contradiction.

- Second, assume that $N = p^a$, where p is an odd prime and $a \ge 2$.
- By Theorem 66 (p. 498), there exists a primitive root r modulo p^a .
- From the assumption,

$$M^{N-1} = \left[M^{(N-1)/2}\right]^2 = (M|N)^2 = 1 \mod N$$

for all $M \in \Phi(N)$.

• As $r \in \Phi(N)$ (prove it), we have

 $r^{N-1} = 1 \bmod N.$

• As r's exponent modulo $N = p^a$ is $\phi(N) = p^{a-1}(p-1)$, $p^{a-1}(p-1) \mid N-1$,

which implies that $p \mid N - 1$.

• But this is impossible given that $p \mid N$.

- Third, assume that $N = mp^a$, where p is an odd prime, gcd(m, p) = 1, m > 1 (not necessarily prime), and a is even.
- The proof mimics that of the second case.
- By Theorem 66 (p. 498), there exists a primitive root r modulo p^a .
- From the assumption,

$$M^{N-1} = \left[M^{(N-1)/2}\right]^2 = (M|N)^2 = 1 \mod N$$

for all $M \in \Phi(N)$.

• In particular,

$$M^{N-1} = 1 \bmod p^a \tag{7}$$

for all $M \in \Phi(N)$.

• The Chinese remainder theorem says that there is an $M \in \Phi(N)$ such that

 $M = r \mod p^a,$ $M = 1 \mod m.$

• Because $M = r \mod p^a$ and Eq. (7),

$$r^{N-1} = 1 \bmod p^a.$$

The Proof (concluded)

• As r's exponent modulo $N = p^a$ is $\phi(N) = p^{a-1}(p-1)$,

$$p^{a-1}(p-1) \,|\, N-1,$$

which implies that $p \mid N - 1$.

• But this is impossible given that $p \mid N$.

The Number of Witnesses to Compositeness

Theorem 68 (Solovay and Strassen (1977)) If N is an odd composite, then $(M|N) \neq M^{(N-1)/2} \mod N$ for at least half of $M \in \Phi(N)$.

- By Lemma 67 (p. 499) there is at least one $a \in \Phi(N)$ such that $(a|N) \neq a^{(N-1)/2} \mod N$.
- Let $B = \{b_1, b_2, \dots, b_k\} \subseteq \Phi(N)$ be the set of all distinct residues such that $(b_i|N) = b_i^{(N-1)/2} \mod N$.
- Let $aB = \{ab_i \mod N : i = 1, 2, \dots, k\}.$

The Proof (concluded)

- |aB| = k.
 - $ab_i = ab_j \mod N$ implies $N|a(b_i b_j)$, which is impossible because gcd(a, N) = 1 and $N > |b_i - b_j|$.

•
$$aB \cap B = \emptyset$$
 because

$$(ab_i)^{(N-1)/2} = a^{(N-1)/2} b_i^{(N-1)/2} \neq (a|N)(b_i|N) = (ab_i|N).$$

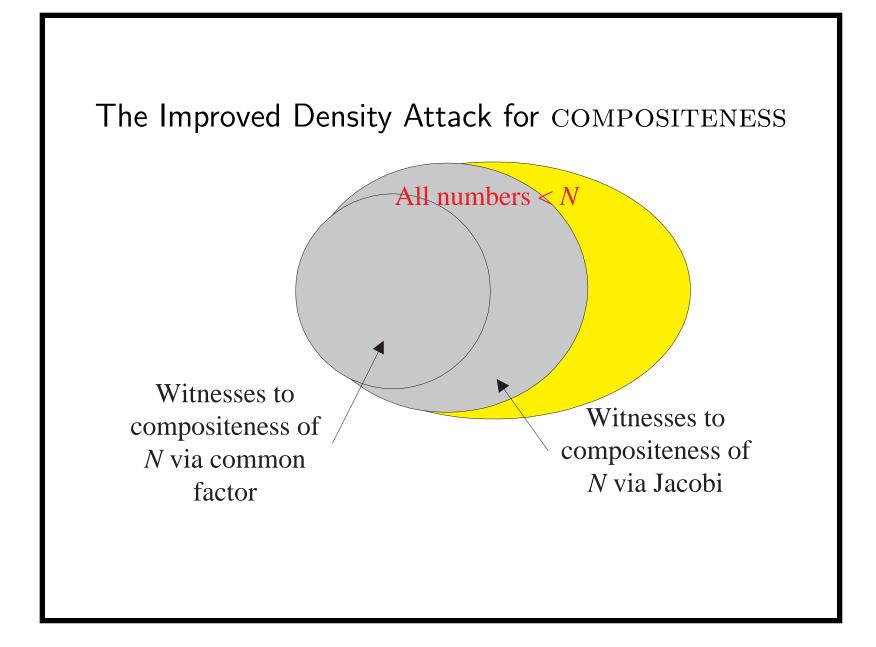
• Combining the above two results, we know

$$\frac{|B|}{\phi(N)} \le 0.5.$$

1: if N is even but $N \neq 2$ then return "N is composite"; 2: 3: else if N = 2 then return "N is a prime"; 4: 5: **end if** 6: Pick $M \in \{2, 3, ..., N - 1\}$ randomly; 7: **if** gcd(M, N) > 1 **then** return "N is a composite"; 8: 9: **else** if $(M|N) \neq M^{(N-1)/2} \mod N$ then 10: **return** "N is composite"; 11: else 12:return "N is a prime"; 13:end if 14:15: **end if**

Analysis

- The algorithm certainly runs in polynomial time.
- There are no false positives (for COMPOSITENESS).
 - When the algorithm says the number is composite, it is always correct.
- The probability of a false negative is at most one half.
 - If the input is composite, then the probability that the algorithm says the number is a prime is ≤ 0.5 .
- The error probability can be reduced but not eliminated.



Randomized Complexity Classes; RP

- Let N be a polynomial-time precise NTM that runs in time p(n) and has 2 nondeterministic choices at each step.
- N is a **polynomial Monte Carlo Turing machine** for a language L if the following conditions hold:
 - If $x \in L$, then at least half of the $2^{p(n)}$ computation paths of N on x halt with "yes" where n = |x|.

- If $x \notin L$, then all computation paths halt with "no."

• The class of all languages with polynomial Monte Carlo TMs is denoted **RP** (randomized polynomial time).^a

^aAdleman and Manders (1977).

Comments on RP

- Nondeterministic steps can be seen as fair coin flips.
- There are no false positive answers.
- The probability of false negatives, 1ϵ , is at most 0.5.
- But any constant between 0 and 1 can replace 0.5.
 - By repeating the algorithm $k = \left\lceil -\frac{1}{\log_2 1 \epsilon} \right\rceil$ times, the probability of false negatives becomes $(1 \epsilon)^k \le 0.5$.
- In fact, ϵ can be arbitrarily close to 0 as long as it is of the order 1/p(n) for some polynomial p(n).

$$- -\frac{1}{\log_2 1 - \epsilon} = O(\frac{1}{\epsilon}) = O(p(n)).$$

Where RP Fits

- $P \subseteq RP \subseteq NP$.
 - A deterministic TM is like a Monte Carlo TM except that all the coin flips are ignored.
 - A Monte Carlo TM is an NTM with extra demands on the number of accepting paths.
- COMPOSITENESS \in RP; PRIMES \in coRP; PRIMES \in RP.^a
 - In fact, PRIMES $\in P.^{b}$
- RP ∪ coRP is another "plausible" notion of efficient computation.

^aAdleman and Huang (1987). ^bAgrawal, Kayal, and Saxena (2002).

ZPP^a (Zero Probabilistic Polynomial)

- The class **ZPP** is defined as $RP \cap coRP$.
- A language in ZPP has *two* Monte Carlo algorithms, one with no false positives and the other with no false negatives.
- If we repeatedly run both Monte Carlo algorithms, *eventually* one definite answer will come (unlike RP).
 - A *positive* answer from the one without false positives.
 - A *negative* answer from the one without false negatives.

 $^{\rm a}$ Gill (1977).

The ZPP Algorithm (Las Vegas)

- 1: {Suppose $L \in \text{ZPP.}$ }
- 2: $\{N_1 \text{ has no false positives, and } N_2 \text{ has no false negatives.}\}$
- 3: while true do

4: **if**
$$N_1(x) =$$
 "yes" **then**

- 5: **return** "yes";
- 6: **end if**

7: **if**
$$N_2(x) =$$
 "no" **then**

- 8: return "no";
- 9: **end if**
- 10: end while

ZPP (concluded)

- The *expected* running time for the correct answer to emerge is polynomial.
 - The probability that a run of the 2 algorithms does not generate a definite answer is 0.5.
 - Let p(n) be the running time of each run.
 - The expected running time for a definite answer is

$$\sum_{i=1}^{\infty} 0.5^i ip(n) = 2p(n).$$

• Essentially, ZPP is the class of problems that can be solved without errors in expected polynomial time.

Et Tu, RP?

- 1: {Suppose $L \in \text{RP.}$ }
- 2: {N decides L without false positives.}
- 3: while true do

4: **if**
$$N(x) =$$
 "yes" **then**

- 5: **return** "yes";
- 6: **end if**
- 7: {But what to do here?}
- 8: end while
 - You eventually get a "yes" if $x \in L$.
 - But how to get a "no" when $x \notin L$?
 - You have to sacrifice either correctness or bounded running time.

Large Deviations

- Suppose you have a *biased* coin.
- One side has probability $0.5 + \epsilon$ to appear and the other 0.5ϵ , for some $0 < \epsilon < 0.5$.
- But you do not know which is which.
- How to decide which side is the more likely—with high confidence?
- Answer: Flip the coin many times and pick the side that appeared the most times.
- Question: Can you quantify the confidence?

The Chernoff Bound $^{\rm a}$

Theorem 69 (Chernoff (1952)) Suppose $x_1, x_2, ..., x_n$ are independent random variables taking the values 1 and 0 with probabilities p and 1 - p, respectively. Let $X = \sum_{i=1}^{n} x_i$. Then for all $0 \le \theta \le 1$,

$$\operatorname{prob}[X \ge (1+\theta) \, pn] \le e^{-\theta^2 pn/3}.$$

- The probability that the deviate of a binomial random variable from its expected value
 E[X] = E[∑ⁿ_{i=1} x_i] = pn decreases exponentially with
 the deviation.
- The Chernoff bound is asymptotically optimal.

^aHerman Chernoff (1923–).

The Proof

• Let t be any positive real number.

• Then

$$\operatorname{prob}[X \ge (1+\theta) \, pn] = \operatorname{prob}[e^{tX} \ge e^{t(1+\theta) \, pn}].$$

• Markov's inequality (p. 460) generalized to real-valued random variables says that

$$\operatorname{prob}\left[e^{tX} \ge kE[e^{tX}]\right] \le 1/k.$$

• With $k = e^{t(1+\theta) pn} / E[e^{tX}]$, we have

$$\operatorname{prob}[X \ge (1+\theta) pn] \le e^{-t(1+\theta) pn} E[e^{tX}].$$

• Because $X = \sum_{i=1}^{n} x_i$ and x_i 's are independent, $E[e^{tX}] = (E[e^{tx_1}])^n = [1 + e(e^t - 1)]^n$

$$E[e^{tX}] = (E[e^{tx_1}])^n = [1 + p(e^t - 1)]^n$$

• Substituting, we obtain

$$\operatorname{prob}[X \ge (1+\theta) pn] \le e^{-t(1+\theta) pn} [1+p(e^t-1)]^n$$
$$\le e^{-t(1+\theta) pn} e^{pn(e^t-1)}$$

as
$$(1+a)^n \le e^{an}$$
 for all $a > 0$.

The Proof (concluded)

- With the choice of $t = \ln(1 + \theta)$, the above becomes $\operatorname{prob}[X \ge (1 + \theta) pn] \le e^{pn[\theta - (1 + \theta) \ln(1 + \theta)]}.$
- The exponent expands to $-\frac{\theta^2}{2} + \frac{\theta^3}{6} \frac{\theta^4}{12} + \cdots$ for $0 \le \theta \le 1$, which is less than

$$-\frac{\theta^2}{2} + \frac{\theta^3}{6} \le \theta^2 \left(-\frac{1}{2} + \frac{\theta}{6} \right) \le \theta^2 \left(-\frac{1}{2} + \frac{1}{6} \right) = -\frac{\theta^2}{3}.$$

Power of the Majority Rule From prob $[X \le (1-\theta) pn] \le e^{-\frac{\theta^2}{2}pn}$ (prove it):

Corollary 70 If
$$p = (1/2) + \epsilon$$
 for some $0 \le \epsilon \le 1/2$, then

prob
$$\left[\sum_{i=1}^{n} x_i \le n/2\right] \le e^{-\epsilon^2 n/2}$$
.

- The textbook's corollary to Lemma 11.9 seems incorrect.
- Our original problem (p. 518) hence demands $\approx 1.4k/\epsilon^2$ independent coin flips to guarantee making an error with probability at most 2^{-k} with the majority rule.

BPP^a (Bounded Probabilistic Polynomial)

- The class **BPP** contains all languages for which there is a precise polynomial-time NTM N such that:
 - If $x \in L$, then at least 3/4 of the computation paths of N on x lead to "yes."
 - If $x \notin L$, then at least 3/4 of the computation paths of N on x lead to "no."
- N accepts or rejects by a *clear* majority.

 a Gill (1977).

Magic 3/4?

- The number 3/4 bounds the probability of a right answer away from 1/2.
- Any constant *strictly* between 1/2 and 1 can be used without affecting the class BPP.
- In fact, 0.5 plus any inverse polynomial between 1/2 and 1,

$$0.5 + \frac{1}{p(n)},$$

can be used.

The Majority Vote Algorithm

Suppose L is decided by N by majority $(1/2) + \epsilon$.

- 1: for $i = 1, 2, \dots, 2k + 1$ do
- 2: Run N on input x;
- 3: end for
- 4: if "yes" is the majority answer then
- 5: "yes";
- 6: **else**
- 7: "no";
- 8: end if

Analysis

- The running time remains polynomial, being 2k + 1 times N's running time.
- By Corollary 70 (p. 523), the probability of a false answer is at most $e^{-\epsilon^2 k}$.
- By taking $k = \lceil 2/\epsilon^2 \rceil$, the error probability is at most 1/4.
- As with the RP case, ϵ can be any inverse polynomial, because k remains polynomial in n.

Probability Amplification for BPP

• Let *m* be the number of random bits used by a BPP algorithm.

- By definition, m is polynomial in n.

• With $k = \Theta(\log m)$ in the majority vote algorithm, we can lower the error probability to, say,

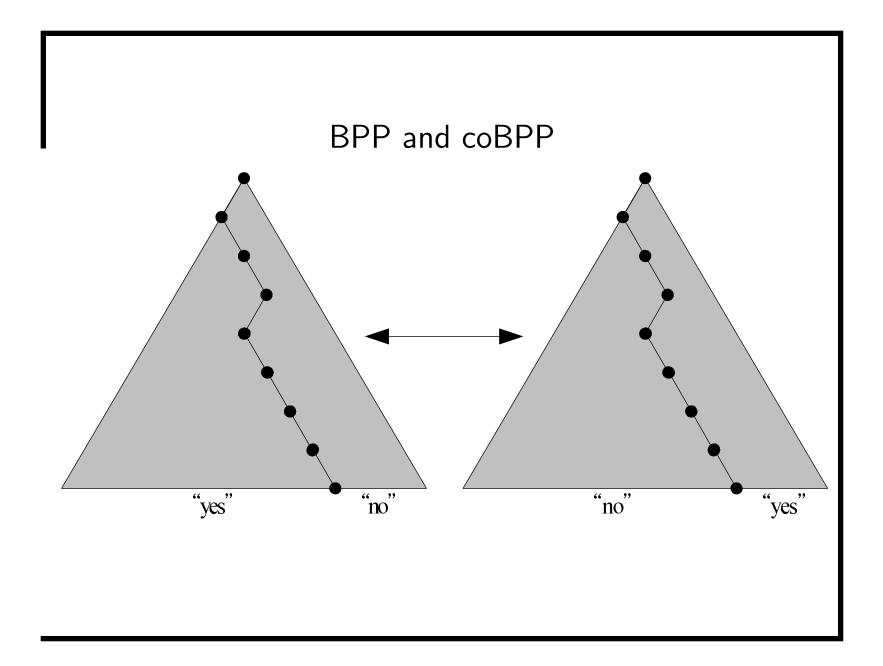
 $\leq (3m)^{-1}.$

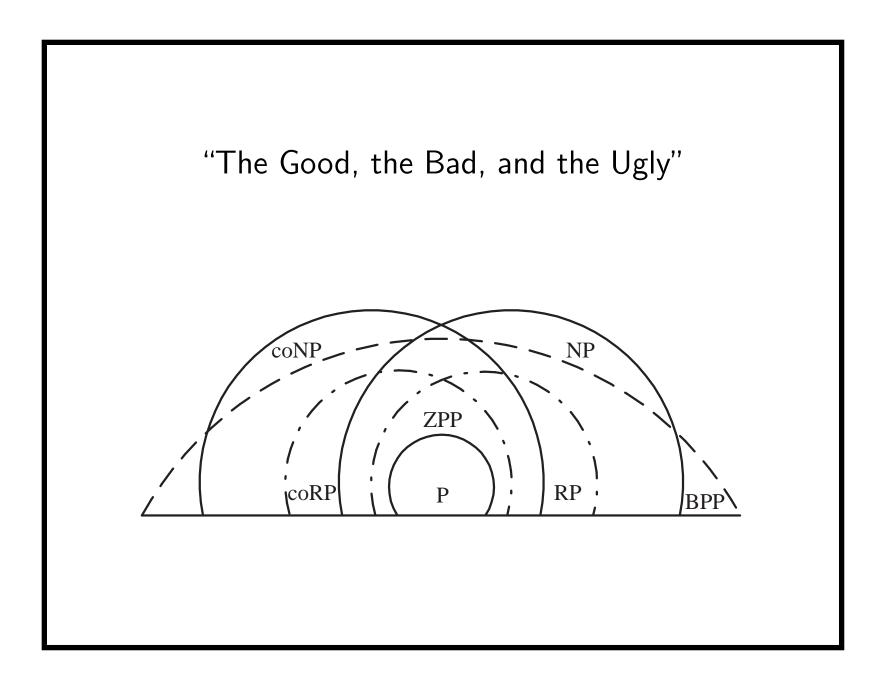
Aspects of BPP

- BPP is the most comprehensive yet plausible notion of efficient computation.
 - If a problem is in BPP, we take it to mean that the problem can be solved efficiently.
 - In this aspect, BPP has effectively replaced P.
- $(RP \cup coRP) \subseteq (NP \cup coNP).$
- $(RP \cup coRP) \subseteq BPP.$
- Whether $BPP \subseteq (NP \cup coNP)$ is unknown.
- But it is unlikely that $NP \subseteq BPP$ (p. 544).

coBPP

- The definition of BPP is symmetric: acceptance by clear majority and rejection by clear majority.
- An algorithm for $L \in BPP$ becomes one for \overline{L} by reversing the answer.
- So $\overline{L} \in BPP$ and $BPP \subseteq coBPP$.
- Similarly $coBPP \subseteq BPP$.
- Hence BPP = coBPP.
- This approach does not work for RP.
- It did not work for NP either.





Circuit Complexity

- Circuit complexity is based on boolean circuits instead of Turing machines.
- A boolean circuit with *n* inputs computes a boolean function of *n* variables.
- By identify true with 1 and false with 0, a boolean circuit with n inputs accepts certain strings in $\{0,1\}^n$.
- To relate circuits with arbitrary languages, we need one circuit for each possible input length n.

Formal Definitions

- The **size** of a circuit is the number of *gates* in it.
- A family of circuits is an infinite sequence $C = (C_0, C_1, \ldots)$ of boolean circuits, where C_n has n boolean inputs.
- L ⊆ {0,1}* has polynomial circuits if there is a family of circuits C such that:
 - The size of C_n is at most p(n) for some fixed polynomial p.
 - For input $x \in \{0,1\}^*$, $C_{|x|}$ outputs 1 if and only if $x \in L$.

* C_n accepts $L \cap \{0,1\}^n$.

Exponential Circuits Contain All Languages

- Theorem 15 (p. 171) implies that there are languages that cannot be solved by circuits of size $2^n/(2n)$.
- But exponential circuits can solve all problems.

Proposition 71 All decision problems (decidable or otherwise) can be solved by a circuit of size 2^{n+2} .

• We will show that for any language $L \subseteq \{0, 1\}^*$, $L \cap \{0, 1\}^n$ can be decided by a circuit of size 2^{n+2} .

The Proof (concluded)

• Define boolean function $f: \{0,1\}^n \to \{0,1\}$, where

$$f(x_1x_2\cdots x_n) = \begin{cases} 1 & x_1x_2\cdots x_n \in L, \\ 0 & x_1x_2\cdots x_n \notin L. \end{cases}$$

•
$$f(x_1x_2\cdots x_n) = (x_1 \wedge f(1x_2\cdots x_n)) \vee (\neg x_1 \wedge f(0x_2\cdots x_n)).$$

• The circuit size s(n) for $f(x_1x_2\cdots x_n)$ hence satisfies

$$s(n) = 4 + 2s(n-1)$$

with s(1) = 1.

• Solve it to obtain $s(n) = 5 \times 2^{n-1} - 4 \le 2^{n+2}$.

Comments

- Proposition 71 (p. 535) does not contradict anything we knew so far about computation theory.
 - Yes, there are only a finite number of circuits with size 2^{n+2} .
 - Yes, there are only 2^n possible inputs of length n.
 - Yes, those circuits can solve all problems of length n.
 - But is there an algorithm to tell us which circuit is the correct one?

The Circuit Complexity of P

Proposition 72 All languages in P have polynomial circuits.

- Let $L \in P$ be decided by a TM in time p(n).
- By Corollary 28 (p. 263), there is a circuit with $O(p(n)^2)$ gates that accepts $L \cap \{0, 1\}^n$.
- The size of the circuit depends only on L and the length of the input.
- The size of the circuit is polynomial in n.

Languages That Polynomial Circuits Accept

- Do polynomial circuits accept only languages in P?
- There are *undecidable* languages that have polynomial circuits.
 - Let $L \subseteq \{0,1\}^*$ be an undecidable language.
 - Let $U = \{1^n : \text{the binary expansion of } n \text{ is in } L\}.^a$
 - U is also undecidable.
 - $U \cap \{1\}^n$ can be accepted by C_n that is trivially true if $1^n \in U$ and trivially false if $1^n \notin U$.
 - The family of circuits (C_0, C_1, \ldots) is polynomial in size.

^aAssume n's leading bit is always 1 without loss of generality.

A Patch

- Despite the simplicity of a circuit, the previous discussions imply the following:
 - Circuits are *not* a realistic model of computation.
 - Polynomial circuits are *not* a plausible notion of efficient computation.
- What gives?
- The effective and efficient constructibility of

 C_0, C_1, \ldots

Uniformity

- A family $(C_0, C_1, ...)$ of circuits is **uniform** if there is a log *n*-space bounded TM which on input 1^n outputs C_n .
 - Circuits now cannot accept undecidable languages (why?).
 - The circuit family on p. 539 is not constructible by a single Turing machine (algorithm).
- A language has **uniformly polynomial circuits** if there is a *uniform* family of polynomial circuits that decide it.

Uniformly Polynomial Circuits and P

Theorem 73 $L \in P$ if and only if L has uniformly polynomial circuits.

- One direction was proved in Proposition 72 (p. 538).
- Now suppose L has uniformly polynomial circuits.
- Decide $x \in L$ in polynomial time as follows:
 - Let n = |x|.
 - Build C_n in log *n* space, hence polynomial time.
 - Evaluate the circuit with input x in polynomial time.
- Therefore $L \in \mathbf{P}$.

Relation to P vs. NP

- Theorem 73 implies that P ≠ NP if and only if NP-complete problems have no *uniformly* polynomial circuits.
- A stronger conjecture: NP-complete problems have no polynomial circuits, *uniformly or not*.
- The above is currently the preferred approach to proving the $P \neq NP$ conjecture—without success so far.