# The Minimum－Area Spanning Tree Problem 

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## Introduction

- Minimum-Area Spanning Tree(MAST):

Given a set $P$ of $n$ points in the plane, find a spanning tree of $P$ of minimum area.

- Area:
union of the $\mathrm{n}-1$ disks whose diameters are edges in T

= Area
- Main result:
minimum spanning tree of $P$ is a constant-factor approximation for MAST


## Extension problems

- Power assignment problem

Before Minimum-Area Range Assignment(MARA)

- P: a set of $n$ points( transmitters-receivers)
- Goal:
- The resulting directed communication graph is strongly connected



## Radius of Dp:

O $\mathrm{p}_{\mathrm{k}}$ the transmission range
assign to Pi

## Extension problems

- Power assignment problem
- Goal:
- The total power consumption is minimal

相

= Power consumption of power assignment problem
- Result: NP-hard, 2-approximation based on MST


## Extension problems

- Minimum-Area Range Assignment(MARA) power assignment problem in radio networks
- Goal:
- Minimize the union of the disks $\mathrm{Dp}_{1}, . . \mathrm{Dp}_{\mathrm{n}}$ (total coverage area)
- Prevent from foreign receiver
= Power consumption of power assignment problem



## Extension problems

- Minimum-Area Connected Disk Graph(MACDG)
- Goal:
- the resulting disk graph is connected.

- Minimize the union of the disks $\mathrm{Dp}_{1}, . . \mathrm{Dp} \mathrm{p}_{\mathrm{n}}$


## Extension problems

- Minimum area tour (MAT)
- Variant of traveling salesman problem
- Goal:
- Minimize a tour of $P$ of minimum area

- constant-factor approximation based on relaxed triangle inequality


## MST v.s. MAST

- MST: Minimum Spanning Tree
- MAST: Minimum Area Spanning Tree
- We'll prove that MST is a c-approximation for MAST


## MST v.s. MAST (Cont.)


(a)

(b)

## Some Definitions

- Let $T$ be any spanning tree of $P$
- For an edge e in $T$
- $D(e)$ is the disk whose diameter is e
$-D(T)=\{D(e) \mid e$ is an edge in $T\}$
$-U_{T}=U_{e \in T} D(e)$
$-\sigma_{\mathrm{T}}=\sum_{\mathrm{e} \in \mathrm{T}} \mathrm{area}(\mathrm{D}(\mathrm{e}))$
- We'll prove that MST is a c-approximation for MAST
$-\operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right)=\mathrm{O}\left(\operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}}\right)\right)$


## Claim 1

- Let $\mathrm{MST}_{p}$ be a MST for $\mathrm{P} \cup\{p\}$
- There is no edge $(a, b)$ in $\mathrm{MST}_{p}$, such that $(a, b)$ is not in MST and both $a$ and $b$ are points of $P$


## Claim 1 Proof

- Assume there is an edge ( $a, b$ ) in $\mathrm{MST}_{\mathrm{p}}$ but not in MST


B

MST $_{\text {P }}$
MST

## Claim 1 Proof (Cont.)

- Consider the path in MST between $a$ and $b$
- At least one of the edges along this path is not in $\mathrm{MST}_{\mathrm{p}}$ (edge e)


MST

## Claim 1 Proof (Cont.)

- $|e|<|(a, b)|$
- We can replace (a, b) in MST ${ }_{p}$ by e, without increasing the total weight

$\mathrm{MST}_{\mathrm{P}}$
MST


## An Corollary of Claim 1

- If e is an edge in MST $T_{p}$ but not in MST, then one of $e^{\prime}$ s endpoints is $p$


## Lemma 1

- $\sigma_{\mathrm{MST}} \leq 5$ area( $\left.\mathrm{U}_{\mathrm{MST}}\right)$
- At first, we need to prove that p belongs at most 5 of the disks in $D(M S T)$


## Lemma 1 Proof

- Let $D(q 1, q 2)$ be a disk in $D(M S T)$
- If $p \in D(q 1, q 2)$, the edge(q1, $q 2)$ is not in $\mathrm{MST}_{\mathrm{p}}$
- If it is, we can replace (q1, q2) by (p, q1) or ( $p$, q2) to decrease total weight of $\mathrm{MST}_{p}$



## Lemma 1 Proof (Cont.)

- By the corollary of Claim 1
- If e is an edge in MST ${ }_{p}$ but not in MST, then one of e's endpoints is $p$
- Each disk $D \in D(M S T)$ such that $p \in D$, induces a distinct a distinct edge in $\mathrm{MST}_{\mathrm{p}}$
- The degree of $p$ is at most 6
- This is true for any vertex of any Euclidean MST


## Lemma 1 Proof (Cont.)

- So there can be at most 5 disks covering $p$
- Then we prove that $\sigma_{\text {MST }} \leq 5$ area( $\mathrm{U}_{\mathrm{MST}}$ )


## ST Construction

- Let OPT be an optimal spanning tree of $P$, i.e., a solution to MAST.
- We use OPT to construct another spanning tree ST of $P$


## ST Construction (Cont.)

- Initially ST is empty
- In the i'th iteration, let $\mathrm{e}_{\mathrm{i}}$ be the longest edge in OPT and there is no path in ST between its endpoints
- Draw two concentric circles $\mathrm{C}_{\mathrm{i}}$ and $\mathrm{C}_{\mathrm{i}}^{3}$ around the mid point of $\mathrm{e}_{\mathrm{i}}$
- The diameter of $C_{i}$ is $\left|e_{i}\right|$
- The diameter of $\mathrm{C}_{\mathrm{i}}^{3}$ is $3\left|\mathrm{e}_{\mathrm{i}}\right|$


## ST Construction (Cont.)

- Apply Kruskal's MST algorithm with the modification to the points of P lying in $\mathrm{C}_{i}^{3}$
- The edge can't be already in ST
- The edge can't create cycle in ST
- $S_{i}$ is the edge set return by Kruskal algorithm in i'th iteration, and we add $S_{i}$ to ST


## ST Construction Examples



Fig. 3. st after choosing $e_{1}$.

## ST Construction Examples


(a)

## ST Construction Examples


(b)

## Claim 2

- For each $i, S_{i}$ is a subset of the edge set of the minimum spanning tree $M S T_{i}$ that is obtained by applying Kruskal's algorithm, without the modification above, to the points in $C_{i}^{3}$


## Claim 3: ST is a spanning tree of $P$

- Proof
- there are no cycles in ST.
- ST is connected, since otherwise there still exists an edge in opt that forces another iteration of the construction algorithm.


## Claim 4

- For any pair of disks $C_{i}, C_{j}$ in $\mathcal{C}, i \neq j$, it holds that $C_{i} \cap C_{j}=\emptyset$.



## Claim 5

- $\sigma_{\mathrm{ST}}=O\left(\operatorname{area}\left(\bigcup_{\mathrm{OPT}}\right)\right)$
(by lemma 1)

$$
\begin{aligned}
& \sigma_{S_{i}} \leq{ }^{1} \sigma_{\mathrm{MST}_{i}} \leq{ }^{2} 5 \operatorname{area}\left(\bigcup_{\mathrm{MST}_{i}}\right)={ }^{3} O\left(\operatorname{area}\left(C_{i}^{3}\right)\right)={ }^{4} O\left(\operatorname{area}\left(C_{i}\right)\right) \\
& \text { blaim 2) }
\end{aligned}
$$

$$
\sigma_{\mathrm{ST}}=\Sigma_{i} \sigma_{S_{i}}=\Sigma_{i} O\left(\operatorname{area}\left(C_{i}\right)\right)=O\left(\operatorname{area}\left(\bigcup_{\mathcal{C}}\right)\right)
$$

$$
\sigma_{\mathrm{ST}}=O\left(\text { area }\left(\mathrm{U}_{\mathrm{oPT}}\right)\right) \quad(\mathrm{C} \text { is a subset of } \mathrm{D}(\mathrm{OPT}))
$$

## Theorem 1

- MST is a constant-factor approximation for MAST

$$
\underset{\mathrm{MST}}{\operatorname{area}\left(\bigcup_{(\text {by claim } 5)}\right)} \leq^{1} \sigma_{\mathrm{MST}} \leq^{2} \sigma_{\mathrm{ST}} \leq^{3} c \cdot \operatorname{area}\left(\bigcup_{\mathrm{OPT}}\right)
$$

## Constant-Factor Approximation for Minimum-Area Range Assignment

- Let $p_{i} \in \boldsymbol{P}$ and $r_{i}$ is the length of the longest edge in MST that is connected to $p_{i}$.
- $R A=\left\{D_{p_{1}}, \ldots, D_{p_{n}}\right\}$, where $D_{\mathrm{pi}}$ is the disk of radius $r_{i}$, centered at $p_{i}$.
- Let OPT ${ }^{\mathrm{R}}$ denote an optimal range assignment.


## MARA problem

- Problem definition
- The corresponding(directed) communication graph is strongly connected.
- The area of the union of the disks in RA is bounded by some constant times the area of the union of the transmission disks in an optimal range assignment.


## Claim 6: $\operatorname{area}\left(\mathrm{U}_{\mathrm{RA}}\right) \leq 9 \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right)$



Fig. 5. $\left(p_{i}, p_{j}\right) \in \operatorname{MST} ; D\left(p_{i}, p_{j}\right) \in D(\operatorname{MST}) ; D_{p_{i}}\left(p_{i}, p_{j}\right), D_{p_{j}}\left(p_{j}, p_{k}\right) \in \mathrm{RA} ; D^{3}\left(p_{i}, p_{j}\right) \in$ $D^{3}$ (MST).

## Claim 6: $\operatorname{area}\left(\mathrm{U}_{\mathrm{RA}}\right) \leq 9 \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right)$

- Proof
- The area $\left(\mathrm{D}_{\mathrm{pi}}\left(p_{j} p_{j}\right)\right) \leq \operatorname{area}\left(\mathrm{D}^{3}\left(p_{i} p_{j}\right)\right)=$ 9-areaD $\left(p_{j} p_{j}\right)$
- area $\left(\mathrm{U}_{\mathrm{RA}}\right) \leq 9 \operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right)$


Theorem 2. RA is a constant-factor approximation for MARA, i.e., $\operatorname{area}\left(U_{R A}\right) \leq c^{\prime} \cdot \operatorname{area}\left(U_{O P T}{ }^{R}\right)$, for some constant $c^{\prime}$

- Proof: $\operatorname{area}\left(\mathrm{U}_{\text {RA }}\right) \leq \mathrm{c}^{\prime} \cdot \operatorname{area}\left(\mathrm{U}_{\text {OPT }}{ }^{\mathrm{R}}\right)$
- We construct a spanning tree T of $\boldsymbol{P}$ as following,
- For each point $q \in P, q \neq p$, compute a directed path from $q$ to $p$, and add the
- Make all edges in T undirected.
- Hence, $\mathrm{U}_{\mathrm{T}} \subseteq \mathrm{U}_{\mathrm{OPT}}{ }^{R}$

$$
\operatorname{area}\left(\mathrm{U}_{\mathrm{RA}}\right) \leq^{1} 9 \operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right) \leq^{2} 9 \mathrm{c} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}}\right) \leq^{3} 9 \mathrm{c} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{T}}\right) \leq^{4} 9 \mathrm{c} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}}{ }^{\mathrm{R}}\right)
$$

$$
\begin{gathered}
\operatorname{area}\left(\mathrm{U}_{\mathrm{RA}}\right) \leq{ }^{1} 9 \operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right) \leq^{2} 9 \mathrm{c} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}}\right) \leq^{3} \\
9 \mathrm{c} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{T}}\right) \leq^{4} 9 \mathrm{c} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}}{ }^{\mathrm{s}}\right)
\end{gathered}
$$

- Inequality 1
- According to claim $6\left(\operatorname{area}\left(\mathrm{U}_{\mathrm{RA}}\right) \leq 9 \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right)\right)$
- Inequality 2
- Follows from Theorem 1 (area $\left.\left(\mathrm{U}_{\mathrm{MST}}\right) \leq c \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}}\right)\right)$
- Inequality 3
- From the definition of OPT
- Inequality 4
- Show above



## MACDG

A Constant-Factor Approximation for MACDG

## MACDG

- Minimum-Area Connected Disk Graph (MACDG) problem



## MACDG: Goal and Define

- Goal:

Decrease the coverage of overlapping from MARA.

- Define:
- DG = $\left\{D_{p 1}, . ., D_{\text {png }}\right\}$, where $\mathrm{D}_{\mathrm{pi}}$ is the disk of radius ri/2 centered at $p_{i}$
- OPT ${ }^{D}$ denote an optimal assignment of radii, i.e., a solution to MACDG.



## MACDG:

## A Constant-Factor Approximation

- Requirements:
- (i) DG is connected
- (ii) the area of the union of the disks in DG is bounded by some constant times the area of the union of the disks in an optimal assignment of radii
- The $1^{\text {st }}$ requirement above clearly holds, since each edge in MST is also an edge in DG.
- And the $2^{\text {nd }}$ requirement...(Theorem 3 )


## MACDG: Theorem 3

- DG is a constant-factor approximation for MACDG,
$\operatorname{area}\left(\mathrm{U}_{\mathrm{DG}}\right) \leq \mathrm{c}^{\prime \prime} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}}\right)$, for some constant $\mathrm{c}^{\prime \prime}$
- Proof:
- (Claim 6)

It is very similar to the proof of MARA.
Since $U_{D G} \subseteq U_{R A}$,
$\operatorname{area}\left(\mathrm{U}_{\mathrm{DG}}\right) \leq 9 \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{MST}}\right)$

- (Theorem 1)
$\operatorname{area}\left(\mathrm{U}_{\text {MST }}\right) \leq \mathrm{c} \cdot \operatorname{area}\left(\mathrm{U}_{\mathrm{OPTD}}\right)$
$\operatorname{area}\left(U_{\text {DG }}\right) \leq 9 \cdot \operatorname{area}\left(U_{\text {MST }}\right) \leq 9 \mathrm{c} * \operatorname{area}\left(\mathrm{U}_{\text {OPTD }}\right)=\mathrm{c}^{\prime \prime} \cdot \operatorname{area}($ UOPTD $)$


## Constant-Factor Approximation for MAT

Consider the complete graph induced by $P$, we assign the weights such that $w(e)=\mid e^{2}$, i.e. the weight is the square of the length of the edge. Let $G^{2}$ denote this graph.


## Relaxed Triangle Inequality

For points $u, v, w \in P$, triangle inequality
$(|u v| \leq|u w|+|w v|)$ does not hold.
However, relaxed triangle inequality holds :
$|u v|^{2} \leq 2 \cdot\left(|u w|^{2}+|w v|^{2}\right)$

## Constant-factor Approximation Algorithms for the TSP

- For distance functions:

$$
\left.d(u, v) \leq\left.\tau \cdot| | u w\right|^{2}+|w v|^{2}\right)
$$

- Andreae and Bandelt:
( $3 \tau^{2} / 2+\tau / 2$ )-approximation
- Andrea: $\left(\tau^{2}+\tau\right)$-approximation
- Bender and Chekuri: $4 \tau$-approximation
- This implies there is a 6-approximation for our case


## Constant-Factor Approximation for MAT

- Andreae and Bandelt computed a tour $T$ in $G^{2}$ such that $\mathrm{w}(T) \leq \mathrm{c} \cdot \mathrm{w}\left(\mathrm{MST}_{G^{2}}\right)$
- $T$ is a constant-factor approximation for the Minimum Area Tour (MAT) problem


## Notations

- $D(e)$ denotes the disk whose diameter is $e$
- $D(T)=\{D(e) \mid e$ is an edge in $T\}$
- $\cup_{T}=\bigcup_{e \in T} D(e)$
- $\sigma_{T}=\Sigma_{e \in T} \operatorname{area}(D(e))$
- MST is the minimal spanning tree of $P$
- $\mathrm{OPT}^{T}$ is an optimal tour, i.e., a solution to MAT
- $\mathrm{OPT}^{S}$ is a solution to the MAST problem
- Clearly, area $\left(\cup_{\mathrm{OPT}^{T}}\right) \geq \operatorname{area}\left(\mathrm{U}_{\mathrm{OPT}} s\right)$


## Proof

$$
\operatorname{area}(\bigcup) \leq \sigma_{T} \leq w(T) \leq c \cdot w\left(\operatorname{MST}_{G^{2}}\right)
$$

but $w\left(\operatorname{MST}_{G^{2}}\right)=\sum_{e \in \operatorname{MST}}|e|^{2}$

$$
\underset{T}{\operatorname{area}\left(\bigcup_{T}\right)}=O\left(\sum_{e \in \mathrm{MST}}|e|^{2}\right)=O\left(\sigma_{\text {MST }}\right)=O\left(\operatorname{area}\left(\bigcup_{\text {(by Lemma 1) }}\right)\right)
$$

By the main result of section 2 (MAST)

$$
\left.O\left(\underset{\mathrm{MST}}{\operatorname{area}\left(\bigcup_{\mathrm{OPT}^{S}}\right)}\right)=O\left(\underset{\mathrm{OPT}^{T}}{\operatorname{area}\left(\bigcup^{T}\right.}\right)\right)=O\left(\operatorname{area}\left(\bigcup_{\mathrm{O}^{( }}\right)\right)
$$

## Theorem 4

- $T$ is a constant-factor approximation for MAT, i.e., area $\left(\cup_{T}\right) \leq \hat{c} \cdot \operatorname{area}\left(\cup_{\mathrm{OPT}^{T}}\right)$

