Data Structures and Algorithms (資料結構與演算法) Lecture 1: Algorithm Hsuan-Tien Lin (林軒町) htlin@csie.ntu.edu.tw

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# Roadmap

#### the one where it all began

## Lecture 1: Algorithm

- definition of algorithm
- pseudo code of algorithm
- criteria of algorithm
- correctness proof of algorithm
- 2 the data structures awaken
- 3 fantastic trees and where to find them
- 4 the search revolutions
- 5 sorting: the final frontier

# definition of algorithm

# Name Origin of Algorithm

Muhammad ibn Mūsā al-Kwārizmī on a Soviet Union stamp

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#### algorithm

- named after al-Kwārizmī (780–850), Persian mathematician and father of algebra
- algebra: rules to calculate with symbols
- algorithm: instructions to compute with variables

#### algorithm: recipe-like instructions for computing

# Recipe for Cooking Dish

#### Cookbook:Hamburger

#### From Wikibooks

A hamburner (or, loss frequently, a hamburn, or in the United Kinedom, a

#### Ingredients

- S00g (1.1 Ib) minced (ground) beef berts and spices (optional) cheese (optional)
- cheese (optional)
   salad (istnore, spinach, alfalfa spevote, tomato, onion etc. optional)
   L handwarver has for each barver

#### Procedure

- Add the beef to a food precessor for approximately 10 seconds.
   Now add your herbs and/or spices to taste. Depending on the quality of your local beef, you may wish to add some beef stock to improve the dependence.
- Barour, J. Max in the food precessor for another 30 seconds or until fully mixed. 5. BY your bought the boot almuly ground, make our you runs in your wencemenhor more under only one of the second processor of the second wencemenhor more under only one of the your add any inpuds, mix the ground heat you'll have squares on the ours gives when forming patients.
- Remove the beef from the food processor and shape by hand into burgers. Yes should get between 6-6 bargers from 505g (1.) B) of beef. my in own. The burgers can be fried (about 5 mins on each side for burgers which anor) too thick), grilled (same times as for freint), or hadrogened.
- Tassare year bargers are fully cooked through before serving. If your bargers are quite thick or if you are summer, you can cut
  one ones to ensure the inside are bowend. If the inside are red, then is a charge that the must is not fully cooked. processors we immare an traversed. If the models are red, there is a chance that the meat is not fully coolided work herper on a ban (secame need performbly), optionally with reliab, sliced pickles, kething, mayonasise, mentand, rands in , chere. Johnse, terming and/or onion.
- · For father service successions, see the Wikinedia atticle on hambureer

#### Notes, tips and variations

- You can use almost any type of minced (ground) must to make hamburgers, including pork, chicken, turkey, lamb, bicor, vention, or even a must substitute such as Queen.

- visiting control with an address of the strength of the strength

Links

Retrieved from "http://ex.wikibeoks.org/wiki/Cookbook/Hanburg

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figure by Gentgeen,

a recipe for hamburger on Wikibooks

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#### recipe

Wikipedia: a set of instructions that describes how to prepare or make something, especially a dish of prepared food

#### recipe: instructions to complete a (cooking) task

#### definition of algorithm

# Sheet Music for Playing Instrument



first page of the manuscript of

Bach's lute suite in G minor

figure licensed

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#### sheet music

Wikipedia: handwritten or printed form of musical notation ... to indicate the pitches, rhythms or chords of a song

#### sheet music: instructions to play instrument (well)

# Kifu for Playing Go



a Japanese kifu

figure by Velobici,

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#### kifu

go game record of steps that describe how the game had been played

#### kifu: instructions to mimic/learn to play go (professionally)

definition of algorithm

# Algorithm for Computing



flowchart of Euclid's algorithm for calculating the greatest common divisor (g.c.d.) of two numbers

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### algorithm

Wikipedia: algorithm is a finite sequence of well-defined, computer-implementable instructions, typically to solve a class of problems or to perform a computation

 $\begin{array}{l} \mbox{algorithm} \sim \mbox{computing recipe:} \\ \mbox{(computable) instructions to solve a computing task} \\ \mbox{efficiently/correctly} \end{array}$ 

# Fun Time

# Which of the following in the kitchen is the best metaphor for an algorithm?

- 1 recipe
- 2 chef
- 3 garbage
- 4 meat

# Fun Time

# Which of the following in the kitchen is the best metaphor for an algorithm?

- 1 recipe
- 2 chef
- 3 garbage
- 4 meat

## Reference Answer: (1)

algorithm  $\sim$  computing recipe: (computable) instructions to solve a computing task efficiently/correctly

# pseudo code of algorithm

# Pseudo Code for GETMININDEX

#### C Version

```
/* return index to min. element
    in arr[0] ... arr[len-1] */
int getMinIndex
    (int arr[], int len){
    int i;
    int m=0;
    for(i=0;i<len;i++){
        if (arr[m] > arr[i]){
            m = i;
        }
    }
    return m;
}
```

## Pseudo Code Version

```
Get-Min-Index(A)
```

```
1 m = 1

2 for i = 2 to A. length

3 // update if i-th element smaller

4 if A[m] > A[i]

5 m = i
```

```
6 return m
```

#### pseudo code: spoken language of programming

# Bad Pseudo Code: Too Detailed

## **Unnecessarily Detailed**

GET-MIN-INDEX(A)

m = 11

5

6

7

- for i = 2 to A. length 2 3 // update if *i*-th element smaller
- 4

$$Am = A[r]$$
  
 $Ai = A[i]$ 

if 
$$Am > A$$

$$m = r$$

```
10
    return m
```

#### Concise

#### GET-MIN-INDEX(A)

2 for 
$$i = 2$$
 to A. length

3 // update if *i*-th element smaller 4

if 
$$A[m] > A[i]$$

$$m = i$$

6 return m

5

## goal of pseudo code: communicate efficiently

pseudo code of algorithm

# Bad Pseudo Code: Too Mysterious

Unnecessarily Mysterious	Clear
GET-MIN-INDEX(A)	GET-MIN-INDEX(A)
1 $x = 1$	1 $m = 1$ // store current min. index
2 for $xx = 2$ to A. length	2 for $i = 2$ to A. length
3	3 // update if <i>i</i> -th element smaller
4 if $A[x] > A[xx]$	4 if $A[m] > A[i]$
5 $xx = x$	5 $m = i$
6 return $xx$	6 return $m$

goal of pseudo code: communicate correctly

# Bad Pseudo Code: Too Abstract

Unnecessarily Abstract	Concrete
<ul> <li>GET-MIN-INDEX(A)</li> <li>1 m = 1 // store current min. index</li> <li>2 run a loop through A that updates m in every iteration</li> <li>3 return m</li> </ul>	GET-MIN-INDEX(A) 1 $m = 1$ // store current min. index 2 for $i = 2$ to A. length 3 // update if <i>i</i> -th element smaller 4 if $A[m] > A[i]$ 5 $m = i$ 6 return m

#### goal of pseudo code: communicate effectively

pseudo code of algorithm

# From GET-MIN-INDEX to SELECTION-SOBT

#### GET-MIN-INDEX $(A, \ell, r)$

 $m = \ell \parallel$  store current min. index

= 1

- for  $i = \ell + 1$  to r 2
- 3 // update if *i*-th element smaller

4 if 
$$A[m] > A[$$
  
5  $m = i$ 

return m



## Good Pseudo Code

- modularize, just like coding
- depends on speaker/listener
- usually no formal definition

SELECTION-SORT(A)

1 for 
$$i = 1$$
 to A. length  
2  $m = \text{GET-MIN-II}$ 

$$m = \text{Get-Min-Index}(A, i, A. length))$$

- SWAP(A[i], A[m])
- 4 return A // which has been sorted in place

follow any textbook if you really need a definition

3

# Fun Time

# Which of the following can be used to describe good pseudo code?

- 1 clear
- 2 concise
- 3 concrete
- 4 all of the above

# Fun Time

# Which of the following can be used to describe good pseudo code?

- 1 clear
- 2 concise
- 3 concrete
- 4 all of the above

## Reference Answer: 4

Have fun communicating with other programmers using good pseudo code! :-)

# criteria of algorithm

# Criteria of Recipe



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#### Cocktail Recipe: Screwdriver (from Wikipedia)

inputs: 5 cl vodka, 10 cl orange juice

- mix inputs in a highball glass with ice
- 2 garnish with orange slice and serve

output: a glass of delicious cocktail

- input: ingredients
- definiteness: clear instructions
- effectiveness: feasible instructions
- finiteness: completable instructions
- output: delicious drink

algorithm  $\sim$  recipe: same five criteria for algorithm

(Knuth, The Art of Computer Programming)

# Input of Algorithm

... quantities which are given to it initially before the algorithm begins. These inputs are taken from specified sets of objects. (Knuth, TAOCP)

```
GET-MIN-INDEX(A)

1 m = 1 // store current min. index

2 for i = 2 to A. length

3 // update if i-th element smaller

4 if A[m] > A[i]

5 m = i

6 return m
```

one algorithm, many uses (on different legal inputs)

# Definiteness of Algorithm

Each step of an algorithm must be precisely defined; the actions to be carried out must be rigorously & unambiguously specified. (Knuth, TAOCP)

Clear	Ambiguous
GET-MIN-INDEX(A)	GET-ZERO-INDEX( $A$ )
1 $m = 1$ // store current min. index	1
2 for $i = 2$ to A. length	2 for $i = 1$ to $A$ . length
3 // update if <i>i</i> -th element smaller	3
4 if $A[m] > A[i]$	4 if $A[m]$ is almost zero
5 $m = i$	5 return $m$
6 return m	6 // what to return here?

definiteness: clarity of algorithm

# Effectiveness of Algorithm

... all of the operations to be performed in the algorithm must be sufficiently basic that they can in principle be done exactly and in a finite length of time by a man using paper and pencil. (Knuth, TAOCP)

#### Effective

GET-MIN-INDEX(A) 1 m = 1 // store current min. index 2 for i = 2 to A. length 3 // update if *i*-th element smaller 4 if A[m] > A[i]5 m = i6 return m

#### Ineffective

```
GET-SOFT-MIN(A)
```

1 s = 0 // sum of exponentiated values

2 for 
$$i = 1$$
 to A. length

$$s = s + \exp(-A[i] \cdot 1126)$$

6 return -log(s)/1126

floating point errors may make some steps ineffective on some computers

4 5

# Finiteness of Algorithm

An algorithm must always terminate after a finite number of steps ... a very finite number, a reasonable number. (Knuth, TAOCP)

```
GET-MIN-INDEX(A)

1 m = 1 // store current min. index

2 for i = 2 to A. length

3 // update if i-th element smaller

4 if A[m] > A[i]

5 m = i

6 return m
```

finiteness (& efficiency): often requiring analysis for sophisticated algorithms (to be taught later)

# Output of Algorithm

... quantities which have a specified relation to the inputs (Knuth, TAOCP)

```
GET-MIN-INDEX(A)

1 m = 1 // store current min. index

2 for i = 2 to A. length

3 // update if i-th element smaller

4 if A[m] > A[i]

5 m = i

6 return m
```

output (correctness): needs proving with respect to requirements

# Fun Time

What best describes the input/output relationship of the selection sort algorithm below?

SELECTION-SORT(A)

- for i = 1 to A. length 1 2
- m = GET-MIN-INDEX(A, i, A. length))
- 3 SWAP(A[i], A[m])

4 return A // which has been sorted in place

- input: an ascending array; output: the same array sorted in descending order
- 2 input: an arbitrary array; output: the same array sorted in descending order
- input: an arbitrary array; 3 output: the same array sorted in ascending order
- 4 none of the other choices

# Fun Time

What best describes the input/output relationship of the selection sort algorithm below?

SELECTION-SORT(A)

- 1 for i = 1 to A. length
- 2 m = GET-MIN-INDEX(A, i, A. length))
- 3 SWAP(A[i], A[m])

4 return A // which has been sorted in place

- input: an ascending array; output: the same array sorted in descending order
- input: an arbitrary array; output: the same array sorted in descending order
- input: an arbitrary array; output: the same array sorted in ascending order
- 4 none of the other choices

### Reference Answer: (3)

The selection sort algorithm re-arranges an arbitrary array into ascending order.

# correctness proof of algorithm

## Claim



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claim: math. statement that declares correctness

# Invariant



invariants when constructing fractals figures by Johannes Rössel,

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## Correctness of GET-MIN-INDEX

Upon exiting GET-MIN-INDEX(A),

$$A[m] = \min_{1 \le j \le n} A[j]$$

with n = A. length

#### $\uparrow$

#### GET-MIN-INDEX(A)

1 m = 1 // store current min. index 2 for i = 2 to A. length 3 // update if *i*-th element smaller 4 if A[m] > A[i]5 m = i6 return m

## Invariant within GET-MIN-INDEX

Upon finishing the loop with i = k, denote *m* by  $m_k$ ,

$$A[m_k] \leq A[j]$$
 for  $j = 1, 2, \dots, k$ 

(loop) invariant: property that algorithm maintains

#### correctness proof of algorithm Proof of Loop Invariant

#### Mathematical Induction

#### Base

when i = 2, invariant true because

- assume invariant true for i = t 1
- when i = t.
  - if  $A[m_{t-1}] > A[t] \Rightarrow m_t = t$

$$\begin{array}{c} \mathbf{A}[m_t] &= \mathbf{A}[t] &\leq \mathbf{A}[t] \\ < \mathbf{A}[m_{t-1}] &\leq \mathbf{A}[j] \text{ for } j < t \end{array}$$

• if 
$$A[m_{t-1}] \leq A[t] \Rightarrow m_t = m_{t-1}$$

$$\begin{array}{c} \mathbf{A}[m_t] &= \mathbf{A}[m_{t-1}] &\leq \mathbf{A}[t] \\ &= \mathbf{A}[m_{t-1}] &\leq \mathbf{A}[j] \text{ for } j < t \end{array}$$

-by mathematical induction, invariant true for  $i = 2, 3, \ldots, k$  GET-MIN-INDEX(A) m = 1 // store current min. index2 for i = 2 to A. length 3

// update if *i*-th element smaller

if 
$$A[m] > A[i]$$

$$m = i$$

6 return m

4

5

#### Correctness of GET-MIN-INDEX

### ≙

#### Invariant within GET-MIN-INDEX

Upon finishing the loop with i = k, denote m by  $m_k$ ,

 $A[m_k] < A[i]$  for i = 1, 2, ..., k

proof of (loop) invariants  $\Rightarrow$  correctness claim of algorithm

 $\Rightarrow$ 

# Fun Time

### Which of the following is a loop invariant to selection sort?

SELECTION-SORT(A)

1 for i = 1 to A. length 2 m = GET-MIN-INDEX(A, i, A. length))3 SWAP(A[i], A[m])4 return A // which has been sorted in place

Upon finishing the loop with *i* = *k*, *A*[1] ≥ *A*[2] ≥ ... ≥ *A*[*k*].
 Upon finishing the loop with *i* = *k*, *A*[1] ≤ *A*[2] ≤ ... ≤ *A*[*k*].
 Upon finishing the loop with *i* = *k*, *A*[*k* + 1] ≥ ... ≥ *A*[*A*.*length*].
 Upon finishing the loop with *i* = *k*, *A*[*k* + 1] ≤ ... ≤ *A*[*A*.*length*].

# Fun Time

### Which of the following is a loop invariant to selection sort?

SELECTION-SORT(A)

1 for i = 1 to A. length 2 m = GET-MIN-INDEX(A, i, A. length))3 SWAP(A[i], A[m])4 return A // which has been sorted in place

**1** Upon finishing the loop with i = k,  $A[1] \ge A[2] \ge ... \ge A[k]$ .

- **2** Upon finishing the loop with i = k,  $A[1] \le A[2] \le ... \le A[k]$ .
- **3** Upon finishing the loop with i = k,  $A[k + 1] \ge ... \ge A[A. length]$ .
- 4 Upon finishing the loop with i = k,  $A[k + 1] \le \ldots \le A[A. length]$ .

## Reference Answer: (2)

The selection sort algorithm essentially picks the smallest element, the 2nd-smallest, and so on, and locate them orderly. You can prove the loop invariant by mathematical induction.

# Summary



next: 'data structures' and their connections to algorithms