

Sorting

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What We Have Done

- Selection Sort, Tournament Sort
- Bubble Sort
- Insertion Sort
- Merge Sort
- Heap Sort
- BST (Tree) Sort
- Reading Assignment:
Motivation of Sorting

Selection Sort: Review and Refinements

idea: linearly select the minimum one from “unsorted” part;
put the minimum one to the end of the “sorted” part

Implementations

- common implementation: swap minimum with $a[i]$ for putting in i -th iteration
- rotate implementation: rotate minimum down to $a[i]$ in i -th iteration
- linked-list implementation: insert minimum to the i -th element

- space $O(1)$: **in-place**
- time $O(n^2)$ **and** $\Theta(n^2)$
- rotate/linked-list: **stable** by selecting minimum with smallest index
—same-valued elements keep their index orders
- common: unstable

Tournament Sort: Review and Refinements

idea: selection sort with winner tree (or loser tree)
rather than select linearly

- space $O(n)$
- time $O(n \log n)$
- a good representative of $O(n \log n)$ family; hardly really used

Merge Sort: Review and Refinements

idea: replace winner tree with merge tree;
the root would then be the sorted result

Implementations

- naive implementation: build the whole tree $O(n \log n)$ space
 - level implementation: keep only level of tree per iter. $O(n)$ space
 - linked-list implementation: keep only one linked list in one iter.
(with sub-lists of length 2^k) $O(1)$ space
 - recursive implementation: top-down $\Omega(\log n)$ space for stack call
 - natural: use initially ordered sub-lists as leaf $\Omega(n)$ space for heads
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- time $O(n \log n)$
 - usually stable (if carefully implemented), parallelize well
 - popular in **external sort** with extension to k -way merge
(using winner tree)

Heap Sort: Review and Refinements

idea: max-tournament sort with a max-heap in original array rather than external winner tree

- space $O(1)$
- time $O(n \log n)$
- **not stable**
- favorable over merge sort on embedded system (constant space)

Bubble Sort: Review and Refinements

idea: swap disordered neighbors repeatedly

- space $O(1)$
- time $O(n^2)$
- stable
- **adaptive**: can early stop
- a deprecated choice except in very specific applications with a few disordered neighbors or if swapping neighbors is cheap (old tape days)

Insertion Sort: Review and Refinements

idea: insert a card from the unsorted pile to its place in the sorted pile

Implementations

- naive implementation: sequential search sorted pile from the front
 $O(n)$ time per search, $O(n)$ per insert
- backwise implementation: sequential search sorted pile from the back
 $O(n)$ time per search, $O(n)$ per insert
- binary-search implementation: binary search the sorted pile
 $O(\log n)$ time per search, $O(n)$ per insert
- linked-list implementation: same as naive but on linked lists
 $O(n)$ time per search, $O(1)$ per insert
- skip-list implementation: doable but a bit overkill (*more space*)
- rotation implementation: neighbor swap rather than insert
(gnome sort)

Insertion Sort: Review and Refinements (II)

- space $O(1)$
- time $O(n^2)$
- stable
- backwise implementation **adaptive**
- usually preferred over bubble (faster) and over selection (adaptive)

Shell Sort: Introduction

idea: adaptive insertion sort on every k_1 elements;
adaptive insertion sort on every k_2 elements; \dots
adaptive insertion sort on every $k_m = 1$ element

- insertion sort with “long jumps”
- space $O(1)$, like insertion sort
- time: difficult to analyze, often faster than $O(n^2)$
- unstable, adaptive $n^{\{3/2\}}, n \log^2 n$
- usually good practical performance and somewhat easy to implement

Tree Sort: Review and Refinements

5, 7, 3, 2, 1, 4, 6, 8

TreeSort(3, 2, 1, 4), 5, TreeSort(7, 6, 8)

idea: replace heap with a BST;
an in-order traversal outputs the sorted result

- space $O(n)$
- time: worst $O(n^2)$ (unbalanced tree), average $O(n \log n)$
- unstable
- suitable for stream data and incremental sorting

5
3 7
2 4 6 8
1

Quick Sort: Introduction

idea: simulate tree sort without building the tree

Tree Sort Revisited

```
make  $a[0]$  the root of a BST
for  $i \leftarrow 1, \dots, n-1$  do
  if  $a[i] < a[0]$ 
    insert  $a[i]$  to the left-subtree
    of BST
  else
    insert  $a[i]$  to the
    right-subtree of BST
  end if
end for
in-order traversal of left-subtree,
then root, then right-subtree
```

Quick Sort

```
name  $a[0]$  the pivot
for  $i \leftarrow 1, \dots, n-1$  do
  if  $a[i] < a[0]$ 
    put  $a[i]$  to the left pile of the
    pivot
  else
    put  $a[i]$  to the right pile of
    the pivot
  end if
end for
output quick-sorted left; output
 $a[0]$ ; output quick-sorted right
```

Quick Sort Simulation

6, 1, 4, 9, 7, 8, 3, 10, 2, 5

popular implementation

[[3]], 1, 4, [5], [2], [[6]], [8], 10, [7], [9]

paper implementation

(1 4 3 2 5) 6 (9 7 8 10)

(() 1 (4 3 2 5)) 6 (9 7 8 10)

(() 1 ((3 2) 4 (5))) 6 (9 7 8 10)

(() 1 (((2) 3 ())) 4 (5))) 6 (9 7 8 10)

(1 2 3 4 5 6 ((7 8) 9 (10)))

(1 2 3 4 5 6 ((() 7 (8)) 9 (10)))

(1 2 3 4 5 6 7 8 9 10)

Quick Sort: Introduction (II)

Implementations

- naive implementation: pick first element in the pile as pivot
 - random implementation: pick a random element in the pile as pivot
 - median-of-3 implementation: pick median(front, middle, back) as pivot
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- space: worst $O(n)$, average $O(\log n)$ on stack calls
 - time: worst $O(n^2)$, average $O(n \log n)$
 - not stable
 - usually best choice for large data (if not requiring stability), can be mixed with other sorts for small data