

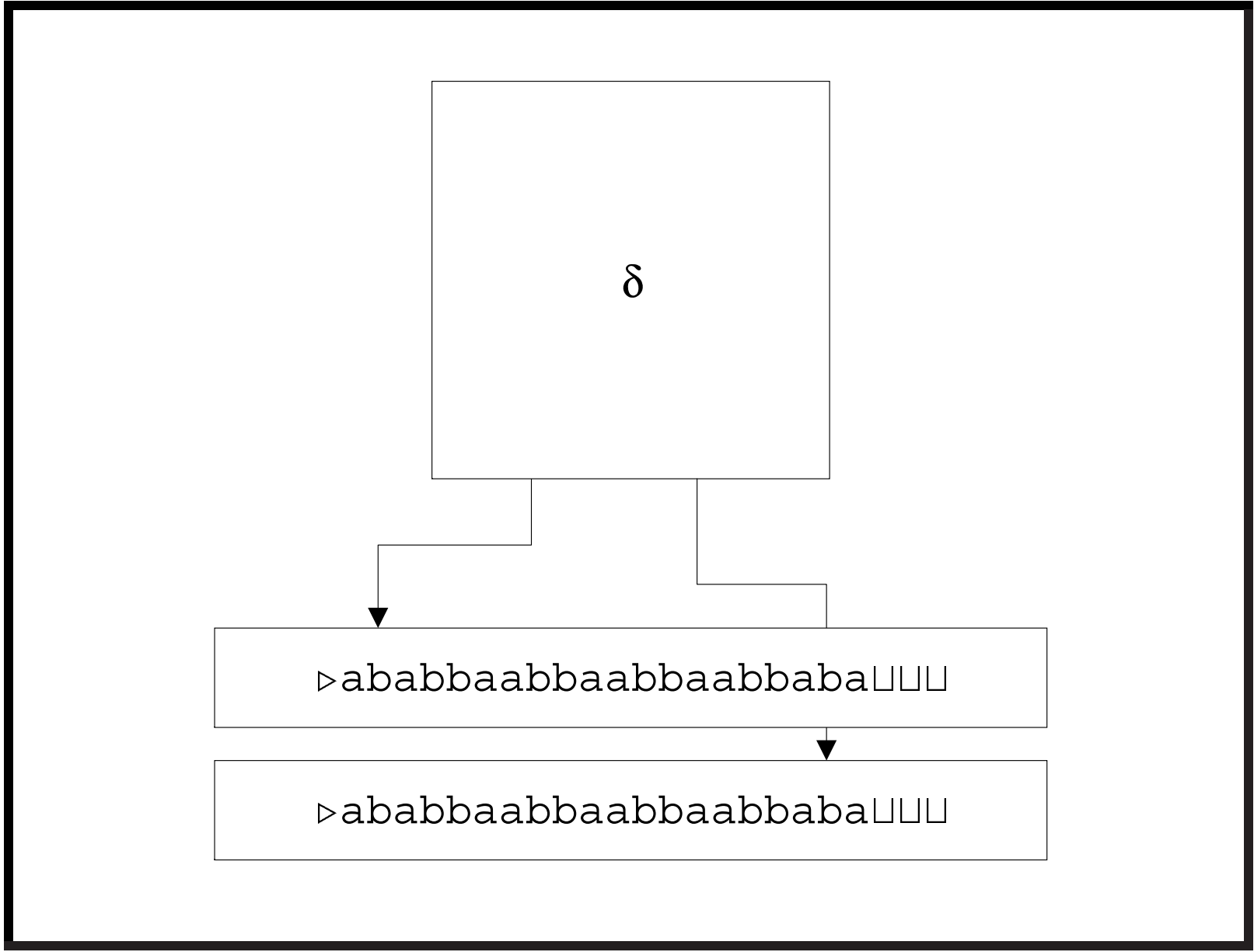
## Turing Machines with Multiple Strings

- A  $k$ -string Turing machine (TM) is a quadruple  $M = (K, \Sigma, \delta, s)$ .
- $K, \Sigma, s$  are as before.
- $\delta : K \times \Sigma^k \rightarrow (K \cup \{h, \text{“yes”}, \text{“no”}\}) \times (\Sigma \times \{\leftarrow, \rightarrow, -\})^k$ .
- All strings start with a  $\triangleright$ .
- The first string contains the input.
- Decidability and acceptability are the same as before.
- When TMs compute functions, the output is the last ( $k$ th) string.



## PALINDROME Revisited

- A 2-string TM can decide PALINDROME in  $O(n)$  steps.
  - It copies the input to the second string.
  - The cursor of the first string is positioned at the first symbol of the input.
  - The cursor of the second string is positioned at the last symbol of the input.
  - The symbols under the cursors are then compared.
  - The two cursors are then moved in opposite directions until the ends are reached.
  - The machine accepts if and only if the symbols under the two cursors are identical at all steps.



## PALINDROME Revisited (concluded)

- The running times of a 2-string TM and a single-string TM are quadratically related:  $n^2$  vs.  $n$ .
- This is consistent with the extended Church's thesis.<sup>a</sup>
  - “Reasonable” models are related polynomially in running times.

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<sup>a</sup>Recall p. 68.

## Configurations and Yielding

- The concept of configuration and yielding is the same as before except that a configuration is a  $(2k + 1)$ -tuple

$$(q, w_1, u_1, w_2, u_2, \dots, w_k, u_k).$$

- $w_i u_i$  is the  $i$ th string.
  - The  $i$ th cursor is reading the last symbol of  $w_i$ .
  - Recall that  $\triangleright$  is each  $w_i$ 's first symbol.
- The  $k$ -string TM's initial configuration is

$$(s, \underbrace{\triangleright, x}_{1}, \underbrace{\triangleright, \epsilon}_{2}, \underbrace{\triangleright, \epsilon}_{3}, \dots, \underbrace{\triangleright, \epsilon}_{k}).$$

Time seemed to be  
the most obvious measure  
of complexity.  
— Stephen Arthur Cook (1939–)

## Time Complexity

- The multistring TM is the basis of our notion of the time expended by TMs.
- If a  $k$ -string TM  $M$  halts after  $t$  steps on input  $x$ , then the **time required by  $M$  on input  $x$**  is  $t$ .
- If  $M(x) = \nearrow$ , then the time required by  $M$  on  $x$  is  $\infty$ .



## Time Complexity (concluded)

- Machine  $M$  **operates within time**  $f(n)$  for  $f : \mathbb{N} \rightarrow \mathbb{N}$  if for *any* input string  $x$ , the time required by  $M$  on  $x$  is at most  $f(|x|)$ .
  - $|x|$  is the length of string  $x$ .
- Function  $f(n)$  is a **time bound** for  $M$ .

## Time Complexity Classes<sup>a</sup>

- Suppose language  $L \subseteq (\Sigma - \{\sqcup\})^*$  is decided by a multistring TM operating in time  $f(n)$ .
- We say  $L \in \text{TIME}(f(n))$ .
- $\text{TIME}(f(n))$  is the set of languages decided by TMs with multiple strings operating within time bound  $f(n)$ .
- $\text{TIME}(f(n))$  is a **complexity class**.
  - PALINDROME is in  $\text{TIME}(f(n))$ , where  $f(n) = O(n)$ .
- Trivially,  $\text{TIME}(f(n)) \subseteq \text{TIME}(g(n))$  if  $f(n) \leq g(n)$  for all  $n$ .

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<sup>a</sup>Rabin (1963); Hartmanis & Stearns (1965); Hartmanis, Lewis, & Stearns (1965).

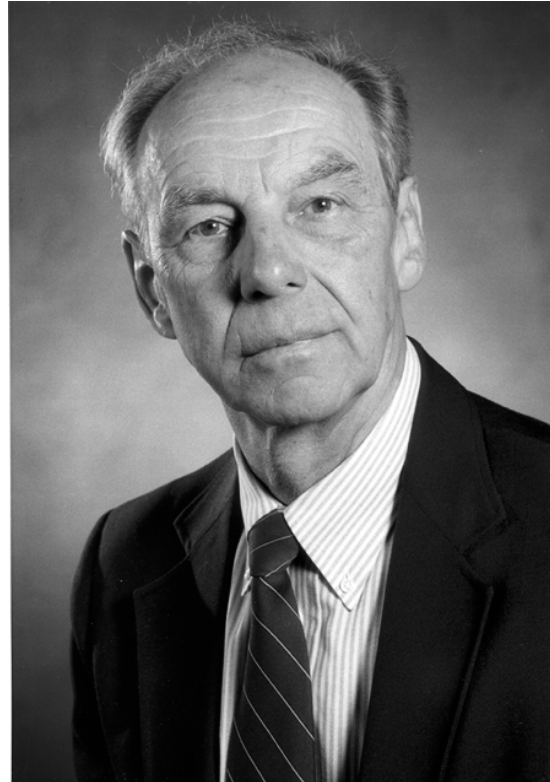
## Michael O. Rabin<sup>a</sup> (1931–)



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<sup>a</sup>Turing Award (1976).

## Juris Hartmanis<sup>a</sup> (1928–)



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<sup>a</sup>Turing Award (1993).

## Richard Edwin Stearns<sup>a</sup> (1936–)



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<sup>a</sup>Turing Award (1993).

## The Simulation Technique

**Theorem 3** *Given any  $k$ -string  $M$  operating within time  $f(n)$ , there exists a (single-string)  $M'$  operating within time  $O(f(n)^2)$  such that  $M(x) = M'(x)$  for any input  $x$ .*

- The single string of  $M'$  implements the  $k$  strings of  $M$ .

## The Proof

- Represent configuration  $(q, w_1, u_1, w_2, u_2, \dots, w_k, u_k)$  of  $M$  by this configuration of  $M'$ :

$$(q, \triangleright, w'_1 u_1 \triangleleft w'_2 u_2 \triangleleft \dots \triangleleft w'_k u_k \triangleleft \triangleleft).$$

- $\triangleleft$  is a special delimiter.
- $w'_i$  is  $w_i$  with the first<sup>a</sup> and last symbols “primed.”
- It serves the purpose of “,” in a configuration.<sup>b</sup>

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<sup>a</sup>The first symbol is of course  $\triangleright$ .

<sup>b</sup>An alternative is to use  $(q, \triangleright w'_1 | u_1 \triangleleft w'_2 | u_2 \triangleleft \dots \triangleleft w'_k | u_k \triangleleft \triangleleft)$  by priming only  $\triangleright$  in  $w_i$ , where “|” is a new symbol.

## The Proof (continued)

- The first symbol of  $w'_i$  is the primed version of  $\triangleright$ :  $\triangleright'$ .
  - Cursors are not allowed to move to the left of  $\triangleright$ .<sup>a</sup>
  - So the cursor of  $M'$  can move *between* the simulated strings of  $M$ .<sup>b</sup>
- The “priming” of the last symbol of each  $w_i$  ensures that  $M'$  knows which symbol is under each cursor of  $M$ .<sup>c</sup>

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<sup>a</sup>Recall p. 24.

<sup>b</sup>Thanks to a lively discussion on September 22, 2009.

<sup>c</sup>Added because of comments made by Mr. Che-Wei Chang (R95922093) on September 27, 2006.



## The Proof (continued)

- The initial configuration of  $M'$  is

$$(s, \triangleright, \triangleright'' x \triangleleft \overbrace{\triangleright'' \triangleleft \cdots \triangleright'' \triangleleft}^{k-1 \text{ pairs}} \triangleleft).$$

- $\triangleright''$  is double-primed because it is the beginning and the ending symbol as the cursor is reading it.<sup>a</sup>
- Again, think of it as a new symbol.

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<sup>a</sup>Added after the class discussion on September 20, 2011.

## The Proof (continued)

- We simulate each move of  $M$  thus:
  1.  $M'$  scans the string to pick up the  $k$  symbols under the cursors.
    - The states of  $M'$  must be enlarged to include  $K \times \Sigma^k$  to remember them.<sup>a</sup>
    - The transition functions of  $M'$  must also reflect it.
  2.  $M'$  then changes the string to reflect the overwriting of symbols and cursor movements of  $M$ .

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<sup>a</sup>Recall the TM program on p. 36.

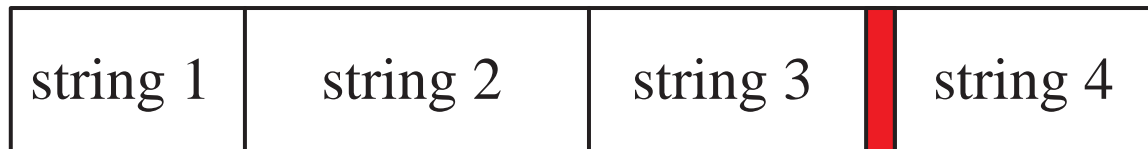
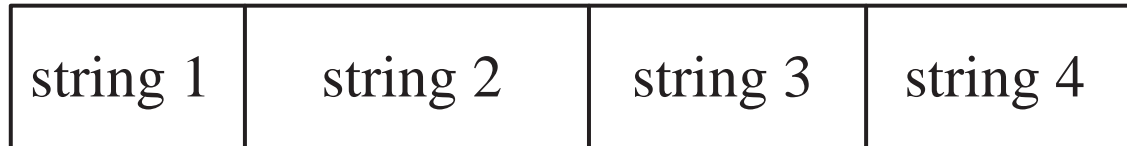
## The Proof (continued)

- It is possible that some strings of  $M$  need to be lengthened (see next page).
  - The linear-time algorithm on p. 39 can be used for each such string.
- The simulation continues until  $M$  halts.
- $M'$  then erases all strings of  $M$  except the last one.<sup>a</sup>

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<sup>a</sup>Whatever remains on the tape of  $M'$  before the first  $\sqcup$  is considered output by our convention. So  $\triangleright$ 's and  $\triangleright$ ''s must be removed.

## The Proof (continued)<sup>a</sup>



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<sup>a</sup>If we interleave the strings, the simulation may be easier. Contributed by Mr. Kai-Yuan Hou (B99201038, R03922014) on September 22, 2015. This is similar to constructing a single-string *multi-track* TM in, e.g., Hopcroft & Ullman (1969). Or one may do the insertion starting from the last string by memorizing what needs to be inserted for each string. Contributed by Mr. Hsi-Kang Hsu (R10922128) on September 30, 2021.

## The Proof (continued)

- Since  $M$  halts within time  $f(|x|)$ , none of its strings ever becomes longer than  $f(|x|)$ .<sup>a</sup>
- The length of the string of  $M'$  at any time is  $O(kf(|x|))$ .
- Simulating each step of  $M$  takes, *per string of  $M$* ,  $O(kf(|x|))$  steps.
  - $O(f(|x|))$  steps to collect information from this string.
  - $O(kf(|x|))$  steps to write and, if needed, to lengthen the string.

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<sup>a</sup>We tacitly assume  $f(n) \geq n$ .

## The Proof (concluded)

- There are  $k$  strings.
- So  $M'$  takes  $O(k^2 f(|x|))$  steps to simulate each step of  $M$ .
- As there are  $f(|x|)$  steps of  $M$  to simulate,  $M'$  operates within time  $O(k^2 f(|x|)^2)$ .<sup>a</sup>

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<sup>a</sup>Is the time reduced to  $O(kf(|x|)^2)$  if the interleaving data structure is adopted?

## Simulation with Two-String TMs

We can do better with two-string simulating TMs.

**Theorem 4** *Given any  $k$ -string  $M$  operating within time  $f(n)$ ,  $k > 2$ , there exists a two-string  $M'$  operating within time  $O(f(n) \log f(n))$  such that  $M(x) = M'(x)$  for any input  $x$ .*

## Linear Speedup<sup>a</sup>

**Theorem 5** *Let  $L \in \text{TIME}(f(n))$ . Then for any  $\epsilon > 0$ ,  $L \in \text{TIME}(f'(n))$ , where  $f'(n) \triangleq \epsilon f(n) + n + 2$ .*

See Theorem 2.2 of the textbook for a proof.

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<sup>a</sup>Hartmanis & Stearns (1965).



## Proof Ideas

- Take the TM program on p. 36.
- It accepts if and only if the input contains two consecutive 1's.
- Assume  $M = (K, \Sigma, \delta, s)$ , where
$$K = \{ s', s_{00}, s_{01}, s_{10}, s_{11}, \dots, \text{"yes"}, \text{"no"} \},$$
$$\Sigma = \{ 0, 1, (00), (01), (10), (11), (0\sqcup), (1\sqcup), \sqcup, \triangleright \}.$$

## Proof Ideas (continued)

- First convert the input into 2-tuples onto the second string.

- So  $\overbrace{10011001110}^{11}$  becomes  $\overbrace{(10)(01)(10)(01)(11)(0\sqcup)}^6$ .

- The length is therefore about halved.
- The transition table below covers only the second string for brevity.
- It presents only the key lines of code.

## Proof Ideas (continued)

$p \in K$	$\sigma \in \Sigma$	$\delta(p, \sigma)$
$\vdots$	$\vdots$	$\vdots$
$s'$	(00)	$(s', (00), \rightarrow)$
$s'$	(01)	$(s_{01}, (01), \rightarrow)$
$s'$	(10)	$(s', (10), \rightarrow)$
$s'$	(11)	(“yes”, (11), $-$ )
$s'$	(0 $\sqcup$ )	(“no”, (0 $\sqcup$ ), $-$ )
$s'$	(1 $\sqcup$ )	(“no”, (1 $\sqcup$ ), $-$ )
$s'$	$\sqcup$	(“no”, $\sqcup$ , $-$ )

## Proof Ideas (concluded)<sup>a</sup>

$s_{01}$	(10)	(“yes”, (10), $-$ )
$s_{01}$	(11)	(“yes”, (11), $-$ )
$s_{01}$	(01)	( $s_{01}$ , (01), $\rightarrow$ )
$s_{01}$	(00)	( $s'$ , (00), $\rightarrow$ )
$s_{01}$	(0 $\sqcup$ )	(“no”, (1 $\sqcup$ ), $-$ )
$s_{01}$	(1 $\sqcup$ )	(“yes”, (1 $\sqcup$ ), $-$ )
$s_{01}$	$\sqcup$	(“no”, $\sqcup$ , $-$ )
$\vdots$	$\vdots$	$\vdots$

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<sup>a</sup>Corrected by Mr. Yu-Ming Lu (R06723032, D08922008) on September 30, 2021.

## Implications of the Speedup Theorem

- State size can be traded for speed.<sup>a</sup>
- If the running time is  $cn$  with  $c > 1$ , then  $c$  can be made arbitrarily close to 1.
- If the running time is superlinear, say  $14n^2 + 31n$ , then the constant in the leading term (14 in this example) can be made arbitrarily small.
  - *Arbitrary* linear speedup can be achieved.<sup>b</sup>
  - This justifies the big-O notation in the analysis of algorithms.

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<sup>a</sup> $m^k \cdot |\Sigma|^{3mk}$ -fold increase to gain a speedup of  $O(m)$ . No free lunch.

<sup>b</sup>Can you apply the theorem multiple times to achieve superlinear speedup? Thanks to a question by a student on September 21, 2010.

## P

- By the linear speedup theorem, any polynomial time bound can be represented by its leading term  $n^k$ .
- If  $L \in \text{TIME}(n^k)$  for some  $k \in \mathbb{N}$ , it is a **polynomially decidable language**.
  - Clearly,  $\text{TIME}(n^k) \subseteq \text{TIME}(n^{k+1})$ .
- The union of all polynomially decidable languages is denoted by  $P$ :<sup>a</sup>

$$P \triangleq \bigcup_{k>0} \text{TIME}(n^k).$$

- $P$  contains problems that can be efficiently solved.

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<sup>a</sup>Cobham (1964).

Philosophers have explained space.  
They have not explained time.  
— Arnold Bennett (1867–1931),  
*How To Live on 24 Hours a Day* (1910)

I keep bumping into that silly quotation  
attributed to me that says  
640K of memory is enough.  
— Bill Gates (1996)

## Space Complexity

- Consider a  $k$ -string TM  $M$  with input  $x$ .
- Assume non- $\sqcup$  is never written over by  $\sqcup$ .<sup>a</sup>
  - The purpose is not to artificially reduce the space needs (see below).
- If  $M$  halts in configuration

$$(H, w_1, u_1, w_2, u_2, \dots, w_k, u_k),$$

then the **space required by  $M$  on input  $x$**  is

$$\sum_{i=1}^k |w_i u_i|.$$

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<sup>a</sup>Corrected by Ms. Chuan-Ju Wang (R95922018, F95922018) on September 27, 2006.



## Space Complexity (continued)

- Suppose we do not charge the space used only for input and output.
- Let  $k > 2$  be an integer.
- A  **$k$ -string Turing machine with input and output** is a  $k$ -string TM that satisfies the following conditions.
  - The input string is *read-only*.<sup>a</sup>
  - The cursor on the last string never moves to the left.
    - \* The output string is essentially *write-only*.
  - The cursor of the input string does not go beyond the first  $\sqcup$ .

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<sup>a</sup>Called an **off-line TM** in Hartmanis, Lewis, & Stearns (1965).

## Space Complexity (concluded)

- If  $M$  is a TM with input and output, then the space required by  $M$  on input  $x$  is

$$\sum_{i=2}^{k-1} |w_i u_i|.$$

- Machine  $M$  **operates within space bound**  $f(n)$  for  $f : \mathbb{N} \rightarrow \mathbb{N}$  if for any input  $x$ , the space required by  $M$  on  $x$  is at most  $f(|x|)$ .

## Space Complexity Classes

- Let  $L$  be a language.
- Then

$$L \in \text{SPACE}(f(n))$$

if there is a TM with input and output that decides  $L$  and operates within space bound  $f(n)$ .

- $\text{SPACE}(f(n))$  is a set of languages.
  - $\text{PALINDROME} \in \text{SPACE}(\log n)$ .<sup>a</sup>
- A linear speedup theorem similar to the one on p. 97 exists, so constant coefficients do not matter.

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<sup>a</sup>Maintain 3 counters.

If she can hesitate as to “Yes,”  
she ought to say “No” directly.  
— Jane Austen (1775–1817),  
*Emma* (1815)

## Nondeterminism<sup>a</sup>

- A **nondeterministic Turing machine (NTM)** is a quadruple  $N = (K, \Sigma, \Delta, s)$ .
- $K, \Sigma, s$  are as before.
- $\Delta \subseteq K \times \Sigma \times (K \cup \{h, \text{“yes”}, \text{“no”}\}) \times \Sigma \times \{\leftarrow, \rightarrow, -\}$  is a *relation*, not a *function*.<sup>b</sup>
  - For each state-symbol combination  $(q, \sigma)$ , there may be *multiple* valid next steps.
  - Multiple lines of code may be applicable.
  - But only one will be taken.

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<sup>a</sup>Rabin & Scott (1959).

<sup>b</sup>Corrected by Mr. Jung-Ying Chen (D95723006) on September 23, 2008.

## Nondeterminism (continued)

- As before, a program contains lines of code:

$$\begin{aligned}(q_1, \sigma_1, p_1, \rho_1, D_1) &\in \Delta, \\(q_2, \sigma_2, p_2, \rho_2, D_2) &\in \Delta, \\&\vdots \\(q_n, \sigma_n, p_n, \rho_n, D_n) &\in \Delta.\end{aligned}$$

- But we cannot write

$$\delta(q_i, \sigma_i) = (p_i, \rho_i, D_i)$$

as in the deterministic case<sup>a</sup> anymore.

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<sup>a</sup>Recall p. 25.

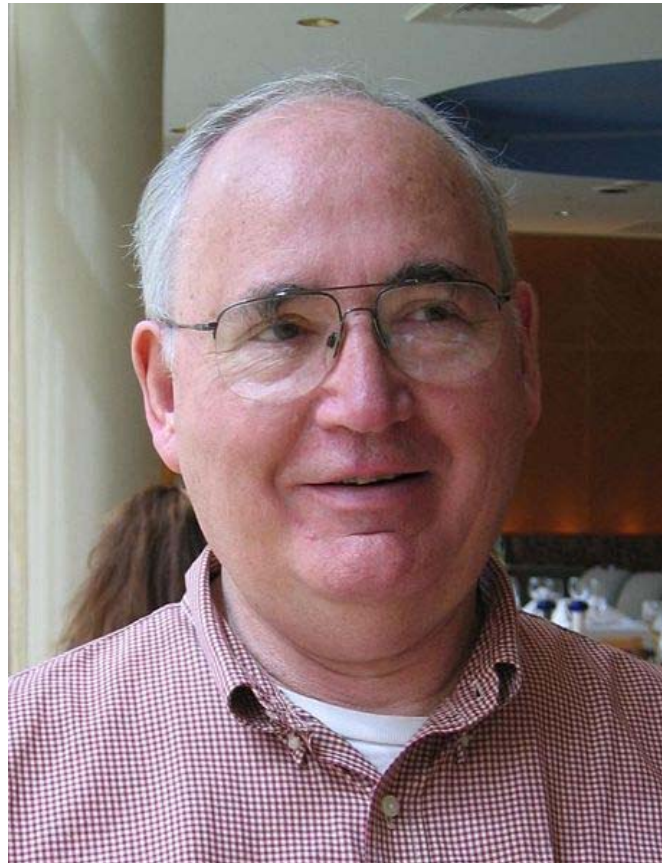
## Nondeterminism (concluded)

- A configuration yields another configuration in one step if there *exists* a rule in  $\Delta$  that makes this happen.
- There remains only one thread of computation.<sup>a</sup>
  - Nondeterminism is *not* parallelism, multiprocessing, multithreading, or quantum computation.

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<sup>a</sup>Thanks to a lively discussion on September 22, 2015.

## Dana Stewart Scott<sup>a</sup> (1932–)

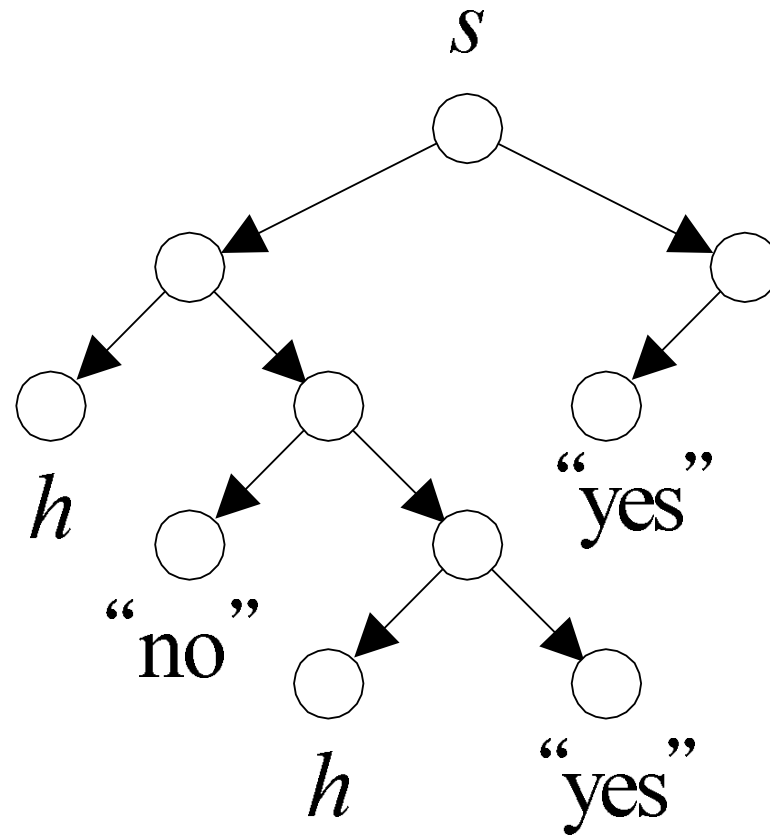


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<sup>a</sup>Turing Award (1976).



## Computation Tree and Computation Path



## Decidability under Nondeterminism

- Let  $L$  be a language and  $N$  be an NTM.
- $N$  **decides**  $L$  if for any  $x \in \Sigma^*$ ,  $x \in L$  if and only if there is a sequence of valid configurations that ends in “yes.”
- In other words,
  - If  $x \in L$ , then  $N(x) = \text{“yes”}$  for *some* computation path.
  - If  $x \notin L$ , then  $N(x) \neq \text{“yes”}$  for *all* computation paths.

## Decidability under Nondeterminism (continued)

- It is not required that the deciding NTM halts in all computation paths.<sup>a</sup>
- If  $x \notin L$ , no nondeterministic choices should lead to a “yes” state.
- The key is the algorithm’s *overall* behavior not whether it gives a correct answer for each particular run.
- Note that determinism is a special case of nondeterminism.

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<sup>a</sup>Unlike the deterministic case (p. 53). So “accepts” may be a more proper term. Some books use “decides” only when the NTM always halts.

## Decidability under Nondeterminism (concluded)

- For example, suppose  $L$  is the set of primes.<sup>a</sup>
- Then we have the primality testing problem.
- An NTM  $N$  decides  $L$  if:
  - If  $x$  is a prime, then  $N(x) = \text{“yes”}$  for some computation path.
  - If  $x$  is not a prime, then  $N(x) \neq \text{“yes”}$  for all computation paths.

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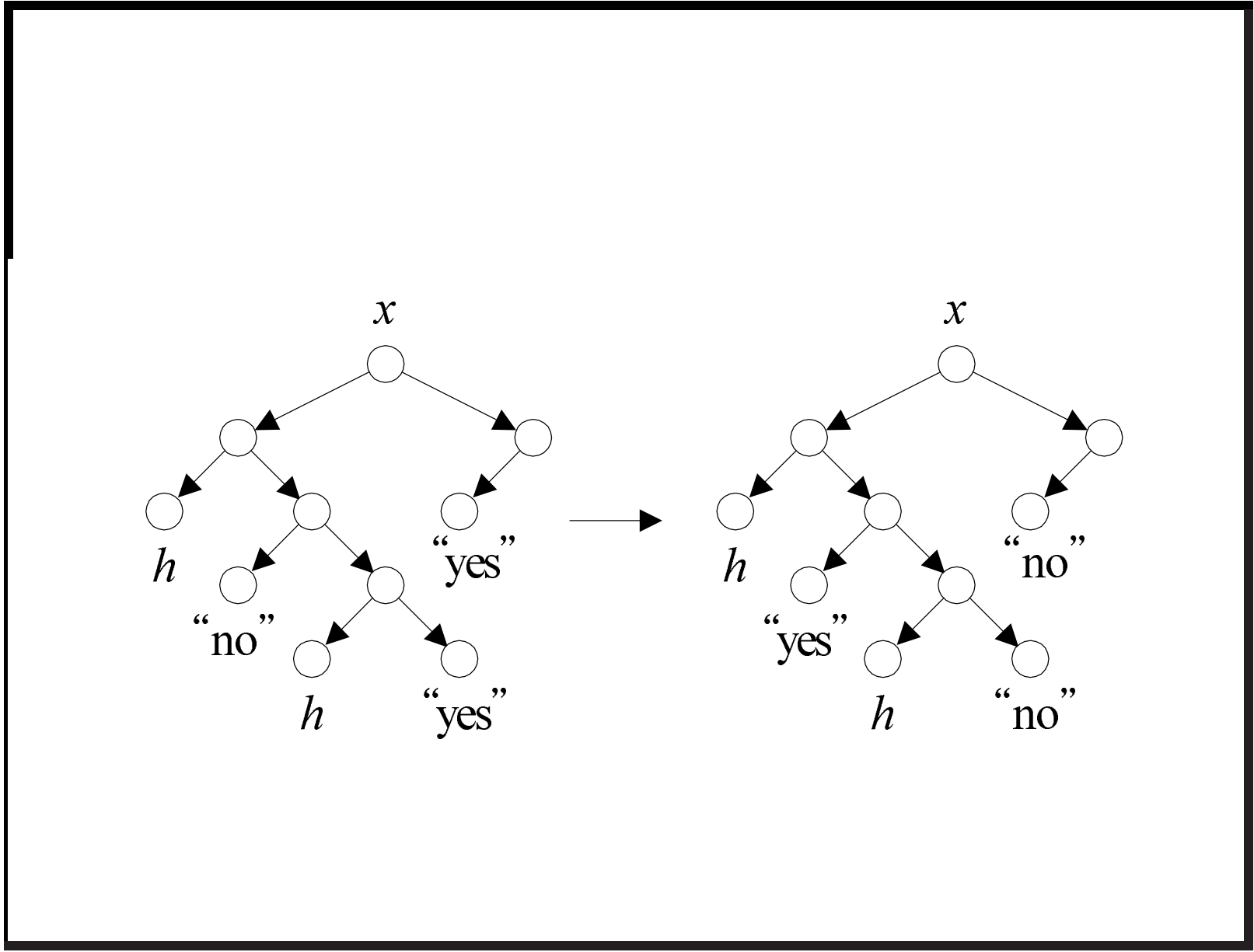
<sup>a</sup>Contributed by Mr. Yu-Ming Lu (R06723032, D08922008) on March 7, 2019.

## Complementing a TM's Halting States

- Let  $M$  decide  $L$ , and  $M'$  be  $M$  after “yes”  $\leftrightarrow$  “no”.
- If  $M$  is *deterministic*, then  $M'$  decides  $\bar{L}$ .<sup>a</sup>
  - So  $M$  and  $M'$  decide languages that complement each other.
- But if  $M$  is an NTM, then  $M'$  may not decide  $\bar{L}$ .
  - It is possible that  $M$  and  $M'$  accept the same input  $x$  (see next page).
  - So  $M$  and  $M'$  may accept languages that are *not* even disjoint.

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<sup>a</sup>By the definition on p. 53,  $M$  must halt on all inputs.

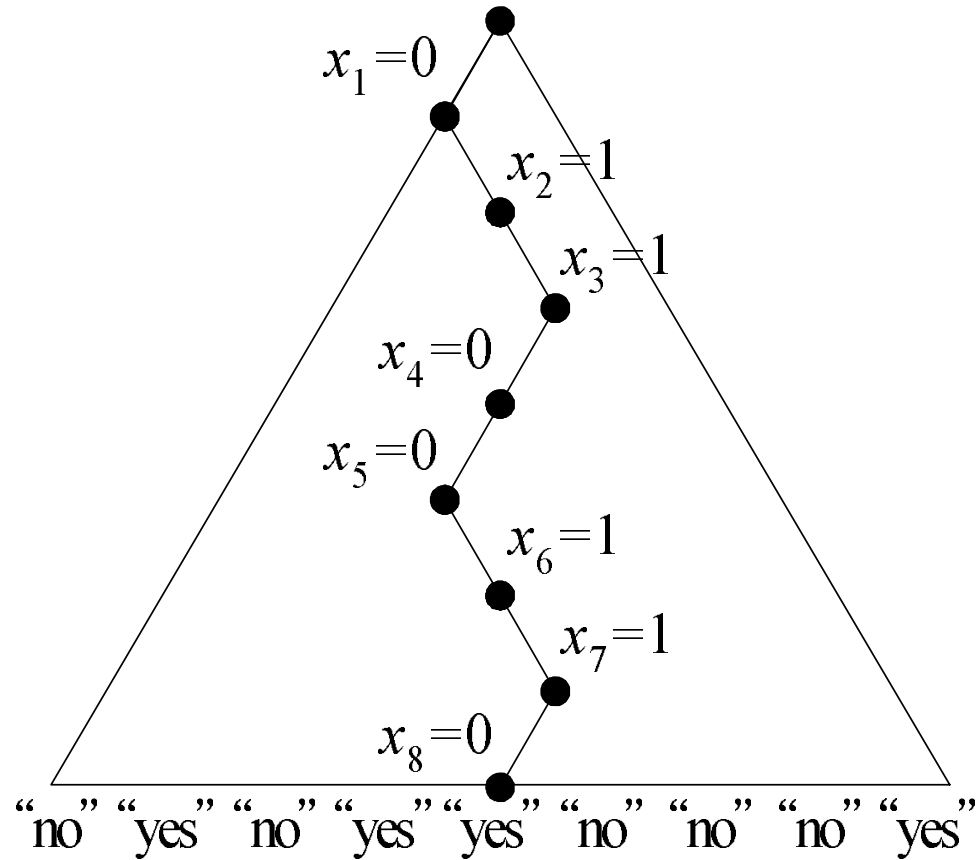


## A Nondeterministic Algorithm for Satisfiability

$\phi$  is a boolean formula with  $n$  variables.

- 1: **for**  $i = 1, 2, \dots, n$  **do**
- 2:     Guess  $x_i \in \{0, 1\}$ ; {Nondeterministic choices.}
- 3: **end for**
- 4: {Verification:}
- 5: **if**  $\phi(x_1, x_2, \dots, x_n) = 1$  **then**
- 6:     “yes”;
- 7: **else**
- 8:     “no”;
- 9: **end if**

## Computation Tree for Satisfiability





## Analysis

- Recall that  $\phi$  is satisfiable if and only if there is a truth assignment that satisfies  $\phi$ .
- Think of the computation tree as a complete binary tree of depth  $n$ .
- Every computation path corresponds to a particular truth assignment<sup>a</sup> out of  $2^n$ .

---

<sup>a</sup>Equivalently, a sequence of nondeterministic choices.

## Analysis (concluded)

- The algorithm decides language

$\{ \phi : \phi \text{ is satisfiable} \}$ .

- Suppose  $\phi$  is satisfiable.
  - \* There is a truth assignment that satisfies  $\phi$ .
  - \* So there is a computation path that results in “yes.”
- Suppose  $\phi$  is not satisfiable.
  - \* That means every truth assignment makes  $\phi$  false.
  - \* So every computation path results in “no.”
- General paradigm: Guess a “proof” then verify it.

## The Traveling Salesman Problem

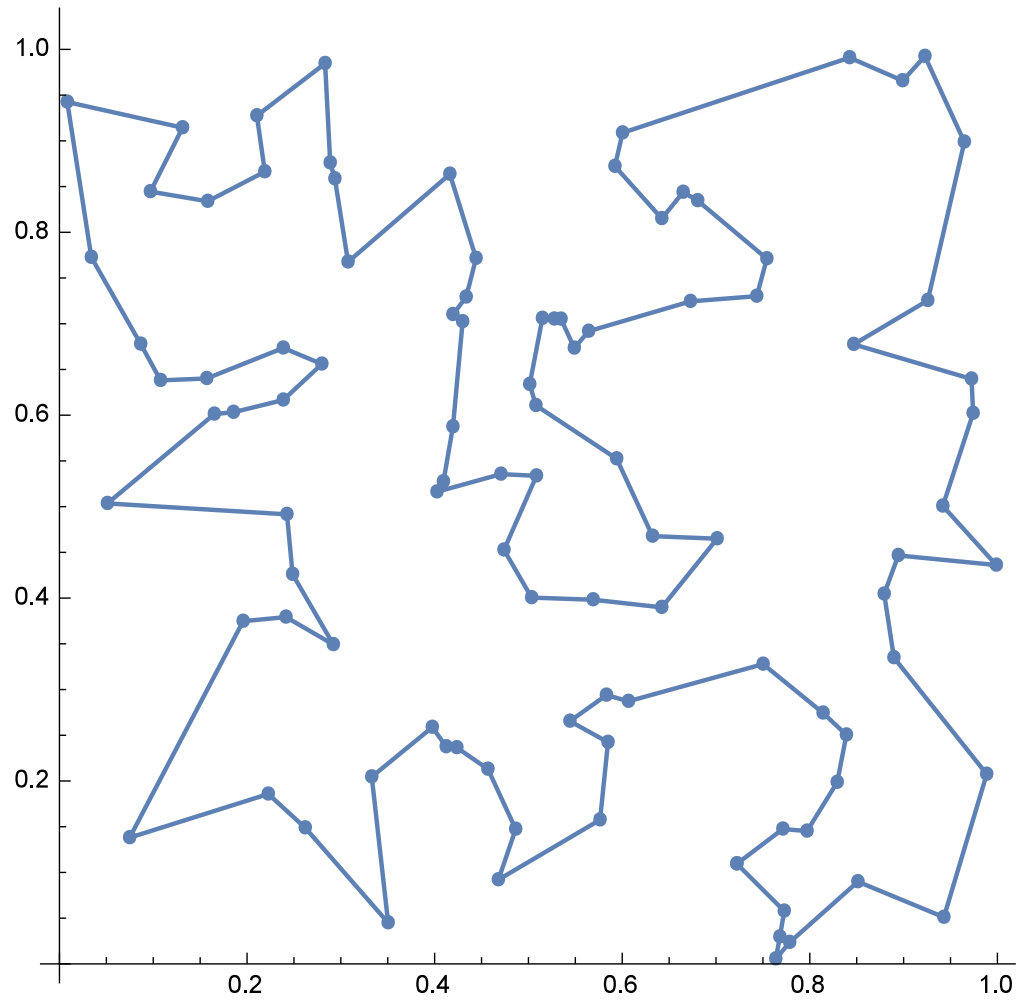
- We are given  $n$  cities  $1, 2, \dots, n$  and integer distance  $d_{ij}$  between any two cities  $i$  and  $j$ .
- Assume  $d_{ij} = d_{ji}$  for convenience.
- The **traveling salesman problem** (TSP) asks for the total distance of the shortest tour of the cities.<sup>a</sup>
- The decision version TSP (D) asks if there is a tour with a total distance at most  $B$ , where  $B$  is an input.<sup>b</sup>

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<sup>a</sup>Each city is visited exactly once.

<sup>b</sup>Both problems are extremely important. They are equally hard (pp. 419 and 522).

# A Shortest Tour



## A Nondeterministic Algorithm for TSP (D)

```
1: for  $i = 1, 2, \dots, n$  do
2:   Guess  $x_i \in \{1, 2, \dots, n\}$ ; {The  $i$ th city.}a
3: end for
4: {Verification:}
5: if  $x_1, x_2, \dots, x_n$  are distinct and  $\sum_{i=1}^{n-1} d_{x_i, x_{i+1}} \leq B$  then
6:   “yes”;
7: else
8:   “no”;
9: end if
```

---

<sup>a</sup>Can be made into a series of  $\log_2 n$  *binary* choices for each  $x_i$  so that the next-state count (2) is a constant, independent of input size. Contributed by Mr. Chih-Duo Hong (R95922079) on September 27, 2006.

## Analysis

- Suppose the input graph contains at least one tour of the cities with a total distance at most  $B$ .
  - Then there is a computation path for that tour.<sup>a</sup>
  - And it leads to “yes.”
- Suppose the input graph contains no tour of the cities with a total distance at most  $B$ .
  - Then every computation path leads to “no.”

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<sup>a</sup>It does not mean the algorithm will follow that path. It merely requires that such a computation path (i.e., a sequence of nondeterministic choices) exists.

## Time Complexity under Nondeterminism

- Nondeterministic machine  $N$  decides  $L$  **in time**  $f(n)$ , where  $f : \mathbb{N} \rightarrow \mathbb{N}$ , if
  - $N$  decides  $L$ , and
  - for any  $x \in \Sigma^*$ ,  $N$  does not have a computation path longer than  $f(|x|)$ .
- We charge only the “depth” of the computation tree.

## Time Complexity Classes under Nondeterminism

- $\text{NTIME}(f(n))$  is the set of languages decided by NTMs within time  $f(n)$ .
- $\text{NTIME}(f(n))$  is a complexity class.



## NP (“Nondeterministic Polynomial”)

- Define

$$\text{NP} \triangleq \bigcup_{k>0} \text{NTIME}(n^k).$$

- Clearly  $P \subseteq \text{NP}$ .
- Think of NP as efficiently *verifiable* problems.<sup>a</sup>
  - Boolean satisfiability (pp. 120 and 203), e.g.
- The most important open problem in computer science is whether  $P = \text{NP}$ .

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<sup>a</sup>See p. 347.

## Remarks on the $P \stackrel{?}{=} NP$ Open Problem<sup>a</sup>

- Many practical applications depend on answers to the  $P \stackrel{?}{=} NP$  question.
- Verification of password should be easy (so it is in NP).
  - A computer should not take a long time to let a user log in.
- A password system should be hard to crack (loosely speaking, cracking it should not be in P).
- It took 63 years to settle the Continuum Hypothesis; how long will it take for this one?

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<sup>a</sup>Contributed by Mr. Kuan-Lin Huang (B96902079, R00922018) on September 27, 2011.

## Simulating Nondeterministic TMs

Nondeterminism does not add power to TMs.<sup>a</sup>

**Theorem 6** *Suppose language  $L$  is decided by an NTM  $N$  in time  $f(n)$ . Then it is decided by a 3-string deterministic TM  $M$  in time  $O(c^{f(n)})$ , where  $c > 1$  is some constant depending on  $N$ .*

- On input  $x$ ,  $M$  explores the computation tree of  $N(x)$  using depth-first search.
  - $M$  does *not* need to know  $f(n)$ .
  - As  $N$  is time-bounded, the depth-first search will halt.<sup>b</sup>

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<sup>a</sup>Like finite-state automata, but unlike pushdown automata.

<sup>b</sup>If there is no time bound, breadth-first search is safer.

## The Proof (concluded)

- If any path leads to “yes,” then  $M$  immediately enters the “yes” state.
- If none of the paths lead to “yes,” then  $M$  enters the “no” state.
- The simulation takes time  $O(c^{f(n)})$  for some  $c > 1$  because the computation tree has that many nodes.

**Corollary 7**  $\text{NTIME}(f(n)) \subseteq \bigcup_{c>1} \text{TIME}(c^{f(n)})$ .<sup>a</sup>

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<sup>a</sup>Mr. Kai-Yuan Hou (B99201038, R03922014) on October 6, 2015:  
 $\bigcup_{c>1} \text{TIME}(c^{f(n)}) \subseteq \text{NTIME}(f(n))$ ?

## NTIME vs. TIME

- Does converting an NTM into a TM *require* exploring all computation paths of the NTM in the worst case as done in Theorem 6 (p. 132)?
- This is a key question in theory with important practical implications.

## Nondeterministic Space Complexity Classes

- Let  $L$  be a language.
- Then

$$L \in \text{NSPACE}(f(n))$$

if there is an NTM with input and output that decides  $L$  and operates within space bound  $f(n)$ .

- $\text{NSPACE}(f(n))$  is a set of languages.
- As in the linear speedup theorem,<sup>a</sup> constant coefficients do not matter.

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<sup>a</sup>Theorem 5 (p. 97).

## Graph Reachability

- Let  $G(V, E)$  be a directed graph (**digraph**).
- REACHABILITY asks, given nodes  $a$  and  $b$ , does  $G$  contain a path from  $a$  to  $b$ ?
- Can be easily solved in polynomial time by breadth-first search.
- How about its *nondeterministic* space complexity?

## The First Try: NSPACE( $n \log n$ )

- 1: Determine the number of nodes  $m$ ; {Note  $m \leq n$ .}
- 2:  $x_1 := a$ ; {Assume  $a \neq b$ .}
- 3: **for**  $i = 2, 3, \dots, m$  **do**
- 4:     Guess  $x_i \in \{v_1, v_2, \dots, v_m\}$ ; {The  $i$ th node.}
- 5: **end for**
- 6: **for**  $i = 2, 3, \dots, m$  **do**
- 7:     **if**  $(x_{i-1}, x_i) \notin E$  **then**
- 8:         “no”;
- 9:     **end if**
- 10:    **if**  $x_i = b$  **then**
- 11:       “yes”;
- 12:    **end if**
- 13: **end for**
- 14: “no”;



In Fact, REACHABILITY  $\in$  NSPACE( $\log n$ )

- 1: Determine the number of nodes  $m$ ; {Note  $m \leq n$ .}
- 2:  $x := a$ ;
- 3: **for**  $i = 2, 3, \dots, m$  **do**
- 4:     Guess  $y \in \{v_1, v_2, \dots, v_m\}$ ; {The next node.}
- 5:     **if**  $(x, y) \notin E$  **then**
- 6:         “no”;
- 7:     **end if**
- 8:     **if**  $y = b$  **then**
- 9:         “yes”;
- 10:     **end if**
- 11:      $x := y$ ; {Recycle the space.}
- 12: **end for**
- 13: “no”;

## Space Analysis

- Variables  $m$ ,  $i$ ,  $x$ , and  $y$  each require  $O(\log n)$  bits.
- Testing  $(x, y) \in E$  is accomplished by consulting the input string with counters of  $O(\log n)$  bits long.
- Hence

$\text{REACHABILITY} \in \text{NSPACE}(\log n)$ .

- REACHABILITY with more than one terminal node also has the same complexity.
- In fact, REACHABILITY for *undirected* graphs is in  $\text{SPACE}(\log n)$ .<sup>a</sup>
- It is well-known that  $\text{REACHABILITY} \in \text{P}$ .<sup>b</sup>

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<sup>a</sup>Reingold (2004).

<sup>b</sup>See, e.g., p. 248.