## The Circuit Complexity of $P$

Proposition 80 All languages in $P$ have polynomial circuits.

- Let $L \in \mathrm{P}$ be decided by a TM in time $p(n)$.
- By Corollary 35 (p. 319), there is a circuit with $O\left(p(n)^{2}\right)$ gates that accepts $L \cap\{0,1\}^{n}$.
- The size of that circuit depends only on $L$ and the length of the input.
- The size of that circuit is polynomial in $n$.


## Polynomial Circuits vs. P

- Is the converse of Proposition 80 true?
- Do polynomial circuits accept only languages in P?
- No.
- Polynomial circuits can accept undecidable languages! ${ }^{\text {a }}$
${ }^{\text {a }}$ See p. 268 of the textbook.


## BPP's Circuit Complexity: Adleman's Theorem

 Theorem 81 (Adleman, 1978) All languages in BPP have polynomial circuits.- Our proof will be nonconstructive in that only the existence of the desired circuits is shown.
- Recall our proof of Theorem 16 (p. 212).
- Something exists if its probability of existence is nonzero.
- It is not known how to efficiently generate circuit $C_{n}$.
- If the construction of $C_{n}$ can be made efficient, then $\mathrm{P}=\mathrm{BPP}$, an unlikely result.


## The Proof

- Let $L \in$ BPP be decided by a precise polynomial-time NTM $N$ by clear majority.
- We shall prove that $L$ has polynomial circuits $C_{0}, C_{1}, \ldots$. - These deterministic circuits do not err.
- Suppose $N$ runs in time $p(n)$, where $p(n)$ is a polynomial.
- Let $A_{n}=\left\{a_{1}, a_{2}, \ldots, a_{m}\right\}$, where $a_{i} \in\{0,1\}^{p(n)}$.
- Each $a_{i} \in A_{n}$ represents a sequence of nondeterministic choices (i.e., a computation path) for $N$.
- Pick $m=12(n+1)$.


## The Proof (continued)

- Let $x$ be an input with $|x|=n$.
- Circuit $C_{n}$ simulates $N$ on $x$ with all sequences of choices in $A_{n}$ and then takes the majority of the $m$ outcomes. ${ }^{\text {a }}$
- Note that each $A_{n}$ yields a circuit.
- As $N$ with $a_{i}$ is a polynomial-time deterministic TM, it can be simulated by polynomial circuits of size $O\left(p(n)^{2}\right)$.
- See the proof of Proposition 80 (p. 626).
> ${ }^{a}$ As $m$ is even, there may be no clear majority. Still, the probability of that happening is very small and does not materially affect our general conclusion. Thanks to a lively class discussion on December 14, 2010.



## The Proof (continued)

- The size of $C_{n}$ is therefore $O\left(m p(n)^{2}\right)=O\left(n p(n)^{2}\right)$.
- This is a polynomial.
- We now confirm the existence of an $A_{n}$ making $C_{n}$ correct on all $n$-bit inputs.
- Call $a_{i}$ bad if it leads $N$ to an error (a false positive or a false negative) for $x$.
- Select $A_{n}$ uniformly randomly.


## The Proof (continued)

- For each $x \in\{0,1\}^{n}, 1 / 4$ of the computations of $N$ are erroneous.
- Because the sequences in $A_{n}$ are chosen randomly and independently, the expected number of bad $a_{i}$ 's is $m / 4$. ${ }^{\text {a }}$
- Also note after fixing the input $x$, the circuit is a function of the random bits.

[^0]
## The Proof (continued)

- By the Chernoff bound (p. 605), the probability that the number of bad $a_{i}$ 's is $m / 2$ or more is at most

$$
e^{-m / 12}<2^{-(n+1)}
$$

- The error probability of using the majority rule is thus

$$
<2^{-(n+1)}
$$

for each $x \in\{0,1\}^{n}$.

## The Proof (continued)

- The probability that there is an $x$ such that $A_{n}$ results in an incorrect answer is

$$
<2^{n} 2^{-(n+1)}=2^{-1}
$$

- Recall the union bound (Boole's inequality):

$$
\operatorname{prob}[A \cup B \cup \cdots] \leq \operatorname{prob}[A]+\operatorname{prob}[B]+\cdots
$$

- We just showed that at least half of the random $A_{n}$ are correct.
- So with probability $\geq 0.5$, a random $A_{n}$ produces a correct $C_{n}$ for all inputs of length $n$.
- Of course, verifying this fact may take a long time.


## The Proof (concluded)

- Because this probability exceeds 0 , an $A_{n}$ that makes majority vote work for all inputs of length $n$ exists.
- Hence a correct $C_{n}$ exists. ${ }^{\text {a }}$
- We have used the probabilistic method ${ }^{\text {b }}$ popularized by Erdős (1947). ${ }^{\text {c }}$
- This result answers the question on p. 537 with a "yes."

[^1]Leonard Adleman ${ }^{\text {a }}$ (1945-)

${ }^{\text {a }}$ Turing Award (2002).

## Paul Erdős (1913-1996)



## Cryptography

Whoever wishes to keep a secret must hide the fact that he possesses one. - Johann Wolfgang von Goethe (1749-1832)

## Cryptography

- Alice (A) wants to send a message to Bob (B) over a channel monitored by Eve (eavesdropper).
- The protocol should be such that the message is known only to Alice and Bob.
- The art and science of keeping messages secure is cryptography.

$$
\text { Alice } \xrightarrow{\text { Eve }} \text { Bob }
$$

## Encryption and Decryption

- Alice and Bob agree on two algorithms $E$ and $D$-the encryption and the decryption algorithms.
- Both $E$ and $D$ are known to the public in the analysis.
- Alice runs $E$ and wants to send a message $x$ to Bob.
- Bob operates $D$.


## Encryption and Decryption (concluded)

- Privacy is assured in terms of two numbers $e, d$, the encryption and decryption keys.
- Alice sends $y=E(e, x)$ to Bob, who then performs $D(d, y)=x$ to recover $x$.
- $x$ is called plaintext, and $y$ is called ciphertext. ${ }^{\text {a }}$

[^2]
## Some Requirements

- $D$ should be an inverse of $E$ given $e$ and $d$.
- $D$ and $E$ must both run in (probabilistic) polynomial time.
- Eve should not be able to recover $x$ from $y$ without knowing $d$.
- As $D$ is public, $d$ must be kept secret.
- $e$ may or may not be a secret.


## Degree of Security

- Perfect secrecy: After a ciphertext is intercepted by the enemy, the a posteriori probabilities of the plaintext that this ciphertext represents are identical to the a priori probabilities of the same plaintext before the interception.
- The probability that plaintext $\mathcal{P}$ occurs is independent of the ciphertext $\mathcal{C}$ being observed.
- So knowing $\mathcal{C}$ yields no advantage in recovering $\mathcal{P}$.


## Degree of Security (concluded)

- Such systems are said to be informationally secure.
- A system is computationally secure if breaking it is theoretically possible but computationally infeasible.


## Conditions for Perfect Secrecy ${ }^{\text {a }}$

- Consider a cryptosystem where:
- The space of ciphertext is as large as that of keys.
- Every plaintext has a nonzero probability of being used.
- It is perfectly secure if and only if the following hold.
- A key is chosen with uniform distribution.
- For each plaintext $x$ and ciphertext $y$, there exists a unique key $e$ such that $E(e, x)=y$.

[^3]
## The One-Time Pad ${ }^{\text {a }}$

1: Alice generates a random string $r$ as long as $x$;
2: Alice sends $r$ to Bob over a secret channel;
3: Alice sends $x \oplus r$ to Bob over a public channel;
4: Bob receives $y$;
5: Bob recovers $x:=y \oplus r$;

[^4]
## Analysis

- The one-time pad uses $e=d=r$.
- This is said to be a private-key cryptosystem.
- Knowing $x$ and knowing $r$ are equivalent.
- Because $r$ is random and private, the one-time pad achieves perfect secrecy. ${ }^{a}$
- The random bit string must be new for each round of communication.
- But the assumption of a private channel is problematic.
${ }^{\text {a }}$ See p. 647.


## Public-Key Cryptography ${ }^{\text {a }}$

- Suppose only $d$ is private to Bob, whereas $e$ is public knowledge.
- Bob generates the $(e, d)$ pair and publishes $e$.
- Anybody like Alice can send $E(e, x)$ to Bob.
- Knowing $d$, Bob can recover $x$ via

$$
D(d, E(e, x))=x .
$$

[^5]
## Public-Key Cryptography (concluded)

- The assumptions are complexity-theoretic.
- It is computationally difficult to compute $d$ from $e$.
- It is computationally difficult to compute $x$ from $y$ without knowing $d$.



## Martin Hellman ${ }^{\text {a }}$ (1945-)


${ }^{\text {a }}$ Turing Award (2016).

## Complexity Issues

- Given $y$ and $x$, it is easy to verify whether $E(e, x)=y$.
- Hence one can always guess an $x$ and verify.
- Cracking a public-key cryptosystem is thus in NP.
- A necessary condition for the existence of secure public-key cryptosystems is $\mathrm{P} \neq \mathrm{NP}$.
- But more is needed than $\mathrm{P} \neq \mathrm{NP}$.
- For instance, it is not sufficient that $D$ is hard to compute in the worst case.
- It should be hard in "most" or "average" cases.


## One-Way Functions

A function $f$ is a one-way function if the following hold. ${ }^{\text {a }}$

1. $f$ is one-to-one.
2. For all $x \in \Sigma^{*},|x|^{1 / k} \leq|f(x)| \leq|x|^{k}$ for some $k>0$.

- $f$ is said to be honest.

3. $f$ can be computed in polynomial time.
4. $f^{-1}$ cannot be computed in polynomial time.

- Exhaustive search works, but it must be slow.
${ }^{\text {a }}$ Diffie \& Hellman (1976); Boppana \& Lagarias (1986); Grollmann \& Selman (1988); Ko (1985); Ko, Long, \& Du (1986); Watanabe (1985); Young (1983).


## Existence of One-Way Functions (OWFs)

- Even if $\mathrm{P} \neq \mathrm{NP}$, there is no guarantee that one-way functions exist.
- No functions have been proved to be one-way.
- Is breaking glass a one-way function?


## Candidates of One-Way Functions

- Modular exponentiation $f(x)=g^{x} \bmod p$, where $g$ is a primitive root of $p$.
- Discrete logarithm is hard. ${ }^{\text {a }}$
- The RSA ${ }^{\mathrm{b}}$ function $f(x)=x^{e} \bmod p q$ for an odd $e$ relatively prime to $\phi(p q)$.
- Breaking the RSA function is hard.

[^6]
## Candidates of One-Way Functions (concluded)

- Modular squaring $f(x)=x^{2} \bmod p q$.
- Determining if a number with a Jacobi symbol 1 is a quadratic residue is hard- the quadratic residuacity assumption (QRA). ${ }^{\text {a }}$
- Breaking it is as hard as factorization when $p \equiv q \equiv 3 \bmod 4 .{ }^{\mathrm{b}}$

[^7]
## The Secret-Key Agreement Problem

- Exchanging messages securely using a private-key cryptosystem requires Alice and Bob have the same key. ${ }^{\text {a }}$
- An example is the $r$ in the one-time pad. ${ }^{\text {b }}$
- How can they agree on the same secret key when the channel is insecure?
- This is called the secret-key agreement problem.
- It was solved by Diffie and Hellman (1976) using one-way functions.

[^8]
## The Diffie-Hellman Secret-Key Agreement Protocol

1: Alice and Bob agree on a large prime $p$ and a primitive root $g$ of $p ;\{p$ and $g$ are public. $\}$
2: Alice chooses a large number $a$ at random;
3: Alice computes $\alpha=g^{a} \bmod p$;
4: Bob chooses a large number $b$ at random;
5: Bob computes $\beta=g^{b} \bmod p$;
6: Alice sends $\alpha$ to Bob, and Bob sends $\beta$ to Alice;
7: Alice computes her key $\beta^{a} \bmod p$;
8: Bob computes his key $\alpha^{b} \bmod p$;

## Analysis

- The keys computed by Alice and Bob are identical as

$$
\beta^{a}=g^{b a}=g^{a b}=\alpha^{b} \bmod p
$$

- To compute the common key from $p, g, \alpha, \beta$ is known as the Diffie-Hellman problem.
- It is conjectured to be hard. ${ }^{\text {a }}$
- If discrete logarithm is easy, then one can solve the Diffie-Hellman problem.
- Because $a$ and $b$ can then be obtained by Eve.
- But the other direction is still open.
${ }^{\text {a }}$ This is the computational Diffie-Hellman assumption ( CDH ).


## The RSA Function

- Let $p, q$ be two distinct primes.
- The RSA function is $x^{e} \bmod p q$ for an odd $e$ relatively prime to $\phi(p q)$.
- By Lemma 59 (p. 490),

$$
\begin{equation*}
\phi(p q)=p q\left(1-\frac{1}{p}\right)\left(1-\frac{1}{q}\right)=p q-p-q+1 \tag{16}
\end{equation*}
$$

- As $\operatorname{gcd}(e, \phi(p q))=1$, there is a $d$ such that

$$
e d \equiv 1 \bmod \phi(p q)
$$

which can be found by the Euclidean algorithm. ${ }^{\text {a }}$
${ }^{\text {a }}$ One can think of $d$ as $e^{-1}$.

## A Public-Key Cryptosystem Based on RSA

- Bob generates $p$ and $q$.
- Bob publishes $p q$ and the encryption key $e$, a number relatively prime to $\phi(p q)$.
- The encryption function is

$$
y=x^{e} \bmod p q
$$

- Bob calculates $\phi(p q)$ by Eq. (16) (p. 662).
- Bob then calculates $d$ such that $e d=1+k \phi(p q)$ for some $k \in \mathbb{Z}$.


## A Public-Key Cryptosystem Based on RSA (continued)

- The decryption function is

$$
y^{d} \bmod p q .
$$

- It works because

$$
y^{d}=x^{e d}=x^{1+k \phi(p q)}=x \bmod p q
$$

by the Fermat-Euler theorem when $\operatorname{gcd}(x, p q)=1$ (p. 495).

## A Public-Key Cryptosystem Based on RSA (continued)

- What if $x$ is not relatively prime to $p q$ ? ${ }^{\text {a }}$
- As $\phi(p q)=(p-1)(q-1)$,

$$
e d=1+k(p-1)(q-1) .
$$

- Say $x \equiv 0 \bmod p$.
- Then

$$
y^{d} \equiv x^{e d} \equiv 0 \equiv x \bmod p .
$$

${ }^{a}$ Of course, one would be unlucky here.

## A Public-Key Cryptosystem Based on RSA (continued)

- On the other hand, either $x \not \equiv 0 \bmod q$ or $x \equiv 0 \bmod q$.
- If $x \not \equiv 0 \bmod q$, then

$$
\begin{aligned}
y^{d} & \equiv x^{e d} \equiv x^{e d-1} x \equiv x^{k(p-1)(q-1)} x \equiv\left(x^{q-1}\right)^{k(p-1)} x \\
& \equiv x \bmod q
\end{aligned}
$$

by Fermat's "little" theorem (p. 493).

- If $x \equiv 0 \bmod q$, then

$$
y^{d} \equiv x^{e d} \equiv 0 \equiv x \bmod q
$$

## A Public-Key Cryptosystem Based on RSA (concluded)

- By the Chinese remainder theorem (p. 492),

$$
y^{d} \equiv x^{e d} \equiv 0 \equiv x \bmod p q,
$$

even when $x$ is not relatively prime to $p$.

- When $x$ is not relatively prime to $q$, the same conclusion holds.


## The "Security" of the RSA Function

- Factoring $p q$ or calculating $d$ from $(e, p q)$ seems hard.
- Breaking the last bit of RSA is as hard as breaking the RSA. ${ }^{\text {a }}$
- Recommended RSA key sizes: ${ }^{\text {b }}$
- 1024 bits up to 2010 .
- 2048 bits up to 2030 .
- 3072 bits up to 2031 and beyond.

[^9]
## The "Security" of the RSA Function (continued)

- Recall that problem A is "harder than" problem B if solving A results in solving B.
- Factorization is "harder than" breaking the RSA.
- It is not hard to show that calculating Euler's phi function ${ }^{\text {a }}$ is "harder than" breaking the RSA.
- Factorization is "harder than" calculating Euler's phi function (see Lemma 59 on p. 490).
- So factorization is harder than calculating Euler's phi function, which is harder than breaking the RSA.

[^10]
## The "Security" of the RSA Function (concluded)

- Factorization cannot be NP-hard unless NP = coNP. ${ }^{\text {a }}$
- So breaking the RSA is unlikely to imply $\mathrm{P}=\mathrm{NP}$.
- But numbers can be factorized efficiently by quantum computers. ${ }^{\text {b }}$
- RSA was alleged to have received 10 million US dollars from the government to promote unsecure $p$ and $q$. ${ }^{\text {c }}$

[^11]
## Adi Shamir, Ron Rivest, and Leonard Adleman



## Ron Rivest ${ }^{\text {a }}$ (1947-)


${ }^{\text {a T Turing Award (2002). }}$

## Adi Shamir ${ }^{\text {a }}$ (1952-)


${ }^{\text {a }}$ Turing Award (2002).

## A Parallel History

- Diffie and Hellman's solution to the secret-key agreement problem led to public-key cryptography.
- In 1973, the RSA public-key cryptosystem was invented in Britain before the Diffie-Hellman secret-key agreement scheme. ${ }^{\text {a }}$

[^12]Is a forged signature the same sort of thing as a genuine signature, or is it a different sort of thing?

- Gilbert Ryle (1900-1976), The Concept of Mind (1949)
"Katherine, I gave him the code. He verified the code."
"But did you verify him?" - The Numbers Station (2013)


## Digital Signatures ${ }^{\text {a }}$

- Alice wants to send Bob a signed document $x$.
- The signature must unmistakably identifies the sender.
- Both Alice and Bob have public and private keys

$$
e_{\text {Alice }}, e_{\text {Bob }}, d_{\text {Alice }}, d_{\text {Bob }}
$$

- Every cryptosystem guarantees $D(d, E(e, x))=x$.
- Assume the cryptosystem also satisfies the commutative property

$$
\begin{equation*}
E(e, D(d, x))=D(d, E(e, x)) \tag{17}
\end{equation*}
$$

- E.g., the RSA system satisfies it as $\left(x^{d}\right)^{e}=\left(x^{e}\right)^{d}$.

[^13]
## Digital Signatures Based on Public-Key Systems

- Alice signs $x$ as

$$
\left(x, D\left(d_{\text {Alice }}, x\right)\right) .
$$

- Bob receives $(x, y)$ and verifies the signature by checking

$$
E\left(e_{\text {Alice }}, y\right)=E\left(e_{\text {Alice }}, D\left(d_{\text {Alice }}, x\right)\right)=x
$$

based on Eq. (17).

- The claim of authenticity is founded on the difficulty of inverting $E_{\text {Alice }}$ without knowing the key $d_{\text {Alice }}$.


## Blind Signatures ${ }^{\text {a }}$

- There are applications where the document author (Alice) and the signer (Bob) are different parties.
- Sender privacy: We do not want Bob to see the document.
- Anonymous electronic voting systems, digital cash schemes, anonymous payments, etc.
- Idea: The document is blinded by Alice before it is signed by Bob.
- The resulting blind signature can be publicly verified against the original, unblinded document $x$ as before.

[^14]
## Blind Signatures Based on RSA

Blinding by Alice:
1: Pick $r \in Z_{n}^{*}$ randomly;
2: Send

$$
x^{\prime}=x r^{e} \bmod n
$$

to Bob; $\left\{x\right.$ is blinded by $\left.r^{e}.\right\}$

- Note that $r \rightarrow r^{e} \bmod n$ is a one-to-one correspondence.
- Hence $r^{e} \bmod n$ is a random number, too.
- As a result, $x^{\prime}$ is random and leaks no information, even if $x$ has any structure.


## Blind Signatures Based on RSA (continued)

Signing by Bob with his private decryption key d:
1: Send the blinded signature

$$
s^{\prime}=\left(x^{\prime}\right)^{d} \bmod n
$$

to Alice;

## Blind Signatures Based on RSA (continued)

The RSA signature of Alice:
1: Alice obtains the signature $s=s^{\prime} r^{-1} \bmod n$;

- This works because
$s \equiv s^{\prime} r^{-1} \equiv\left(x^{\prime}\right)^{d} r^{-1} \equiv\left(x r^{e}\right)^{d} r^{-1} \equiv x^{d} r^{e d-1} \equiv x^{d} \bmod n$
by the properties of the RSA function.
- Note that only Alice knows $r$.


## Blind Signatures Based on RSA (concluded)

- Anyone can verify the document was signed by Bob by checking with Bob's encryption key $e$ the following:

$$
s^{e} \equiv x \bmod n
$$

- But Bob does not know $s$ is related to $x^{\prime}$ (thus Alice).


## Probabilistic Encryptiona

- A deterministic cryptosystem can be broken if the plaintext has a distribution that favors the "easy" cases.
- The ability to forge signatures on even a vanishingly small fraction of strings of some length is a security weakness if those strings were the probable ones!
- A scheme may also "leak" partial information.
- Parity of the plaintext, e.g.
- The first solution to the problems of skewed distribution and partial information was based on the QRA.

[^15]
## Shafi Goldwasser ${ }^{\text {a }}$ (1958-)



[^16]Silvio Micalia (1954-)

${ }^{\text {a }}$ Turing Award (2013).

## Goldwasser and Micali



## A Useful Lemma

Lemma 82 Let $n=p q$ be a product of two distinct primes. Then a number $y \in Z_{n}^{*}$ is a quadratic residue modulo $n$ if and only if $(y \mid p)=(y \mid q)=1$.

- The "only if" part:
- Let $x$ be a solution to $x^{2}=y \bmod p q$.
- Then $x^{2}=y \bmod p$ and $x^{2}=y \bmod q$ also hold.
- Hence $y$ is a quadratic modulo $p$ and a quadratic residue modulo $q$.


## The Proof (concluded)

- The "if" part:
- Let $a_{1}^{2}=y \bmod p$ and $a_{2}^{2}=y \bmod q$.
- Solve

$$
\begin{aligned}
x & =a_{1} \bmod p \\
x & =a_{2} \bmod q
\end{aligned}
$$

for $x$ with the Chinese remainder theorem (p. 492).

- As $x^{2}=y \bmod p, x^{2}=y \bmod q$, and $\operatorname{gcd}(p, q)=1$, we must have $x^{2}=y \bmod p q$.


## The Jacobi Symbol and Quadratic Residuacity Test

- The Legendre symbol can be used as a test for quadratic residuacity by Lemma 69 (p. 560).
- Lemma 82 (p. 687) says this is not the case with the Jacobi symbol in general.
- Suppose $n=p q$ is a product of two distinct primes.
- A number $y \in Z_{n}^{*}$ with Jacobi symbol $(y \mid p q)=1$ is a quadratic nonresidue modulo $n$ when

$$
\begin{aligned}
& \qquad(y \mid p)=(y \mid q)=-1, \\
& \text { because }(y \mid p q)=(y \mid p)(y \mid q)
\end{aligned}
$$

## The Setup

- Bob publishes $n=p q$, a product of two distinct primes, and a quadratic nonresidue $y$ with Jacobi symbol 1.
- Bob keeps secret the factorization of $n$.
- Alice wants to send bit string $b_{1} b_{2} \cdots b_{k}$ to Bob.
- Alice encrypts the bits by choosing a random quadratic residue modulo $n$ if $b_{i}$ is 1 and a random quadratic nonresidue (with Jacobi symbol 1) otherwise.
- So a sequence of residues and nonresidues are sent.
- Knowing the factorization of $n$, Bob can efficiently test quadratic residuacity and thus read the message.


## The Protocol for Alice

1: for $i=1,2, \ldots, k$ do
2: $\quad$ Pick $r \in Z_{n}^{*}$ randomly;
3: if $b_{i}=1$ then
4: $\quad$ Send $r^{2} \bmod n ;\{$ Jacobi symbol is 1.$\}$
5: else
6: $\quad$ Send $r^{2} y \bmod n ;\{$ Jacobi symbol is still 1.\}
7: end if
8: end for

The Protocol for Bob
1: for $i=1,2, \ldots, k$ do
2: Receive $r$;
3: $\quad$ if $(r \mid p)=1$ and $(r \mid q)=1$ then
4: $\quad b_{i}:=1$;
5: else
6: $\quad b_{i}:=0$;
7: end if
8: end for

## Semantic Security

- This encryption scheme is probabilistic.
- There are a large number of different encryptions of a given message.
- One is chosen at random by the sender to represent the message.
- Encryption is a one-to-many mapping.
- This scheme is both polynomially secure and semantically secure.


# What then do you call proof? <br> - Henry James (1843-1916), The Wings of the Dove (1902) <br> Leibniz knew what a proof is. Descartes did not. <br> - Ian Hacking (1973) 

## What Is a Proof?

- A proof convinces a party of a certain claim.
- " $x^{n}+y^{n} \neq z^{n}$ for all $x, y, z \in \mathbb{Z}^{+}$and $n>2$."
- "Graph $G$ is Hamiltonian."
- " $x^{p}=x \bmod p$ for prime $p$ and $p \nmid x$."
- In mathematics, a proof is a fixed sequence of theorems.
- Think of it as a written examination.
- We will extend a proof to cover a proof process by which the validity of the assertion is established.
- Recall a job interview or an oral examination.


## Prover and Verifier

- There are two parties to a proof.
- The prover (Peggy).
- The verifier (Victor).
- Given an assertion, the prover's goal is to convince the verifier of its validity (completeness).
- The verifier's objective is to accept only correct assertions (soundness).
- The verifier usually has an easier job than the prover.
- The setup is similar to the Turing test. ${ }^{\text {a }}$
${ }^{\text {a }}$ Turing (1950).


## Interactive Proof Systems

- An interactive proof for a language $L$ is a sequence of questions and answers between the two parties.
- At the end of the interaction, the verifier decides whether the claim is true or false.
- The verifier must be a probabilistic polynomial-time algorithm.
- The prover runs an exponential-time algorithm. ${ }^{\text {a }}$
- If the prover is not more powerful than the verifier, no interaction is needed!

[^17]
## Interactive Proof Systems (concluded)

- The system decides $L$ if the following two conditions hold for any common input $x$.
- If $x \in L$, then the probability that $x$ is accepted by the verifier is at least $1-2^{-|x|}$.
- If $x \notin L$, then the probability that $x$ is accepted by the verifier with any prover replacing the original prover is at most $2^{-|x|}$.
- Neither the number of rounds nor the lengths of the messages can be more than a polynomial of $|x|$.



## IP ${ }^{\text {a }}$

- IP is the class of all languages decided by an interactive proof system.
- When $x \in L$, the completeness condition can be modified to require that the verifier accept with certainty without affecting IP. ${ }^{\text {b }}$
- Similar things cannot be said of the soundness condition when $x \notin L$.
- Verifier's coin flips can be public. ${ }^{\text {c }}$
${ }^{\text {a }}$ Goldwasser, Micali, \& Rackoff (1985).
${ }^{\text {b }}$ Goldreich, Mansour, \& Sipser (1987).
${ }^{\text {c }}$ Goldwasser \& Sipser (1989).


## The Relations of IP with Other Classes

- NP $\subseteq I P$.
- IP becomes NP when the verifier is deterministic and there is only one round of interaction. ${ }^{\text {a }}$
- $\mathrm{BPP} \subseteq \mathrm{IP}$.
- IP becomes BPP when the verifier ignores the prover's messages.
- $\mathrm{IP}=$ PSPACE $^{\text {b }}$

[^18]
[^0]:    ${ }^{\text {a }}$ So the proof will not work for NP. Contributed by Mr. Ching-Hua Yu (D00921025) on December 11, 2012.

[^1]:    a Quine (1948), "To be is to be the value of a bound variable."
    ${ }^{\mathrm{b}}$ A counting argument in the probabilistic language.
    c cszele (1943) and Turán (1934) were earlier.

[^2]:    aBoth "zero" and "cipher" come from the same Arab word.

[^3]:    ${ }^{\text {a }}$ Shannon (1949).

[^4]:    ${ }^{\text {a }}$ Mauborgne \& Vernam (1917); Shannon (1949). It was allegedly used for the hotline between Russia and the U.S.

[^5]:    ${ }^{\text {a Diffie } \& ~ H e l l m a n ~(1976) . ~}$

[^6]:    ${ }^{\text {a }}$ Conjectured to be $2^{n^{\epsilon}}$ for some $\epsilon>0$ in both the worst-case sense and average sense. Doable in time $n^{O(\log n)}$ for finite fields of small characteristic (Barbulescu, et al., 2013). It is in NP in some sense (Grollmann \& Selman, 1988).
    ${ }^{\mathrm{b}}$ Rivest, Shamir, \& Adleman (1978).

[^7]:    ${ }^{\text {a }}$ Due to Gauss.
    ${ }^{\mathrm{b}}$ Rabin (1979).

[^8]:    ${ }^{\text {a }}$ See p. 649.
    ${ }^{\mathrm{b}}$ See p. 648.

[^9]:    ${ }^{\text {a }}$ Alexi, Chor, Goldreich, \& Schnorr (1988).
    ${ }^{\mathrm{b}}$ RSA (2003). RSA was acquired by EMC in 2006 for 2.1 billion US dollars.

[^10]:    ${ }^{\text {a }}$ When the input is not factorized!

[^11]:    ${ }^{\text {a }}$ Brassard (1979).
    ${ }^{\text {b }}$ Shor (1994).
    ${ }^{\mathrm{c}}$ Menn (2013).

[^12]:    ${ }^{\text {a }}$ Ellis, Cocks, and Williamson of the Communications Electronics Security Group of the British Government Communications Head Quarters (GCHQ).

[^13]:    ${ }^{\text {a }}$ Diffie \& Hellman (1976).

[^14]:    ${ }^{\text {a }}$ Chaum (1983).

[^15]:    ${ }^{\text {a }}$ Goldwasser \& Micali (1982). This paper "laid the framework for modern cryptography" (2013).

[^16]:    ${ }^{\text {a }}$ Turing Award (2013).

[^17]:    ${ }^{\text {a }}$ See the problem to Note 12.3.7 on p. 296 and Proposition 19.1 on p. 475 , both of the textbook, about alternative complexity assumptions without affecting the definition. Contributed by Mr. Young-San Lin (B97902055) and Mr. Chao-Fu Yang (B97902052) on December 18, 2012.

[^18]:    ${ }^{\text {a }}$ Recall Proposition 41 on p. 335.
    ${ }^{\mathrm{b}}$ Shamir (1990).

