## Theory of Computation

## Mid-Term Exam, 2014 Fall Semester,

11/11/2014

Note: Unless stated otherwise, you may use any results proved in class

**Problem 1 (25 points)** A Boolean function  $f : \{0,1\}^m \to \{0,1\}$  is symmetric if  $f(x_1, x_2, \ldots, x_m)$  depends only on  $\sum_i x_i$ . How many distinct symmetric Boolean functions of m variables are there?

**Ans:**  $2^{m+1}$ .

**Problem 2 (20 points)** Let A and B be two complexity classes. We say that the inclusion is proper if  $A \subsetneq B$ . Consider the following chain of class inclusions introduced in class:

$$L \subseteq NL \subseteq P \subseteq NP \subseteq PSPACE.$$

We can be sure that (at least) two pairs of classes have proper inclusions. Which are they and why?

**Ans:**  $L \subsetneq PSPACE$  (see slide p. 234) and  $NL \subsetneq PSPACE$  (see homework 3 problem 1).

**Problem 3 (25 points)** (a) Denote L(M) as the language L accepted by Turing machine M. Is the language

 $L = \{(M) \mid M \text{ is a Turing machine and } L(M) \text{ is countable}\}$ 

decidable? Why?

(b) Does there exist a language which is not recursively enumerable? If your answer is "NO", justify your answer; otherwise, give an example.

**Ans:** (a) Yes, L is decidable. In fact, L is the language of all TM's, which can be easily checked in polynomial time.

(b) Yes, there exist languages which are not recursively enumerable, for example,

 $\{(M, x) \mid M \text{ is a TM and it does not halt on string } x\}.$ 

**Problem 4 (30 points)** Reduce k-SAT to 3SAT, where k > 3. (Hint: Consider the Boolean expressions A, B and C and the variable y. It is known that the expression

$$(y \lor A) \land (\neg y \lor B) \land C$$

is satisfiable if and only if

$$(A \lor B) \land C$$

is too.)

**Ans:** Consider a k-SAT expression  $\Phi$  with n variables, m clauses and k literals in every clause, where n > k. Let  $c_1, c_2, \ldots, c_m$  be the clauses of  $\Phi$ . For each  $c_j$  of the form

$$c_{j} = (w_{1} \lor w_{2} \lor \cdots \lor w_{k-1} \lor w_{k}), \ j = 1, 2, \dots, m,$$

where  $w_1, w_2, \ldots, w_k$  are the literals, we introduce new variables  $y_{j,1}, y_{j,2}, \ldots, y_{j,k-3}$  to form a new clause  $c'_j$  to replace  $c_j$ :

$$c'_{j} = (w_{1} \lor w_{2} \lor y_{j,1}) \land (\neg y_{j,1} \lor w_{3} \lor y_{j,2}) \land (\neg y_{j,2} \lor w_{4} \lor y_{j,3}) \land \cdots \land (\neg y_{j,k-4} \lor w_{k-2} \lor y_{j,k-3}) \land (\neg y_{j,k-3} \lor w_{k-1} \lor w_{k}).$$

The above replacement is clearly a polynomial-time reduction.

Note that the results of the hint can be easily extended inductively such that  $c'_j$  is satisfiable if and only if  $c_j$  is also satisfiable.

Now, we show that  $c'_1 \wedge c'_2 \wedge \cdots \wedge c'_m$  is satisfiable if  $\Phi$  is. Suppose  $\Phi$  is satisfied by a truth assignment T. We extend T by assigning the values of the new variables arbitrarily to form a new truth assignment T'. With the extended results of the hint,  $c'_1 \wedge c'_2 \wedge \cdots \wedge c'_m$  must be satisfied by T'

because the new variables do not affect the result. Hence,  $c'_1 \wedge c'_2 \wedge \cdots \wedge c'_m$  is satisfiable if  $\Phi$  is.

Conversely, suppose  $c'_1 \wedge c'_2 \wedge \cdots \wedge c'_m$  is satisfied by a truth assignment T'. Again, from the extended results of the hint, it is obvious that  $\Phi$  is also satisfied by T' by ignoring the values of all the new variables  $y_{j,1}, y_{j,2}, \ldots, y_{j,k-3}$ . Hence,  $\Phi$  is satisfiable if  $c'_1 \wedge c'_2 \wedge \cdots \wedge c'_m$  is.