### **Primality Tests**

- $\bullet$  PRIMES asks if a number N is a prime.
- The classic algorithm tests if  $k \mid N$  for  $k = 2, 3, ..., \sqrt{N}$ .
- But it runs in  $\Omega(2^{n/2})$  steps, where  $n = |N| = \log_2 N$ .

### The Density Attack for PRIMES

```
1: Pick k \in \{2, ..., N-1\} randomly; {Assume N > 2.}
```

- 2: if  $k \mid N$  then
- 3: **return** "N is composite";
- 4: **else**
- 5: **return** "N is a prime";
- 6: end if

### Analysis<sup>a</sup>

- Suppose N = PQ, a product of 2 primes.
- The probability of success is

$$<1-\frac{\phi(N)}{N}=1-\frac{(P-1)(Q-1)}{PQ}=\frac{P+Q-1}{PQ}.$$

• In the case where  $P \approx Q$ , this probability becomes

$$<\frac{1}{P}+\frac{1}{Q}pprox \frac{2}{\sqrt{N}}.$$

• This probability is exponentially small.

<sup>a</sup>See also p. 363.

#### The Fermat Test for Primality

Fermat's "little" theorem on p. 365 suggests the following primality test for any given number p:

- 1: Pick a number a randomly from  $\{1, 2, ..., N-1\}$ ;
- 2: if  $a^{N-1} \neq 1 \mod N$  then
- 3: **return** "N is composite";
- 4: **else**
- 5: **return** "N is probably a prime";
- 6: end if

The Fermat Test for Primality (concluded)

- Unfortunately, there are composite numbers called **Carmichael numbers** that will pass the Fermat test for all  $a \in \{1, 2, ..., N-1\}$ .
- There are infinitely many Carmichael numbers.<sup>a</sup>

<sup>&</sup>lt;sup>a</sup>Alford, Granville, and Pomerance (1992).

#### Square Roots Modulo a Prime

- Equation  $x^2 = a \mod p$  has at most two (distinct) roots by Lemma 54 (p. 370).
  - The roots are called **square roots**.
  - Numbers a with square roots and gcd(a, p) = 1 are called **quadratic residues**.
    - \* They are  $1^2 \mod p, 2^2 \mod p, \dots, (p-1)^2 \mod p$ .
- We shall show that a number either has two roots or has none, and testing which one is true is trivial.
- There are no known efficient deterministic algorithms to find the roots.

#### Euler's Test

**Lemma 60 (Euler)** Let p be an odd prime and  $a \neq 0 \mod p$ .

- 1. If  $a^{(p-1)/2} = 1 \mod p$ , then  $x^2 = a \mod p$  has two roots.
- 2. If  $a^{(p-1)/2} \neq 1 \mod p$ , then  $a^{(p-1)/2} = -1 \mod p$  and  $x^2 = a \mod p$  has no roots.
- Let r be a primitive root of p.
- By Fermat's "little" theorem,  $r^{(p-1)/2}$  is a square root of 1, so  $r^{(p-1)/2} = \pm 1 \mod p$ .
- But as r is a primitive root,  $r^{(p-1)/2} \neq 1 \mod p$ .
- Hence  $r^{(p-1)/2} = -1 \mod p$ .

- Suppose  $a = r^{2j}$  for some  $1 \le j \le (p-1)/2$ .
- Then  $a^{(p-1)/2} = r^{j(p-1)} = 1 \mod p$  and its two distinct roots are  $r^j, -r^j (= r^{j+(p-1)/2})$ .
  - If  $r^j = -r^j \mod p$ , then  $2r^j = 0 \mod p$ , which implies  $r^j = 0 \mod p$ , a contradiction.
- As  $1 \le j \le (p-1)/2$ , there are (p-1)/2 such a's.

## The Proof (concluded)

- Each such a has 2 distinct square roots.
- The square roots of all the a's are distinct.
  - The square roots of different a's must be different.
- Hence the set of square roots is  $\{1, 2, \dots, p-1\}$ .

- I.e., 
$$\bigcup_{1 \le a \le p-1} \{x : x^2 = a \mod p\} = \{1, 2, \dots, p-1\}.$$

- If  $a = r^{2j+1}$ , then it has no roots because all the square roots have been taken.
- $a^{(p-1)/2} = [r^{(p-1)/2}]^{2j+1} = (-1)^{2j+1} = -1 \mod p$ .

The Legendre Symbol<sup>a</sup> and Quadratic Residuacity Test

- By Lemma 60 (p. 426)  $a^{(p-1)/2} \mod p = \pm 1$  for  $a \neq 0 \mod p$ .
- For odd prime p, define the **Legendre symbol**  $(a \mid p)$  as

$$(a \mid p) = \begin{cases} 0 & \text{if } p \mid a, \\ 1 & \text{if } a \text{ is a quadratic residue modulo } p, \\ -1 & \text{if } a \text{ is a quadratic nonresidue modulo } p. \end{cases}$$

- Euler's test implies  $a^{(p-1)/2} = (a \mid p) \mod p$  for any odd prime p and any integer a.
- Note that (ab|p) = (a|p)(b|p).

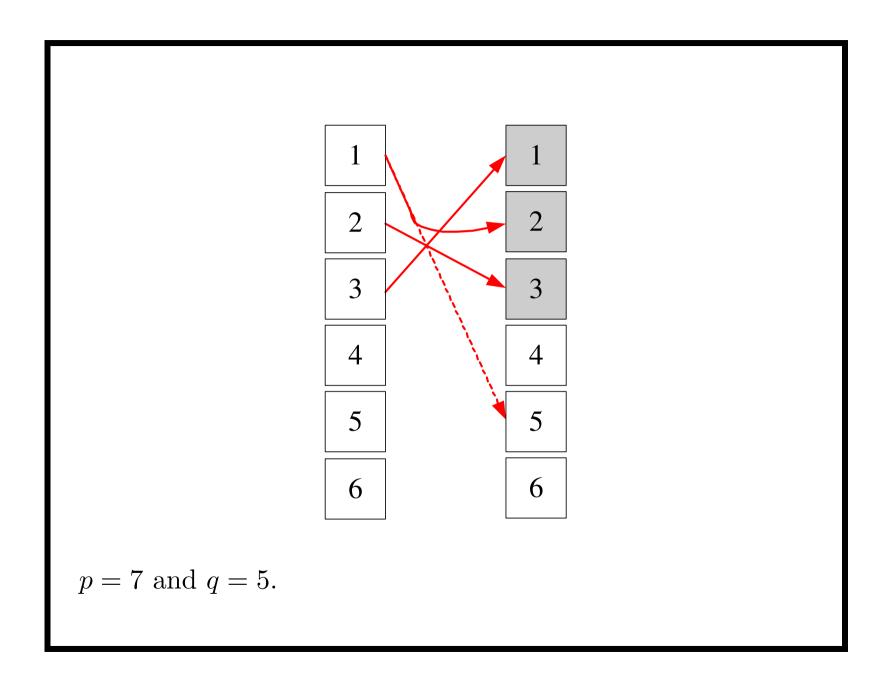
<sup>&</sup>lt;sup>a</sup>Andrien-Marie Legendre (1752–1833).

#### Gauss's Lemma

**Lemma 61 (Gauss)** Let p and q be two odd primes. Then  $(q|p) = (-1)^m$ , where m is the number of residues in  $R = \{iq \bmod p : 1 \le i \le (p-1)/2\}$  that are greater than (p-1)/2.

- $\bullet$  All residues in R are distinct.
  - If  $iq = jq \mod p$ , then p|(j-i)q or p|q.
- No two elements of R add up to p.
  - If  $iq + jq = 0 \mod p$ , then p|(i+j) or p|q.
  - But neither is possible.

- Consider the set R' of residues that result from R if we replace each of the m elements  $a \in R$  such that a > (p-1)/2 by p-a.
  - This is equivalent to performing  $-a \mod p$ .
- All residues in R' are now at most (p-1)/2.
- In fact,  $R' = \{1, 2, \dots, (p-1)/2\}$  (see illustration next page).
  - Otherwise, two elements of R would add up to p, which has been shown to be impossible.



## The Proof (concluded)

- Alternatively,  $R' = \{\pm iq \mod p : 1 \le i \le (p-1)/2\}$ , where exactly m of the elements have the minus sign.
- Take the product of all elements in the two representations of R'.
- So  $[(p-1)/2]! = (-1)^m q^{(p-1)/2} [(p-1)/2]! \mod p$ .
- Because gcd([(p-1)/2]!, p) = 1, the above implies

$$1 = (-1)^m q^{(p-1)/2} \bmod p.$$

## Legendre's Law of Quadratic Reciprocity<sup>a</sup>

- Let p and q be two odd primes.
- The next result says their Legendre symbols are distinct if and only if both numbers are 3 mod 4.

Lemma 62 (Legendre (1785), Gauss)

$$(p|q)(q|p) = (-1)^{\frac{p-1}{2}\frac{q-1}{2}}.$$

<sup>&</sup>lt;sup>a</sup>First stated by Euler in 1751. Legendre (1785) did not give a correct proof. Gauss proved the theorem when he was 19. He gave at least 6 different proofs during his life. The 152nd proof appeared in 1963.

- Sum the elements of R' in the previous proof in mod 2.
- On one hand, this is just  $\sum_{i=1}^{(p-1)/2} i \mod 2$ .
- On the other hand, the sum equals

$$\sum_{i=1}^{(p-1)/2} \left(qi - p \left\lfloor \frac{iq}{p} \right\rfloor \right) + mp \mod 2$$

$$= \left(q \sum_{i=1}^{(p-1)/2} i - p \sum_{i=1}^{(p-1)/2} \left\lfloor \frac{iq}{p} \right\rfloor \right) + mp \mod 2.$$

- Signs are irrelevant under mod 2.
- -m is as in Lemma 61 (p. 430).

• Ignore odd multipliers to make the sum equal

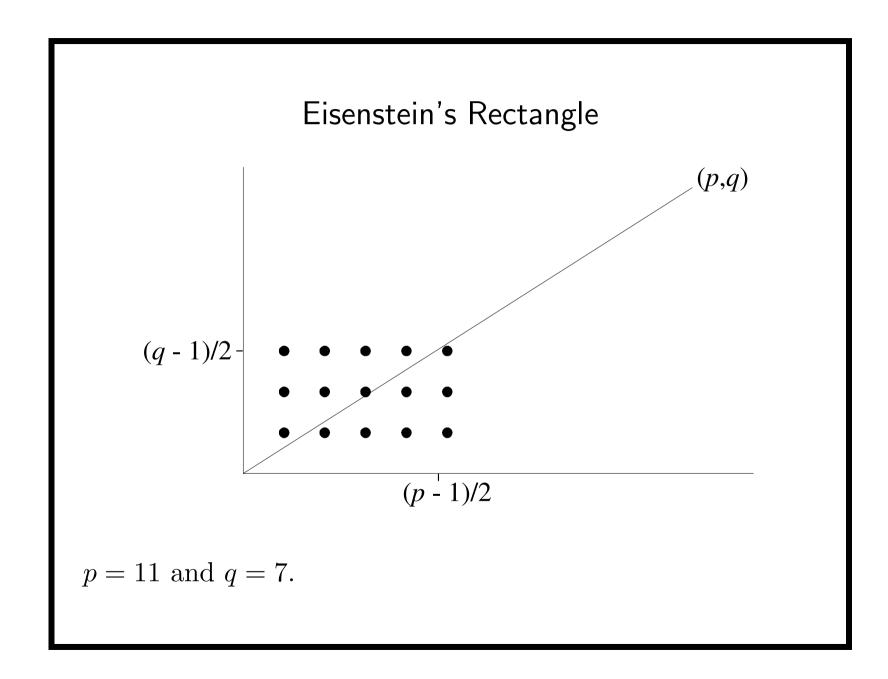
$$\left(\sum_{i=1}^{(p-1)/2} i - \sum_{i=1}^{(p-1)/2} \left\lfloor \frac{iq}{p} \right\rfloor \right) + m \mod 2.$$

• Equate the above with  $\sum_{i=1}^{(p-1)/2} i \mod 2$  to obtain

$$m = \sum_{i=1}^{(p-1)/2} \left\lfloor \frac{iq}{p} \right\rfloor \mod 2.$$

## The Proof (concluded)

- $\sum_{i=1}^{(p-1)/2} \lfloor \frac{iq}{p} \rfloor$  is the number of integral points under the line y = (q/p) x for  $1 \le x \le (p-1)/2$ .
- Gauss's lemma (p. 430) says  $(q|p) = (-1)^m$ .
- Repeat the proof with p and q reversed.
- So  $(p|q) = (-1)^{m'}$ , where m' is the number of integral points above the line y = (q/p)x for  $1 \le y \le (q-1)/2$ .
- As a result,  $(p|q)(q|p) = (-1)^{m+m'}$ .
- But m + m' is the total number of integral points in the  $\frac{p-1}{2} \times \frac{q-1}{2}$  rectangle, which is  $\frac{p-1}{2} \cdot \frac{q-1}{2}$ .



#### The Jacobi Symbol<sup>a</sup>

- The Legendre symbol only works for odd *prime* moduli.
- The **Jacobi symbol**  $(a \mid m)$  extends it to cases where m is not prime.
- Let  $m = p_1 p_2 \cdots p_k$  be the prime factorization of m.
- When m > 1 is odd and gcd(a, m) = 1, then

$$(a|m) = \prod_{i=1}^{k} (a | p_i).$$

• Define (a | 1) = 1.

<sup>a</sup>Carl Jacobi (1804–1851).

### Properties of the Jacobi Symbol

The Jacobi symbol has the following properties, for arguments for which it is defined.

1. 
$$(ab | m) = (a | m)(b | m)$$
.

2. 
$$(a \mid m_1 m_2) = (a \mid m_1)(a \mid m_2)$$
.

3. If 
$$a = b \mod m$$
, then  $(a | m) = (b | m)$ .

4. 
$$(-1 \mid m) = (-1)^{(m-1)/2}$$
 (by Lemma 61 on p. 430).

5. 
$$(2 \mid m) = (-1)^{(m^2-1)/8}$$
 (by Lemma 61 on p. 430).

6. If a and m are both odd, then 
$$(a \mid m)(m \mid a) = (-1)^{(a-1)(m-1)/4}$$
.

## Calculation of (2200|999)

Similar to the Euclidean algorithm and does *not* require factorization.

$$(202|999) = (-1)^{(999^2-1)/8}(101|999)$$

$$= (-1)^{124750}(101|999) = (101|999)$$

$$= (-1)^{(100)(998)/4}(999|101) = (-1)^{24950}(999|101)$$

$$= (999|101) = (90|101) = (-1)^{(101^2-1)/8}(45|101)$$

$$= (-1)^{1275}(45|101) = -(45|101)$$

$$= -(-1)^{(44)(100)/4}(101|45) = -(101|45) = -(11|45)$$

$$= -(-1)^{(10)(44)/4}(45|11) = -(45|11)$$

$$= -(1|11) = -(11|1) = -1.$$

# A Result Generalizing Proposition 10.3 in the Textbook

**Theorem 63** The group of set  $\Phi(n)$  under multiplication  $\mod n$  has a primitive root if and only if n is either 1, 2, 4,  $p^k$ , or  $2p^k$  for some nonnegative integer k and and odd prime p.

This result is essential in the proof of the next lemma.

### The Jacobi Symbol and Primality Test<sup>a</sup>

**Lemma 64** If  $(M|N) = M^{(N-1)/2} \mod N$  for all  $M \in \Phi(N)$ , then N is prime. (Assume N is odd.)

- Assume N = mp, where p is an odd prime, gcd(m, p) = 1, and m > 1 (not necessarily prime).
- Let  $r \in \Phi(p)$  such that (r | p) = -1.
- The Chinese remainder theorem says that there is an  $M \in \Phi(N)$  such that

$$M = r \mod p,$$
  
 $M = 1 \mod m.$ 

<sup>&</sup>lt;sup>a</sup>Mr. Clement Hsiao (R88526067) pointed out that the textbook's proof in Lemma 11.8 is incorrect while he was a senior in January 1999.

• By the hypothesis,

$$M^{(N-1)/2} = (M \mid N) = (M \mid p)(M \mid m) = -1 \mod N.$$

• Hence

$$M^{(N-1)/2} = -1 \bmod m.$$

• But because  $M = 1 \mod m$ ,

$$M^{(N-1)/2} = 1 \bmod m,$$

a contradiction.

- Second, assume that  $N = p^a$ , where p is an odd prime and  $a \ge 2$ .
- By Theorem 63 (p. 442), there exists a primitive root r modulo  $p^a$ .
- From the assumption,

$$M^{N-1} = \left[M^{(N-1)/2}\right]^2 = (M|N)^2 = 1 \mod N$$

for all  $M \in \Phi(N)$ .

• As  $r \in \Phi(N)$  (prove it), we have

$$r^{N-1} = 1 \bmod N.$$

• As r's exponent modulo  $N = p^a$  is  $\phi(N) = p^{a-1}(p-1)$ ,

$$p^{a-1}(p-1) | N-1,$$

which implies that  $p \mid N-1$ .

• But this is impossible given that  $p \mid N$ .

- Third, assume that  $N = mp^a$ , where p is an odd prime, gcd(m, p) = 1, m > 1 (not necessarily prime), and a is even.
- The proof mimics that of the second case.
- By Theorem 63 (p. 442), there exists a primitive root r modulo  $p^a$ .
- From the assumption,

$$M^{N-1} = \left[M^{(N-1)/2}\right]^2 = (M|N)^2 = 1 \mod N$$

for all  $M \in \Phi(N)$ .

• In particular,

$$M^{N-1} = 1 \bmod p^a \tag{6}$$

for all  $M \in \Phi(N)$ .

• The Chinese remainder theorem says that there is an  $M \in \Phi(N)$  such that

$$M = r \bmod p^a$$

$$M = 1 \mod m$$
.

• Because  $M = r \mod p^a$  and Eq. (6),

$$r^{N-1} = 1 \bmod p^a.$$

## The Proof (concluded)

• As r's exponent modulo  $N = p^a$  is  $\phi(N) = p^{a-1}(p-1)$ ,

$$p^{a-1}(p-1) | N-1,$$

which implies that  $p \mid N-1$ .

• But this is impossible given that  $p \mid N$ .

The Number of Witnesses to Compositeness

Theorem 65 (Solovay and Strassen (1977)) If N is an odd composite, then  $(M|N) \neq M^{(N-1)/2} \mod N$  for at least half of  $M \in \Phi(N)$ .

- By Lemma 64 (p. 443) there is at least one  $a \in \Phi(N)$  such that  $(a|N) \neq a^{(N-1)/2} \mod N$ .
- Let  $B = \{b_1, b_2, \dots, b_k\} \subseteq \Phi(N)$  be the set of all distinct residues such that  $(b_i|N) = b_i^{(N-1)/2} \mod N$ .
- Let  $aB = \{ab_i \mod N : i = 1, 2, \dots, k\}.$

## The Proof (concluded)

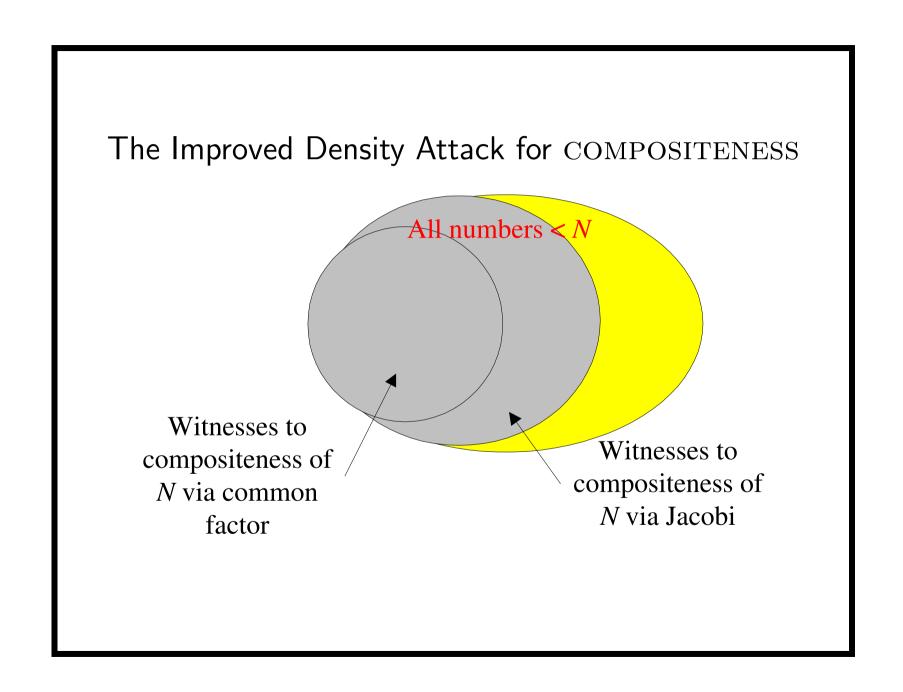
- $\bullet |aB| = k.$ 
  - $-ab_i = ab_j \mod N$  implies  $N|a(b_i b_j)$ , which is impossible because gcd(a, N) = 1 and  $N > |b_i b_j|$ .
- $aB \cap B = \emptyset$  because  $(ab_i)^{(N-1)/2} = a^{(N-1)/2} b_i^{(N-1)/2} \neq (a|N)(b_i|N) = (ab_i|N).$
- Combining the above two results, we know

$$\frac{|B|}{\phi(N)} \le 0.5$$

```
1: if N is even but N \neq 2 then
     return "N is composite";
3: else if N=2 then
     return "N is a prime";
5: end if
6: Pick M \in \{2, 3, ..., N - 1\} randomly;
7: if gcd(M, N) > 1 then
     return "N is a composite";
9: else
    if (M|N) \neq M^{(N-1)/2} \mod N then
       return "N is composite";
11:
     else
12:
       return "N is a prime";
     end if
14:
15: end if
```

## **Analysis**

- The algorithm certainly runs in polynomial time.
- There are no false positives (for COMPOSITENESS).
  - When the algorithm says the number is composite, it is always correct.
- The probability of a false negative is at most one half.
  - When the algorithm says the number is a prime, it may err.
  - If the input is composite, then the probability that the algorithm errs is one half.
- The error probability can be reduced but not eliminated.



### Randomized Complexity Classes; RP

- Let N be a polynomial-time precise NTM that runs in time p(n) and has 2 nondeterministic choices at each step.
- N is a **polynomial Monte Carlo Turing machine** for a language L if the following conditions hold:
  - If  $x \in L$ , then at least half of the  $2^{p(n)}$  computation paths of N on x halt with "yes" where n = |x|.
  - If  $x \notin L$ , then all computation paths halt with "no."
- The class of all languages with polynomial Monte Carlo TMs is denoted **RP** (randomized polynomial time).<sup>a</sup>

<sup>&</sup>lt;sup>a</sup>Adleman and Manders (1977).

### Comments on RP

- Nondeterministic steps can be seen as fair coin flips.
- There are no false positive answers.
- The probability of false negatives,  $1 \epsilon$ , is at most 0.5.
- But any constant between 0 and 1 can replace 0.5.
  - By repeating the algorithm  $k = \lceil -\frac{1}{\log_2 1 \epsilon} \rceil$  times, the probability of false negatives becomes  $(1 \epsilon)^k \le 0.5$ .
- In fact,  $\epsilon$  can be arbitrarily close to 0 as long as it is of the order 1/p(n) for some polynomial p(n).

$$- -\frac{1}{\log_2 1 - \epsilon} = O(\frac{1}{\epsilon}) = O(p(n)).$$

### Where RP Fits

- $P \subseteq RP \subseteq NP$ .
  - A deterministic TM is like a Monte Carlo TM except that all the coin flips are ignored.
  - A Monte Carlo TM is an NTM with extra demands on the number of accepting paths.
- Compositeness  $\in RP$ ; primes  $\in coRP$ ; primes  $\in RP$ .
  - In fact, PRIMES  $\in P$ .
- RP  $\cup$  coRP is another "plausible" notion of efficient computation.

<sup>&</sup>lt;sup>a</sup>Adleman and Huang (1987).

<sup>&</sup>lt;sup>b</sup>Agrawal, Kayal, and Saxena (2002).

## ZPP<sup>a</sup> (Zero Probabilistic Polynomial)

- The class **ZPP** is defined as  $RP \cap coRP$ .
- A language in ZPP has *two* Monte Carlo algorithms, one with no false positives and the other with no false negatives.
- If we repeatedly run both Monte Carlo algorithms, eventually one definite answer will come (unlike RP).
  - A positive answer from the one without false positives.
  - A negative answer from the one without false negatives.

<sup>a</sup>Gill (1977).

# The ZPP Algorithm (Las Vegas)

- 1: {Suppose L ∈ ZPP.}
   2: {N₁ has no false positives, and N₂ has no false negatives.}
- 3: while true do
- 4: **if**  $N_1(x) = \text{"yes"}$  **then**
- 5: **return** "yes";
- 6: end if
- 7: **if**  $N_2(x) = \text{"no"}$  **then**
- 8: **return** "no";
- 9: end if
- 10: end while

# ZPP (concluded)

- The *expected* running time for the correct answer to emerge is polynomial.
  - The probability that a run of the 2 algorithms does not generate a definite answer is 0.5.
  - Let p(n) be the running time of each run.
  - The expected running time for a definite answer is

$$\sum_{i=1}^{\infty} 0.5^{i} i p(n) = 2p(n).$$

• Essentially, ZPP is the class of problems that can be solved without errors in expected polynomial time.

## Et Tu, RP?

```
    {Suppose L ∈ RP.}
    {N decides L without false positives.}
    while true do
    if N(x) = "yes" then
    return "yes";
    end if
    {But what to do here?}
    end while
```

- You eventually get a "yes" if  $x \in L$ .
- But how to get a "no" when  $x \notin L$ ?
- You have to sacrifice either correctness or bounded running time.

## Large Deviations

- Suppose you have a biased coin.
- One side has probability  $0.5 + \epsilon$  to appear and the other  $0.5 \epsilon$ , for some  $0 < \epsilon < 0.5$ .
- But you do not know which is which.
- How to decide which side is the more likely—with high confidence?
- Answer: Flip the coin many times and pick the side that appeared the most times.
- Question: Can you quantify the confidence?

#### The Chernoff Bound<sup>a</sup>

**Theorem 66 (Chernoff (1952))** Suppose  $x_1, x_2, ..., x_n$  are independent random variables taking the values 1 and 0 with probabilities p and 1-p, respectively. Let  $X = \sum_{i=1}^{n} x_i$ . Then for all  $0 \le \theta \le 1$ ,

$$\text{prob}[X \ge (1+\theta) \, pn] \le e^{-\theta^2 pn/3}.$$

- The probability that the deviate of a **binomial** random variable from its expected value  $E[X] = E[\sum_{i=1}^{n} x_i] = pn$  decreases exponentially with the deviation.
- The Chernoff bound is asymptotically optimal.

<sup>&</sup>lt;sup>a</sup>Herman Chernoff (1923–).

#### The Proof

- Let t be any positive real number.
- Then

$$prob[X \ge (1 + \theta) pn] = prob[e^{tX} \ge e^{t(1+\theta) pn}].$$

• Markov's inequality (p. 405) generalized to real-valued random variables says that

$$\operatorname{prob}\left[e^{tX} \ge kE[e^{tX}]\right] \le 1/k.$$

• With  $k = e^{t(1+\theta) pn} / E[e^{tX}]$ , we have

$$\operatorname{prob}[X \ge (1+\theta) \, pn] \le e^{-t(1+\theta) \, pn} E[e^{tX}].$$

## The Proof (continued)

• Because  $X = \sum_{i=1}^{n} x_i$  and  $x_i$ 's are independent,

$$E[e^{tX}] = (E[e^{tx_1}])^n = [1 + p(e^t - 1)]^n.$$

• Substituting, we obtain

$$\operatorname{prob}[X \ge (1+\theta) pn] \le e^{-t(1+\theta) pn} [1 + p(e^t - 1)]^n$$
  
 
$$\le e^{-t(1+\theta) pn} e^{pn(e^t - 1)}$$

as 
$$(1+a)^n \le e^{an}$$
 for all  $a > 0$ .

## The Proof (concluded)

- With the choice of  $t = \ln(1+\theta)$ , the above becomes  $\operatorname{prob}[X \geq (1+\theta) pn] \leq e^{pn[\theta-(1+\theta)\ln(1+\theta)]}$ .
- The exponent expands to  $-\frac{\theta^2}{2} + \frac{\theta^3}{6} \frac{\theta^4}{12} + \cdots$  for  $0 \le \theta \le 1$ , which is less than

$$-\frac{\theta^2}{2} + \frac{\theta^3}{6} \le \theta^2 \left( -\frac{1}{2} + \frac{\theta}{6} \right) \le \theta^2 \left( -\frac{1}{2} + \frac{1}{6} \right) = -\frac{\theta^2}{3}.$$

## Power of the Majority Rule

From prob[ $X \le (1-\theta) pn$ ]  $\le e^{-\frac{\theta^2}{2}pn}$  (prove it):

Corollary 67 If  $p = (1/2) + \epsilon$  for some  $0 \le \epsilon \le 1/2$ , then

prob 
$$\left[\sum_{i=1}^{n} x_i \le n/2\right] \le e^{-\epsilon^2 n/2}$$
.

- The textbook's corollary to Lemma 11.9 seems incorrect.
- Our original problem (p. 462) hence demands  $\approx 1.4k/\epsilon^2$  independent coin flips to guarantee making an error with probability at most  $2^{-k}$  with the majority rule.

# BPP<sup>a</sup> (Bounded Probabilistic Polynomial)

- The class  $\mathbf{BPP}$  contains all languages for which there is a precise polynomial-time NTM N such that:
  - If  $x \in L$ , then at least 3/4 of the computation paths of N on x lead to "yes."
  - If  $x \notin L$ , then at least 3/4 of the computation paths of N on x lead to "no."
- N accepts or rejects by a *clear* majority.

<sup>a</sup>Gill (1977).

# Magic 3/4?

- The number 3/4 bounds the probability of a right answer away from 1/2.
- Any constant strictly between 1/2 and 1 can be used without affecting the class BPP.
- In fact, 0.5 plus any inverse polynomial between 1/2 and 1,

$$0.5 + \frac{1}{p(n)},$$

can be used.

## The Majority Vote Algorithm

Suppose L is decided by N by majority  $(1/2) + \epsilon$ .

```
1: for i = 1, 2, \dots, 2k + 1 do
```

- 2: Run N on input x;
- 3: end for
- 4: **if** "yes" is the majority answer **then**
- 5: "yes";
- 6: **else**
- 7: "no";
- 8: **end if**

### **Analysis**

- The running time remains polynomial, being 2k + 1 times N's running time.
- By Corollary 67 (p. 467), the probability of a false answer is at most  $e^{-\epsilon^2 k}$ .
- By taking  $k = \lceil 2/\epsilon^2 \rceil$ , the error probability is at most 1/4.
- As with the RP case,  $\epsilon$  can be any inverse polynomial, because k remains polynomial in n.

## Probability Amplification for BPP

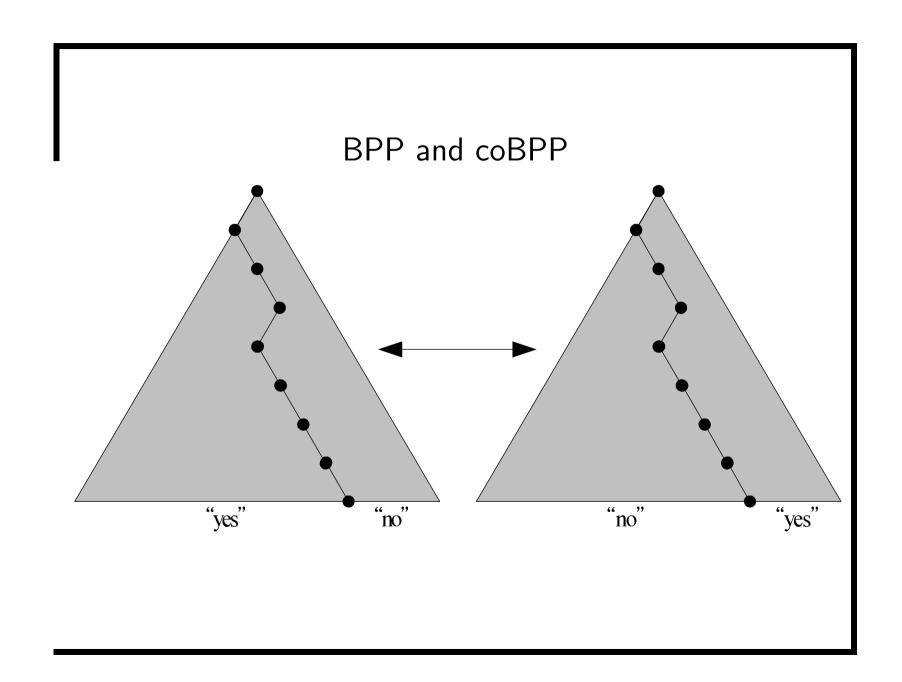
- Let m be the number of random bits used by a BPP algorithm.
  - By definition, m is polynomial in n.
- With  $k = \Theta(\log m)$  in the majority vote algorithm, we can lower the error probability to  $\leq (3m)^{-1}$ .

## Aspects of BPP

- BPP is the most comprehensive yet plausible notion of efficient computation.
  - If a problem is in BPP, we take it to mean that the problem can be solved efficiently.
  - In this aspect, BPP has effectively replaced P.
- $(RP \cup coRP) \subseteq (NP \cup coNP)$ .
- $(RP \cup coRP) \subseteq BPP$ .
- Whether BPP  $\subseteq$  (NP  $\cup$  coNP) is unknown.
- But it is unlikely that  $NP \subseteq BPP$  (p. 487).

#### coBPP

- The definition of BPP is symmetric: acceptance by clear majority and rejection by clear majority.
- An algorithm for  $L \in BPP$  becomes one for  $\overline{L}$  by reversing the answer.
- So  $\bar{L} \in BPP$  and  $BPP \subseteq coBPP$ .
- Similarly coBPP  $\subseteq$  BPP.
- Hence BPP = coBPP.
- This approach does not work for RP.
- It did not work for NP either.



"The Good, the Bad, and the Ugly" ZPP coRP RP · P BPP\

## Circuit Complexity

- Circuit complexity is based on boolean circuits instead of Turing machines.
- A boolean circuit with n inputs computes a boolean function of n variables.
- By identify true with 1 and false with 0, a boolean circuit with n inputs accepts certain strings in  $\{0,1\}^n$ .
- To relate circuits with arbitrary languages, we need one circuit for each possible input length n.

#### Formal Definitions

- The **size** of a circuit is the number of *gates* in it.
- A family of circuits is an infinite sequence  $C = (C_0, C_1, ...)$  of boolean circuits, where  $C_n$  has n boolean inputs.
- $L \subseteq \{0,1\}^*$  has **polynomial circuits** if there is a family of circuits C such that:
  - The size of  $C_n$  is at most p(n) for some fixed polynomial p.
  - For input  $x \in \{0,1\}^*$ ,  $C_{|x|}$  outputs 1 if and only if  $x \in L$ .
    - \*  $C_n$  accepts  $L \cap \{0,1\}^n$ .

## Exponential Circuits Contain All Languages

- Theorem 14 (p. 153) implies that there are languages that cannot be solved by circuits of size  $2^n/(2n)$ .
- But exponential circuits can solve all problems.

**Proposition 68** All decision problems (decidable or otherwise) can be solved by a circuit of size  $2^{n+2}$ .

• We will show that for any language  $L \subseteq \{0,1\}^*$ ,  $L \cap \{0,1\}^n$  can be decided by a circuit of size  $2^{n+2}$ .

## The Proof (concluded)

• Define boolean function  $f: \{0,1\}^n \to \{0,1\}$ , where

$$f(x_1x_2\cdots x_n) = \begin{cases} 1 & x_1x_2\cdots x_n \in L, \\ 0 & x_1x_2\cdots x_n \notin L. \end{cases}$$

- $f(x_1x_2\cdots x_n) = (x_1 \wedge f(1x_2\cdots x_n)) \vee (\neg x_1 \wedge f(0x_2\cdots x_n)).$
- The circuit size s(n) for  $f(x_1x_2\cdots x_n)$  hence satisfies

$$s(n) = 4 + 2s(n-1)$$

with s(1) = 1.

• Solve it to obtain  $s(n) = 5 \times 2^{n-1} - 4 \le 2^{n+2}$ .

## The Circuit Complexity of P

**Proposition 69** All languages in P have polynomial circuits.

- Let  $L \in P$  be decided by a TM in time p(n).
- By Corollary 27 (p. 239), there is a circuit with  $O(p(n)^2)$  gates that accepts  $L \cap \{0,1\}^n$ .
- The size of the circuit depends only on L and the length of the input.
- The size of the circuit is polynomial in n.

## Languages That Polynomial Circuits Accept

- Do polynomial circuits accept only languages in P?
- There are *undecidable* languages that have polynomial circuits.
  - Let  $L \subseteq \{0,1\}^*$  be an undecidable language.
  - Let  $U = \{1^n : \text{the binary expansion of } n \text{ is in } L\}$ .
  - U is also undecidable.
  - $-U \cap \{1\}^n$  can be accepted by  $C_n$  that is trivially true if  $1^n \in U$  and trivially false if  $1^n \notin U$ .
  - The family of circuits  $(C_0, C_1, \ldots)$  is polynomial in size.

<sup>&</sup>lt;sup>a</sup>Assume n's leading bit is always 1 without loss of generality.