

## Graph Coloring

- $k$ -COLORING asks if the nodes of a graph can be colored with  $\leq k$  colors such that no two adjacent nodes have the same color.
- 2-COLORING is in P (why?).
- But 3-COLORING is NP-complete (see next page).
- $k$ -COLORING is NP-complete for  $k \geq 3$  (why?).

## 3-COLORING Is NP-Complete<sup>a</sup>

- We will reduce NAESAT to 3-COLORING.
- We are given a set of clauses  $C_1, C_2, \dots, C_m$  each with 3 literals.
- The boolean variables are  $x_1, x_2, \dots, x_n$ .
- We shall construct a graph  $G$  such that it can be colored with colors  $\{0, 1, 2\}$  if and only if all the clauses can be NAE-satisfied.

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<sup>a</sup>Karp (1972).

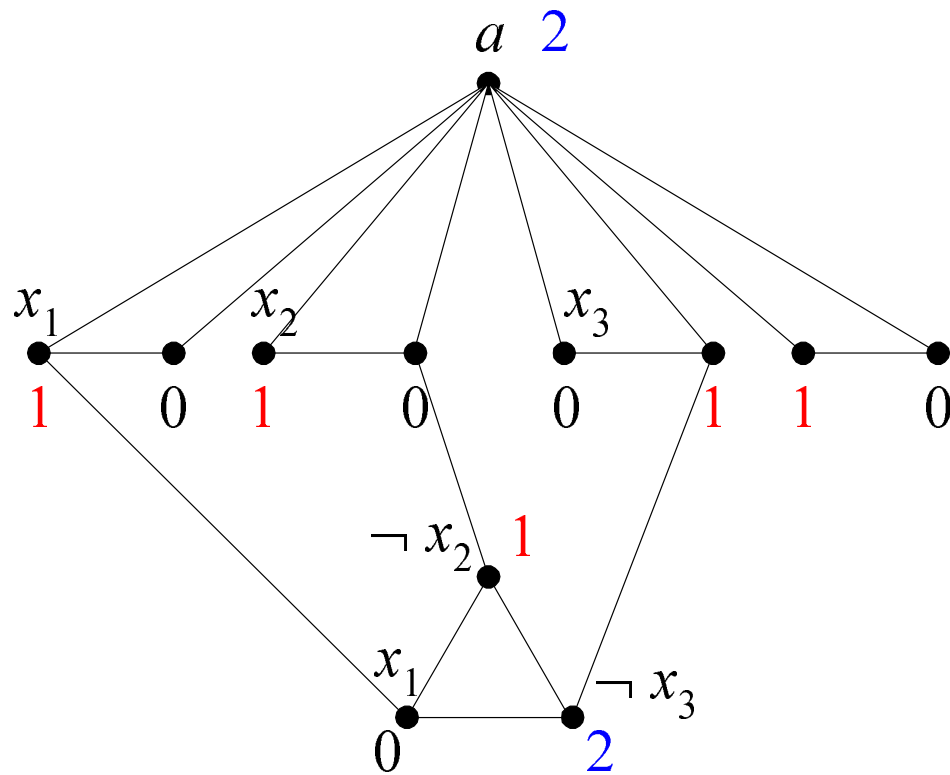
## The Proof (continued)

- Every variable  $x_i$  is involved in a triangle  $[a, x_i, \neg x_i]$  with a common node  $a$ .
- Each clause  $C_i = (c_{i1} \vee c_{i2} \vee c_{i3})$  is also represented by a triangle

$$[c_{i1}, c_{i2}, c_{i3}].$$

- Node  $c_{ij}$  with the same label as one in some triangle  $[a, x_k, \neg x_k]$  represent *distinct* nodes.
- There is an edge between  $c_{ij}$  and the node that represents the  $j$ th literal of  $C_i$ .

Construction for  $\dots \wedge (x_1 \vee \neg x_2 \vee \neg x_3) \wedge \dots$



## The Proof (continued)

Suppose the graph is 3-colorable.

- Assume without loss of generality that node  $a$  takes the color 2.
- A triangle must use up all 3 colors.
- As a result, one of  $x_i$  and  $\neg x_i$  must take the color 0 and the other 1.

## The Proof (continued)

- Treat 1 as true and 0 as false.<sup>a</sup>
  - We were dealing only with those triangles with the  $a$  node, not the clause triangles.
- The resulting truth assignment is clearly contradiction free.
- As each clause triangle contains one color 1 and one color 0, the clauses are NAE-satisfied.

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<sup>a</sup>The opposite also works.

## The Proof (continued)

Suppose the clauses are NAE-satisfiable.

- Color node  $a$  with color 2.
- Color the nodes representing literals by their truth values (color 0 for **false** and color 1 for **true**).
  - We were dealing only with those triangles with the  $a$  node, not the clause triangles.

## The Proof (concluded)

- For each clause triangle:
  - Pick any two literals with opposite truth values.
  - Color the corresponding nodes with 0 if the literal is true and 1 if it is false.
  - Color the remaining node with color 2.
- The coloring is legitimate.
  - If literal  $w$  of a clause triangle has color 2, then its color will never be an issue.
  - If literal  $w$  of a clause triangle has color 1, then it must be connected up to literal  $w$  with color 0.
  - If literal  $w$  of a clause triangle has color 0, then it must be connected up to literal  $w$  with color 1.



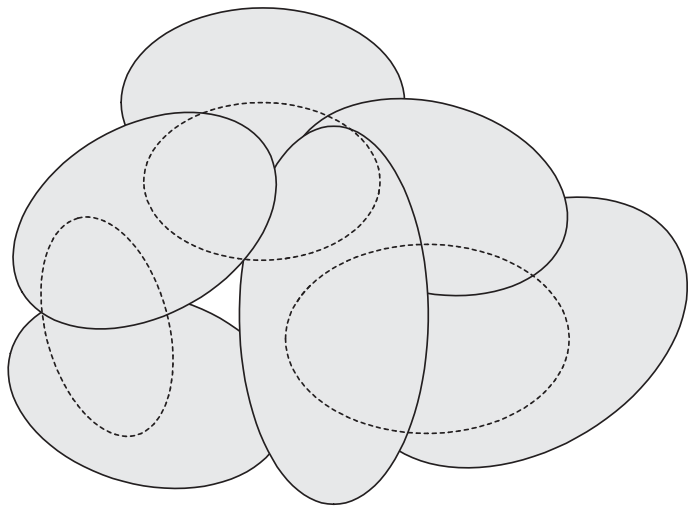
## TRIPARTITE MATCHING

- We are given three sets  $B$ ,  $G$ , and  $H$ , each containing  $n$  elements.
- Let  $T \subseteq B \times G \times H$  be a ternary relation.
- TRIPARTITE MATCHING asks if there is a set of  $n$  triples in  $T$ , none of which has a component in common.
  - Each element in  $B$  is matched to a different element in  $G$  and different element in  $H$ .

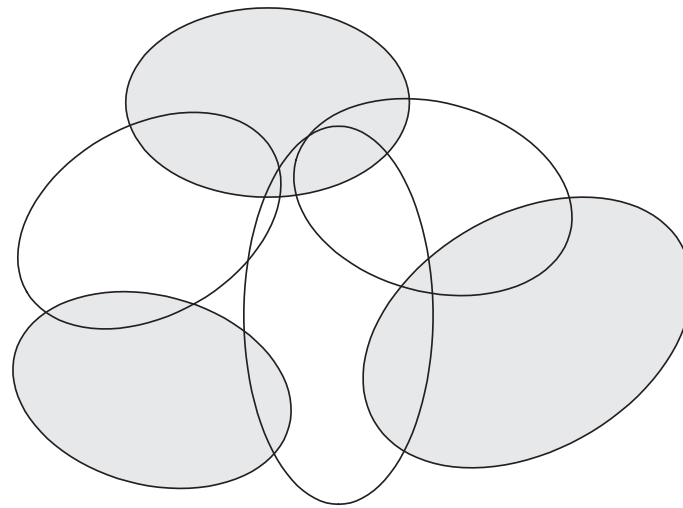
**Theorem 39 (Karp (1972))** TRIPARTITE MATCHING *is NP-complete.*

## Related Problems

- We are given a family  $F = \{S_1, S_2, \dots, S_n\}$  of subsets of a finite set  $U$  and a budget  $B$ .
- SET COVERING asks if there exists a set of  $B$  sets in  $F$  whose union is  $U$ .
- SET PACKING asks if there are  $B$  disjoint sets in  $F$ .
- Assume  $|U| = 3m$  for some  $m \in \mathbb{N}$  and  $|S_i| = 3$  for all  $i$ .
- EXACT COVER BY 3-SETS asks if there are  $m$  sets in  $F$  that are disjoint and have  $U$  as their union.



**SET COVERING**



**SET PACKING**

## Related Problems (concluded)

**Corollary 40** SET COVERING, SET PACKING, *and* EXACT COVER BY 3-SETS *are all NP-complete.*

## The KNAPSACK Problem

- There is a set of  $n$  items.
- Item  $i$  has value  $v_i \in \mathbb{Z}^+$  and weight  $w_i \in \mathbb{Z}^+$ .
- We are given  $K \in \mathbb{Z}^+$  and  $W \in \mathbb{Z}^+$ .
- KNAPSACK asks if there exists a subset  $S \subseteq \{1, 2, \dots, n\}$  such that  $\sum_{i \in S} w_i \leq W$  and  $\sum_{i \in S} v_i \geq K$ .
  - We want to achieve the maximum satisfaction within the budget.

## KNAPSACK Is NP-Complete

- KNAPSACK  $\in$  NP: Guess an  $S$  and verify the constraints.
- We assume  $v_i = w_i$  for all  $i$  and  $K = W$ .
- KNAPSACK now asks if a subset of  $\{v_1, v_2, \dots, v_n\}$  adds up to exactly  $K$ .
  - Picture yourself as a radio DJ.
  - Or a person trying to control the calories intake.
- We shall reduce EXACT COVER BY 3-SETS to KNAPSACK.

## The Proof (continued)

- We are given a family  $F = \{S_1, S_2, \dots, S_n\}$  of size-3 subsets of  $U = \{1, 2, \dots, 3m\}$ .
- EXACT COVER BY 3-SETS asks if there are  $m$  disjoint sets in  $F$  that cover the set  $U$ .
- Think of a set as a bit vector in  $\{0, 1\}^{3m}$ .
  - 001100010 means the set  $\{3, 4, 8\}$ , and 110010000 means the set  $\{1, 2, 5\}$ .
- Our goal is  $\overbrace{11 \cdots 1}^{3m}$ .

## The Proof (continued)

- A bit vector can also be considered as a binary *number*.
- Set union resembles addition.
  - $001100010 + 110010000 = 111110010$ , which denotes the set  $\{1, 2, 3, 4, 5, 8\}$ , as desired.
- Trouble occurs when there is *carry*.
  - $001100010 + 001110000 = 010010010$ , which denotes the set  $\{2, 5, 8\}$ , not the desired  $\{3, 4, 5, 8\}$ .



## The Proof (continued)

- Carry may also lead to a situation where we obtain our solution  $11 \cdots 1$  with more than  $m$  sets in  $F$ .
  - $001100010 + 001110000 + 101100000 + 000001101 = 111111111$ .
  - But this “solution”  $\{1, 3, 4, 5, 6, 7, 8, 9\}$  does not correspond to an exact cover.
  - And it uses 4 sets instead of the required 3.<sup>a</sup>
- To fix this problem, we enlarge the base just enough so that there are no carries.
- Because there are  $n$  vectors in total, we change the base from 2 to  $n + 1$ .

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<sup>a</sup>Thanks to a lively class discussion on November 20, 2002.

## The Proof (continued)

- Set  $v_i$  to be the  $(n + 1)$ -ary number corresponding to the bit vector encoding  $S_i$ .
- Now in base  $n + 1$ , if there is a set  $S$  such that 
$$\sum_{v_i \in S} v_i = \overbrace{11 \cdots 1}^{3m}$$
, then every bit position must be contributed by exactly one  $v_i$  and  $|S| = m$ .
- Finally, set

$$K = \sum_{j=0}^{3m-1} (n + 1)^j = \overbrace{11 \cdots 1}^{3m} \quad (\text{base } n + 1).$$

## The Proof (continued)

- Suppose  $F$  admits an exact cover, say  $\{S_1, S_2, \dots, S_m\}$ .
- Then picking  $S = \{v_1, v_2, \dots, v_m\}$  clearly results in

$$v_1 + v_2 + \cdots + v_m = \overbrace{11 \cdots 1}^{3m}.$$

- It is important to note that the meaning of addition (+) is independent of the base.<sup>a</sup>
- It is just regular addition.

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<sup>a</sup>Contributed by Mr. Kuan-Yu Chen (R92922047) on November 3, 2004.

## The Proof (concluded)

- On the other hand, suppose there exists an  $S$  such that

$$\sum_{v_i \in S} v_i = \overbrace{11 \cdots 1}^{3m} \text{ in base } n + 1.$$

- The no-carry property implies that  $|S| = m$  and  $\{S_i : v_i \in S\}$  is an exact cover.

## An Example

- Let  $m = 3$ ,  $U = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$ , and

$$S_1 = \{1, 3, 4\},$$

$$S_2 = \{2, 3, 4\},$$

$$S_3 = \{2, 5, 6\},$$

$$S_4 = \{6, 7, 8\},$$

$$S_5 = \{7, 8, 9\}.$$

- Note that  $n = 5$ , as there are 5  $S_i$ 's.

## An Example (concluded)

- Our reduction produces

$$K = \sum_{j=0}^{3 \times 3 - 1} 6^j = \overbrace{11 \cdots 1}^{3 \times 3} \quad (\text{base } 6),$$

$$v_1 = 101100000,$$

$$v_2 = 011100000,$$

$$v_3 = 010011000,$$

$$v_4 = 000001110,$$

$$v_5 = 000000111.$$

- Note  $v_1 + v_3 + v_5 = K$ .
- Indeed,  $S_1 \cup S_3 \cup S_5 = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$ , an exact cover by 3-sets.

## BIN PACKINGS

- We are given  $N$  positive integers  $a_1, a_2, \dots, a_N$ , an integer  $C$  (the capacity), and an integer  $B$  (the number of bins).
- BIN PACKING asks if these numbers can be partitioned into  $B$  subsets, each of which has total sum at most  $C$ .
- Think of packing bags at the check-out counter.

**Theorem 41** BIN PACKING *is NP-complete.*

## INTEGER PROGRAMMING

- INTEGER PROGRAMMING asks whether a system of linear inequalities with integer coefficients has an integer solution.
  - LINEAR PROGRAMMING asks whether a system of linear inequalities with integer coefficients has a *rational* solution.



## INTEGER PROGRAMMING Is NP-Complete<sup>a</sup>

- SET COVERING can be expressed by the inequalities  $Ax \geq \vec{1}$ ,  $\sum_{i=1}^n x_i \leq B$ ,  $0 \leq x_i \leq 1$ , where
  - $x_i$  is one if and only if  $S_i$  is in the cover.
  - $A$  is the matrix whose columns are the bit vectors of the sets  $S_1, S_2, \dots$
  - $\vec{1}$  is the vector of 1s.
- This shows INTEGER PROGRAMMING is NP-hard.
- Many NP-complete problems can be expressed as an INTEGER PROGRAMMING problem.

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<sup>a</sup>Papadimitriou (1981).

## Easier or Harder?<sup>a</sup>

- Adding restrictions on the allowable *problem instances* will not make a problem harder.
  - We are now solving a subset of problem instances.
  - The INDEPENDENT SET proof (p. 277) and the KNAPSACK proof (p. 322).
  - SAT to 2SAT (easier by p. 264).
  - CIRCUIT VALUE to MONOTONE CIRCUIT VALUE (equally hard by p. 241).

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<sup>a</sup>Thanks to a lively class discussion on October 29, 2003.

## Easier or Harder? (concluded)

- Adding restrictions on the allowable *solutions* may make a problem easier, as hard, or harder.
- It is problem dependent.
  - MIN CUT to BISECTION WIDTH (harder by p. 303).
  - LINEAR PROGRAMMING to INTEGER PROGRAMMING (harder by p. 332).
  - SAT to NAESAT (equally hard by p. 272) and MAX CUT to MAX BISECTION (equally hard by p. 301).
  - 3-COLORING to 2-COLORING (easier by p. 309).

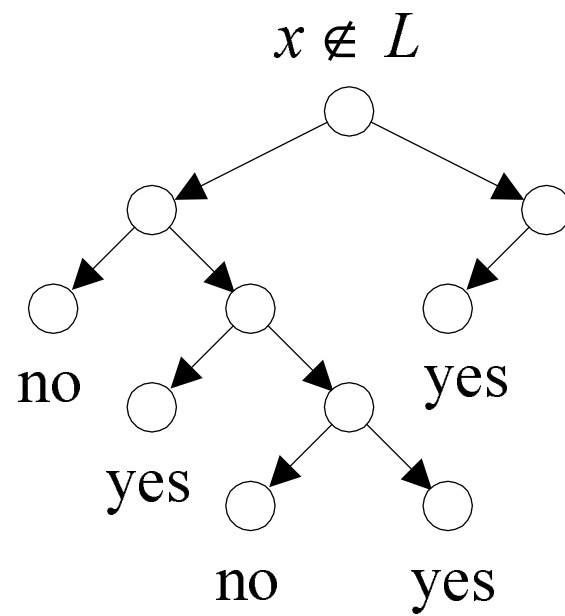
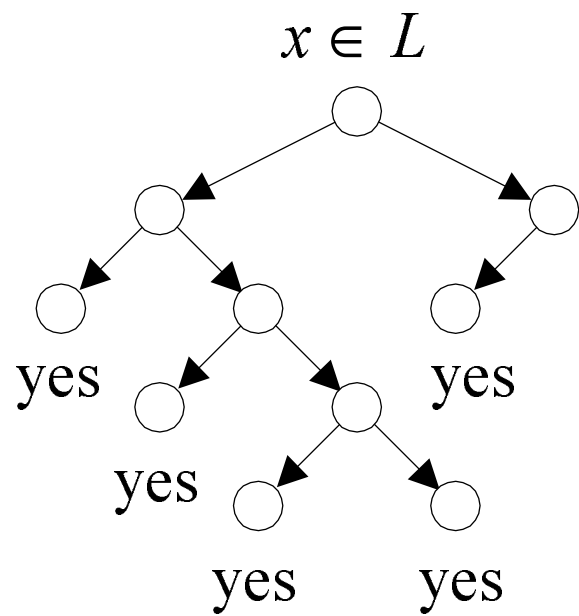
*coNP and Function Problems*

## coNP

- By definition, coNP is the class of problems whose complement is in NP.
- NP is the class of problems that have succinct certificates (recall Proposition 30 on p. 251).
- coNP is therefore the class of problems that have succinct disqualifications:
  - A “no” instance of a problem in coNP possesses a short proof of its being a “no” instance.
  - Only “no” instances have such proofs.

## coNP (continued)

- Suppose  $L$  is a coNP problem.
- There exists a polynomial-time nondeterministic algorithm  $M$  such that:
  - If  $x \in L$ , then  $M(x) = \text{“yes”}$  for all computation paths.
  - If  $x \notin L$ , then  $M(x) = \text{“no”}$  for some computation path.



## coNP (concluded)

- Clearly  $P \subseteq \text{coNP}$ .
- It is not known if

$$P = \text{NP} \cap \text{coNP}.$$

– Contrast this with

$$R = \text{RE} \cap \text{coRE}$$

(see Proposition 11 on p. 124).



## Some coNP Problems

- VALIDITY  $\in$  coNP.
  - If  $\phi$  is not valid, it can be disqualified very succinctly: a truth assignment that does not satisfy it.
- SAT COMPLEMENT  $\in$  coNP.
  - The disqualification is a truth assignment that satisfies it.
- HAMILTONIAN PATH COMPLEMENT  $\in$  coNP.
  - The disqualification is a Hamiltonian path.
- OPTIMAL TSP (D)  $\in$  coNP.<sup>a</sup>
  - The disqualification is a tour with a length  $< B$ .

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<sup>a</sup>Asked by Mr. Che-Wei Chang (R95922093) on September 27, 2006.

## An Alternative Characterization of coNP

**Proposition 42** *Let  $L \subseteq \Sigma^*$  be a language. Then  $L \in \text{coNP}$  if and only if there is a polynomially decidable and polynomially balanced relation  $R$  such that*

$$L = \{x : \forall y (x, y) \in R\}.$$

*(As on p. 250, we assume  $|y| \leq |x|^k$  for some  $k$ .)*

- $\bar{L} = \{x : (x, y) \in \neg R \text{ for some } y\}$ .
- Because  $\neg R$  remains polynomially balanced,  $\bar{L} \in \text{NP}$  by Proposition 30 (p. 251).
- Hence  $L \in \text{coNP}$  by definition.

## coNP Completeness

**Proposition 43**  *$L$  is NP-complete if and only if its complement  $\bar{L} = \Sigma^* - L$  is coNP-complete.*

Proof ( $\Rightarrow$ ; the  $\Leftarrow$  part is symmetric)

- Let  $\bar{L}'$  be any coNP language.
- Hence  $L' \in \text{NP}$ .
- Let  $R$  be the reduction from  $L'$  to  $L$ .
- So  $x \in L'$  if and only if  $R(x) \in L$ .
- So  $x \in \bar{L}'$  if and only if  $R(x) \in \bar{L}$ .
- $R$  is a reduction from  $\bar{L}'$  to  $\bar{L}$ .

## Some coNP-Complete Problems

- SAT COMPLEMENT is coNP-complete.
  - SAT COMPLEMENT is the complement of SAT.
- VALIDITY is coNP-complete.
  - $\phi$  is valid if and only if  $\neg\phi$  is not satisfiable.
  - The reduction from SAT COMPLEMENT to VALIDITY is hence easy.
- HAMILTONIAN PATH COMPLEMENT is coNP-complete.

## Possible Relations between P, NP, coNP

1.  $P = NP = \text{coNP}$ .
2.  $NP = \text{coNP}$  but  $P \neq NP$ .
3.  $NP \neq \text{coNP}$  and  $P \neq NP$ .
  - This is current “consensus.”

## coNP Hardness and NP Hardness<sup>a</sup>

**Proposition 44** *If a coNP-hard problem is in NP, then  $NP = coNP$ .*

- Let  $L \in NP$  be coNP-hard.
- Let NTM  $M$  decide  $L$ .
- For any  $L' \in coNP$ , there is a reduction  $R$  from  $L'$  to  $L$ .
- $L' \in NP$  as it is decided by NTM  $M(R(x))$ .
  - Alternatively, NP is closed under complement.
- Hence  $coNP \subseteq NP$ .
- The other direction  $NP \subseteq coNP$  is symmetric.

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<sup>a</sup>Brassard (1979); Selman (1978).

## coNP Hardness and NP Hardness (concluded)

Similarly,

**Proposition 45** *If an NP-hard problem is in coNP, then  $NP = coNP$ .*

Hence NP-complete problems are unlikely to be in coNP and coNP-complete problems are unlikely to be in NP.

## The Primality Problem

- An integer  $p$  is **prime** if  $p > 1$  and all positive numbers other than 1 and  $p$  itself cannot divide it.
- PRIMES asks if an integer  $N$  is a prime number.
- Dividing  $N$  by  $2, 3, \dots, \sqrt{N}$  is *not* efficient.
  - The length of  $N$  is only  $\log N$ , but  $\sqrt{N} = 2^{0.5 \log N}$ .
- A polynomial-time algorithm for PRIMES was not found until 2002 by Agrawal, Kayal, and Saxena!
- We will focus on efficient “probabilistic” algorithms for PRIMES (used in *Mathematica*, e.g.).



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1: if  $n = a^b$  for some  $a, b > 1$  then
2:   return “composite”;
3: end if
4: for  $r = 2, 3, \dots, n - 1$  do
5:   if  $\gcd(n, r) > 1$  then
6:     return “composite”;
7:   end if
8:   if  $r$  is a prime then
9:     Let  $q$  be the largest prime factor of  $r - 1$ ;
10:    if  $q \geq 4\sqrt{r} \log n$  and  $n^{(r-1)/q} \not\equiv 1 \pmod{r}$  then
11:      break; {Exit the for-loop.}
12:    end if
13:  end if
14: end for { $r - 1$  has a prime factor  $q \geq 4\sqrt{r} \log n$ .}
15: for  $a = 1, 2, \dots, 2\sqrt{r} \log n$  do
16:   if  $(x - a)^n \not\equiv (x^n - a) \pmod{(x^r - 1)}$  in  $Z_n[x]$  then
17:     return “composite”;
18:   end if
19: end for
20: return “prime”; {The only place with “prime” output.}

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## DP

- $DP \equiv NP \cap coNP$  is the class of problems that have succinct certificates and succinct disqualifications.
  - Each “yes” instance has a succinct certificate.
  - Each “no” instance has a succinct disqualification.
  - No instances have both.
- $P \subseteq DP$ .
- We will see that  $PRIMES \in DP$ .
  - In fact,  $PRIMES \in P$  as mentioned earlier.

## Primitive Roots in Finite Fields

**Theorem 46 (Lucas and Lehmer (1927))** <sup>a</sup> *A number  $p > 1$  is prime if and only if there is a number  $1 < r < p$  (called the **primitive root** or **generator**) such that*

1.  $r^{p-1} = 1 \pmod{p}$ , and
  2.  $r^{(p-1)/q} \not\equiv 1 \pmod{p}$  for all prime divisors  $q$  of  $p - 1$ .
- We will prove the theorem later.

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<sup>a</sup>François Edouard Anatole Lucas (1842–1891); Derrick Henry Lehmer (1905–1991).

## Pratt's Theorem

**Theorem 47 (Pratt (1975))**  $\text{PRIMES} \in NP \cap coNP$ .

- PRIMES is in coNP because a succinct disqualification is a divisor.
- Suppose  $p$  is a prime.
- $p$ 's certificate includes the  $r$  in Theorem 46 (p. 351).
- Use recursive doubling to check if  $r^{p-1} = 1 \pmod{p}$  in time polynomial in the length of the input,  $\log_2 p$ .
- We also need all *prime* divisors of  $p - 1$ :  $q_1, q_2, \dots, q_k$ .
- Checking  $r^{(p-1)/q_i} \neq 1 \pmod{p}$  is also easy.

## The Proof (concluded)

- Checking  $q_1, q_2, \dots, q_k$  are all the divisors of  $p - 1$  is easy.
- We still need certificates for the primality of the  $q_i$ 's.
- The complete certificate is recursive and tree-like:

$$C(p) = (r; q_1, C(q_1), q_2, C(q_2), \dots, q_k, C(q_k)).$$

- $C(p)$  can also be checked in polynomial time.
- We next prove that  $C(p)$  is succinct.

## The Succinctness of the Certificate

**Lemma 48** *The length of  $C(p)$  is at most quadratic at  $5 \log_2^2 p$ .*

- This claim holds when  $p = 2$  or  $p = 3$ .
- In general,  $p - 1$  has  $k < \log_2 p$  prime divisors  $q_1 = 2, q_2, \dots, q_k$ .
- $C(p)$  requires: 2 parentheses and  $2k < 2 \log_2 p$  separators (length at most  $2 \log_2 p$  long),  $r$  (length at most  $\log_2 p$ ),  $q_1 = 2$  and its certificate 1 (length at most 5 bits), the  $q_i$ 's (length at most  $2 \log_2 p$ ), and the  $C(q_i)$ s.

## The Proof (concluded)

- $C(p)$  is succinct because

$$\begin{aligned} |C(p)| &\leq 5 \log_2 p + 5 + 5 \sum_{i=2}^k \log_2^2 q_i \\ &\leq 5 \log_2 p + 5 + 5 \left( \sum_{i=2}^k \log_2 q_i \right)^2 \\ &\leq 5 \log_2 p + 5 + 5 \log_2^2 \frac{p-1}{2} \\ &< 5 \log_2 p + 5 + 5(\log_2 p - 1)^2 \\ &= 5 \log_2^2 p + 10 - 5 \log_2 p \leq 5 \log_2^2 p \end{aligned}$$

for  $p \geq 4$ .

## Basic Modular Arithmetics<sup>a</sup>

- Let  $m, n \in \mathbb{Z}^+$ .
- $m|n$  means  $m$  divides  $n$  and  $m$  is  $n$ 's **divisor**.
- We call the numbers  $0, 1, \dots, n - 1$  the **residue** modulo  $n$ .
- The **greatest common divisor** of  $m$  and  $n$  is denoted  $\gcd(m, n)$ .
- The  $r$  in Theorem 46 (p. 351) is a primitive root of  $p$ .
- We now prove the existence of primitive roots and then Theorem 46.

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<sup>a</sup>Carl Friedrich Gauss.



## Euler's<sup>a</sup> Totient or Phi Function

- Let

$$\Phi(n) = \{m : 1 \leq m < n, \gcd(m, n) = 1\}$$

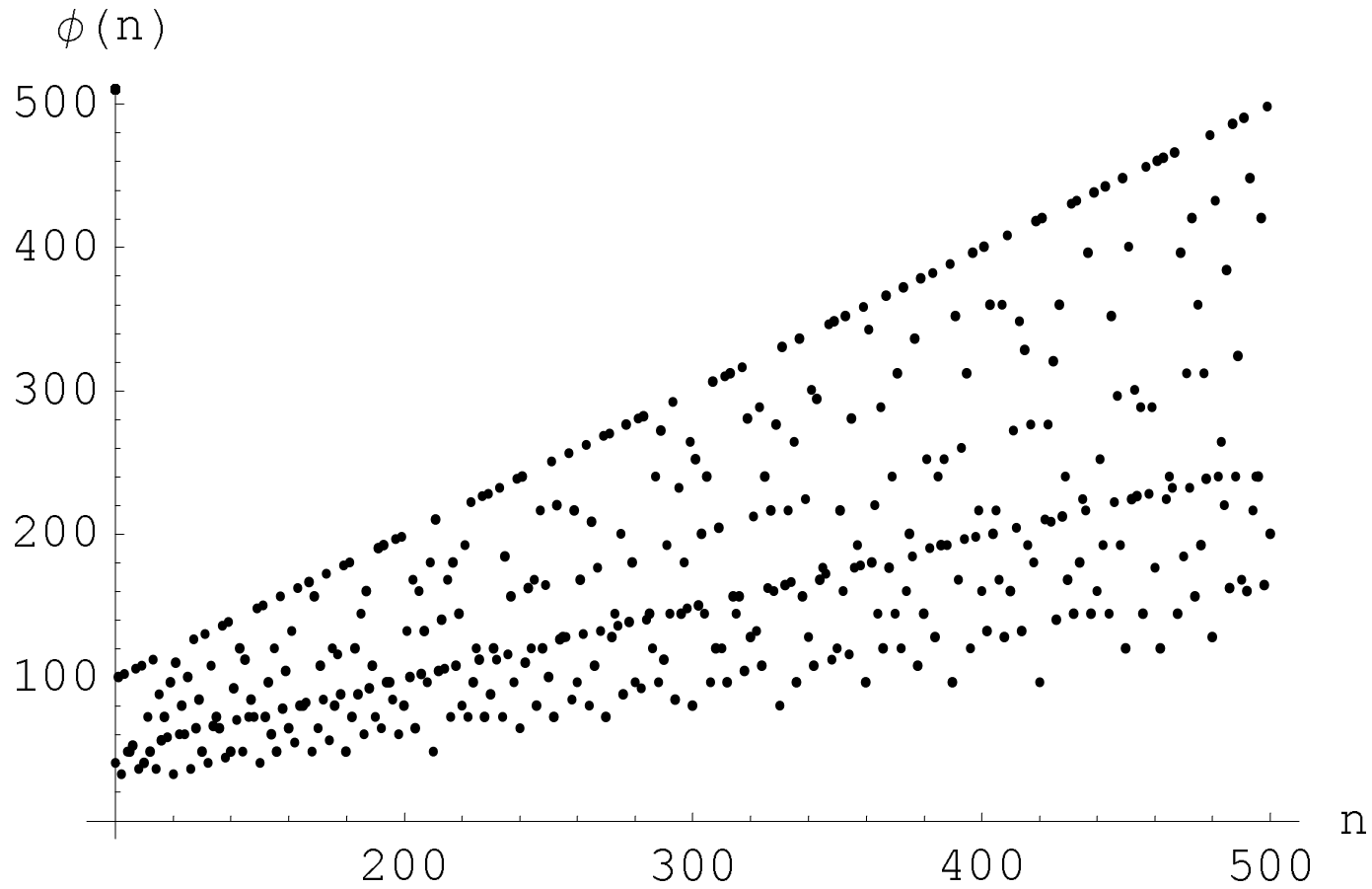
be the set of all positive integers less than  $n$  that are prime to  $n$  ( $Z_n^*$  is a more popular notation).

–  $\Phi(12) = \{1, 5, 7, 11\}$ .

- Define **Euler's function** of  $n$  to be  $\phi(n) = |\Phi(n)|$ .
- $\phi(p) = p - 1$  for prime  $p$ , and  $\phi(1) = 1$  by convention.
- Euler's function is not expected to be easy to compute without knowing  $n$ 's factorization.

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<sup>a</sup>Leonhard Euler (1707–1783).



## Two Properties of Euler's Function

The inclusion-exclusion principle<sup>a</sup> can be used to prove the following.

**Lemma 49**  $\phi(n) = n \prod_{p|n} \left(1 - \frac{1}{p}\right)$ .

- If  $n = p_1^{e_1} p_2^{e_2} \cdots p_t^{e_t}$  is the prime factorization of  $n$ , then

$$\phi(n) = n \prod_{i=1}^t \left(1 - \frac{1}{p_i}\right).$$

**Corollary 50**  $\phi(mn) = \phi(m) \phi(n)$  if  $\gcd(m, n) = 1$ .

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<sup>a</sup>See my *Discrete Mathematics* lecture notes.

## A Key Lemma

**Lemma 51**  $\sum_{m|n} \phi(m) = n.$

- Let  $\prod_{i=1}^{\ell} p_i^{k_i}$  be the prime factorization of  $n$  and consider

$$\prod_{i=1}^{\ell} [\phi(1) + \phi(p_i) + \cdots + \phi(p_i^{k_i})]. \quad (4)$$

- Equation (4) equals  $n$  because  $\phi(p_i^k) = p_i^k - p_i^{k-1}$  by Lemma 49.
- Expand Eq. (4) to yield  $\sum_{k'_1 \leq k_1, \dots, k'_\ell \leq k_\ell} \prod_{i=1}^{\ell} \phi(p_i^{k'_i}).$

## The Proof (concluded)

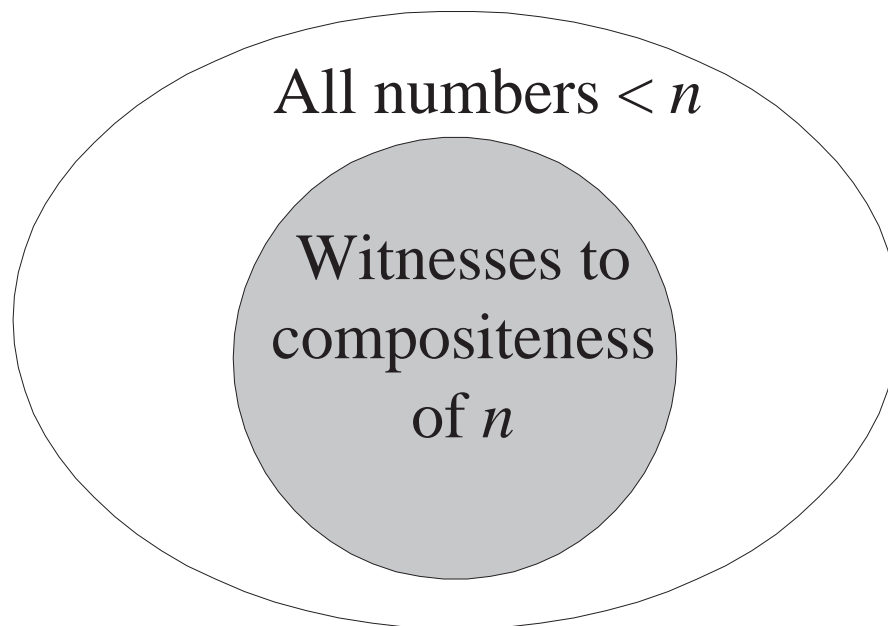
- By Corollary 50 (p. 359),

$$\prod_{i=1}^{\ell} \phi(p_i^{k'_i}) = \phi\left(\prod_{i=1}^{\ell} p_i^{k'_i}\right).$$

- Each  $\prod_{i=1}^{\ell} p_i^{k'_i}$  is a unique divisor of  $n = \prod_{i=1}^{\ell} p_i^{k_i}$ .
- Equation (4) becomes

$$\sum_{m|n} \phi(m).$$

## The Density Attack for PRIMES



- It works, but does it work well?

## Factorization and Euler's Function

- The ratio of numbers  $\leq n$  relatively prime to  $n$  is  $\phi(n)/n$ .
- When  $n = pq$ , where  $p$  and  $q$  are distinct primes,

$$\frac{\phi(n)}{n} = \frac{pq - p - q + 1}{pq} > 1 - \frac{1}{q} - \frac{1}{p}.$$

- The “density attack” to factor  $n = pq$  hence takes  $\Omega(\sqrt{n})$  steps on average when  $p \sim q = O(\sqrt{n})$ .
- This running time is exponential:  $\Omega(2^{0.5 \log_2 n})$ .

## The Chinese Remainder Theorem

- Let  $n = n_1 n_2 \cdots n_k$ , where  $n_i$  are pairwise relatively prime.
- For any integers  $a_1, a_2, \dots, a_k$ , the set of simultaneous equations

$$x = a_1 \pmod{n_1},$$

$$x = a_2 \pmod{n_2},$$

$$\vdots$$

$$x = a_k \pmod{n_k},$$

has a unique solution modulo  $n$  for the unknown  $x$ .



## Fermat's "Little" Theorem<sup>a</sup>

**Lemma 52** For all  $0 < a < p$ ,  $a^{p-1} = 1 \pmod{p}$ .

- Consider  $a\Phi(p) = \{am \pmod{p} : m \in \Phi(p)\}$ .
- $a\Phi(p) = \Phi(p)$ .
  - $a\Phi(p) \subseteq \Phi(p)$  as a remainder must be between 0 and  $p - 1$ .
  - Suppose  $am = am' \pmod{p}$  for  $m > m'$ , where  $m, m' \in \Phi(p)$ .
  - That means  $a(m - m') = 0 \pmod{p}$ , and  $p$  divides  $a$  or  $m - m'$ , which is impossible.

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<sup>a</sup>Pierre de Fermat (1601–1665).

## The Proof (concluded)

- Multiply all the numbers in  $\Phi(p)$  to yield  $(p - 1)!$ .
- Multiply all the numbers in  $a\Phi(p)$  to yield  $a^{p-1}(p - 1)!$ .
- As  $a\Phi(p) = \Phi(p)$ ,  $(p - 1)! = a^{p-1}(p - 1)! \pmod p$ .
- Finally,  $a^{p-1} = 1 \pmod p$  because  $p \nmid (p - 1)!$ .

## The Fermat-Euler Theorem<sup>a</sup>

**Corollary 53** For all  $a \in \Phi(n)$ ,  $a^{\phi(n)} = 1 \pmod n$ .

- The proof is similar to that of Lemma 52 (p. 365).
- Consider  $a\Phi(n) = \{am \pmod n : m \in \Phi(n)\}$ .
- $a\Phi(n) = \Phi(n)$ .
  - $a\Phi(n) \subseteq \Phi(n)$  as a remainder must be between 0 and  $n - 1$  and relatively prime to  $n$ .
  - Suppose  $am = am' \pmod n$  for  $m' < m < n$ , where  $m, m' \in \Phi(n)$ .
  - That means  $a(m - m') = 0 \pmod n$ , and  $n$  divides  $a$  or  $m - m'$ , which is impossible.

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<sup>a</sup>Proof by Mr. Wei-Cheng Cheng (R93922108) on November 24, 2004.

## The Proof (concluded)

- Multiply all the numbers in  $\Phi(n)$  to yield  $\prod_{m \in \Phi(n)} m$ .
- Multiply all the numbers in  $a\Phi(n)$  to yield  $a^{\Phi(n)} \prod_{m \in \Phi(n)} m$ .
- As  $a\Phi(n) = \Phi(n)$ ,

$$\prod_{m \in \Phi(n)} m = a^{\Phi(n)} \left( \prod_{m \in \Phi(n)} m \right) \pmod n.$$

- Finally,  $a^{\Phi(n)} = 1 \pmod n$  because  $n \nmid \prod_{m \in \Phi(n)} m$ .

## An Example

- As  $12 = 2^2 \times 3$ ,

$$\phi(12) = 12 \times \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{3}\right) = 4$$

- In fact,  $\Phi(12) = \{1, 5, 7, 11\}$ .
- For example,

$$5^4 = 625 = 1 \pmod{12}.$$